

Solutions Sheet 4

SCHEMES

- Consider the affine plane $\mathbb{A}_{\mathbb{R}}^2$ over \mathbb{R} . Show that the non-closed points are all either
 - the point (0) , whose closure is all of $\mathbb{A}_{\mathbb{R}}^2$, or
 - the point (f) corresponding to an irreducible polynomial $f \in \mathbb{R}[X, Y]$.

The polynomials of (b) may or may not remain irreducible in $\mathbb{C}[X, Y]$, so that a non-closed point in $\mathbb{A}_{\mathbb{R}}^2$ corresponds either to a single non-closed point of $\mathbb{A}_{\mathbb{C}}^2$ or to two non-closed points in $\mathbb{A}_{\mathbb{C}}^2$. The closed points in the closure of such a non-closed point may be either of both types above, or only of the second. Give examples of all these possibilities.

Solution: Let $(0) \neq \mathfrak{p} \in \mathbb{A}_{\mathbb{R}}^2$ be a non-closed point of $\mathbb{A}_{\mathbb{R}}^2$. We define $n_{\mathfrak{p}} := \min_{g \in \mathfrak{p}} \deg(g)$. Now let $f \in \mathfrak{p}$ such that $\deg(f) = n_{\mathfrak{p}}$. Then f is irreducible: suppose $g, h \in \mathbb{R}[X, Y]$ such that $gh = f$, then $h \in \mathfrak{p}$ or $g \in \mathfrak{p}$ since \mathfrak{p} is a prime ideal. Without loss of generality we may assume $g \in \mathfrak{p}$. By definition $\deg(g) \leq n_{\mathfrak{p}} = \deg(f)$, hence $\deg(h) = 0$, i.e. h is a constant. Now let $\mathfrak{p} \subsetneq \mathfrak{m}$ be a maximal ideal containing \mathfrak{p} , then

$$(0) \subsetneq (f) \subset \mathfrak{p} \subsetneq \mathfrak{m}$$

is a chain of prime ideals in $\mathbb{R}[X, Y]$. Then $\mathfrak{p} = (f)$, since $\dim(\mathbb{R}[X, Y]) = 2$. Concerning the second part of the exercise it is easy to check that the non-closed point (X) corresponds to the non closed point (X) in $\mathbb{A}_{\mathbb{C}}^2$ while the non-closed point $(X^2 + 1)$ corresponds to the two closed points $(X - i)$ and $(X + i)$ in $\mathbb{A}_{\mathbb{C}}^2$.

- An inclusion of fields $K \hookrightarrow L$ induces a morphism $\mathbb{A}_L^n \rightarrow \mathbb{A}_K^n$. Find the images of the following points under the morphism $\mathbb{A}_{\mathbb{Q}}^2 \rightarrow \mathbb{A}_{\mathbb{C}}^2$:
 - $(X - \sqrt{2}, Y - \sqrt{2})$
 - $(X - \sqrt{2}, Y - \sqrt{3})$
 - $(X - \zeta, Y - \zeta^{-1})$, for ζ a p -th root of unity for p prime
 - $(\sqrt{2}X - \sqrt{3}Y)$
 - $(\sqrt{2}X - \sqrt{3}Y - 1)$

Solution: Let us denote

$$\begin{aligned} i^* : \mathbb{A}_{\mathbb{Q}}^2 &\rightarrow \mathbb{A}_{\mathbb{C}}^2 \\ \mathfrak{p} &\mapsto i^{-1}(\mathfrak{p}), \end{aligned}$$

where $i : \mathbb{Q}[X, Y] \hookrightarrow \overline{\mathbb{Q}}[X, Y]$.

(a) By definition we have

$$\mathfrak{q} := i^{-1}(X - \sqrt{2}, Y - \sqrt{2}) = \{f \in \mathbb{Q}[X, Y] : X - \sqrt{2} | f \text{ or } Y - \sqrt{2} | f \text{ in } \overline{\mathbb{Q}}[X, Y]\}.$$

Then $X^2 - 2 = (X - \sqrt{2})(X + \sqrt{2}) \in \mathfrak{q}$ and $Y^2 - 2 = (Y - \sqrt{2})(Y + \sqrt{2}) \in \mathfrak{q}$.
So we have $(X^2 - 2, Y^2 - 2) \subset \mathfrak{q}$. On the other hand we have

$$\mathbb{Q}[X, Y]/(X^2 - 2, Y^2 - 2) = \mathbb{Q}[\sqrt{2}],$$

i.e. $(X^2 - 2, Y^2 - 2)$ is a maximal ideal. Hence $\mathfrak{q} = (X^2 - 2, Y^2 - 2)$.

(b) with as similar argument as in (a) one shows that $i^{-1}(X - \sqrt{2}, Y - \sqrt{3}) = (X^2 - 2, Y^2 - 3)$.

