

## Solutions 3

### FUNCTORIALITY, QUASI-COHERENT SHEAVES ON $\text{Proj}(R)$

1. (*Tensor Operations on Sheaves*) Let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -modules and  $n \in \mathbb{Z}^{\geq 0}$ . We define the  $n$ -th exterior power  $\bigwedge^n \mathcal{F}$  (resp.  $n$ -th symmetric power  $\text{Sym}^n \mathcal{F}$ ) of  $\mathcal{F}$  by taking the sheaf associated to the presheaf which to each open set  $U$  assigns the  $\mathcal{O}_X(U)$ -module  $\bigwedge_{\mathcal{O}_X(U)}^n \mathcal{F}(U)$  (resp.  $\text{Sym}_{\mathcal{O}_X(U)}^n \mathcal{F}(U)$ ).
  - (a) Suppose that if  $\mathcal{F}$  is locally free of rank  $r$ , then  $\bigwedge^n \mathcal{F}$  and  $\text{Sym}^n \mathcal{F}$  are locally free of ranks  $\binom{r}{n}$  and  $\binom{r+n-1}{n}$  respectively.
  - (b) With  $\mathcal{F}$  as in (a) show that for each  $n = 0, \dots, r$  the multiplication map  $\bigwedge^n \mathcal{F} \otimes \bigwedge^{r-n} \mathcal{F} \rightarrow \bigwedge^r \mathcal{F}$  is a perfect pairing, i.e., it induces an isomorphism  $\bigwedge^n \mathcal{F} \cong (\bigwedge^{r-n} \mathcal{F})^\vee \otimes \bigwedge^r \mathcal{F}$ .
  - (c) Let  $f: X \rightarrow Y$  be a morphism of schemes. Show that  $f^*$  commutes with  $\bigwedge^n$  and  $\text{Sym}^n$ .

**Solution:**

(a) Since the question is local, we may assume that  $\mathcal{F}$  is free of rank  $r$ . Let  $s_1, s_2, \dots, s_r \in \mathcal{F}(X)$  be a basis, so that  $\mathcal{F}(U) \cong \mathcal{O}_X(U)s_1|_U \oplus \dots \oplus \mathcal{O}_X(U)s_r|_U$  for all open subsets  $U \subset X$ . Let

$$I_n := \{\underline{i} = (i_1, \dots, i_n) \mid 1 \leq i_1 < \dots < i_n \leq r\}$$

and abbreviate  $s_{\underline{i}} := s_{i_1} \wedge \dots \wedge s_{i_n}$  for all  $\underline{i} \in I_n$ . Then for any open  $U \subset X$  the  $n$ -th exterior power of  $\mathcal{F}(U)$  over  $\mathcal{O}_X(U)$  is a free module with basis  $\{s_{\underline{i}} \mid \underline{i} \in I_n\}$ . As this is compatible with the restriction maps, the corresponding presheaf is already a free  $\mathcal{O}_X$ -module of rank  $|I_n| = \binom{r}{n}$ . In particular it is already a sheaf (whereas it might not be one if  $\mathcal{F}$  were not already free). This proves the desired result for  $\bigwedge^n \mathcal{F}$ . The proof for  $\text{Sym}^n \mathcal{F}$  is completely analogous.

(b) First we note that the tensor product of  $\mathcal{O}_X$ -modules satisfies a similar universal property as the tensor product of modules over a ring. Let  $\mathcal{F}, \mathcal{G}$  and  $\mathcal{H}$  be  $\mathcal{O}_X$ -modules. We call a morphism  $\mathcal{F} \times \mathcal{G} \rightarrow \mathcal{H}$  *bilinear* if the induced morphism at every stalk is bilinear. Giving a morphism of  $\mathcal{O}_X$ -modules  $\mathcal{F} \otimes \mathcal{G} \rightarrow \mathcal{H}$  is equivalent to giving a bilinear morphism  $\mathcal{F} \times \mathcal{G} \rightarrow \mathcal{H}$ . To prove this, one works over open subsets of  $X$  and uses the corresponding universal property for modules to show that a bilinear morphism factors uniquely through the tensor presheaf and then applies the universal property of the sheafification.

