Functional analysis I

## Exercise sheet 8

1. (Hellinger-Toeplitz theorem). Let  $\mathcal{H}$  be a Hilbert space and let  $A : \mathcal{H} \to \mathcal{H}$  be a linear operator. Assume that A is self-adjoint in the sense that

$$\langle Ax, y \rangle = \langle x, Ay \rangle$$

for all  $x, y \in \mathcal{H}$ . Show that A is bounded.

HINT: This is really an exercise of the same direct nature as many other first exercises – if you find the right theorem to use.

2. (Hamel-bases of Banach spaces). Let X be an infinite-dimensional Banach space and let  $\mathcal{B}$  be a Hamel basis of X (i.e. a basis in the sense of linear algebra). Show that  $\mathcal{B}$  is uncountable.

HINT: Assuming that  $\mathcal{B} = \{x_1, x_2, \ldots\}$  is countable, consider the increasing sequence of subspaces  $V_n$  where for  $n \in \mathbb{N}$  the subspace  $V_n$  is spanned by  $x_1, \ldots, x_n$ .

- **3.** (The quotient norm and the annihilator). Let X be a normed vector space and let Y be a subspace of X.
  - a) Show that the annihilator  $Y^{\perp} = \{x^* \in X^* : x^*|_Y \equiv 0\}$  of Y is a closed subspace.
  - **b)** Show that for any  $x \in X$

$$\max_{x^* \in Y^{\perp} : \|x^*\| \le 1} |x^*(x)| = \inf_{y \in Y} \|x - y\|.$$

HINT: Revisit the proof of Corollary 7.6.

- c) Exhibit a natural isometric isomorphism between  $Y^{\perp}$  and the dual space of X/Y whenever Y is a closed subspace of X.
- 4. (Separability of the dual space). Assume X to be a normed vector space over  $\mathbb{R}$ . Prove that if the dual space  $X^*$  is separable then X is separable as well.

HINT: Let  $\{x_n^*\} \subset X^*$  be a countable dense subset and choose for each  $x_n^*$  a unit vector  $x_n \in X$  such that  $x_n^*(x_n) \ge \frac{\|x_n^*\|}{2}$ . Now consider the subspace  $Y = \overline{\operatorname{span}}_{\mathbb{Q}}\{x_n\}$ .

Turn the page.

- **5.** (Dual space of  $\ell^p$ ). Let  $p \in [1, \infty]$  and let q be Hölder conjugate<sup>1</sup> to p.
  - a) For  $p < \infty$  the Hölder inequality (see Exercise 4b), Sheet 1) shows the operator  $\phi_p : \ell^q(\mathbb{N}) \to \ell^p(\mathbb{N})^*$  defined for  $x \in \ell^q(\mathbb{N})$  and  $y \in \ell^p(\mathbb{N})$  by

$$\phi_p(x)(y) = \sum_{k=1}^{\infty} x_k y_k$$

is a bounded operator of norm at most 1. Show that  $\phi_p$  is an isometric isomorphism.

- **b)** For  $p = \infty$  one analogously defines a map  $\phi_{\infty} : \ell^1(\mathbb{N}) \to c_0(\mathbb{N})^*$  (see Exercise 5, Sheet 2 for the definition of  $c_0(\mathbb{N})$ ). Show that  $\phi_{\infty}$  is an isometric isomorphism.
- c) Deduce that  $c_0(\mathbb{N})$  is not reflexive.

## 6. (Uniqueness in the Hahn-Banach theorem).

- a) Prove that if the dual space  $X^*$  of a real normed vector space X is strictly convex<sup>2</sup>, then the Hahn-Banach extension of a continuous functional on a subspace to all of X is unique.
- b) Give an explicit example where uniqueness of the Hahn-Banach theorem fails.

<sup>&</sup>lt;sup>1</sup>That is, with  $\frac{1}{p} + \frac{1}{q} = 1$ .

<sup>&</sup>lt;sup>2</sup>A normed vector space V is strictly convex if the line segment between any two points  $v_0, v_1 \in V$ with  $||v_0|| = ||v_1|| = 1$  only touches the unit sphere at the end points (i.e.  $||(1-t)v_0 + tv_1|| < 1$  for all  $t \in (0, 1)$ ).