(c) with as similar argument as in (a) one shows that $i^{-1}(X - \zeta, Y - \zeta^{-1}) = (\Phi_p(X), \Phi_p(Y))$, Where $\Phi(Z) = \sum_{0 \leq n < p} Z^n$ is the p -th Cyclotomic polynomial

(d) By definition we have

$$\mathfrak{q} := i^{-1}(\sqrt{2}X - \sqrt{3}Y) = \{f \in \mathbb{Q}[X, Y] : (\sqrt{2}X - \sqrt{3}Y) | f \text{ in } \overline{\mathbb{Q}}[X, Y]\}.$$

Then $2X^2 - 3Y^2 = (\sqrt{2}X - \sqrt{3}Y)(\sqrt{2}X + \sqrt{3}Y) \in \mathfrak{q}$. On the other hand $2X^2 - 3Y^2$ is irreducible over $\mathbb{Q}[X, Y]$, thus $(2X^2 - 3Y^2)$ is a prime ideal in $\mathbb{Q}[X, Y]$. We consider the chain of prime ideal

$$(0) \subsetneq (2X^2 - 3Y^2) \subset \mathfrak{q} \subsetneq (X, Y),$$

where the last strict inclusion it is true since $(\sqrt{2}X - \sqrt{3}Y) \subsetneq (X, Y)$ in $\overline{\mathbb{Q}}[X, Y]$ and $X, Y \notin \mathfrak{q}$. Using the fact that $\dim(\mathbb{Q}[X, Y]) = 2$ we have that $\mathfrak{q} = (2X^2 - 3Y^2)$.

(e) Using the fact that

$$\begin{aligned} 4X^4 - 4X^2 + 9Y^2 - 6Y - 12X^2Y + 1 &= (\sqrt{2}X - \sqrt{3}Y - 1)(\sqrt{2}X + \sqrt{3}Y - 1) \\ &\quad \cdot (-\sqrt{2}X - \sqrt{3}Y - 1)(-\sqrt{2}X + \sqrt{3}Y - 1), \end{aligned}$$

and the same argument as in (d) one shows that $i^{-1}(\sqrt{2}X - \sqrt{3}Y - 1) = (4X^4 - 4X^2 + 9Y^2 - 6Y - 12X^2Y + 1)$.

3. *Double Points.* Let k be a field and $Y \hookrightarrow \mathbb{A}_k^2$ be a closed subscheme with image containing the origin $(0, 0)$ in \mathbb{A}_k^2 and such that $\mathcal{O}_Y(Y) \cong k[\varepsilon]/(\varepsilon^2)$. Denote by $\varphi : k[x, y] \rightarrow \mathcal{O}_Y(Y)$ the surjection defining the inclusion $Y \hookrightarrow \mathbb{A}^2$. Prove that the kernel of φ contains a non-zero element $\alpha x + \beta y$ for some $\alpha, \beta \in k$. Write $X_{\alpha, \beta} := \text{Spec}(k[x, y]/\ker(\varphi))$ and show that $X_{\alpha, \beta}$ can also be characterized as the composition of the natural morphism $\text{Spec}(k[\varepsilon]/(\varepsilon^2)) \rightarrow \text{Spec}(k[\varepsilon]) \cong \mathbb{A}_k^1$ with the inclusion of the line $\mathbb{A}_k^1 \hookrightarrow \mathbb{A}_k^2$ given by $x \mapsto (\beta x, -\alpha x)$.

Solution: You find this solution in the Book of Eisenbud and Harris, Examples II.9, II.10.

4. Let k be an algebraically closed field and let $Z := \text{Spec}(k[X_1, \dots, X_n]/I) \subset \mathbb{A}_k^n$ be a closed subscheme of dimension 0 supported at the origin (i.e. $\sqrt{I} = (X_1, \dots, X_n)$). Furthermore, suppose that $k[X_1, \dots, X_n]/I$ is a 3-dimensional k -vector space. Prove that Z is isomorphic to either $A := \text{Spec}(k[X]/(X^3))$ or to $B := \text{Spec}(k[X, Y]/(X^2, XY, Y^2))$ and that A and B are not isomorphic to each other.

Solution: Let us start proving that A and B are not isomorphic. To show this it is enough to prove that $k[X]/(X^3)$ and $k[X, Y]/(X^2, XY, Y^2)$ are not isomorphic as rings. Let $\varphi : k[X]/(X^3) \rightarrow k[X, Y]/(X^2, XY, Y^2)$ be any ring homomorphism from $k[X]/(X^3)$ to $k[X, Y]/(X^2, XY, Y^2)$. Then $\varphi(X^2) = \varphi(X)^2 = 0$. On the other hand $X^2 \neq 0$ in A , thus $\text{Ker}(\varphi) \neq 0$. It follows that $k[X]/(X^3)$ and $k[X, Y]/(X^2, XY, Y^2)$ can not be isomorphic since any homomorphism from $k[X]/(X^3)$ to $k[X, Y]/(X^2, XY, Y^2)$ is not injective.