We may thus define the multiplication map  $\mu: \bigwedge^n \mathcal{F} \otimes \bigwedge^{r-n} \mathcal{F} \rightarrow \bigwedge^r \mathcal{F}$  as follows: First we have a morphism of presheaves given on each open  $U \subset X$  via

$$\bigwedge_{\mathcal{O}_X(U)}^n \mathcal{F}(U) \times \bigwedge_{\mathcal{O}_X(U)}^{r-n} \mathcal{F}(U) \rightarrow \bigwedge_{\mathcal{O}_X(U)}^r \mathcal{F}(U), (s, t) \mapsto s \wedge t.$$

This induces a bilinear morphism of sheaves  $\bigwedge^n \mathcal{F} \times \bigwedge^{r-n} \mathcal{F} \rightarrow \bigwedge^r \mathcal{F}$ , and we obtain  $\mu$  from the universal property.

Since  $\mathcal{F}$  is locally free, we may apply part (a) and exercise 4b on Sheet 1 to obtain a natural isomorphism  $(\bigwedge^{r-n} \mathcal{F})^\vee \otimes \bigwedge^r \mathcal{F} \cong \mathcal{H}om_{\mathcal{O}_X}(\bigwedge^{r-n} \mathcal{F}, \bigwedge^r \mathcal{F})$ . We claim that  $\mu$  induces a morphism

$$\alpha: \bigwedge^n \mathcal{F} \rightarrow \mathcal{H}om_{\mathcal{O}_X}(\bigwedge^{r-n} \mathcal{F}, \bigwedge^r \mathcal{F}),$$

and that  $\alpha$  is an isomorphism. Let  $U \subset X$  be open. A section  $s \in (\bigwedge^n \mathcal{F})(U)$  induces a morphism  $(\bigwedge^{r-n} \mathcal{F})|_U \rightarrow (\bigwedge^r \mathcal{F})|_U$  of sheaves as follows: For each open  $V \subset U$ , we map  $t \in (\bigwedge^{r-n} \mathcal{F})(V)$  to  $\mu(s|_V \otimes t)$ . Varying  $U$ , this defines the desired morphism  $\alpha$ .

To show that  $\alpha$  is an isomorphism, we may assume without loss of generality that  $\mathcal{F}$  is free of rank  $r$ . Then by part (a), we obtain an isomorphism  $\bigwedge^r \mathcal{F} \cong \mathcal{O}_X$ , corresponding to a choice of basis  $\mathcal{B} := \{s_1, \dots, s_r\}$  of  $\mathcal{F}$ . This allows us to identify the codomain of  $\alpha$  with  $(\bigwedge^{r-n} \mathcal{F})^\vee$ . Let  $I$  and  $J$  denote the indexing sets of the basis elements obtained from  $\mathcal{B}$  of  $\bigwedge^n \mathcal{F}$  and  $\bigwedge^{r-n} \mathcal{F}$  respectively. By the same calculation as in the calculus of differential forms, the basis  $\{s_{\bar{i}}\}_{\bar{i} \in I}$  of  $\bigwedge^n \mathcal{F}$  is dual to the basis  $\{s_{\bar{j}}\}_{\bar{j} \in J}$  of  $\bigwedge^{r-n} \mathcal{F}$ , up to sign for the pairing.

(c) As with tensor products, there is a natural morphism  $\alpha: \bigwedge^n f^* \mathcal{F} \rightarrow f^* \bigwedge^n \mathcal{F}$ . Let  $M$  be a free module over a ring  $R$ . Consider a ring homomorphism  $R \rightarrow S$  and the resulting  $S$ -module  $N := S \otimes_R M$ . There is a natural isomorphism  $\bigwedge_S^n N \cong S \otimes_R \bigwedge_R^n M$ . Let  $x \in X$  and  $y := f(x)$ . The morphism on stalks

$$\alpha_x: \bigwedge_{\mathcal{O}_{X,x}}^n (\mathcal{O}_{X,x} \otimes_{\mathcal{O}_{Y,y}} \mathcal{F}_y) \rightarrow \mathcal{O}_{X,x} \otimes_{\mathcal{O}_{Y,y}} \bigwedge_{\mathcal{O}_{Y,y}}^n \mathcal{F}_y$$

is precisely this isomorphism. Thus  $\alpha$  is an isomorphism.

