## Solution 15

## DEFINITION OF AUTOMORPHISM GROUP

1. Show that  $\operatorname{Aut}(\mathbb{C}/\mathbb{R}) = {\operatorname{id}, \sigma}$ , where  $\sigma$  is the complex conjugation.

Solution: The inclusion  $\operatorname{Aut}(\mathbb{C}/\mathbb{R}) \supset \{\operatorname{id}, \sigma\}$  is clear, since we already know that the identity and the complex conjugation are automorphisms of  $\mathbb{C}$  fixing the real numbers.

Conversely, assume that  $\varphi \in \operatorname{Aut}(\mathbb{C}/\mathbb{R})$  is a field automorphism. Since  $\varphi$  is  $\mathbb{R}$ -linear by definition, it is uniquely determined by the images of 1 and *i*. Moreover, since, since  $\varphi|_{\mathbb{R}} = \operatorname{id}|_{\mathbb{R}}$ , we know that

$$\varphi(1) = 1 \text{ and } \varphi(i)^2 = \varphi(i^2) = \varphi(-1) = -1 \implies \varphi(i) \in \{\pm i\}$$

This implies that there are at most 2 elements in  $\operatorname{Aut}(\mathbb{C}/\mathbb{R})$ , so that we can conclude that  $\operatorname{Aut}(\mathbb{C}/\mathbb{R}) = {\operatorname{id}, \sigma}$ .

2. Determine  $\operatorname{Aut}(\mathbb{F}_9/\mathbb{F}_3)$ .

Solution: First note that, since  $\#\mathbb{F}_9 = 9 = 3^2$ , the field  $\mathbb{F}_9$  is a two dimensional vector space over  $\mathbb{F}_3$ . On the other hand  $\mathbb{F}_9 \cong \mathbb{F}_3[X]/(X^2+1) = \mathbb{F}_3(\alpha)$  for some  $\alpha$  in an algebraic closure  $\overline{\mathbb{F}}_3$  with  $\alpha^2 = -1$ . So  $\{1, \alpha\}$  is a basis of  $\mathbb{F}_9$  over  $\mathbb{F}_3$ .

Recall also that by Assignment 13, Exercise 2 we have  $X^2 + 1 = (X - \alpha)(X - \alpha^3)$ over  $\mathbb{F}_9$ .

Now let  $\varphi \in \operatorname{Aut}(\mathbb{F}_9/\mathbb{F}_3)$  be a field automorphism. As in Exercise 1,  $\varphi$  is uniquely determined by the images of 1 and  $\alpha$ , and  $\varphi|_{\mathbb{F}_3} = \operatorname{id}_{\mathbb{F}_3}$ ; hence  $\varphi(1) = 1$  and

$$\varphi(\alpha)^2 = \varphi(\alpha^2) = \varphi(-1) = -1 \implies \varphi(\alpha) \in \{\alpha, \alpha^3\}$$

Thus, there are precisely two elements in Aut( $\mathbb{F}_9/\mathbb{F}_3$ ), namely id and the Frobenius automorphism Frob<sub>3</sub>:  $x \mapsto x^3$  (since  $x^3 = x$  for all  $x \in \mathbb{F}_3$ , we have Frob<sub>3</sub>  $|_{\mathbb{F}_3} = \mathrm{id}_{\mathbb{F}_3}$ and Frob<sub>3</sub> is clearly a field automorphism of  $\mathbb{F}_9$ ).

3. Determine all irreducible polynomials of degree 1, 2, 3, 4, 5 in  $\mathbb{F}_2[X]$ .

Solution: Recall that, over an integral domain R, for two polynomials  $f, g \in R[X]$ we know that  $\deg(fg) = \deg(f) \deg(g)$ . Moreover,  $R[X]^{\times} = R^{\times}$ , so that if R is also a field, then a polynomial  $f \in R[X]$  is irreducible if and only if it admits no decomposition into two non-constant polynomials (e.g., this fails on  $R = \mathbb{Z}$ , where the polynomial 2X + 2 = 2(X + 1) is reducible because 2 is not a unit, although it is constant).