Let us prove the other part of the Exercise. In the following, x_i denotes the image of X_i in $k[X_1, \dots, X_n]/I$. Since $\sqrt{I} = (X_1, \dots, X_n)$, then $\text{Spec}(k[X_1, \dots, X_n]/I) = \{\mathfrak{m}\}$ where \mathfrak{m} is the reduction of (X_1, \dots, X_n) modulo I . Moreover we have that

$$k[X_1, \dots, X_n]/I = k \oplus \mathfrak{m}$$

thus $\dim_k(\mathfrak{m}) = 2$. We claim that $\mathfrak{m}^3 = 0$. Let us consider the chain

$$\mathfrak{m} \supset \mathfrak{m}^2 \supset \mathfrak{m}^3.$$

Then $\mathfrak{m} \supsetneq \mathfrak{m}^2$, otherwise by Nakayama's Lemma $\mathfrak{m} = 0$. Thus $\dim_k(\mathfrak{m}^2) \leq 1$. If $\dim_k(\mathfrak{m}^2) = 0$ we have done, otherwise $\mathfrak{m}^2 \supsetneq \mathfrak{m}^3$ (again thanks to Nakayama's Lemma) and then $\mathfrak{m}^3 = 0$. Now we have to distinguish to situation

- i) $\mathfrak{m}^2 = 0$. In this case we have that $\mathfrak{m} = \text{span}_k\{x_1, \dots, x_n\}$. Because $\dim_k(\mathfrak{m}) = 2$, without loss of generality we may assume that $\text{span}\{x_1, x_2\}$. On the other hand $\mathfrak{m}^2 = 0$ implies that $x_1^2 = x_1x_2 = x_2^2 = 0$. Hence the map

$$\begin{array}{ccc} k[X, Y]/(X^2, Y^2, XY) & \rightarrow & k[X_1, \dots, X_n]/I \\ X & \mapsto & x_1 \\ Y & \mapsto & x_2, \end{array}$$

is a ring isomorphism and so $Z \cong B$.

- ii) $\mathfrak{m}^2 \neq 0$. We claim that there exists $i \in \{1, \dots, n\}$ such that $x_i^2 \neq 0$. By contradiction assume this is not the case. Let x_i, x_j with $i \neq j$ such that $x_ix_j \neq 0$ (we know that there exists at least one of these pairs because $\mathfrak{m}^2 \neq 0$ and we are assuming $x_i^2 = 0$ for any $i = 1, \dots, n$). Then $\text{span}_k\{x_i, x_ix_j\} = \mathfrak{m}$: let $\alpha, \beta \in k$ such that

$$\alpha x_i + \beta x_ix_j = 0,$$

then

$$x_i(\alpha + \beta x_ix_j) = 0.$$

This implies $\alpha = 0$, otherwise $\alpha + \beta x_i x_j$ is invertible in $k[X_1, \dots, X_n]/I$ and so $x_i = 0$ and this is not possible since we are assuming $x_i x_j = 0$. On the other hand $\alpha = 0$ implies $\beta = 0$ again because $x_i x_j \neq 0$. Then $\dim(\text{span}_k\{x_i, x_i x_j\}) = 2$ and so $\text{span}_k\{x_i, x_i x_j\} = \mathfrak{m}$. In particular there exist $\alpha, \beta \in k$ such that

$$x_j = \alpha x_i + \beta x_i x_j.$$

Multiplying both sides by x_j we get

$$x_j^2 = \alpha x_i x_j + \beta x_i x_j^2,$$

thus $\alpha x_i x_j = 0$ which implies $\alpha = 0$. So we have

$$x_j = \beta x_i x_j.$$

implies $x_j(1 - \beta x_i) = 0$. On the other hand $1 - \beta x_i$ is invertible in $k[X_1, \dots, X_n]/I$, thus $x_j = 0$ and then $x_i x_j = 0$ and this is absurd since we are assuming $x_i x_j \neq 0$. Hence, without loss of generality we may assume that x_1 is such that $x_1^2 \neq 0$. Then $\text{span}_k\{x_1, x_1^2\} = \mathfrak{m}$: indeed if $x_1^2 = \lambda x_1$ for some $\lambda \in k^\times$ then $x_1^3 = \lambda^2 x_1 \neq 0$ since $x_1 \neq 0$. On the other hand $x_1^3 \in \mathfrak{m}^3 = 0$ and this leads to a contradiction. Thus $x_1^2 \neq 0$ and $x_1^2 \notin \text{span}_k\{x_1\}$, i.e. $\text{span}_k\{x_1, x_1^2\} = \mathfrak{m}$. Hence the map

$$\begin{array}{ccc} k[X]/(X^3) & \rightarrow & k[X_1, \dots, X_n]/I \\ X & \mapsto & x_1 \end{array}$$

is a ring isomorphism and so $Z \cong A$.

