## Solutions Sheet 6

Associated primes and primary decomposition

Let R be a commutative ring, k an algebraically closed field.

- 1. Let k be a field. A monomial ideal is an ideal  $I \subset k[X_1, \ldots, X_n]$  generated by monomials in the variables  $X_1, \ldots, X_n$ .
  - (a) Characterize those monomial ideals which are prime in  $k[X_1, \ldots, X_n]$ .
  - (b) Which monomial ideals are irreducible? Radical? Primary? (Recall that a submodule of a module is called *irreducible* if it cannot be written as the intersection of two larger submodules)

Solution.

(a) Assume first that a monomial ideal  $I \subset k[X_1, \ldots, X_n]$  is prime, and let  $X_1^{i_1} \cdots X_n^{i_n}$  a monomial in a fixed finite generating set S of I, where S consists only of monomials. Primality (applied inductively) forces that at least one of the variables  $X_j$  effectively appearing in the monomial (i.e., for which  $i_j > 0$ ) belongs to I. Applying this argument with every monomial in S, we obtain a subset  $A \subset \{1, \ldots, n\}$  such that  $X_j \in I$  for all  $j \in A$ . We claim that  $I = (X_j, j \in A)$ . By construction of the  $X_j$  for  $j \in A$ , we have that  $S \subset (X_j, j \in A)$ , whence  $I \subset (X_j, j \in A)$ . The converse is immediate as well, since we have that  $X_j \in I$  for all  $j \in A$ . We have thus proved that I can be generated by a subset of the set of variables  $\{X_1, \ldots, X_n\}$ .

Conversely, assume that I has this property, so that  $I = (X_j, j \in A)$  for a certain subset  $A \subset \{1, \ldots, n\}$ . We want to prove that I is prime. Let  $f, g \in k[X_1, \ldots, X_n]$  be such that  $f, g \notin I$ . Then both f and g have a monomial in which none of the variables  $X_j, j \in A$  appears. Therefore, the product fg must also contain a monomial with the same property. In particular, fg cannot belong to I.

(b) Assume that  $I \subset k[X_1, \ldots, X_n]$  is an irreducible monomial ideal, and let  $S \subset I$  be a minimal finite geneerating set made up of monomials. Assume that one of the monomials in S is of the form  $X_1^{i_1} \cdots X_n^{i_n}$  with  $i_{j_1}, i_{j_2} > 0$  for some  $j_1 \neq j_2$ . Then  $I = I_1 \cap I_2$  with  $I_1 = (S \setminus \{X_1^{i_1} \cdots X_n^{i_n}\}, X_{j_1}^{i_{j_1}})$  and  $I_2 = (S \setminus \{X_1^{i_1} \cdots X_n^{i_n}\}, X_1^{i_1} \cdots X_{j_1}^{i_n}\}, X_{j_1}^{i_1} \cdots X_n^{i_n})$ , where the notation  $X_1^{i_1} \cdots X_{j_1}^{i_{j_1}} \cdots X_n^{i_n}$  means that the power  $X_{j_1}^{i_{j_1}}$  is not considered in the product.  $I_1$  and  $I_2$  are strictly larger than I, which is therefore not irreducible. Assume now that I is generated by powers of some of the variables, i.e.  $I = (X_{j_1}^{i_1}, \ldots, X_{j_l}^{i_l})$  for a subset  $\{j_1, \ldots, j_l\} \subset \{1, \ldots, n\}$ . Assume  $I = I_1 \cap I_2$ , and choose some finite genrating set  $S_1, S_2$  for  $I_1, I_2$ , each made up fo monomials, with  $\{X_{j_1}^{i_1}, \ldots, X_{j_r}^{i_r}\} \subset S_1 \cap S_2$ . Suppose by contradiction that  $I_1$  and  $I_2$  are strictly bigger that I. Hence there exists a monomial  $X_{s_1}^{r_1} \cdots X_{s_m}^{r_m}$  in  $S_1 \smallsetminus I$  and a monomial  $X_{t_1}^{u_1} \cdots X_{t_k}^{u_k}$  in  $S_2 \smallsetminus I$ . This means that none of the monomials  $X_{j_1}^{i_1}, \ldots, X_{j_l}^{i_l}$  divides the two latter monomials. But then it is clear that the intersection of the ideals  $J_1 = (X_{j_1}^{i_1}, \ldots, X_{j_l}^{i_l}, X_{s_1}^{r_1} \cdots X_{s_m}^{r_m})$  and  $J_2 = (X_{j_1}^{i_1}, \ldots, X_{j_l}^{i_l}, X_{t_1}^{u_1} \cdots X_{t_k}^{u_k})$  is already strictly bigger than I, and it is contained in  $I_1 \cap I_2$ , which yields a contradiction.

