

Hecke Theory for $S_n(q, \chi)$

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Let $\Delta_n^q = \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \Delta_n : (a, q) = 1 \right\}$.

$$T_n = T_n^\chi = n^{k_2-1} \sum_{p \in \Delta_n} \bar{\chi}(p) f|_{p\tau} = n^{k_2-1} \sum_{p \in \Delta_n^q} \bar{\chi}(q) f|_{p\tau}.$$

Exercise: Given $p \in \Delta_n^q$, $\tau \in \Gamma_0(q)$ there exists unique $\tau' \in \Gamma_0(q)$ such that $p\tau = \tau'p$.

Thus we have a bijection $\Delta_n^q \times \Gamma_0(q) \rightarrow \Gamma_0(q) \times \Delta_n^q$

Exercise: For such p, τ , we also have $\chi(p)\chi(\tau) = \chi(\tau')\chi(p')$

Suppose also that $\chi(-1) = (-1)^k$ (else $S_k(q, \chi) = \{0\}$)

Theorem The Hecke operators T_n^χ map modular forms to modular forms and cusp forms to cusp forms.

$$T_n^\chi : M_k(q, \chi) \longrightarrow M_k(q, \chi)$$

$$T_n^\chi : S_n(q, \chi) \longrightarrow S_n(q, \chi).$$

$$\begin{aligned} \text{PROOF } \bar{\chi}(p)f|_{p\tau} &= \bar{\chi}(p)f|_{p'\tau'} = \bar{\chi}(p)\chi(\tau')f|_{p'} \\ &= \chi(\tau)\bar{\chi}(p')f|_{p'} \end{aligned}$$

$$\text{So } (T_n^\chi f)|_\tau = n^{k_2-1} \sum_{p \in \Delta_n^q} \bar{\chi}(q) f|_{p\tau} = \chi(\tau) n^{k_2-1} \sum_{p' \in \Delta_n^q} \bar{\chi}(p') f|_{p'}$$

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$$= \chi(\tau) T_n^{\chi} f.$$

As we saw before, $\{p; p \in \Delta_n^k\}$ preserves boundedness of $F(z)$.

We already showed that for the larger space $M_k(\Gamma_\infty, X)$ that

$$T_m T_n = \sum_{d|(m,n)} \chi(d) \frac{d^{k-1}}{d^2} T_{mn}^{\chi}$$

So this also holds for $M_k(\Gamma_0(q), X)$.

So T is commutative, generated by T_p^χ

$$T_p^\chi = \frac{1}{p} \sum_{b|q} \left| \begin{pmatrix} 1 & b \\ 0 & p \end{pmatrix} + \chi(p) p^{k-1} \right| \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$$

$$\text{If } p \mid q \quad T_p^\chi = \frac{1}{p} \sum_{b|p} \left| \begin{pmatrix} 1 & b \\ 0 & p \end{pmatrix} \right| \text{ and } (T_p)^\vee = (T_p)$$

So T_p^χ , $p \nmid q$ behave very differently from the case $p \mid q$.

Sadly, the previous proof for the existence of the orthonormal basis doesn't hold, since $T_n P_m$ only has the correct symmetry when $(mn, q) = 1$.

For example Prop If $m, n \geq 1$, $(mn, q) = 1$ then

$$\chi(m) m^{k-1} T_n P_m = \chi(n) n^{k-1} T_m P_m$$

So we can only conclude that $\langle T_n f, g \rangle = \chi(n) \langle f, T_g \rangle$ if $f, g \in \text{Span}_{(mn, q) = 1} P_m$.

But there's no reason that this spans the whole space.

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Alternate proof: Use normalized inner product:

$$\langle f, g \rangle = \frac{1}{[\Gamma_0(q) : \Gamma]} \int_{\mathcal{D}_\Gamma} f(z) \overline{g(z)} y^k \frac{dx dy}{y^2}$$

Note that for all $\sigma \in GL_2^+(\mathbb{R})$, we have

$$f|_\sigma(z) \overline{g|_\sigma(z)} y^k d\mu(z) = f(\sigma z) \overline{g(\sigma z)} (\text{Im } \sigma z)^k d\mu(\sigma z)$$

We choose Γ sufficiently small, but of finite index so that X is trivial on Γ . E.g. $\Gamma_1(q) \subseteq \Gamma_0(q)$ suffices.

