

Classical Modular Forms

Lecture 13

13.11.2017

$$\text{Let } \Delta(z) = \frac{E_4^3 - E_6^2}{1728} = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \sum_{n \geq 1} \tau(n) q^n \quad \left(\frac{1}{7}\right)$$

where $q = e(z)$.

Δ spans the 1-diml space $S_{12}(SL_2\mathbb{Z})$.

Ramanujan: $\tau(mn) = \tau(m)\tau(n) \quad (m,n)=1.$

We prove this as a consequence of Hecke Theory:

Suppose k is an integer until further notice.

Let k a weight, q a level, χ a Dirichlet character mod q satisfying $\chi(-1) = (-1)^k$.

Def $(T_n f)(z) = \frac{1}{n} \sum_{ad=n} \chi(a) a^k \sum_{0 \leq b < d} f\left(\frac{az+b}{d}\right)$ for $n \geq 1$ an integer

Proposition For any $n \geq 1$ ~~then~~ T_n acts on modular forms and cusp forms.

We have linear maps: $T_n : M_k(q, \chi) \rightarrow M_k(q, \chi)$

$$T_n : S_k(q, \chi) \rightarrow S_k(q, \chi).$$

We come back to this later, but ~~one~~ to prove it we will need to re-interpret in terms of group theory.

Let $\Gamma = SL_2\mathbb{Z}$

Consider $M_n = \left\{ \gamma \in \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{Z}, \det \gamma = n \right\}$

So $M_1 = \Gamma$.

Γ acts on the left and on the right by multiplication.

Lemma The set

$$\Delta_n = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad=n, 0 \leq b < d \right\}$$

is a complete set of right coset representatives of $M_n \text{ mod } \Gamma$. I.e.

$$M_n = \bigsqcup_{p \in \Delta_n} \Gamma p.$$

Proof Let $r = \begin{pmatrix} a & * \\ c & * \end{pmatrix} \in M_n$

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Then $\exists \delta, \gamma$, such that $\gamma a + \delta c = 0$ and $(\gamma, \delta) = 1$

Indeed, just take $\gamma = \frac{c}{(a,c)}$, $\delta = \frac{-a}{(a,c)}$

Hence $\exists \tau = \begin{pmatrix} * & * \\ \gamma & \delta \end{pmatrix} \in SL_2\mathbb{Z}$ such that $\tau r = \begin{pmatrix} a' & b' \\ 0 & d' \end{pmatrix}$

with $a'd' = n$, $d' > 0$ (since if $d' < 0$, we can take $-\tau$ instead of τ).

Now $\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a' & b' \\ 0 & d' \end{pmatrix} = \begin{pmatrix} a' & b' + d'u \\ 0 & d' \end{pmatrix}$, so we pick u to make $0 \leq b' + d'u < d'$

Thus we have $\tau' = \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \tau$ st $\tau' r \in \Delta_n$.

Thus $M_n = \bigcup_{p \in \Delta_n} \Gamma_p$.

Still need to show the union is disjoint.

Let $p_1, p_2 \in \Delta_n$. Consider $\Gamma_{p_1}, \Gamma_{p_2}$, suppose they are not disjoint.

Calculate: $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} = \begin{pmatrix} \alpha a & \alpha b + \beta d \\ \gamma a & \gamma b + \delta d \end{pmatrix}$

but $\gamma a = 0$, so $\gamma = 0$

$= \begin{pmatrix} \alpha a & \alpha b + \beta d \\ 0 & \delta d \end{pmatrix}$

$\alpha \delta d = n$

so $\alpha \delta = 1$, since $d > 0$

$\Rightarrow \alpha = \delta = 1$.

Since $0 \leq b + \beta d < d$, we get $\beta = 0$.

Then $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, so $p_1 = p_2$.

So if $p_1 \neq p_2$ then $\Gamma_{p_1}, \Gamma_{p_2}$ disjoint

Consequence: Given $p \in \Delta_n, \tau \in \Gamma$, there exists a unique $\tau'_{p,p'} \in \Delta_n$

such that $\boxed{p\tau = \tau'p'}$, i.e. We get a bijection: $SL_2\mathbb{Z} \times \Delta_n \xrightarrow{\sim} SL_2\mathbb{Z} \times \Delta_n$.

