

Classical Modular Forms

Lecture 14

16.11.2017

We showed: If $\chi: \mathbb{Z} \rightarrow \mathbb{C}$ is any function satisfying $\chi(mn) = \chi(n)\chi(m)$ with $\chi(-1) = (-1)^k$ Then $mn \geq 1$

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Theorem $T_m T_n = \sum_{d|(m,n)} \chi(d) d^{k-1} T_{\frac{mn}{d^2}}$

COR For all $m, n \geq 1$, $T_m T_n = T_n T_m$

For $(m,n)=1$, $T_m T_n = T_{mn}$

Inversion: let $\mu(n) = \begin{cases} 1 & \text{if } n \text{ is sq-free} \\ 0 & \text{else} \end{cases}$

μ is called the Möbius function. Multiplicative: If $(m,n)=1$ then $\mu(m)\mu(n) = \mu(mn)$

We have $\sum_{d|n} \mu(d) = \prod_{p|n} (1 + \mu(p) + \mu(p^2) + \dots)$

"Möbius inversion" $= \prod_{p|n} (1 + \mu(p)) = \begin{cases} 1 & \text{if product is empty} \\ 0 & \text{else} \end{cases} = \begin{cases} 1 & \text{if } n=1 \\ 0 & \text{else} \end{cases}$

So $\sum_{d|(m,n)} \mu(d) \chi(d) d^{k-1} T_{m/d} T_{n/d} = \sum_{d|(m,n)} \mu(d) \chi(d) d^{k-1} \sum_{S|(\frac{m}{d}, \frac{n}{d})} \chi(S) S^{k-1} T_{\frac{mn}{d^2 S^2}}$

Let $l = Sd$ $= \sum_{l|(mn)} \chi(l) l^{k-1} T_{\frac{mn}{l^2}} \sum_{d|l} \mu(d) = T_{mn}$

So, it suffices to study Hecke operators on prime powers:

Let $m=p^v, n=p$, Then $T_{p^{v+1}} = T_{p^v} T_p - \chi(p) p^{k-1} T_{p^{v-1}}$

Also: $\sum_{v \geq 0} T_{p^v} X^v = \frac{1}{1 - T_p X + \chi(p) p^{k-1} X^2}$

The algebra \mathbb{T} generated by all T_{mn} over \mathbb{C} is called the Hecke Algebra

It is generated by T_p for all p prime and is commutative.

Let $\Gamma = \text{SL}_2\mathbb{Z}$

Theorem The Hecke operators map modular forms to modular forms and cusp forms to cusp forms. That is:

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$$T_n : M_k(\Gamma) \longrightarrow M_k(\Gamma)$$

$$T_n : S_k(\Gamma) \longrightarrow S_k(\Gamma).$$

PROOF By the lemma from last class, the intertwining relation $\rho\tau = \tau'\rho'$
 $\rho, \rho' \in \Delta_n, \tau, \tau' \in \Gamma$

defines a bijection $\Delta_n \times \Gamma \xrightarrow{\sim} \Delta_n \times \Gamma$, so:

$$(T_n f)|_{\tau} = n^{k/2-1} \sum_{\rho \in \Delta_n} f|_{\rho} = n^{k/2-1} \sum_{\rho' \in \Delta_n} f|_{\rho'} = T_n f.$$

If $F(z) = y^{k/2} |f(z)|$ is bounded on \mathcal{H} , then since

$$\begin{aligned} y^{k/2} |f|_{\rho}(z) &= y^{k/2} n^{k/2} d^{-k} \left| f\left(\frac{az+b}{d}\right) \right| \\ &= \left(\frac{a}{d}\right)^{k/2} y^{k/2} \left| f\left(\frac{az+b}{d}\right) \right| = F\left(\frac{az+b}{d}\right) \end{aligned}$$

$F(z)$ bounded on $\mathcal{H} \Rightarrow F\left(\frac{az+b}{d}\right) = y^{k/2} |f|_{\rho}(z)$ bounded on \mathcal{H} .

$\Rightarrow y^{k/2} |T_n f(z)|$ bounded on $\mathcal{H} \Rightarrow T_n f \in S_k(\Gamma)$ if $f \in S_k(\Gamma)$.

Digression on Poincaré Series and Eisenstein series.

Now Γ is a congruence subgroup. For each cusp of Γ , there is an Eisenstein series and Poincaré series attached to that cusp.

