

Recall 
$$P_m(z) = \sum_{\gamma \in \Gamma_0(q)} \bar{\chi}(\gamma) e(m\gamma z) j(\gamma, z)^{-k}$$

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~~Last time~~ Fourier series:

$$P_m(z) = \sum_{n \geq 0} p(m, n) e(nz)$$

Last time, we computed  $p(m, n)$  explicitly in terms of Kloosterman sums and Bessel functions.

COR For  $m \geq 1$   $P_m \in S_k(q, \chi)$ .

Lemma Let  $f \in M_k(q, \chi)$ , write  $f(z) = \sum_{n \geq 0} a_f(n) e(nz)$ .

For any  $m \geq 1$   $\langle f, P_m \rangle = \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} a_f(m)$ .

Warning: Here  $\langle, \rangle$  is  $\int_{\mathcal{D}_r} f \bar{g} y^k \frac{dx dy}{y^2}$ , i.e. un-normalized  $\langle, \rangle_{\Gamma_0(q)}$ .

COR The Poincaré series span  $S_k(q, \chi)$

PF ~~Suppose~~ The Poincaré series span a closed subspace of  $S_k(q, \chi)$  by finite dimension. Suppose this space is not all  $S_k(q, \chi)$ . Then there exists  $f$  orthogonal to the closed space spanned by the  $P_m$ . But by the lemma, such an  $f$  has all its Fourier coefficients equal to 0. Hence  $f \equiv 0$ .

PROOF OF LEMMA

By def: 
$$\langle f, P_m \rangle = \int_{\mathcal{D}_{\Gamma_0(q)}} f(z) \left( \sum_{\gamma \in \Gamma_0(q)} \bar{\chi}(\gamma) j(\gamma, z)^{-k} e(\overline{m\gamma z}) \right) y^k \frac{dx dy}{y^2}$$

$$= \int_{\mathcal{D}_{\Gamma_0(q)}} \left( \sum_{\gamma \in \Gamma_0(q)} (Im \gamma z)^k f(\gamma z) e(\overline{m\gamma z}) \right) \frac{dx dy}{y^2}$$

using  $f(z) = \chi(\gamma) j(\gamma, z)^{-k} f(\gamma z)$ ,  $y = \frac{1}{\sqrt{m}} |j(\gamma, z)|^2 \operatorname{Im} \gamma z$   
 "Unfolding technique" (2/7)

$$= \int_0^1 \int_0^\infty y^{k-2} f(z) e(-m\bar{z}) dx dy$$

$$= \sum_{n \geq 0} a_f(n) \int_0^\infty y^{k-2} e^{-2\pi(m+n)y} dy \int_0^1 e((n-m)x) dx$$

$$= a_f(m) \int_0^\infty y^{k-2} e^{-4\pi m y} dy = a_f(m) \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} = \begin{cases} 1 & \text{if } n=m \\ 0 & \text{else.} \end{cases}$$

Q.E.D.

Let  $\mathcal{F}$  be any orthonormal basis for  $S_k(q, \chi)$

Write  $P_m = \sum_{f \in \mathcal{F}} \langle P_m, f \rangle f$

Apply  $\langle \cdot, P_n \rangle$  to both sides:

$$\langle P_m, P_n \rangle = \sum_{f \in \mathcal{F}} \langle P_m, f \rangle \langle f, P_n \rangle$$

By the lemma:

$$\rho(m, n) = \sum_{f \in \mathcal{F}} \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} \overline{a_f(m)} a_f(n)$$

From last week's explicit calculation of  $\rho(m, n)$  we have:

Theorem (Peterson trace formula)

$$\frac{\Gamma(k-1)}{(4\pi \sqrt{mn})^{k-1}} \sum_{f \in \mathcal{F}} a_f(m) \overline{a_f(n)} = \delta(m, n) + 2\pi i^{-k} \sum_{\substack{c > 0 \\ c \equiv 0 \pmod{q}}} \frac{S_\chi(m, n, c)}{c} J_{k-1} \left( \frac{4\pi \sqrt{mn}}{c} \right)$$

$\mathcal{F}$  is any O.N. basis. "Almost orthogonality" as  $q+k \rightarrow \infty$

Action of Hecke operators on Poincaré series:

Theorem If  $\Gamma = SL_2\mathbb{Z}$ ,  $k > 2$ ,  $n \geq 1$ ,  $m \geq 0$  then

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$$T_n P_m = \sum_{d|(m,n)} \left(\frac{n}{d}\right)^{k-1} P_{\frac{mn}{d^2}}$$