'Intertwining Relation'.

We don't yet need to assume χ is a Dirichlet character.

For now, we only suppose it is a fn $\chi: \mathbb{Z} \rightarrow \mathbb{C}$.

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Let $\chi(p) = \bar{\chi}(a)$ if $p = \begin{pmatrix} a & * \\ * & * \end{pmatrix}$.

then $T_n f = n^{k/2-1} \sum_{p \in \Delta_n} \bar{\chi}(p) f|_p$
 $= \frac{1}{n} \sum_{ad=n} \chi(a) a^k \sum_{0 \leq b < d} f\left(\frac{az+b}{d}\right)$. *

We begin by studying T_n on periodic fns.

Now assume $\chi(1) = 1$, $\chi(-1) = (-1)^k$. ~~now~~

Let $\Gamma_\infty = \text{stabilizer of } \infty = \left\{ \pm \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix}, u \in \mathbb{Z} \right\}$.

We study $f|_\tau = \chi(\tau) f$, $\tau \in \Gamma_\infty$, that is, f is periodic.

Lemma T_n maps periodic fns to periodic fns, that is,

$T_n : M_k(\Gamma_\infty, \chi) \rightarrow M_k(\Gamma_\infty, \chi)$.

Proof Explicitly, we have

$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b+au-dv \\ 0 & d \end{pmatrix}$ i.e. $p\tau = \tau'p$
 $\tau, \tau' \in \Gamma_\infty$.

If $p\tau = \tau'p$ w/ $\tau \in \Gamma_\infty$, then $f|_{p\tau} = f|_{\tau'p} = \chi(\tau') f|_{p'}$

Note: $\bar{\chi}(p)\chi(\tau) = \bar{\chi}(p')\chi(\tau')$ (direct check).

Then $(T_n f)|_\tau = n^{k/2-1} \sum_{p \in \Delta_n} \bar{\chi}(p) f|_{p\tau} = n^{k/2-1} \sum_{p \in \Delta_n} \bar{\chi}(p)\chi(\tau') f|_{p'}$
 $= n^{k/2-1} \chi(\tau) \sum_{p \in \Delta_n} \bar{\chi}(p') f|_{p'}$
 $= n^{k/2-1} \chi(\tau) \sum_{p \in \Delta_n} \bar{\chi}(p) f|_p$
 $= n^{k/2-1} \chi(\tau) T_n f$.

Bijection:

Given p, τ choose v , i.e. choose τ' such that

$0 \leq b+au-dv < d$

So $\Gamma_\infty \times \Delta_n \xrightarrow{\sim} \Gamma_\infty \times \Delta_n$.

QED.

Now let $f \in M_k(\Gamma_\infty, \chi)$, i.e. f is given by an abs. cvg Fourier Series:

$f(z) = \sum_{m=0}^{\infty} a(m) e(mz)$.

Lemma We have $(T_n f)(z) = \sum_{m=0}^{\infty} a_m(m) e(mz)$

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with $a_m(m) = \sum_{d| (m,n)} \chi(d) d^{k-1} a\left(\frac{mn}{d^2}\right)$.

Proof We apply \otimes

$$\begin{aligned} (T_n f)(z) &= \frac{1}{n} \sum_{m=0}^{\infty} a(m) \sum_{ad=n} \chi(a) a^k \sum_{b(d)} e\left(m \frac{az+b}{d}\right) \\ &= \frac{1}{n} \sum_{m=0}^{\infty} a(m) \sum_{ad=n} \chi(a) a^k e\left(m \frac{az}{d}\right) \underbrace{\sum_{b(d)} e\left(\frac{mb}{d}\right)}_{= d \cdot \delta_{d|m}} \end{aligned}$$

let $l = m/d$

$$= \sum_{l=0}^{\infty} \sum_{ad=n} \chi(a) a^{k-1} a(dl) e(laz)$$

let $m = la$

$$= \sum_{m=0}^{\infty} \left(\sum_{\substack{da=n \\ al=m}} \chi(a) a^{k-1} a(dl) \right) e(mz)$$

re-use of the letter m for a different meaning.