Then choose Γ' smaller but of finite index so that

$$|\sigma| : S_n(q, X) \rightarrow S_n(\Gamma') \quad (\text{for } \sigma \in GL_2^+(\mathbb{R}))$$

For example, if $\sigma \in M_n$, then take $\Gamma' = \Gamma(nq)$ (exercise!)

Prop let $\sigma \in GL_2^+(\mathbb{Q})$

$|\sigma|$ is an isometry of $S_n(q, X)$ with respect to the normalized Petersson inner product:

$$\langle f|_\sigma, g|_\sigma \rangle = \langle f, g \rangle \quad \text{for all } f, g \in S_n(q, X).$$

Proof Let Γ' be a sufficiently small subgroup of finite index

so that $|\sigma| : S_n(q, X) \rightarrow S_n(\Gamma')$

Let $\mathcal{D}_{\Gamma'}$ a fundamental domain. Then

$$\langle f|_\sigma, g|_\sigma \rangle = [\Gamma_0(q); \Gamma']^{-1} \int_{\mathcal{D}_{\Gamma'}} f|_\sigma(z) \overline{g|_\sigma(z)} y^k d\mu(z)$$

$$= [\Gamma_0(q) : \Gamma]^{-1} \int_{\mathcal{D}_{\Gamma}} f(\alpha z) \overline{g(\alpha z)} (\text{Im } \alpha z)^k d\mu(\alpha z).$$

$$= [\Gamma_0(q) : \Gamma]^{-1} \int_{\sigma \mathcal{D}_{\Gamma}} f(z) \overline{g(z)} g^k d\mu z.$$

Now $\sigma \mathcal{D}_{\Gamma}$ is a fundamental domain for $\Gamma'' = \sigma \Gamma' \sigma^{-1}$

Choose Γ' small enough so $\Gamma'' \subseteq \Gamma_0(q)$.

$$\text{Then } [\Gamma_0(q) : \Gamma''] = [\Gamma_0(q) : \sigma \Gamma' \sigma^{-1}] = [\Gamma_0(q) : \Gamma']$$

So by T-invariance of the inner product, the proposition follows

It follows that $\langle f|_{\sigma^{-1}}, g \rangle = \langle f, g|_{\sigma^{-1}} \rangle$,

so $|_{\sigma^{-1}}$ is the adjoint of $|_{\sigma}$ with respect to the normalized \langle , \rangle .

Note $g|_{\sigma^{-1}} = g|_{\sigma}$, where $\sigma \sigma' = \det \sigma$ (the adjugate matrix).

Theorem If $(n, q) = 1$, $f, g \in S_n(q, \chi)$ then

$$\langle T_n f, g \rangle = \chi(n) \langle f, T_n g \rangle$$

So the adjoint of T_n is $T_n^* = \bar{\chi}(n) T_n$, and T_n is normal.

Proof Sketch

$$\langle T_n f, g \rangle = n^{k/2-1} \sum_{p \in \Delta_n} \langle \bar{\chi}(p) f|_p, g \rangle$$

$$\text{If } p = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, p' \in \begin{pmatrix} d & -b \\ 0 & a \end{pmatrix}, \text{ so } pp' = n \cdot Id.$$

$$S_0 \quad \langle f|_p, g \rangle = \langle f, g|_p \rangle$$

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$$\text{So } \bar{x}(p) = x(a) = x(n)\bar{x}(d) = x(n)x(p')$$

$$\text{So } \langle \bar{x}(p)f|_p, g \rangle = x(n) \langle f, \bar{x}(p'), g|_{p'} \rangle$$

$$\langle f, \bar{x}(p')g|_{p'} \rangle = \langle f, \bar{x}(\tau' p' \tau^{-1})g|_{\tau' p' \tau^{-1}} \rangle \quad \forall \tau, \tau' \in \Gamma_0(q)$$

Exercise Suppose $(a, d) \mid b$, then there exists $\tau, \tau' \in \Gamma_0(q)$

$$\text{such that } \tau' p' = \tau p.$$

$$\text{Then } \langle \bar{x}(p)f|_p, g \rangle = x(n) \langle f, \bar{x}(p')g|_{p'} \rangle$$

If n is square-free, we are done since $(a, d) = 1$ automatically.