Let us consider $V = \text{span}\{x_1, \dots, x_n\}$, we have two possibilities:

- i) $\dim_k(V) = 2$. With out loss of generalities we may assume $V = \text{span}\{x_1, x_2\}$. Then $k[X_1, \dots, X_n]/I = \text{span}\{1, x_1, x_2\}$
- ii) $\dim_k(V) = 1$

5. Let $X := \mathbb{A}_{\mathbb{C}}^2 \setminus \{0\} \subset \mathbb{A}_{\mathbb{C}}^2$. Prove:

- (a) The restriction map $\mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}(\mathbb{A}_{\mathbb{C}}^2) \rightarrow \mathcal{O}_X(X)$ is an isomorphism.
- (b) The scheme X is not an affine scheme.

Solution:

- (a) We compute $\Gamma(\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\}, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2})$. Since

$$\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\} = \mathbb{A}_{\mathbb{C},X}^2 \cup \mathbb{A}_{\mathbb{C},Y}^2$$

where

$$\mathbb{A}_{\mathbb{C},X}^2 := \mathbb{A}_{\mathbb{C}}^2 \setminus \{\mathfrak{p} : (X) \subset \mathfrak{p}\}, \quad \mathbb{A}_{\mathbb{C},Y}^2 := \mathbb{A}_{\mathbb{C}}^2 \setminus \{\mathfrak{p} : (Y) \subset \mathfrak{p}\},$$

we have that $\Gamma(\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\}, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2})$ is the kernel of the map

$$\begin{aligned} \Gamma(\mathbb{A}_{\mathbb{C},X}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) \oplus \Gamma(\mathbb{A}_{\mathbb{C},Y}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) &\rightarrow \Gamma(\mathbb{A}_{\mathbb{C},XY}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) \\ (s, t) &\mapsto s|_{\mathbb{A}_{\mathbb{C},XY}^2} - t|_{\mathbb{A}_{\mathbb{C},XY}^2}, \end{aligned}$$

where $\mathbb{A}_{\mathbb{C},XY}^2 = \mathbb{A}_{\mathbb{C},X}^2 \cap \mathbb{A}_{\mathbb{C},Y}^2$. Let $s \in \Gamma(\mathbb{A}_{\mathbb{C},X}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2})$ and $t \in \Gamma(\mathbb{A}_{\mathbb{C},Y}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2})$, since $\Gamma(\mathbb{A}_{\mathbb{C},X}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) = k[X, Y]_X$, $\Gamma(\mathbb{A}_{\mathbb{C},Y}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) = k[X, Y]_Y$ there exist two polynomial $f, g \in k[X, Y]$ such that $X \nmid f$, $Y \nmid g$ and $s = \frac{f}{X^m}$, $t = \frac{g}{Y^n}$ for some $m, n \geq 0$. On the other hand $\Gamma(\mathbb{A}_{\mathbb{C},XY}^2, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) = k[X, Y]_{XY}$, thus $s|_{\mathbb{A}_{\mathbb{C},XY}^2} - t|_{\mathbb{A}_{\mathbb{C},XY}^2} = 0$ if and only if

$$f \cdot Y^n = g \cdot X^m,$$

and this is possible if and only if $n = m = 0$ and $f = g$. Hence $\Gamma(\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\}, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2}) = k[X, Y]$.

(b) By contradiction, assume $\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\}$ affine scheme, then

$$\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\} = \text{Spec}(\Gamma(\mathbb{A}_{\mathbb{C}}^2 \setminus \{0\}, \mathcal{O}_{\mathbb{A}_{\mathbb{C}}^2})) = \text{Spec}(k[X, Y]) = \mathbb{A}_{\mathbb{C}}^2,$$

and this is absurd.

6. Let X be a scheme and $f \in \mathcal{O}_X(X)$ a global section. Define X_f to be the subset of points $x \in X$ such that the stalk f_x of f at x is not contained in the maximal ideal \mathfrak{m}_x of $\mathcal{O}_{X,x}$.

(a) If $U = \text{Spec}(B)$ is an open affine subscheme of X and if $\bar{f} \in B = \mathcal{O}_U(U)$ is the restriction of f , show that $U \cap X_f = D(\bar{f})$. Conclude that X_f is an open subset of X .

(b) Assume that X is quasi-compact. Let $A := \mathcal{O}_X(X)$ and let $a \in A$ be an element whose restriction to X_f is 0. Show that there exists an integer $n > 0$ such that $f^n a = 0$.