These are the basic examples of modular forms.

We only consider $\Gamma = \Gamma_0(q)$, and Eis & Poincaré series at the cusps for simplicity.

For the more general case, see Iwaniec Ch 3.

Let $k > 2$ and $\chi \pmod{q}$ a Dirichlet char. Let $E_k(z) = \sum_{\gamma \in \Gamma_0(q) \backslash \Gamma_0(q)}$

Here, recall we have $j(\gamma, z) = cz + d$.

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$E_k(z)$ converges absolutely since $k > 2$.

By construction $E_k \in \mathcal{F}_k(q, \chi)$, by convergence, it is holomorphic.

We will check that it has rapid decay at ∞ (the calculation at other cusps is similar)

More generally, for $m \geq 0$ $m \in \mathbb{Z}$, we define the m th Poincaré Series:

$$P_m(z) = \sum_{\gamma \in \Gamma_0(N)(q)} \overline{\chi}(\gamma) j(\gamma, z)^{-k} e(m\gamma z)$$

(of wt k , ~~and~~ level q , char χ at ∞)

This converges absolutely if $k > 2$, and is in $\mathcal{F}_k(q, \chi)$.

In fact, $E_k = P_0$, and $P_m \in M_k(q, \chi)$ $m \geq 0$

$P_m \in S_k(q, \chi)$ $m \geq 1$

The growth condition follows from the following Lemma at ∞ (other cusps are treated similarly, see Tw ch 3)

Lemma The Poincaré series P_m has Fourier expansion

$$P_m(z) = S(m, 0) + \sum_{n \geq 1} p(m, n) e(nz)$$

$$\text{with } p(0, n) = \left(\frac{2\pi}{i}\right) \frac{n^{k-1}}{\Gamma(k)} \sum_{\substack{c > 0 \\ c \equiv 0 \pmod{q}}} c^{-k} S_\chi(0, n, c)$$

and if $m \geq 1$

$$p(m, n) = \left(\frac{n}{m}\right)^{\frac{k-1}{2}} \left(S(m, n) + 2\pi i \sum_{\substack{c > 0 \\ c \equiv 0 \pmod{q}}} \frac{S_\chi(m, n, c)}{c} J_{k-1}\left(\frac{4\pi\sqrt{mn}}{c}\right) \right)$$

Here $S_\chi(m, n, c)$ is the Kloosterman Sum

$$S_\chi(m, n, c) = \sum_{d \pmod{c}^*} \chi(d) e\left(\frac{md + nd}{c}\right), \text{ where } * \text{ means } (d, c) = 1$$

$d = d \pmod{c}$

J_{k-1} is the Bessel function of order $k-1$

Theorem If $\chi = \text{trivial}$, write $S(m, n, c)$ for the Kloosterman sum.
(Weil bound for the Kloosterman sum)

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Let a, b, c be integers, $c > 0$

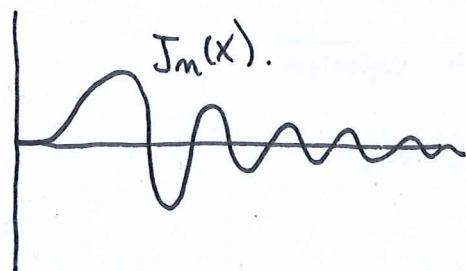
$$|S(a, b, c)| \leq d(c) (a, b, c)^{1/2} c^{1/2}$$

The proof is via the Riemann hypothesis for the zeta function of curves over finite fields (proved by Weil in the 1940s).

[For varieties, thm of Deligne from 1974 \Rightarrow optimal bound on Fourier coeffs ...]

$$J_n(x) = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{-ni\theta + iz \sin\theta} d\theta, \quad n=0, 1, 2, \dots$$

$$= \frac{1}{2\pi i} \int_{-i\infty}^{-i+100} \frac{\Gamma(-t)}{\Gamma(v+t+1)} \left(\frac{x}{2}\right)^{v+2t} dt \quad \text{if } \operatorname{Re}(v) > 0 \text{ etc.}$$