*Variant:* The isomorphism  $\bigwedge_S^n N \cong S \otimes_R \bigwedge_R^n M$  implies that formation of the exterior power commutes with localization. We may thus construct  $\alpha$  locally over affine opens in  $X$  mapping to affine opens in  $Y$  under  $f$  and then glue.

- Let  $f: X \rightarrow Y$  be a morphism of schemes. Recall that if  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module and  $\mathcal{E}$  is an  $\mathcal{O}_Y$ -module, then there is a natural homomorphism  $f_* \mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{E} \rightarrow f_*(\mathcal{F} \otimes_{\mathcal{O}_X} f^* \mathcal{E})$ . Show that this is an isomorphism when  $\mathcal{E}$  is a locally free  $\mathcal{O}_Y$ -module of finite rank.

**Solution:** Without loss of generality, we may assume that  $\mathcal{E} \cong \mathcal{O}_Y^n$  for some  $n \in \mathbb{Z}^{\geq 0}$ . Then there isomorphisms

$$\begin{aligned}
f_*\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{E} &\cong (f_*\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{O}_Y)^n \\
&\cong (f_*\mathcal{F})^n \\
&\cong f_*(\mathcal{F}^n) \\
&\cong f_*(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X^n) \\
&\cong f_*(\mathcal{F} \otimes_{\mathcal{O}_X} f^*\mathcal{O}_Y^n) \\
&\cong f_*(\mathcal{F} \otimes_{\mathcal{O}_X} f^*\mathcal{E}).
\end{aligned}$$

Here we have used that  $\otimes$  and  $f^*$  and  $f_*$  all commute with finite direct sums, that  $f^*\mathcal{O}_Y \cong \mathcal{O}_X$  and that  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X \cong \mathcal{F}$ . One checks that this is exactly the natural homomorphism  $f_*\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{E} \rightarrow f_*(\mathcal{F} \otimes_{\mathcal{O}_X} f^*\mathcal{E})$  defined in the course, which is thus an isomorphism.

- \*3. Let  $f: X \rightarrow Y$  be a quasicompact, separated morphism of schemes, and suppose  $\mathcal{F}$  is a quasi-coherent  $\mathcal{O}_X$ -module. Show that  $f_*\mathcal{F}$  is a quasi-coherent  $\mathcal{O}_Y$ -module.

**Solution:** See Görtz and Wedhorn, Proposition 10.10. Note that it is actually enough to assume that the morphism is quasi-separated. See Görtz and Wedhorn, Proposition 10.27. In particular, the same conclusion holds for  $f: X \rightarrow Y$  such that  $X$  is noetherian.

4. Let  $R$  be a ring. Consider a graded ideal  $\mathfrak{a} \subset S := R[X_0, \dots, X_n]$  and let  $X := \text{Proj } S/\mathfrak{a}$  and let  $i: X \hookrightarrow \mathbb{P}_R^n$  be the associated closed embedding. Show that for any  $k \in \mathbb{Z}$  there is a natural isomorphism  $i^*\mathcal{O}_{\mathbb{P}_R^n}(k) \cong \mathcal{O}_X(k)$ .