(c) Now assume that X has a finite cover by open affines U_i such that each intersection $U_i \cap U_j$ is quasi-compact. Let $b \in \mathcal{O}_{X_f}(X_f)$. Show that there exists an integer $n > 0$ such that $f^n b$ is the restriction of an element of A .

(d) With the hypothesis of (c) conclude that $\mathcal{O}_{X_f}(X_f) \cong A_f$.

Solution:

(a) We have that

$$\begin{aligned} X_f \cap U &:= \{\mathfrak{p} \in \text{Spec}(B) : f_{\mathfrak{p}} \notin \mathfrak{p}B_{\mathfrak{p}}\} \\ &= \{\mathfrak{p} \in \text{Spec}(B) : \bar{f} \notin \mathfrak{p}B_{\mathfrak{p}}\} \\ &= \text{Spec}(B_{\bar{f}}), \end{aligned}$$

thus $X_f \cap U = D(\bar{f})$ has we wanted.

(b) Since X is quasi-compact by hypothesis, we can find a finite affine cover, $\{U_i\}_{i=1}^n$, of X . For any $i = 1, \dots, n$, let B_i denote the ring such that $U_i = \text{Spec}(B_i)$. Let $a \in \mathcal{O}_X(X)$ such that $a|_{X_f} = 0$. For any $i = 1, \dots, n$ we have that $a|_{X_f \cap U_i} = 0$. On the other hand by part (a) we know that $X_f \cap U_i = (U_i)_{f_i} = \text{Spec}(B_{f_i}^i)$, where f_i denote the restriction of f to U_i . Thus for $i = 1, \dots, n$, $a|_{(U_i)_{f_i}} = 0$ and this implies that there exist $n_i \geq 0$ such that

$$f_i^{n_i} \cdot a|_{U_i} = 0 \text{ in } B_i.$$

Let us take $N := \max_{1 \leq i \leq n} n_i$. For any $i = 1, \dots, n$ one has that $f_i^N a|_{U_i} = f_i^N \cdot a|_{U_i} = 0$. Since $\{U_i\}_{i=1}^n$ is a cover of X and \mathcal{O}_X is a sheaf we conclude that $f^N a = 0$.

(c) Let $b \in \mathcal{O}_{X_f}(X_f)$, $\{U_i\}_{i=1}^n$ be a finite affine cover such that for any i, j , $U_i \cap U_j$ is quasi-compact and for any $i = 1, \dots, n$, let b_i denotes the restriction of b to $X_f \cap U_i = (U_i)_{f_i}$. Since $(U_i)_{f_i} = \text{Spec}((B_i)_{f_i})$, there exists $n_i \geq 0$ such that $f_i^{n_i} b_i \in B_i$. Let us take $N := \max_{1 \leq i \leq n} n_i$. Fix i, j and consider

$$b_{i,j} := (f_i^N b_i)|_{U_i \cap U_j} - (f_j^N b_j)|_{U_i \cap U_j} \in \mathcal{O}_X(U_i \cap U_j).$$

It is easy to see that $b_{i,j}|_{U_i \cap U_j \cap X_f} = 0$, then we can apply part (b) since $U_i \cap U_j$ is quasi compact. Thus there exists $n_{i,j} \geq 0$ such that

$$f|_{U_i \cap U_j}^{n_{i,j}} c_{i,j} = 0.$$

Let us take $M := \max_{i,j} n_{i,j}$, We claim that $f^{N+M} b \in \mathcal{O}_X(X)$. For any i , $f_i^{N+M} b_i \in \mathcal{O}_X(U_i)$, such that $(f_i^{N+M} b_i)|_{U_i \cap U_j} = (f_j^{N+M} b_j)|_{U_i \cap U_j}$ for any i, j . Thus $f^{N+M} b \in \mathcal{O}_X(X)$ since \mathcal{O}_X is a sheaf.

(d) The inclusion $A_f \subset \mathcal{O}_{X_f}(X_f)$ follows because for any $i = 1, \dots, n$, $\{X_f \cap U_i\}_{i=1}^n$ is a covering of open affine subset of X_f and $\mathcal{O}_{X_f}(X_f \cap U_i) = B_{f_i}^i$. For the other inclusion, let $s \in \mathcal{O}_{X_f}(X_f)$ then by part (c) there exists $n \geq 0$ such that $a := f^n s \in \mathcal{O}_X(X)$. On the other hand f is invertible in $\mathcal{O}_{X_f}(X_f)$, thus $s = \frac{a}{f^n}$ as we wanted.

7. A Criterion for Affineness.

(a) Let $f : X \rightarrow Y$ be a morphism of schemes and suppose that Y can be covered by open subsets U_i such that for each i , the induced map $f^{-1}(U_i) \rightarrow U_i$ is an isomorphism. Then f is an isomorphism.