It is straightforward to verify that a monomial ideal is radical if and only if it is generated by square-free monomials, i.e. monomials of the form  $X_{i_1} \cdots X_{i_r}$ for a certain subset  $\{i_1, \ldots, i_r\} \subset \{1, \ldots, n\}$ .

Finally, a monomial ideal I is primary if and only if it contains a power of  $X_j$  for all j in a given subset of  $\{1, \ldots, n\}$  and it is generated by monomials containing no other variables. Indeed, if I is primary, than its radical Rad(I) is prime. Using the algorithm of the next exercise and the characterization of prime monomial ideals already given in the previous exercise, we immediately get that I contains a power of  $X_j$  for some  $X_j$ 's in  $\{X_1, \ldots, X_n\}$ , and it has to be generated by monomials containing no other variables. For the converse, it is easy to check that, under the assumption that I contains a power of  $X_j$  for some subset of j's in  $\{1, \ldots, n\}$  and is generated by monomials containing no other variables.

- 2. The setting is the same as in Exercise 1.
  - (a) Find an algorithm to compute the radical of a monomial ideal.
  - (b) Find an algorithm to compute an irreducible decomposition, and thus a primary decomposition, of a monomial ideal.

## Solution.

- (a) Assume S is a minimal finite generating set of a monomial ideal I, S consisting of monomials. Denote by S' the set of all monomials  $X_{i_1} \cdots X_{i_r}$ , where  $X_{i_1}^{j_1} \cdots X_{i_r}^{j_r}$  is a monomial appearing in S. Then we claim that  $\operatorname{Rad}(I)$  is the ideal J generated by those monomials. By the previous exercise, J is a radical ideal, since it is generated by square-free monomials. Hence  $I \subset J$  implies  $\operatorname{Rad}(I) \subset \operatorname{Rad}(J) = J$ . On the other hand, if  $f \in J$ , then some power of f will lie in I: it is sufficient (by the multinomial theorem) to check it for the generators in S', which is immediate.
- (b) As previously, suppose S is a minimal finite generating set of a monomial ideal I, S consisting of monomials. For any monomial  $m \in S$ , factor it into relatively prime components  $m = m_1 \cdots m_k$ . Then it is immediate to verify

that  $I = \bigcap_{i=1}^{k} (I + (m_i))$ . Thus,  $I = \bigcap_{j=1}^{r} I_j$ , where  $I_j = (X_{i_j}^{l_j})$ , where  $X_{i_j}^{l_j}$  is the highest power of  $X_{i_j}$  appearing in the  $m_i$  (letting *m* vary over *S*). By the previous exercise, this is indeed an irreducible decomposition of *I*.