Satisfies:

$$J_{n-1}(x) \ll \min\left(1, \frac{x}{k}\right) k^{-1/3}$$

$$J_\nu(x) \ll x^{-1/4} (|x-\nu| + \nu^{1/3})^{-1/4}$$

$$\ll \frac{1}{\nu!} \left(\frac{x}{2}\right)^\nu \dots$$

Proof We have from the Bruhat decomposition (you saw this in exercises)

$$\Gamma_0(q) = \Gamma_\infty \sqcup \left(\bigcup_{\substack{c>0 \\ c \equiv 0 \pmod{q}}} \bigcup_{\substack{d(c) \\ (c,d)=1}} \Gamma_\infty \begin{pmatrix} a & b \\ c & d \end{pmatrix} \Gamma_\infty \right), \quad \text{where } a, b \text{ are any integers such that } ad-bc=1.$$

$$\text{Then } P_m(z) = \sum_{n \in \mathbb{Z}} e^{imz} = e(imz) + \sum_{\substack{c>0 \\ c \equiv 0 \pmod{q}}} \sum_{d(c)} \bar{\chi}(d) I(c, d, z)$$

where

$$I(c, d, z) = \sum_{n \in \mathbb{Z}} (c(z+n)+d)^{-n} e\left(m \left(\frac{a}{c} - \frac{1}{c(c(z+n)+d)} \right)\right)$$

$$\text{Since } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & an+b \\ c & cn+d \end{pmatrix} \text{ and } \frac{a+bn}{c+dn} = \frac{a}{c} - \frac{1}{c(cn+d)}$$

By Poisson Summation

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$$I(c, d, z) = \sum_{n \in \mathbb{Z}} \int_{\mathbb{R}} (c(z+v)+d)^{-k} e\left(\frac{am}{c} - \frac{m}{c(c(z+v)+d)} - nv\right) dv$$

$$= \sum_{n \in \mathbb{Z}} e\left(\frac{am}{c} + \frac{nd}{c}\right) \left(\int_{-\infty+iy}^{\infty+iy} (cv)^{-k} e\left(\frac{-m}{c^2v} - nv\right) dv \right) e(\frac{am}{c})$$

$cu = c(z+v)+d$
 $u-z-\frac{d}{c}=v$

If $n \leq 0$ then $\int (cv)^{-k} e\left(\frac{-m}{c^2v} - nv\right) dv = 0$
by shifting the contour up.

So: $P_m(z) = \sum_{n \geq 1} \left[\sum_{\substack{c \equiv 0 \pmod{q} \\ c > 0}} \left(\int_{-\infty+iy}^{\infty+iy} (cv)^{-k} e\left(\frac{-m}{c^2v} - nv\right) dv \right) \left(\sum_{d \in \mathbb{Z}} e\left(\frac{nd}{c}\right) \bar{\chi}(d) e\left(\frac{am}{c}\right) \right) \right] \cdot e(nz)$

We have $\int_{\text{Im } v = \text{Im } z > 0} \frac{e\left(\frac{-m}{c^2v} - nv\right)}{(cv)^{k-1}} \frac{dv}{v} = 2\pi i^{-k} \left(\frac{n}{m}\right)^{\frac{k-1}{2}} J_{k-1}\left(\frac{4\pi\sqrt{mn}}{c}\right) + e(mz)$

which follows from the second formula...
"Mellin-Barnes integral representation"

and $\frac{1}{\Gamma(z)} = \frac{1}{2\pi i} \int_{\underline{\quad}} (-t)^{-z} e^{-t} dt$

Cor If $m \geq 1$ then $P_m \in S_k(q, X)$.

There are ∞ -ly many Poincaré series, but $S_k(q, X)$ is finite-dimensional.

Lemma Let $f \in M_k(q, X)$, and write $f(z) = \sum_{n \geq 0} a(n) e(nz)$.

For any $m \geq 1$ $\langle f, P_m \rangle = \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} a_f(m)$

Corollary The Poincaré series span $S_k(q, X)$.

Indeed, if f were orthogonal to all P_m , then all of its Fourier coeffs would be zero. The space spanned by Poincaré series is closed since $S_k(q, X)$ is F.D.

