

B. Baire category

Question B.1.

Let $f \in C^0(\mathbb{R})$ such that the restriction $f|_I$ is not constant for every open, non-empty subset $I \subseteq \mathbb{R}$. Prove that $f^{-1}(\{x\})$ is nowhere dense for every $x \in \mathbb{R}$.

Question B.2.

Let $(X, \|\cdot\|_X)$ be a Banach space and let $M \subset X$ be a subset of second category. Let $(Y, \|\cdot\|_Y)$ be a normed space. Given an index set Λ , let $(A_\lambda)_{\lambda \in \Lambda} \subset L(X, Y)$ such that

$$\forall x \in M : \sup_{\lambda \in \Lambda} \|A_\lambda x\|_Y < \infty.$$

Prove that

$$\sup_{\lambda \in \Lambda} \|A_\lambda\|_{L(X, Y)} < \infty.$$

Question B.3.

Prove or disprove: There are continuous functions $f_n : [0, 1] \rightarrow \mathbb{R}$ for $n \in \mathbb{N}$, such that

$$[0, 1] \times \mathbb{R} = \bigcup_{n \in \mathbb{N}} \Gamma(f_n),$$

where $\Gamma(f_n) = \{(x, f_n(x)) \mid x \in [0, 1]\}$ is the graph of f_n .

Question B.4.

Prove that in the Banach space $C^0([0, 1])$ the C^1 -functions form a set of first category.

C. Continuous linear maps and fundamental theorems

Question C.1.

Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be Banach spaces and let $T \in L(X, Y)$. Prove that the following statements are equivalent.

- (i) Both, $T(X) \subset Y$ and $\ker(T) \subset X$ are topologically complemented.
- (ii) There exists $S \in L(Y, X)$ satisfying the two identities

$$\begin{aligned}STS &= S, \\TST &= T.\end{aligned}$$

Question C.2.

Let $(X, \|\cdot\|_X)$ be a normed vector space and let $M \subsetneq X$ be a linear subspace with $\overline{M} = X$. Does M have a topological complement?

Question C.3.

Let $c_c = \{(x_n)_{n \in \mathbb{N}} \in \mathbb{R} \mid \exists n_0 \in \mathbb{N} \forall n \geq n_0 : x_n = 0\}$ be equipped with the norm

$$\|(x_n)_{n \in \mathbb{N}}\|_\infty := \max_{k \in \mathbb{N}} |x_k|.$$

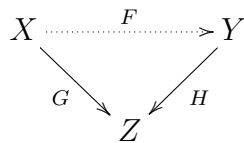
Consider the linear map

$$\begin{aligned}F: c_c &\rightarrow c_c \\(x_n)_{n \in \mathbb{N}} &\mapsto \left(\frac{1}{n}x_n\right)_{n \in \mathbb{N}}\end{aligned}$$

- (a) Prove that F is bijective and continuous.
- (b) Is the inverse F^{-1} continuous? Justify your answer. Why is this result not a contradiction to the Open Mapping Theorem (Satz 3.2.1)?

Question C.4.

Let X, Y, Z be Banach spaces, $G \in L(X, Z)$ and $H \in L(Y, Z)$. Assume that for every $x \in X$ there is a unique $y \in Y$ with $G(x) = H(y)$. Prove: The function $F : X \rightarrow Y$ with $F(x) = y$, where $y \in Y$ with $H(y) = G(x)$, is in $L(X, Y)$.



Question C.5.

Let $(X, \|\cdot\|_X)$ be a normed space. Given $x \in X$, we define the map

$$\begin{aligned} u_x: \mathbb{R} &\rightarrow X \\ \lambda &\mapsto \lambda x. \end{aligned}$$

- (a) Prove $u_x \in L(\mathbb{R}, X)$ and $\|u_x\|_{L(\mathbb{R}, X)} = \|x\|_X$ for every $x \in X$.
(b) Prove that the following map is a bijective Isometry.

$$\begin{aligned} F: X &\rightarrow L(\mathbb{R}, X) \\ x &\mapsto u_x. \end{aligned}$$

Question C.6.

Consider the space $X = C^0([0, 1])$ with norm $\|\cdot\|_X = \|\cdot\|_{C^0([0,1])}$ and the operator

$$\begin{aligned} T: D_T := C^1([0, 1]) \subset X &\rightarrow X \\ f &\mapsto f' \end{aligned}$$

- (a) Prove that D_T is dense in X and that the graph of T is closed in $X \times X$.
(b) Is T continuous?

Question C.7.

Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be Banach spaces and let $A_0, A_1 \in L(X, Y)$. For $t \in [0, 1]$, let $A_t := (1-t)A_0 + tA_1$. Under the assumption

$$\exists C > 0 \quad \forall t \in [0, 1] \quad \forall x \in X: \quad \|x\|_X \leq C \|A_t x\|_Y,$$

prove that the statements

- (i) A_0 is surjective,
(ii) A_1^* is injective with closed range,

are equivalent by using the following method: Define $I := \{t \in [0, 1] \mid A_t \text{ is surjective}\}$ and prove

- (a) Both, (i) and (ii) imply $I \neq \emptyset$.
(b) $I \subset [0, 1]$ is both open and closed.

Combine (a) and (b) to show $I = [0, 1]$ conclude the claim.

D. Dual spaces and convexity

Question D.1.

Let $(X, \|\cdot\|_X)$ be a Banach space and let $T: X \rightarrow X^*$ be a linear map. Prove $T \in L(X, X^*)$ under the assumption that $(Tx)(y) = (Ty)(x)$ for all $x, y \in X$.

Question D.2.

Let $(X, \|\cdot\|_X)$ be a normed vector space. Let $M \subset X$ and let $K_0, K_1 \subset M$ be extremal subsets with $K_0 \cap K_1 \neq \emptyset$. Prove that $K_0 \cap K_1 \subset M$ is an extremal subset.

Question D.3.

If M is a set, then $\text{ep}(M)$ denotes the set of all extremal points of M . Let $A \neq \emptyset$ be a set and let $B \subset A$ be an extremal subset of A . Prove that

$$\text{ep}(B) = B \cap \text{ep}(A).$$

Question D.4.

Find all extremal points in the closed unit ball $\{x \in X \mid \|x\|_X \leq 1\}$ in the case of

- (a) $X = C^0([0, 1] \cup [2, 3])$ with norm $\|\cdot\|_X = \|\cdot\|_{C^0([0,1] \cup [2,3])}$,
- (b) $X = L^1([0, 1])$ with norm $\|\cdot\|_X = \|\cdot\|_{L^1([0,1])}$.

W. Weak topology

Question W.1.

Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be Banach spaces and let $T \in L(X, Y)$.

(a) Prove that T is weakly-weakly sequentially continuous which means that for every sequence $(x_k)_{k \in \mathbb{N}}$ in X with $x_k \xrightarrow{w} x$ as $k \rightarrow \infty$ we have $Tx_k \xrightarrow{w} Tx$ as $k \rightarrow \infty$.

(b) Prove that if X is reflexive, then $T(\overline{B_1(0)})$ is closed.

Question W.2.

Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be Banach spaces. Let $T: X \rightarrow Y$ be a linear map such that $f \circ T \in X^*$ for every $f \in Y^*$. Prove that the graph $\Gamma \subset X \times Y$ of T is weakly sequentially closed.

Question W.3.

Let $(X, \|\cdot\|_X)$ be a normed vector space and let $T \in L(X, X)$. Prove that $\ker(T)$ is weakly sequentially closed.

Question W.4.

Let $(X, \|\cdot\|_X)$ be a Banach space. Let $(x_k)_{k \in \mathbb{N}}$ be a sequence in X and $x \in X$. Let $(y_k)_{k \in \mathbb{N}}$ be a sequence in X^* and $y \in X^*$. Under the assumptions that

$$\|x_k - x\|_X \rightarrow 0 \quad \text{and} \quad y_k \xrightarrow{w^*} y$$

as $k \rightarrow \infty$, prove that $\lim_{k \rightarrow \infty} (y_k(x_k)) = y(x)$.

Question W.5.

Let $(f_k)_{k \in \mathbb{N}}$ be a sequence in $L^1([0, 1])$ such that the limit

$$\lim_{k \rightarrow \infty} \int_0^1 f_k(t)g(t) dt$$

exists for all $g \in C^0([0, 1])$. Prove that there exists a constant $C > 0$ such that

$$\forall g \in C^0([0, 1]) : \quad \sup_{k \in \mathbb{N}} \left| \int_0^1 f_k(t)g(t) dt \right| \leq C \|g\|_{C^0([0,1])}.$$

Question W.6.

For any $n \in \mathbb{N}$ let the functional $T_n: L^\infty((0, \infty)) \rightarrow \mathbb{R}$ be defined by

$$T_n f = n \left(\int_0^1 x^n f(x) dx + \int_1^\infty e^{-nx} f(x) dx \right).$$

(a) Compute the operator norm $\|T_n\|$ for any $n \in \mathbb{N}$.