Summing over p , we prove the Theorem.

But \mathbb{T} is commutative generated by T_p^X , so we establish the result in general.

Let f be an eigenfunction of T_n .

$$T_n f = \lambda(n) f$$

$$\langle T_n f, f \rangle = \lambda(n) \langle f, f \rangle = x(n) \langle f, \lambda(n) f \rangle$$

$$\Rightarrow \lambda(n) = x(n) \bar{x}(n) \text{ if } (n, q) = 1.$$

Theorem There exists an orthonormal basis for $S_k(q, \chi)$ consisting of eigenfunctions of T_n for all $(n, q) = 1$.



Newforms

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Let $f \in S_n(q, \chi)$ be a Hecke eigenform.

That is, $T_n f = \lambda(n) f$ $\text{H}(n, q) = 1$

~~Suppose~~ The condition $\text{H}(n, q) = 1$ causes many problems.

Suppose $f(z) = \sum_{m=1}^{\infty} a(m) e(mz)$

(since $a_n(m) = \sum_{d|m} \chi(d) d^{k-1} \frac{a_{nm}}{d^2}$)

We obtain: ~~if~~ $\lambda(n) a(m) = \sum_{d|(m,n)} \chi(d) d^{k-1} a\left(\frac{mn}{d^2}\right)$

~~If~~ $(n, q) = 1$. If $m=1$, we have

$$a(n) = \lambda(n) \text{ if } a(1) \quad \text{if } (n, q) = 1.$$

However it is not the case that $a(1) \neq 0$, since we cannot control all of the $a(n)$.

~~Therefore~~ There do exist f eigenforms such that $a_f(1) = 0$.

They come from "old forms", i.e. they come from $\chi \pmod{q}$ which are characters of some lower-level q^*

Suppose χ is a Dirichlet character \pmod{q} , let q^* denote its conductor, i.e. $\chi(n)$ is also a Dirichlet character $\pmod{q^*}$ for $(n, q) = 1$ and q^* is the ~~smallest~~ minimal such q^* .

Let $q', d \in \mathbb{N}$ such that

$q^* | q'$, $q' d | q$ let $\chi' \pmod{q'}$ be χ restricted $\pmod{q'}$

Then

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$$f(z) \in S_k(\Gamma_0(q'), \chi') \Rightarrow f(dz) \in S_k(\Gamma_0(q), \chi).$$

Indeed: $\begin{pmatrix} d & \\ & 1 \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \alpha & \beta d \\ \gamma/d & \delta \end{pmatrix} \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}$

Then $f(dz) = \sum_{m>1} a(m) e(mdz) = \sum_{\substack{m \geq 0 \\ m \equiv 0 \pmod{d}}} a(m/d) e(mz)$.

So $f(dz)$ has first coeff = 0.

Let $S_n^b(\Gamma_0(q), \chi)$ be the subspace of $S_n(\Gamma_0(q), \chi)$

Spanned by $f(dz)$, $d \mid q$,

$f \in S_k(\Gamma_0(q'), \chi')$ as above with $q' \leq q$
 $d \mid q'$

Then let $S_n^\#(q, \chi)$ be the orthogonal complement.

$$\text{So } S_n(q, \chi) = S_n^\#(q, \chi) \oplus S_n^b(q, \chi).$$

(Orthogonal direct sum)

Prop $S_n^b, S_n^\#$ are stable under the Hecke operators
with $T_m(n, q) = 1$.

Sketch $T_n: S_n^b \rightarrow S_n^b$ can be checked directly on individual
forms $f(dz)$. Orthogonality is preserved, since $T_n^* = \bar{T}(n) T_n$
So $T_n: S_n^\# \rightarrow S_n^\#$.

Thus each $S_n^b, S_n^\#$ has an orthonormal basis of eigenvectors of $T_n, (n, q) = 1$.
The set $S_n^\#$ consists of newforms.

Having f be an eigenform of all T_n isn't quite adequate for studying e.g. L-funs.

If f is an eigenform of all $(n, q) = 1$,

the Prop Suppose f is a newform. Then

$$T_n f = \lambda(n) f \quad \text{for all } n.$$

In particular, $a_f(1) \neq 0$ if f is a newform.