- 3. The setting is again the same as in the previous two exercises.
  - (a) Let I be the product ideal of the ideals  $(X_1), (X_1, X_2), \ldots, (X_1, \ldots, X_n)$ . Determine the associated primes of I.
  - (b) More generally, for any subset  $J \subset \{1, \ldots, n\}$ , let P(J) be the prime ideal generated by  $\{X_j, j \in J\}$ . Let  $I_1, \ldots, I_t$  be subsets of  $\{1, \ldots, n\}$ , and set  $I = \prod_{i=1}^t P(I_i)$ . Let  $\Gamma$  be the "incidence graph", whose vertices are the set  $I_i, i = 1, \ldots, t$ , with an edge joining  $I_i$  and  $I_j$  if and only if  $I_i \cap I_j \neq \emptyset$ . Show that the associated primes of I are precisely those primes that may be expressed as  $P(I_{j_1} \cup \cdots \cup I_{j_s})$ , where  $I_{j_1}, \ldots, I_{j_s}$  forms a connected (i.e., any two vertices can be joined by a finite path made up of edges) subgraph of  $\Gamma$ .

Solution. There is a detailed solution of this exercise on page 726 of [2].

4. (On the uniqueness of primary decomposition) Let R = k[X, Y]/I, where k is a field and  $I = (X^2, XY) = (X) \cap (X, Y)^2$ . Show that the ideal  $(Y^n)$  is (X, Y)-primary in R (considered as a module over k[X, Y]), and that

$$0 = (X) \cap (Y^n)$$

is a minimal primary decomposition of 0 in R for any integer  $n \ge 1$ .

Solution. We first want to prove that  $(Y^n)$  is (X, Y)-primary in R. By a proposition seen in the lecture, it suffices to show that (X, Y) contains the zerodivisors of  $R/(Y^n)$  and that  $(X, Y)^m \subset \operatorname{Ann}(R/(Y^n))$  for some integer  $m \ge 1$ . Assume that  $0 \ne f, g \in R$  are such that  $fg \in (Y^n)$ , which means that  $Y^n$  divides a product  $\tilde{f}\tilde{g}$ , where  $\tilde{f}, \tilde{g}$  are representatives of f, g in k[X, Y], and  $Y^n$  does not divide  $\tilde{f}$ . This forces  $Y|\tilde{g}$ , which means  $g \in (Y) \subset (X, Y)$ . Thus the zerodivisors of  $R/(Y^n)$  are contained in (X, Y). Moreover, we have that  $(X, Y)^n = (Y^n) \subset \operatorname{Ann}(R/(Y^n))$ , which concludes the proof that  $(Y^n)$  is (X, Y)-primary in R.

The ideal  $(X) \subset k[X, Y]$  is prime and strictly contains *I*. Therefore the projected ideal  $(X) \subset R$  is a prime ideal of *R*, which implies  $\operatorname{Ass}(R/((X))) = \{(X)\}$ . We just proved that  $(Y^n)$  is (X, Y)-primary, thus (X) and  $(Y^n)$  are both primary ideals in *R* whose associated primes are not equal. Therefore  $0 = (X) \cap (Y^n)$  is a minimal primary decomposition for all  $n \ge 1$  (the fact that the (X) and  $(Y^n)$ have trivial intersection in *R* is immediate by the definition of *R*).

- 5. Let R be an arbitrary commutative ring,  $\mathfrak{a} \subset R$  an ideal.
  - (a) Suppose that its radical  $\operatorname{Rad}(\mathfrak{a})$  is a maximal ideal. Show that  $\mathfrak{a}$  is a primary ideal.

(b) Deduce from the previous point that arbitrary powers of maximal ideals are primary ideals.

Solution.

(a) First,  $\operatorname{Rad}(\mathfrak{a})$  is a proper ideal, hence  $\mathfrak{a}$  is also proper. Then, let  $x, y \in R$  be such that  $xy \in \mathfrak{a}$  and  $x \notin \operatorname{Rad}(\mathfrak{a})$ . We need to prove that  $y \in \mathfrak{a}$ . The ideal  $\operatorname{Rad}(\mathfrak{a}) + (x)$  is strictly bigger than  $\operatorname{Rad}(\mathfrak{a})$ ; maximality of the latter forces  $R = \operatorname{Rad}(\mathfrak{a}) + (x)$ , which means in particular that 1 = z + ax for some  $z \in \operatorname{Rad}(\mathfrak{a})$  and some  $a \in R$ . Now  $z^n \in \mathfrak{a}$  for some integer  $n \ge 1$ , therefore

$$1 = 1^n = (z + ax)^n = z^n + bx$$

for some element  $b \in R$ . Thus,  $y = z^n y + bxy \in \mathfrak{a}$ , being the sum of two elements in  $\mathfrak{a}$ . The proof is complete.