- (b) A scheme X is affine if and only if there is a finite set of elements $f_1, \dots, f_r \in A := \mathcal{O}_X(X)$ such that the open subsets X_{f_i} defined in exercise 6 are affine and f_1, \dots, f_r generate the unit ideal.

Solution:

- (a) Let $f : X \rightarrow Y$ a map as in the statement of the exercise. To check that f is an isomorphism it is enough to check that for any $x \in X$ the map

$$f_x : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$$

is an isomorphism. Let U_i be an open set in the covering such that $x \in U_i$, then we have that

$$(f|_{U_i})_x : (\mathcal{O}_{Y|_{f(U_i)}})_{f(x)} \rightarrow (\mathcal{O}_{X|_{U_i}})_x$$

is an isomorphism by hypothesis. On the other hand we have $(\mathcal{O}_{Y|_{f(U_i)}})_{f(x)} \cong \mathcal{O}_{Y, f(x)}$ and $(\mathcal{O}_{X|_{U_i}})_x \cong \mathcal{O}_{X, x}$ since U_i and $f(U_i)$ are open subset respectively of X and Y .

- (b) First We prove that $\cup_{i=1}^n X_{f_i}$. By contradiction, assume that there exists $x \in X \setminus \cup_{i=1}^n X_{f_i}$, then $f_i \in \mathfrak{m}_x$ for any $i = 1, \dots, n$. This would implies that $1 \in \mathfrak{m}_x$ and this is not possible since $\mathcal{O}_{X, x}$ has to be a local ring. Because we are assuming X_{f_i} to be affine we can apply part (d) of the previous exercise getting $X_{f_i} = \text{Spec}(A_{f_i})$ for any $i = 1, \dots, n$. From the ring homomorphisms

$$\begin{aligned} g_i^* : A &\rightarrow A_{f_i} \\ a &\mapsto \frac{a}{1}, \end{aligned}$$

we get the scheme morphisms

$$g_i : X_{f_i} \rightarrow \text{Spec}(A)$$

which are isomorphisms between X_{f_i} and $g_i(X_{f_i}) = \text{Spec}(A_{f_i})$. Gluing together these maps we obtain a morphism

$$g : X \rightarrow \text{Spec}(A).$$

Then we conclude applying part (a).

8. Let k be a field. We want to study $\text{Spec } A$ where $A = k(u) \otimes_k k(v)$ is the tensor product of two purely transcendental extension of k of transcendence degree 1 (i.e. $k(u) \cong k(X)$ the field of rational function at coefficient in k).

- (a) We have $k(u) = T^{-1}k[u]$ where T is the multiplicative set made up of the non-zero elements of $k[u]$. Deduce from this that A is the localization of $k[u, v]$ with respect to the multiplicative set T' made up of the non-zero elements of the form $P(u)Q(v) \in k[u, v]$.

- (b) Let \mathfrak{m} be a maximal ideal of $k[u, v]$. Show that there exist a $P(u) \in \mathfrak{m} \setminus \{0\}$. Deduce from this that $T' \cap \mathfrak{m} \neq \emptyset$.
- (c) Show that the maximal ideals of A are of the form gA with $g \in k[u, v] \setminus (k[u] \cup k[v])$ irreducible in $k[u, v]$.
- (d) Show that $\text{Spec } A$ is an infinite set and that $\dim A = 1$.

Solution:

- (a) By definition

$$T^{-1}k[u] := \left\{ \frac{f}{g} : f, g \in k[u], g \neq 0 \right\} = \text{Frac}(k[u]) = k(u).$$

We denote by T_u (respectively by T_v) the multiplicative set made up of the non-zero elements of $k[u]$ (respectively the multiplicative set made up of the non-zero elements of $k[v]$). It is a well known fact that the map

$$\begin{aligned} \varphi : k[u] \otimes k[v] &\rightarrow k[u, v] \\ f(u) \otimes g(v) &\mapsto f(u) \cdot g(v) \end{aligned}$$

is an isomorphism. Since localization is an exact functor, $k(u) \otimes_{k[v]} k(v)$ is flat and we get that

$$\begin{aligned} 1_{k(u)} \otimes \varphi : k(u) \otimes k[v] &\rightarrow T_u^{-1}k[u, v] \\ f(u) \otimes g(v) &\mapsto f(u) \cdot g(v), \end{aligned}$$

is still an isomorphism. On the other hand, the same holds for $\otimes_{k[v]} k(v)$ so

$$\begin{aligned} 1_{k(u)} \otimes \varphi \otimes 1_{k(v)} : k(u) \otimes k(v) &\rightarrow T_v^{-1}T_u^{-1}k[u, v] \\ f(u) \otimes g(v) &\mapsto f(u) \cdot g(v), \end{aligned}$$

is an isomorphism. Then we conclude thanks to the fact that $T_u \cdot T_v = T'$.