(b) Determine (if it exists) a functional T such that $T_n \xrightarrow{w^*} T$ as $n \rightarrow \infty$.

S. Spectral theory

Question S.1.

Let $(X, \|\cdot\|_X)$ be a Banach space over \mathbb{C} and let $T \in L(X, X)$ such that $T \circ T = T$. Determine the spectrum $\sigma(T)$.

Question S.2.

(a) Consider the operator

$$T: \ell^2 \rightarrow \ell^2 \\ (\alpha_1, \alpha_2, \alpha_3, \dots) \mapsto (\alpha_2, \alpha_3, \alpha_4, \dots).$$

Prove that $\sigma(T) = \{\lambda \in \mathbb{C} \mid |\lambda| \leq 1\}$.

(b) Consider the operator

$$S: \ell^2 \rightarrow \ell^2 \\ (\alpha_1, \alpha_2, \alpha_3, \dots) \mapsto (\alpha_1 + \alpha_2 + \alpha_3, \alpha_2 + \alpha_3 + \alpha_4, \alpha_3 + \alpha_4 + \alpha_5, \dots).$$

Determine the spectrum $\sigma(S)$ using (a).

Question S.3.

Given $f \in X := C^0([0, 1], \mathbb{C})$ we define the map

$$M_f: X \rightarrow X \\ g \mapsto fg$$

(a) Prove that M_f has spectrum $\sigma(M_f) = f([0, 1])$.

(b) Prove that M_f has point spectrum $\sigma_p(M_f) = \{\lambda \in \mathbb{C} \mid (f^{-1}(\{\lambda\}))^\circ \neq \emptyset\}$.

(c) Prove that the eigenspace of every eigenvalue of M_f is infinite-dimensional.

Question S.4.

Let $g \in L^\infty(\mathbb{R})$ and consider the operator $T: L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$ defined by $Tf = fg$.

(a) Compute the norm of T .

(b) Compute the real spectrum $\sigma_{\mathbb{R}}(T)$ of T .

H. Miscellaneous questions on Hilbert spaces

Question H.1.

Let $(H, (\cdot, \cdot)_H)$ be a separable Hilbert space and let $(e_k)_{k \in \mathbb{N}}$ be an Hilbertean basis. Let $x, y \in H$. Give a complete proof of the identity

$$(x, y) = \sum_{k=1}^{\infty} (x, e_k)(y, e_k)$$

Question H.2.

Let $(H, (\cdot, \cdot)_H)$ be a real Hilbert space. Let $Y \subset H$ be a closed subspace and let

$$\begin{aligned} \pi_Y: H = Y \oplus Y^\perp &\rightarrow Y \\ x = x^\parallel + x^\perp &\mapsto x^\parallel \end{aligned}$$

be the orthogonal projection onto Y .

- (a) Is π_Y symmetric?
- (b) Is π_Y self-adjoint?

Question H.3.

Let $(H, (\cdot, \cdot)_H)$ be a Hilbert space and let $(x_n)_{n \in \mathbb{N}}$ be a sequence such that $\|x_n\|_H = 1$ for all $n \in \mathbb{N}$. Prove that weak convergence $x_n \xrightarrow{w} y$ to an element $y \in H$ with norm $\|y\|_H = 1$ implies norm convergence $\|x_n - y\|_H \rightarrow 0$ as $n \rightarrow \infty$.

Question H.4.

Consider the Hilbert space $X := (\ell^2, (\cdot, \cdot)_{\ell^2})$. Let $e_k := (0, \dots, 0, 1, 0, \dots) \in X$, where the 1 is at k -th position. Is the set

$$K = \left\{ a = \sum_{i=1}^{\infty} a_i e_i \in X \mid \forall k \in \mathbb{N} : |a_k| \leq \frac{1}{k} \right\}$$

- (a) convex?
- (b) weakly sequentially compact, i. e. does every sequence in K have a subsequence which converges weakly in K ?
- (c) compact?

Question H.5.

Let $(H, (\cdot, \cdot)_H)$ be a real Hilbert space. Given $1 < p < \infty$, let $F: H \rightarrow \mathbb{R}$ be defined by $F(u) = \frac{1}{p} \|u\|_H^p$.

(a) Prove that for every $v \in H$ the supremum

$$G(v) := \sup_{u \in H} \left((u, v)_H - F(u) \right)$$

is attained at some $u = u(v) \in H$.

(b) Find an equation for $u = u(v)$ and determine $G(v)$.