- (b) Let  $\mathfrak{m} \subset R$  be a maximal ideal,  $n \ge 1$  an integer. It is clear that the radical of  $\mathfrak{m}^n$  contains  $\mathfrak{m}$ ; moreover,  $\operatorname{Rad}(\mathfrak{m}^n)$  is a proper ideal, otherwise  $\mathfrak{m}^n$  would be the whole ring. Maximality of  $\mathfrak{m}$  forces that  $\mathfrak{m} = \operatorname{Rad}(\mathfrak{m}^n)$ , whence the latter is a maximal ideal. By the previous point,  $\mathfrak{m}^n$  is a primary ideal.
- 6. Let X be an infinite compact Hausdorff space. Consider the ring C(X) of real-valued continuous functions on X. Is the zero ideal decomposable in this ring?

Solution. We claim that, in C(X), any primary ideal is contained in a unique maximal ideal. Indeed, recall that any maximal ideal  $\mathfrak{m}$  of C(X) is of the form  $\mathfrak{m} = \mathfrak{m}_x$  for some  $x \in X$ , where  $\mathfrak{m}_x = \{f \in C(X) : f(x) = 0\}$  (Exercise 26, Chapter 1 of [1]). Assume now that  $\mathfrak{q} \subset C(X)$  is a primary ideal such that  $\mathfrak{q} \subset \mathfrak{m}_x \cap \mathfrak{m}_y$  for some  $x \neq y \in X$ . Since X is a Hausdorff space, there exists disjoint open neighborhoods  $V_x, V_y$  of x and y respectively. As X is also compact, it is a normal topological space, hence by Urysohn's lemma there exist  $f, g \in C(X)$ with f(x) = 1,  $f|_{X \setminus V_x} = 0$ , g(y) = 1 and  $g|_{X \setminus V_y} = 0$ . Since  $V_x$  and  $V_y$  are disjoint, the product fg vanishes everywhere, hence  $fg \in \mathfrak{q}$ . However,  $f \notin \mathfrak{q}$  since  $f \notin \mathfrak{m}_x$ , and  $g^n \notin \mathfrak{q}$  for any integer  $n \geq 1$  as  $g^n \notin \mathfrak{m}_y$ . Thus  $\mathfrak{q}$  is not primary.

If we know assume that (0) has a primary decomposition, consisting of primary ideals  $\mathfrak{q}_1, \ldots, \mathfrak{q}_n$ , then we may write  $\mathfrak{q}_i \subset \mathfrak{m}_{x_i}$  for some uniquely determined point  $x_i \in X$ . There exists a function  $f_i \in \mathfrak{q}_i$  vanishing at  $x_i$  and at no other point of X (otherwise  $\mathfrak{q}_i$  would be contained in some  $\mathfrak{m}_y$  for  $y \neq x_i$ ). By assumption, X is infinite, hence the product  $f = \prod_{i=1}^n f_i$  does not vanish identically on X. On the other hand,  $f \in \prod_{i=1}^n \mathfrak{q}_i \subset \bigcap_{i=1}^n \mathfrak{q}_i = (0)$ . This contradiction shows that (0) is not decomposable in the ring C(X).

## References

[1] M.Atiyah, Y.McDonald (1994), *Introduction to commutative algebra*, Addison-Wesley Publishing Company.

[2] D.Eisenbud (2004), Commutative Algebra with a View towards Algebraic Geometry, Springer.