- (b) Let \mathfrak{m} be a maximal ideal of $k[u, v]$. Then $k[u, v]/\mathfrak{m}$ is a noetherian algebra over k of dimension 0 (since $\text{ht}(\mathfrak{m}) = 2$). i.e. $k[u, v]/\mathfrak{m}$ is an artinian algebra over k (see Atiyah-Macdonald Proposition 8.5). This is equivalent to be a finite k -algebra (see Atiyah-Macdonald page 92 Exercise 3), i.e. $k[u, v]/\mathfrak{m}$ k -vector space of dimension m for some $m < \infty$. Let \bar{u} be the image of u in $k[u, v]/\mathfrak{m}$. Then $\{1, \bar{u}, \dots, \bar{u}^m\}$ is a set $m + 1$ vectors in a m dimensional k -vector space, then there exist $a_0, \dots, a_{m-1} \in k$ such that

$$\bar{u}^m = \sum_{i=0}^{m-1} a_i \bar{u}^i.$$

Thus we conclude that

$$P(u) := u^m - \sum_{i=0}^{m-1} a_i u^i \in \mathfrak{m},$$

as we wanted.

- (c) By part (a) we know that $A \cong T'^{-1}k[u, v]$ where T' is the multiplicative set made up of the non-zero elements of the form $P(u)Q(v) \in k[u, v]$, thus

$$\text{Spec } A = \{\mathfrak{p} \in \text{Spec}(k[u, v]) : T' \cap \mathfrak{p} = \emptyset\}.$$

On the other hand an application of the Krull's principal ideal Theorem implies that

$$\text{Spec}(k[u, v]) = \{(0)\} \cup \{(f) : f \in k[u, v] \text{ irreducible}\} \cup \{\mathfrak{m} \in \text{Spec}(k[u, v]) : \mathfrak{m} \text{ maximal}\}.$$

Then using part (b) the result follows.

- (d) First we show that $\dim A = 1$. We denote by

$$\begin{aligned} i : k[u, v] &\rightarrow T'^{-1}k[u, v] \\ f &\mapsto \frac{f}{1}, \end{aligned}$$

The inclusion of $k[u, v]$ in $T'^{-1}k[u, v]$. Let $\mathfrak{p} \in \text{Spec } A$ be a non zero ideal. Then $i^{-1}(\mathfrak{p}) \in \text{Spec}(k[u, v])$ contains an irreducible polynomial g (see Exercise 1). On the other hand $g \notin T'$ since $i^{-1}(\mathfrak{p}) \cap T' = \emptyset$. Hence $\mathfrak{p} \supset gA$ and this implies that $\mathfrak{p} = gA$ is a maximal ideal thanks to part (c). We have shown that any non zero prime ideal in A is maximal, i.e. $\dim A = 1$. To show that $\text{Spec } A$ is an infinite set it is enough to consider the family of ideals $((u + v^n)A)_{n \in \mathbb{N}}$: it is easy to show that for any $n \geq 1$ $u + v^n \notin T'$ and $u + v^n$ irreducible.

9. In the following if X is a scheme we denote by $\text{sp}(X)$ the underlying topological space of X . Let S be a scheme and $\pi : X \rightarrow S, \rho : Y \rightarrow S$ be S -schemes. Let $\text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y)$ be the fiber product of sets defined by π and ρ , endowed with the topology induced by the product topology on $\text{sp}(X) \times \text{sp}(Y)$. We are going to study some property concerning the relation between $\text{sp}(X \times_S Y)$ and $\text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y)$.

- (a) Show that we have a canonical map $f : \text{sp}(X \times_S Y) \rightarrow \text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y)$.
- (b) Show that f is surjective.
- (c) Let us consider the example $X = Y = \text{Spec } \mathbb{C}$ and $S = \text{Spec } \mathbb{R}$. Show that $X \times_S Y \cong \text{Spec}(\mathbb{C} \oplus \mathbb{C})$ and that f is not injective.
- (d) Show that in the case of the previous Exercise, with $X = \text{Spec } k(u), Y = \text{Spec } k(v)$ and $S = \text{Spec } k$, the map f has infinite fibers.
- (e) Let $S = \text{Spec } k$ be the spectrum of an arbitrary field. By studying the example $X = Y = \mathbb{A}_k^1$, show that the image of an open subset under f is not necessarily an open subset.

