

D-MATH HS 2018 Prof. Emmanuel Kowalski

Exponential sums over Finite Fields. Exercise Sheet 2

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Exercise 1.

- i) Let S be the $n \times n$ matrix whose (j, k) -th element is ζ^{jk} where $\zeta = e\left(\frac{1}{n}\right)$ and $0 \leq j, k \leq n-1$, i.e.

$$S = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ 1 & \zeta & \cdots & \zeta^{n-1} \\ 1 & \zeta^2 & \cdots & \zeta^{2(n-1)} \\ \vdots & \vdots & \cdots & \vdots \\ 1 & \zeta^{n-1} & \cdots & \zeta^{(n-1)^2} \end{pmatrix}.$$

Then $S^2 = \{s_{i,j}\}_{i,j}$, where

$$s_{i,j} = \sum_{k=0}^{p-1} \zeta^{ki} \zeta^{kj} = \sum_{k=0}^{p-1} \zeta^{k(i+j)} = \sum_{k=0}^{p-1} e\left(\frac{k(i+j)}{n}\right).$$

Then, by the orthogonality of the additive character we get

$$s_{i,j} = \begin{cases} n & \text{if } i+j \equiv 0 \pmod{n}, \\ 0 & \text{otherwise.} \end{cases}$$

Hence, we have

$$S^2 = \begin{pmatrix} n & 0 & \cdots & 0 & 0 \\ 0 & 0 & \cdots & 0 & n \\ 0 & 0 & \cdots & n & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots \\ 0 & n & \cdots & 0 & 0 \end{pmatrix},$$

as we wanted. It is clear that $\det(S^2) = (-1)^{n(n-1)} n^n$ (observe that $(\pm 1)^n = \pm 1$ since n is odd), thus we get $\det(S) = \pm i^{n(n-1)/2} n^{n/2}$.

- ii) Since S is a Vandermonde, matrix we have that

$$\det(S) = \prod_{0 \leq j < k \leq n-1} (\zeta^k - \zeta^j).$$

Moreover one has

$$\zeta^k - \zeta^j = \zeta^j (\zeta^{k-j} - 1) = \eta^{2j} (\eta^{2(k-j)} - 1) = \eta^{k+j} (\eta^{k-j} - \eta^{-k+j}),$$

and that

$$\eta^{k-j} - \eta^{-k+j} = e\left(\frac{k-j}{n}\right) - e\left(-\frac{j-k}{n}\right) = 2i \sin((k-j)\pi/n).$$

Hence we conclude

$$\det(S) = \eta^U i^{n(n-1)/2} \prod_{0 \leq j < k \leq n-1} 2 \sin((k-j)\pi/2),$$

where

$$U = \sum_{0 \leq j < k \leq n-1} j + k.$$

iii) We start proving that

$$\sum_{t=1}^m t = \frac{m(m+1)}{2}, \quad \sum_{t=1}^m t^2 = \frac{m(m+1)(2m+1)}{6}.$$

Let us start with the first one. Using that

$$(m+1)^2 = m^2 + 2m + 1,$$

one obtains

$$(m+1)^2 = \sum_{t=0}^m 1 + 2t,$$

thus

$$\sum_{t=1}^m t = \frac{(m+1)m}{2}.$$

For the other formula similarly one start from

$$(m+1)^3 = \sum_{t=0}^m 1 + 3t + 3t^2.$$

Now we have

$$\begin{aligned} U &= \sum_{k=1}^{n-1} \sum_{j=1}^{k-1} j + k \\ &= \frac{1}{2} \sum_{k=1}^{n-1} 3k^2 - k \\ &= 2n((n-1)/2)^2. \end{aligned}$$

In particular $\eta^U = 1$ since $2n|U$. Thus

$$\det(S) = i^{n(n-1)/2} \prod_{0 \leq j < k \leq n-1} 2 \sin((k-j)\pi/2).$$

On the other hand $\sin((k-j)\pi/2) > 0$, for any $0 \leq j < k \leq n-1$. Combining this with part (i) we conclude

$$\det(S) = i^{n(n-1)/2} n^{n/2}.$$

iv) By definition we have

$$\text{Trace}(S) = \sum_{k=0}^{n-1} \zeta^{k^2} = \sum_{k=0}^{p-1} e\left(\frac{k^2}{n}\right) = G(2, 1; n).$$

Since the Trace is invariant with respect to a change of basis, one gets

$$G(2, 1; n) = \text{Trace}(S) = \lambda_1 + \cdots + \lambda_n,$$

where $\lambda_1, \dots, \lambda_n$ are the eigenvalues of S .

v) Let us consider the matrix $S^2 - xI$

$$S^2 - xI = \begin{pmatrix} n-x & 0 & \cdots & 0 & 0 \\ 0 & -x & \cdots & 0 & n \\ 0 & 0 & \cdots & n & 0 \\ \cdot & \cdot & \cdots & \cdot & \cdot \\ 0 & n & \cdots & 0 & -x \end{pmatrix}.$$