**Solution:** Fix some  $X_j$  and let  $\overline{X}_j$  denote the image of  $X_j$  under the canonical projection  $S \rightarrow S/\mathfrak{a}$ . Then  $i$  induces a closed embedding

$$D_{\overline{X}_j} \cong \text{Spec}(S/\mathfrak{a})_{\overline{X}_j,0} \hookrightarrow D_{X_j} \cong \text{Spec } S_{X_j,0}.$$

Now we know that  $\mathcal{O}_{\mathbb{P}^n}(k)(\overline{D}_{X_j}) = S(k)_{X_j,0} = S_{X_j,0} \cdot X_j^k$ . Since for affine schemes pullback corresponds to tensor product, we obtain

$$i^*\mathcal{O}_{\mathbb{P}^n}(k)(D_{\overline{X}_j}) = (S_{X_j,0} \cdot X_j^k) \otimes_{S_{X_j,0}} (S/\mathfrak{a})_{\overline{X}_j,0} \cong (S/\mathfrak{a})_{\overline{X}_j,0} \cdot \overline{X}_j^k.$$

By the same reasoning as before, the left hand side is equal to  $\mathcal{O}_X(k)(D_{\overline{X}_j})$ . Varying  $j$ , we thus obtain a family of natural isomorphisms

$$\varphi_j: i^*\mathcal{O}_{\mathbb{P}^n}(k)|_{D_{\overline{X}_j}} \xrightarrow{\sim} \mathcal{O}_X(k)|_{D_{\overline{X}_j}}.$$

Since the gluing morphisms on the intersection  $D_{\overline{X}_j} \cap D_{\overline{X}_\ell}$  are given by multiplication by  $\overline{X}_\ell^k/\overline{X}_j^k$ , we see that the  $\varphi_j$  are compatible with gluing and thus yield the desired isomorphism.

5. For graded modules over a graded ring  $R$ : Is the functor  $M \mapsto \tilde{M}$  faithful? Full? Does it reflect isomorphisms?

**Solution:** The answer to all three questions is no.

It is a general fact that if  $\alpha: M \rightarrow M'$  is a morphism of graded  $R$ -modules such that there exists a  $d_0 \in \mathbb{Z}$  with  $\alpha_d: M_d \rightarrow M'_d$  an isomorphism for all  $d \geq d_0$ , then the morphism  $\tilde{\alpha}: \tilde{M} \rightarrow \tilde{M}'$  induced by  $\alpha$  is an isomorphism. To see this, let  $f \in R_+$  be homogenous. We have  $\tilde{N}(D_f) \cong N_{f,0}$  and  $\tilde{M}'(D_f) \cong M'_{f,0}$ . The morphism  $\alpha$  induces a morphism  $\alpha_{f,0}: M_{f,0} \rightarrow M'_{f,0}$ . Suppose  $\alpha_{0,f}(m/f^n) = 0$ . Multiplying the numerator and denominator for some power of  $f$ , we may assume that  $\deg m \geq d_0$ . We have  $\alpha_{f,0}(m/f^n) = \alpha(m)/f^n = 0$ , which means that  $f^k \alpha(m) = \alpha(f^k m) = 0$  for some  $k \in \mathbb{Z}^{\geq 0}$ . But since  $\deg f^k m \geq d_0$ , this means that  $f^k m = 0$ , so  $m/f^n = 0$  in  $M_{f,0}$ . Thus  $\alpha_{f,0}$  is injective. A similar argument shows surjectivity. Since  $f$  was arbitrary and  $\alpha_{f,0}$  determines the restriction of  $\tilde{\alpha}$  to  $D_f$ , we find that  $\tilde{\alpha}$  is an isomorphism over every member of an open covering, and is thus itself an isomorphism.

Let  $k$  be a field, let  $R := k[X_0, \dots, X_n]$  and let  $X := \text{Proj } R$ . Consider the graded  $R$ -modules  $M := R$  and  $M' = R_+$ . Then  $M \not\cong M'$  since their degree 0 parts are not isomorphic. By the preceding paragraph, the inclusion  $M' \hookrightarrow M$  induces an isomorphism of the corresponding quasicoherent sheaves. This shows that the functor does not reflect isomorphisms. Moreover, the group of graded homomorphisms  $\text{Hom}_R(M, M') = 0$  since any such morphism sends  $M_0$  to 0. Thus the functor is not full. Now consider the trivially graded  $R$ -module  $M'' := M/M' \cong k$ . The above argument shows that  $\tilde{M}'' = 0$ . In particular, we have  $\text{Hom}_{\mathcal{O}_X}(\tilde{M}'', \tilde{M}) = 0$ . The inclusion  $\iota: k \hookrightarrow M$  yields a non-zero element of  $\text{Hom}_R(M'', M)$ . It follows that the functor is not faithful.