Solution:

- (a) By definition of the fiber product of scheme we have two morphism $\lambda_1 : X \times_S Y \rightarrow X$ and $\lambda_2 : X \times_S Y \rightarrow Y$ such that diagram

$$\begin{array}{ccc} X \times_S Y & \xrightarrow{\lambda_1} & X \\ \downarrow \lambda_2 & & \downarrow \pi \\ Y & \xrightarrow{\rho} & S \end{array}$$

is commutative. Then we define

$$\begin{aligned} f : \text{sp}(X \times Y) &\rightarrow \text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y) \\ z &\mapsto (\lambda_1(z), \lambda_2(z)). \end{aligned}$$

- (b) Let $(x, y) \in \text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y)$ we want to show that there exist $z \in \text{sp}(X \times_S Y)$ such that $f(z) = (x, y)$. Let us denote by $k(x), k(y)$ the residue field of x, y respectively. Since $(x, y) \in \text{sp}(X) \times_{\text{sp}(S)} \text{sp}(Y)$ we have that $\pi(x) = \rho(y) = s \in S$. Moreover we also get $k(s) \hookrightarrow k(x)$ and $k(s) \hookrightarrow k(y)$ since $\pi : X \rightarrow S$ and $\rho : Y \rightarrow S$ are morphisms. Since $k(x), k(y) \supset k(s)$ we get that $k(x) \otimes_{k(s)} k(y) = k(x).k(y)$ the compositum field of $k(x)$ and $k(y)$. We denote $L := k(x)k(y)$ the compositum of $k(x)$ and $k(y)$. So we get the two morphisms

$$f_x : \text{Spec } L \rightarrow X \quad f_y : \text{Spec } L \rightarrow Y,$$

such that $f_x((0)) = x$ and $f_y((0)) = y$. Thus we have the commutative diagram of scheme

$$\begin{array}{ccc} \text{Spec } L & \xrightarrow{f_x} & X \\ \downarrow f_y & & \downarrow \pi \\ Y & \xrightarrow{\rho} & S. \end{array}$$

Using the the universal property of $X \times_S Y$ there exists a morphism of scheme $f_x \times f_y : \text{Spec } L \rightarrow X \times_S Y$ such that

$$\begin{array}{ccccc} & & & & f_x \\ & & & & \curvearrowright \\ \text{Spec } L & & & & \searrow \\ & f_x \times f_y \searrow & & & \\ & & X \times_S Y & \xrightarrow{\lambda_1} & X \\ & & \downarrow \lambda_2 & & \downarrow \pi \\ & & Y & \xrightarrow{\rho} & S \\ & f_y \nearrow & & & \nearrow \end{array}$$

is a commutative diagram. Then $z := f_x \times f_y((0))$ is such that $f(z) = (x, y)$, indeed

$$\lambda_1(z) = \lambda_1 \circ f_x \times f_y((0)) = f_x((0)) = x$$

and similarly for λ_2 and y .

- (c) Let $X = Y = \text{Spec } \mathbb{C}$ and $S = \text{Spec } \mathbb{R}$. To show that $X \times_S Y \cong \text{Spec}(\mathbb{C} \oplus \mathbb{C})$ it is enough to show that $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C} \oplus \mathbb{C}$. An explicit isomorphism is given for example by

$$\begin{aligned} \mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} &\rightarrow \mathbb{C} \oplus \mathbb{C} \\ z \otimes w &\mapsto (z \cdot w, z \cdot \bar{w}). \end{aligned}$$

Then f is not injective since $|\text{sp}(\text{Spec } \mathbb{C}) \times_{\text{sp}(\text{Spec } \mathbb{R})} \text{sp}(\text{Spec } \mathbb{C})| = 1$ while $|\text{sp}(\text{Spec } \mathbb{C} \times_{\text{Spec } \mathbb{R}} \text{Spec } \mathbb{C})| = 2$.

- (d) We have that $\text{sp}(\text{Spec}(k(u))) \times_{\text{sp}(\text{Spec } k)} \text{sp}(\text{Spec}(k(v))) = \{((0), (0))\}$, thus $f^{-1}((0), (0)) = \text{Spec } A$. On the other hand $|\text{Spec } A| = \infty$ thanks to part (d) of the previous exercise.
- (e) Since f is surjective it is enough to show that the image of a closed subset under f is not necessarily closed. Let $X = Y = \mathbb{A}_k^1$, then we know that $\mathbb{A}_k^1 \times_k \mathbb{A}_k^1 = \mathbb{A}_k^2$ with respect to the Zarisky topoly. On the other hand the topology in $\text{sp}(\mathbb{A}_k^1) \times_{\text{sp}(\text{Spec } k)} \text{sp}(\mathbb{A}_k^1)$ is the product topology, i.e. $Z \subset \text{sp}(\mathbb{A}_k^1) \times_{\text{sp}(\text{Spec } k)} \text{sp}(\mathbb{A}_k^1)$ is closed if and only if $Z = V(I) \times_{\text{sp}(\text{Spec } k)} V(J)$, where $I, J \subset k[X]$ are ideals. Consider $g = X + Y$. Then we have that

$$f(V((g))) = \{(X + a)(X - a) : a \in k\} \cup \{((0)(0))\}$$

which is not of the form $V(I) \times_{\text{sp}(\text{Spec } k)} V(J)$. Thus $g(V((g)))$ is not closed.