Then $\det(S^2 - xI) = (n-x) \det(T_{0,0}^2)$ where $T_{0,0} = \{t_{i,j}\}$ is the minor obtained from $S^2 - xI$ removing from S the 0-th column and the 0-th row. By the definition of the determinant we get

$$\det(T) = \sum_{\sigma \in S_n} \text{sng}(\sigma) \prod_{i=1}^{n-1} t_{i,\sigma(i)}.$$

On the other hand we have that

$$t_{i,\sigma(i)} = \begin{cases} -x & \text{if } \sigma(i) = i, \\ n & \text{if } \sigma(i) = n-i, \\ 0 & \text{otherwise.} \end{cases}$$

This implies that

$$\prod_{i=1}^{n-1} t_{i,\sigma(i)} \neq 0$$

if and only if $\sigma = \prod_{j \in J} (j, n-j)$ for some $J \subset \{1, \dots, \frac{n-1}{2}\}$, and moreover

$$\prod_{i=1}^{n-1} t_{i,\sigma(i)} = n^{2|J|} (-x)^{n-1-2|J|} = (n^2)^{|J|} (x^2)^{(n-1)/2-|J|}$$

in this case. Thus we have

$$\begin{aligned} \det(T) &= \sum_{\sigma \in S_n} \text{sng}(\sigma) \prod_{i=1}^{n-1} t_{i,\sigma(i)} \\ &= \sum_{J \subset \{1, \dots, \frac{n-1}{2}\}} (-1)^{|J|} \prod_{i=1}^{n-1} t_{i,\sigma_J(i)}, \end{aligned}$$

where for any $J \subset \{1, \dots, \frac{n-1}{2}\}$

$$\sigma_J = \prod_{j \in J} (j, n-j).$$

Thus we have

$$\begin{aligned}
\det(T) &= \sum_{J \subset \{1, \dots, \frac{n-1}{2}\}} (-1)^{|J|} \prod_{i=1}^{n-1} t_{i, \sigma_J(i)} \\
&= \sum_{J \subset \{1, \dots, \frac{n-1}{2}\}} (-1)^{|J|} \prod_{i=1}^{n-1} (n^2)^{|J|} (x^2)^{(n-1)/2 - |J|} \\
&= \sum_{J \subset \{1, \dots, \frac{n-1}{2}\}} \prod_{i=1}^{n-1} (-n^2)^{|J|} (x^2)^{(n-1)/2 - |J|} \\
&= \sum_{\ell=0}^{(n-1)/2} \binom{(n-1)/2}{\ell} (-n^2)^\ell (x^2)^{(n-1)/2 - \ell} \\
&= (x^2 - n^2)^{(n-1)/2} \\
&= (x + n)^{(n-1)/2} (x - n)^{(n-1)/2}.
\end{aligned}$$

Hence

$$\det(S^2 - xI) = -(x + n)^{(n-1)/2} (x - n)^{(n+1)/2}.$$

For any λ_j eigenvalue of S , one has

$$S^2 - \lambda_j^2 I = (S - \lambda_j I)(S + \lambda_j I) = 0,$$

then λ_j^2 is an eigenvalue of S^2 . Hence λ_j^2 is a solution of the polynomial $\det(S^2 - xI) = -(x + n)^{(n-1)/2} (x - n)^{(n+1)/2}$, i.e $\lambda_j = \pm\sqrt{n}$ or $\lambda_j = \pm i\sqrt{n}$.

vi) if $\lambda_1, \dots, \lambda_n$ are the eigenvalues of S , then $\lambda_1^2, \dots, \lambda_n^2$ are eigenvalues of S^2 , this implies that

$$p(t) := \prod_{j=1}^n (\lambda_j^2 - x) \det(S^2 - xI) = -(x + n)^{(n-1)/2} (x - n)^{(n+1)/2}.$$

on the other hand $n = \deg(p(x)) = \deg(\det(S^2 - xI))$, thus

$$p(t) = -(x + n)^{(n-1)/2} (x - n)^{(n+1)/2}.$$

This implies that

$$|\{j : \lambda_j^2 = n\}| = \frac{n+1}{2}, \quad |\{j : \lambda_j^2 = -n\}| = \frac{n-1}{2},$$

thus

$$r + s = \frac{n+1}{2}, \quad t + u = \frac{n-1}{2}.$$

By part *(iv)* we know that

$$G(2, 1; n) = \sum_{j=1}^n \lambda_j = (r - s)\sqrt{n} + (t - u)i\sqrt{n}.$$

Let us assume that $n \equiv 1 \pmod{4}$, then since $G(2, 1; n) = \pm\sqrt{n}$, it follows that

$$r - s = \pm 1, \quad t - u = 0.$$

If $n \equiv 3 \pmod{4}$, then it follows that

$$r - s = 0, \quad t - u = \pm 1,$$

since in this case $G(2, 1; n) = \pm i\sqrt{n}$.