Proof Recall for  $SL_2\mathbb{Z} = \Gamma$  we have for  $f \in M_k(\Gamma)$

$$T_n f = n^{k/2-1} \sum_{\rho \in \Gamma \backslash M_n} f|_{\rho} \quad (\text{this is well-defined}).$$

$$\text{So } (T_n P_m)(z) = n^{k/2-1} \sum_{\rho \in \Gamma \backslash M_n} P_m|_{\rho}$$

$$= n^{k/2-1} \sum_{\rho \in M_n} \sum_{\tau \in \Gamma_{\infty}} j(\rho, z)^{-k} j(\tau, \rho z)^k e(m\tau \rho z)$$

$$= n^{k/2-1} \sum_{\rho \in M_n} \sum_{\tau \in \Gamma_{\infty}} j(\tau \rho, z)^{-k} e(m\tau \rho z)$$

$$= n^{k-1} \sum_{\rho' \in M_n} \sum_{\tau' \in \Gamma_{\infty}} j(\rho' \tau', z)^{-k} e(m\rho' \tau' z).$$

Intertwining reln

$$= n^{k-1} \sum_{da=n} d^{-k} \sum_{\substack{\tau \\ b \text{ mod } d}} j(\tau, z)^{-k} e\left(m\left(\frac{a\tau z + b}{d}\right)\right)$$

$$= n^{k-1} \sum_{\substack{ad=n \\ d|m}} d^{1-k} \sum_{\tau} j(\tau, z)^{-k} e\left(\frac{ma}{d} \tau z\right)$$

$$= \sum_{d|(m,n)} \left(\frac{n}{d}\right)^{k-1} P_{\frac{mn}{d^2}}$$

Cor For  $m, n \geq 1$

$$m^{k-1} T_n P_m = n^{k-1} T_m P_n$$

Let  $\sigma_{k-1}(n) = \sum_{d|n} d^{k-1}$ , Then  $T_{mn} E_k = \sigma_{k-1}(n) E_k \quad \forall n \geq 1$ .

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We also have by the lemma:

$$\langle T_n f, P_m \rangle = \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} a_n(m) \quad \downarrow \text{Recall!} \quad = \frac{\Gamma(k-1)}{(4\pi m)^{k-1}} a_m(n)$$

(Writing  $T_n f = \sum_{m \geq 0} a_n(m) e(mz)$ )

Prop For  $m, n \geq 1, f \in M_k(\Gamma)$

$$m^{k-1} \langle T_n f, P_m \rangle = n^{k-1} \langle T_m f, P_n \rangle.$$

Let  $V$  a finite diml inner product space /  $\mathbb{C}$ .

For any linear transformation  $T: V \rightarrow V$ , we define its adjoint transform  $T^*: V \rightarrow V$  by

$$\langle Tx, y \rangle = \langle x, T^*y \rangle \quad \forall x, y \in V.$$

If  $TT^* = T^*T$  then  $T$  is called normal

If  $T = T^*$  then  $T$  is called self-adjoint.

Theorem The Hecke operators are self-adjoint on  $S_k(\Gamma)$ .

That is:  $\langle T_n f, g \rangle = \langle f, T_n g \rangle \quad \forall f, g \in S_n(\Gamma)$ .

Proof Since  $S_n(\Gamma)$  is spanned by Poincaré series, it suffices to check the formula on Poincaré series.

We compute:  $(mn)^{k-1} \langle T_n P_m, P_e \rangle = (n)^{k-1} \langle T_m P_n, P_e \rangle$   
COR

$$= (mn)^{k-1} \langle T_e P_n, P_m \rangle = (m)^{k-1} \langle T_n P_e, P_m \rangle$$

Prop

Since f.c. and inner prod are  $\in \mathbb{R}$ , QED.

## Recall

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Let Prop Let  $V$  a finite-diml inner product space /  $\mathbb{C}$ , and let  $\mathcal{S}$  be a commuting family of normal operators  $T: V \rightarrow V$ .

Then there exists an orthonormal basis  $\mathcal{B}$  of  $V$  which consists of common eigenvectors of all the operators  $T \in \mathcal{S}$ .

Theorem There exists an orthonormal basis  $\mathcal{F}$  of  $S_k(\Gamma)$  where  $\Gamma = SL_2\mathbb{Z}$ , which consists of eigenfunctions of all the Hecke operators  $T_n$ .

Consequences:

We say  $f \in S_k(\Gamma)$  is a Hecke eigenform  $\forall n=1, 2, 3, \dots$

$$T_n f = \lambda(n) f$$

Suppose  $f(z) = \sum_{m=1}^{\infty} a(m) e(mz)$  is a Hecke eigenform.