Note $dl = \frac{ad \cdot al}{a^2} = \frac{mn}{a^2} \Rightarrow = \sum_{m \geq 0} \left(\sum_{a|(m,n)} \chi(a) a^{k-1} a\left(\frac{mn}{a^2}\right) \right) e(mz)$. Q.E.D.

We can deduce many consequences about Hecke operators from this:

COR $a_n(0) = \left(\sum_{d|n} \chi(d) d^{k-1} \right) a(0)$

and if $m, n \geq 1$ then $a_m(m) = a_m(n)$.

Now assume χ is completely multiplicative: $\chi(mn) = \chi(m)\chi(n) \quad \forall m, n \geq 1$.

Theorem $T_m T_n = \sum_{d|(m,n)} \chi(d) d^{k-1} T_{\frac{mn}{d^2}}$

PROOF By definition of T_n

$$mn T_m T_n = \sum_{\substack{a, d_1 = m \\ a_2 d_2 = n}} \chi(a, a_2) (a, a_2)^{k+1} \sum_{\substack{b_1 \pmod{d_1} \\ b_2 \pmod{d_2}}} \left| \begin{pmatrix} a_1 & b_1 \\ 0 & d_1 \end{pmatrix} \middle| \begin{pmatrix} a_2 & b_2 \\ 0 & d_2 \end{pmatrix} \right|$$

$$= \sum_{\substack{b_1 \pmod{d_1} \\ b_2 \pmod{d_2}}} \left| \begin{pmatrix} a_1 a_2 & a_1 b_1 + b_2 d_2 \\ 0 & d_1 d_2 \end{pmatrix} \right|$$

Note that $\delta = (a_1, d_2)$ divides each entry of this.

Scalars act trivially by slash operator, so we change vars:

$$a_1 / \delta \rightarrow a_1 \quad d_2 / \delta \rightarrow d_2$$

$$mn T_m T_n = \sum_{\delta | (m, n)} \sum_{\substack{d_1 a_1 = m/\delta \\ a_2 d_2 = n/\delta \\ (a_1, d_2) = 1}} \chi(a_1, a_2 \delta) (a_1, a_2 \delta)^k \sum_{\substack{b_1 \pmod{d_1} \\ b_2 \pmod{\delta d_2}}} \left| \begin{pmatrix} a_1 a_2 & a_1 b_1 + b_2 d_2 \\ 0 & d_1 d_2 \end{pmatrix} \right|$$

Now, $(a_1, d_2) = 1$, so as b_1, b_2 run mod $d_1, \delta d_2$, $a_1 b_1 + b_2 d_2$ represents each integer mod $d_1 d_2$ exactly δ times.

Indeed,

$$\mathbb{Z}/d_1 \times \mathbb{Z}/d_2 \xrightarrow[\text{bij}]{\sim} \mathbb{Z}/d_1 d_2$$

$$(b_1, b_2) \mapsto a_1 b_1 + b_2 d_2$$

with inverse $b \mapsto \left(\frac{b - a_1 b_2}{d_2} \pmod{d_1}, b_2 \pmod{d_2} \right)$

Warning: NOT a homeomorphism.

$$\text{So } mn T_m T_n = \sum_{\delta | (m, n)} \chi(\delta) \delta^{k+1} \sum_{\substack{a, d_1 = m/\delta \\ a_2 d_2 = n/\delta \\ (a_1, d_2) = 1}} \chi(a_1, a_2) (a_1, a_2)^k \sum_{b \pmod{d_1 d_2}} \left| \begin{pmatrix} a_1 a_2 & b \\ 0 & d_1 d_2 \end{pmatrix} \right|$$

Let $a = a_1 a_2, d = d_1 d_2$, so $\frac{mn}{\delta^2} = ad$

We have a bijection

$$\left\{ a, d : ad = \frac{mn}{\delta^2} \right\} \xleftrightarrow{\sim} \left\{ a_1, a_2, d_1, d_2 : \begin{matrix} a_1 d_1 = m/\delta \\ a_2 d_2 = n/\delta \\ (a_1, d_2) = 1 \end{matrix} \right\}$$