vii) We know that

$$\det(S) = \prod_{j=1}^n \lambda_j = (\sqrt{n})^r (-\sqrt{n})^s (i\sqrt{n})^t (-i\sqrt{n})^u = i^{2s+t-u} n^{n/2}.$$

On the other hand by part (iii) we have

$$\det(S) = i^{n(n-1)/2} n^{n/2}.$$

Hence $i^{n(n-1)/2} = i^{2s+t-u}$ and this is true if and only if

$$2s + t - u \equiv n(n-1)/2 \pmod{4}.$$

viii) Let us discuss first the case when $n \equiv 1 \pmod{4}$. Thanks to part (vii) we deduce $2s = n(n-1)/2 = (n-1)/2 \pmod{4}$, i.e. $2s \equiv 0 \pmod{4}$. Thus

$$\begin{aligned} r - s &= (n+1)/2 - 2s \pmod{4} \\ &= (n+1)/2 - (n-1)/2 \pmod{4} \\ &= 1 \pmod{4}, \end{aligned}$$

and this implies $r - s = 1$. Instead, if $n \equiv 3 \pmod{4}$ we have

$$2s = (n+1)/2,$$

thus

$$\begin{aligned} t - u &= n(n+1)/2 - 2s \pmod{4} \\ &= 3(n-1)/2 - (n+1)/2 \pmod{4} \\ &= 1 \pmod{4}, \end{aligned}$$

hence $t - u = 1$.

Exercise 2.

i) Since $(n_1, n_2) = 1$, the Chinese Remainder Theorem implies that for any $a \in (\mathbb{Z}/(n_1 n_2)\mathbb{Z})^\times$ there exists a unique pair $(a_1, a_2) \in (\mathbb{Z}/n_1\mathbb{Z})^\times \times (\mathbb{Z}/n_2\mathbb{Z})^\times$ such that

$$a = a_1 n_2 + a_2 n_1 \pmod{n_1 n_2}.$$

Thus we have that

$$\begin{aligned} \tau_{\chi_1 \chi_2} &= \sum_{\substack{a=0 \\ (a, n_1 n_2)=1}}^{n_1 n_2} \chi_1 \chi_2(a) e\left(\frac{a}{n}\right) \\ &= \sum_{\substack{a=0 \\ (a, n_1 n_2)=1}}^{n_1 n_2} \chi_1(a) \chi_2(a) e\left(\frac{a}{n}\right) \\ &= \sum_{\substack{a_1=0 \\ (a_1, n_1)=1}}^{n_1} \sum_{\substack{a_2=0 \\ (a_2, n_2)=1}}^{n_2} \chi_1(a_1 n_2) \chi_2(a_2 n_1) e\left(\frac{a_1}{n_1}\right) e\left(\frac{a_2}{n_2}\right) \\ &= \chi_1(n_2) \chi_2(n_1) \tau_{\chi_1} \tau_{\chi_2}. \end{aligned}$$

ii) Let $p, q >$ be two distinct prime numbers. Using the previous point we have

$$\tau_{\left(\frac{\cdot}{pq}\right)} = \left(\frac{q}{p}\right) \left(\frac{p}{q}\right) \tau_{\left(\frac{\cdot}{p}\right)} \tau_{\left(\frac{\cdot}{q}\right)}.$$

Moreover it is easy to see that

$$\tau_{\left(\frac{\cdot}{pq}\right)} = G(2, 1; pq).$$

To simplify the notation for any n odd we write $G(2, 1; n) = \epsilon_n \sqrt{n}$, where

$$\epsilon_n = \begin{cases} 1 & \text{if } n \equiv 1 \pmod{4} \\ i & \text{if } n \equiv 3 \pmod{4}, \end{cases}$$

thanks to Exercise 1. Thus we have

$$\epsilon_{pq} = \left(\frac{q}{p}\right) \left(\frac{p}{q}\right) \epsilon_p \epsilon_q.$$

If $p \equiv 1 \pmod{4}$, then $q \equiv pq \pmod{4}$. This implies $\epsilon_p = 1$ and $\epsilon_q = \epsilon_{pq}$. Hence

$$\left(\frac{q}{p}\right) \left(\frac{p}{q}\right) = 1 = (-1)^{\frac{(p-1)(q-1)}{4}}.$$

The case when $p \equiv 3 \pmod{4}$ is analogue.

Exercise 3, Exercise 4. You can find the solutions of these two exercises in the lecture notes "*Exponential sums over finite fields, I: elementary methods*" pages 19 – 23.