Proof of Lemma By defn of P_m

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$$\begin{aligned} \langle f, P_m \rangle &= \int_{\mathcal{F}_{\infty}(q)} f(z) \left(\sum_{\gamma \in \Gamma_{\infty}(q)} \chi(\gamma) j(\gamma, z)^{-k} \overline{e(m\gamma z)} \right) y^k \frac{dx dy}{y^2} \\ &= \int_{\mathcal{F}_{\infty}(q)} \sum_{\gamma \in \Gamma_{\infty}(q)} (\text{Im } \gamma z)^k f(\gamma z) \overline{e(m\gamma z)} \frac{dx dy}{y^2} \end{aligned}$$

by modularity of f and $\text{Im } \gamma z = \frac{\text{Im } z}{|cz+d|^2}$

"Unfolding"

$$\begin{aligned} &= \int_0^1 \int_0^{\infty} y^{k-2} f(z) e(-m\bar{z}) dx dy \\ &= \sum_{n \geq 0} a_f(n) \int_0^{\infty} y^{k-2} e^{-2\pi i (n+ny)} dy \int_0^1 e((n-m)x) dx \\ & \qquad \qquad \qquad \underbrace{\int_0^1 e((n-m)x) dx}_{\begin{cases} 1 & \text{if } m=n \\ 0 & \text{else} \end{cases}} \\ &= \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} a_f(m). \end{aligned}$$

Let \mathcal{F} be any orthonormal basis of $S_k(q, \chi)$

Write $P_m = \sum_{f \in \mathcal{F}} \langle f, P_m \rangle f$ take $\langle \cdot, P_m \rangle$ of both sides and use previous two results. \Rightarrow

Theorem (Peterson Trace Formula)

$$\frac{\Gamma(k-1)}{(4\pi \sqrt{mn})^{k-1}} \sum_{f \in \mathcal{F}} a_f(n) \overline{a_f(m)} = \delta(m, n) + 2\pi i^{-k} \sum_{\substack{c > 0 \\ c \equiv 0(q)}} \frac{S_{\chi}(m, n, c)}{c} J_{k-1} \left(\frac{4\pi \sqrt{mn}}{c} \right)$$

"Almost orthogonality of Fourier coefficients".

Action of Hecke operators on Poincaré Series:

Theorem $T_n P_m = \sum_{d | (mn)} \left(\frac{n}{d}\right)^{k-1} P_{\frac{mn}{d^2}}$ P on $SL_2 \mathbb{Z}$, trivial char.

PROOF

We have

Note that since we are in $SL_2\mathbb{Z}$, $f|_p, p \in \Delta_m$ does not depend
is the same for any elt of Γ_p .

$$\langle T_n P_m \rangle(z) = \sum_{p \in \Gamma \backslash M_n} f|_p \quad \text{So } T_n f = n^{k/2-1} \sum_{p \in \Gamma \backslash M_n} f|_p \quad \text{if } f \in M_k(SL_2\mathbb{Z}).$$

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$$\begin{aligned} \text{Then } (T_n P_m)(z) &= n^{k-1} \sum_{p \in \Gamma \backslash M_n} P_m|_p \\ &= n^{k-1} \sum_{g \in \Gamma_0 \backslash M_n} j(g, z)^{-k} e(mgz) \end{aligned}$$

by unfolding and the cocycle condition.

$$= n^{k-1} \sum_{p \in \Gamma \backslash M_n} \sum_{\tau \in \Gamma_0 \backslash \Gamma} j(\tau, z)^{-k} e(m\tau z)$$

$$= n^{k-1} \sum_{ad=n} d^{-k} \sum_{b(d)} \sum_{\tau \in \Gamma_0 \backslash \Gamma} j_\tau(z)^{-k} e\left(m \frac{a\tau z + b}{d}\right)$$

$$= n^{k-1} \sum_{\substack{ad=n \\ d|m}} d^{1-k} \sum_{\tau \in \Gamma_0 \backslash \Gamma} j_\tau(z)^{-k} e\left(\frac{am}{d} \tau z\right)$$

$$= \sum_{d|(m,n)} \left(\frac{n}{d}\right)^{k-1} P_{\frac{mn}{d^2}}$$

If $m=0$, $\Rightarrow E_k = P_0$ is an eigenfunction of T_n all.

with $\sigma_{k-1}(n) = \sum_{d|n} d^{k-1}$ as eigenvalue

$$T_n E_k = \sigma_{k-1}(n) E_k.$$

Cor For $m, n \geq 1$

$$m^{k-1} T_n P_m = n^{k-1} T_m P_n$$

We also have $\frac{(4\pi m)^{k-1}}{\Gamma(k-1)} \langle T_n f, P_m \rangle = a_n(m) = a_m(n)$
 So $m^{k-1} \langle T_n f, P_m \rangle = n^{k-1} \langle T_m f, P_n \rangle$.