6. Let  $i: \mathbb{P}^n \times \mathbb{P}^m \hookrightarrow \mathbb{P}^{nm+n+m}$  be the Segre embedding. Prove that  $i^*\mathcal{O}(1) \cong \text{pr}_1^*\mathcal{O}(1) \otimes \text{pr}_2^*\mathcal{O}(1)$ . What is  $i^*\mathcal{O}(1)$  for the  $d$ -uple embedding  $i: \mathbb{P}^n \hookrightarrow \mathbb{P}^N$ ?

**Solution:** Recall that  $\mathcal{O}(1)$  on  $\mathbb{P}^n$  is generated by its global sections  $X_0, \dots, X_n$ . Likewise  $\mathcal{O}(1)$  on  $\mathbb{P}^m$  is generated by its global sections  $Y_0, \dots, Y_m$ . Thus the sheaf  $\text{pr}_1^*\mathcal{O}(1) \otimes \text{pr}_2^*\mathcal{O}(1)$  on  $\mathbb{P}^n \times \mathbb{P}^m$  is generated by the global sections  $X_i \otimes Y_j$  for all  $i$  and  $j$ . A quick local calculation shows that the associated morphism  $i: \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^{nm+n+m}$  is precisely the Segre embedding. Thus  $i^*\mathcal{O}(1) \cong \text{pr}_1^*\mathcal{O}(1) \otimes \text{pr}_2^*\mathcal{O}(1)$ , and we are done.

Likewise the sheaf  $\mathcal{O}(d)$  on  $\mathbb{P}^n$  is generated by the global sections  $X_0^{i_0} \cdots X_n^{i_n}$  for all multiindices  $\geq 0$  with sum  $d$ , and the associated morphism  $i: \mathbb{P}^n \rightarrow \mathbb{P}^N$  is the  $d$ -uple embedding; hence  $i^*\mathcal{O}(1) \cong \mathcal{O}(d)$ .

*Variant:* Write  $R := \mathbb{Z}[X_0, \dots, X_n]$  and  $S := \mathbb{Z}[Y_0, \dots, Y_m]$  and  $Q := \mathbb{Z}[Z_{00}, \dots, Z_{nm}]$  and recall that the Segre embedding is obtained from the substitution  $Z_{ij} = X_i Y_j$ . Thus for any  $i$  and  $j$  we have  $i^{-1}(D_{Z_{ij}}) = U_{ij} := D_{X_i} \times D_{Y_j}$ . Since  $\mathcal{O}(1)|_{D_{X_i}} = \mathcal{O} \cdot X_i$

and  $\mathcal{O}(1)|_{D_{Y_j}} = \mathcal{O} \cdot Y_j$  and  $\mathcal{O}(1)|_{D_{Z_{ij}}} = \mathcal{O} \cdot Z_{ij}$ , we find isomorphisms

$$i^*\mathcal{O}(1)|_{U_{ij}} \cong \mathcal{O}|_{U_{ij}} \cdot Z_{ij} \cong \mathcal{O}|_{U_{ij}} \cdot X_i Y_j \cong (\mathrm{pr}_1^*\mathcal{O}(1) \otimes \mathrm{pr}_2^*\mathcal{O}(1))|_{U_{ij}}.$$

A quick calculation shows that these are compatible over all intersections  $U_{ij} \cap U_{i'j'}$ ; hence they glue to an isomorphism  $i^*\mathcal{O}(1) \cong \mathrm{pr}_1^*\mathcal{O}(1) \otimes \mathrm{pr}_2^*\mathcal{O}(1)$ .