Indeed, $(a, d) \mapsto a_1 = \frac{m}{(m, \delta d_1)}, a_2 = \frac{a}{a_1}, d_1 = d/d_2, d_2 = \frac{\delta d}{(m, \delta d)}$

$$(a_1, a_2, d_1, d_2) \longleftarrow (a_1, a_2, d_1, d_2)$$

So:

$$m_n T_m T_n = \sum_{d|(m,n)} \chi(d) d^{k+1} \sum_{ad=mn} \chi(a) a^k \sum_{b(d)} \begin{vmatrix} a & b \\ 0 & d \end{vmatrix}$$

So $T_m T_n = \sum_{d|(m,n)} \chi(d) d^{k-1} T_{\frac{mn}{d^2}}$, as was to be shown QED.

Corollary For all $m, n \geq 1$ $T_n T_m = T_n T_m$.

Inversion: Let $\mu(n) = \begin{cases} 1 & \text{if } n \text{ is square-free} \\ 0 & \text{else} \end{cases}$

μ is called the Möbius function. Multiplicative: $\mu(n)\mu(m) = \mu(nm)$ if $(m,n)=1$.

Also: $\sum_{d|n} \mu(d) = \begin{cases} 1 & \text{if } n=1 \\ 0 & \text{else} \end{cases}$ "Möbius inversion"

Indeed: $\sum_{d|n} \mu(d) = \prod_{p|n} (1 + \mu(p)) = \begin{cases} 1 & \text{if product is empty} \\ 0 & \text{else} \end{cases}$

Then $T_{mn} = \sum_{d|(mn)} \mu(d) \chi(d) d^{k-1} T_{m/d} T_{n/d}$

Proof RHS = $\sum_{d|(m,n)} \mu(d) \chi(d) d^{k-1} \sum_{d'|(m/d, n/d)} \chi(d') d'^{k-1} T_{\frac{mn}{d^2 d'}}$

let $l = dd'$
 $= \sum_{l|(mn)} \chi(l) l^{k-1} \sum_{d|l} \mu(d) T_{\frac{mn}{l^2}} = \sum_{l|(mn)} \chi(l) l^{k-1} T_{\frac{mn}{l^2}} \sum_{d|l} \mu(d)$
 $= T_{mn}$. QED.

In particular: $T_{mn} = T_m T_n$ if $(m,n)=1$.

It suffices to study T_n on prime powers. We have if $m=p^v$, $n=p$ that

$$T_{p^{v+1}} = T_p T_{p^v} - \chi(p) p^{k-1} T_{p^{v-1}}$$

$$\sum_{v \geq 0} T_{p^v} X^v = \frac{1}{1 - T_p X + \chi(p) p^{k-1} X^2}$$

Let \mathbb{T} the algebra generated by all T_n over \mathbb{C} . It is called the Hecke alg.

\mathbb{T} is generated by T_p , p prime, and is a commutative algebra.

Now we prove if $\Gamma = SL_2\mathbb{Z}$

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Thm The Hecke operators maps a modular form to a modular form and a cusp form to a cusp form. That is, we have linear maps

$$T_n: M_k(SL_2\mathbb{Z}) \rightarrow M_k(SL_2\mathbb{Z})$$

$$T_n: S_k(SL_2\mathbb{Z}) \rightarrow S_k(SL_2\mathbb{Z})$$

Proof By today's first lemma, given p, τ , $\exists! \tau', \rho'$ st $p\tau = \tau'\rho'$

I.e. we have a bijection $\Delta_n \times SL_2\mathbb{Z} \rightarrow \Delta_n \times SL_2\mathbb{Z}$.

$$\text{So } (T_n f)|_{\tau} = n^{k/2-1} \sum_{\rho \in \Delta_n} f|_{\rho\tau} = n^{k/2-1} \sum_{\rho' \in \Delta_n} f|_{\rho'} = T_n f.$$

If $F(z) = y^{k/2} |f(z)|$ is bounded on \mathcal{H} , then $y^{k/2} |f(\rho(z))|$ is also bounded

$$\left[f|_{\rho} = n^{k/2} \cdot d^{-k} \cdot f\left(\frac{az+b}{d}\right) \right], \text{ so } y^{k/2} |T_n f(z)| \text{ is also bounded.}$$

Therefore $T_n: S_k(1) \rightarrow S_k(1)$