We write  $T_n f = \sum_{m=1}^{\infty} a_n(m) e(mz)$

we showed: 
$$a_n(m) = \sum_{d| (m,n)} d^{k-1} a\left(\frac{mn}{d^2}\right)$$

thus 
$$\lambda(n) a(m) = \sum_{d| (m,n)} d^{k-1} a\left(\frac{mn}{d^2}\right)$$

In particular: 
$$a(n) = \lambda(n) a(1)$$

$\therefore$  we must have  $a(1) \neq 0$  otherwise  $f \equiv 0$   
Since all F.C. vanish.

$a(n)$  is proportional to  $\lambda(n) \forall n \geq 1$

Two normalizations are common in literature:

(1) " $L^2$ -normalized"  $f \in \mathcal{F}$  is an orthonormal basis of Hecke eigenforms. In this case  $\langle f, f \rangle = 1$ , but  $a(1)$  varies.

② "Hecke Normalization"  $f \in \mathcal{G}$  is an orthonormal (not normal) basis of Hecke eigenforms such that  $\frac{a_f(1)}{f} = 1 \quad \forall f \in \mathcal{G}$ . (6/7)

In this case  $\langle f, f \rangle$  varies.

We call  $f$  in the latter case Primitive forms.

The Fourier coefficients of a primitive form are equal to its Hecke eigenvalues.

Example  $\phi \quad q = e(z)$

$$\Delta(z) = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \sum_{n \geq 1} \tau(n) q^n$$

is the unique primitive form in  $S_{12}(\Gamma)$ .

$$T_n \Delta = \tau(n) \Delta$$

Therefore: 
$$\tau(m)\tau(n) = \sum_{d| (m,n)} d^{k-1} \tau\left(\frac{mn}{d^2}\right)$$

Proving Ramanujan's Conjecture.

Hecke Theory for  $S_k(q, \chi)$  — Most interesting examples happen here.

We only sketch the proofs — they are similar to the  $SL_2\mathbb{Z}$  case.

$$\rho \in SL_2\mathbb{Z}, \quad \chi(\rho) = 0 \quad \forall \rho = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ with } (a, c) = 1.$$

So we make the following modifications to the theory:

$$\text{Let } \Delta_n^q = \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \Delta_n : (a, q) = 1 \right\}$$

$$\text{Thus } T_n f = n^{k/2-1} \sum_{\rho \in \Delta_n} \bar{\chi}(\rho) f|_{\rho} = n^{k/2-1} \sum_{\rho \in \Delta_n^q} \bar{\chi}(\rho) f|_{\rho} = T_n^{\chi} f.$$

Check: For  $\rho \in \Delta_n^q, \tau \in \Gamma_0(q)$  there exists unique  $\tau' \in \Gamma_0(q), \rho' \in \Delta_n^q$

such that  $p\tau = \tau'p'$ . (We can do this by an explicit matrix computation: Exercise) 7/7

Thus we have a bijection  $\Delta_n^q \times \Gamma_0(q) \longleftrightarrow \Gamma_0(q) \times \Delta_n^q$

For such  $p, \tau$  we also have  $\chi(p)\chi(\tau) = \chi(\tau')\chi(p')$   
(another exercise, or follows from the same calculation)

We suppose also  $\chi(-1) = (-1)^k$ , otherwise  $S_k(\Gamma_0(q), \chi) = \{0\}$ .

Theorem The Hecke operator  $T_n^\chi$  maps modular forms to modular forms and cusp forms to cusp forms.

$$T_n^\chi: M_k(q, \chi) \rightarrow M_k(q, \chi)$$

$$T_n^\chi: S_k(q, \chi) \rightarrow S_k(q, \chi)$$

Proof  $\bar{\chi}(p) f|_{p\tau} = \bar{\chi}(p) f|_{\tau'p'} = \bar{\chi}(p)\chi(\tau') f|_{p'}$   
 $= \chi(\tau)\bar{\chi}(p') f|_{p'}$

Thus  $(T_n f)|_t = \chi(t) T_n f \quad \forall t \in \Gamma_0(q)$

As we saw before,  $|_p, p \in \Delta_n^q$  preserves boundedness ~~at~~ <sub>of  $F(z)$ .</sub>

We already showed for the larger space  $M_k(\Gamma_0, \chi)$  that

$$T_m T_n = \sum_{d|(m,n)} \chi(d) d^{k-1} T_{\frac{mn}{d}} \frac{1}{d^2},$$

So this relation also holds for  $M_k(q, \chi)$ .

Thus the Hecke algebra  $\mathbb{H}$  is commutative, generated by  $T_p^\chi$

$$T_p^\chi = \frac{1}{p} \sum_{b(p)} \begin{pmatrix} 1 & b \\ 0 & p \end{pmatrix} + \chi(p) p^{k-1} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$$

If  $p|q$  this is  $T_p = \frac{1}{p} \sum_{b(p)} \begin{pmatrix} 1 & b \\ 0 & p \end{pmatrix}$ .