• Because of the facts recalled above, all polynomials of degree 1 in  $\mathbb{F}_2[X]$  are irreducible. Those are

$$X, X + 1.$$

• For polynomials of degree 2 and 3, being reducible is equivalent to have a linear factor, because a decomposition of such a polynomial into the product of two non-constant polynomials implies that one of the two has degree 1. But having a linear factor is equivalent to having a root in  $\mathbb{F}_2$ . We see that  $f \in \mathbb{F}_2[X]$  satisfies f(0) = 0 if and only if its constant term is 0 and f(1) = 0 if and only if the sum of the coefficients is 0, i.e., there are an even number of non-zero coefficients. Hence, we look for polynomials of degree 2 and 3 satisfying neither of those two properties and conclude that the irreducible polynomials in  $\mathbb{F}_2[X]$  of degree 2 and 3 are

$$X^{2} + X + 1, X^{3} + X^{2} + 1, X^{3} + X + 1.$$

• A polynomial  $f \in \mathbb{F}_2[X]$  of degree 4 is irreducible if and only if it has no roots (i.e., no factor of degree 1) and it is not the product of two irreducible polynomials of degree 2 (if one of the two degree-2 polynomials is reducible, we fall again in the case where f has a linear factor). This means that we look for all polynomials  $f \in \mathbb{F}_2[X]$  of degree 4 with constant term 1 and odd number of non-zero coefficients and then remove from those the polynomial  $(X^2 + X + 1)(X^2 + X + 1) = X^4 + X^2 + 1$ . This way we obtain the irreducible degree-4 polynomials

$$X^4 + X^3 + 1$$
,  $X^4 + X + 1$ ,  $X^4 + X^3 + X^2 + X + 1$ .

• A polynomial  $f \in \mathbb{F}_2[X]$  of degree 5 is irreducible if and only if it has no roots (i.e., no factor of degree 1) and it is not the product of an irreducible polynomials of degree 2 by an irreducible polynomial of degree 3 (if one of those two polynomials is reducible, we fall again in the case where f has a linear factor). Hence we look for all polynomials  $f \in \mathbb{F}_2[X]$  of degree 5 with constant term 1 and odd number of non-zero coefficients and then remove from those the polynomials  $(X^2 + X + 1)(X^3 + X^2 + 1) = X^5 + X + 1$  and  $(X^2 + X + 1)(X^3 + X + 1) = X^5 + X^4 + 1$ . This way we obtain the irreducible degree-5 polynomials

$$\begin{split} X^5 + X^4 + X^3 + X^2 + 1, \ X^5 + X^4 + X^3 + X + 1, \ X^5 + X^4 + X^2 + X + 1, \\ X^5 + X^3 + X^2 + X + 1, \ X^5 + X^3 + 1, \ X^5 + X^2 + 1. \end{split}$$

4. (a) Show that  $X^4 + 1 \in \mathbb{Q}[X]$  is irreducible.

(b) Show that  $X^4 + 1$  is reducible in  $\mathbb{F}_p[X]$  for every prime p.

Solution:

(a) The standard approach to prove that  $X^4 + 1$  is irreducible in  $\mathbb{Q}$  is to first notice that it has no rational roots (in this case, it is clear that it even has no real roots) and then to suppose it is the product of two degree-2 polynomials with rational coefficients, i.e., that there exist  $a, b, c, d \in \mathbb{Q}$  such that

$$X^{4} + 1 = (X^{2} + aX + b)(X^{2} + bX + d)$$
(1)

and get a contradiction by comparing coefficients.

In order to exclude this second possibility, we notice that a decomposition as in Equation (??) would be a decomposition in  $\mathbb{C}[X]$  as well. Denoting by  $z_1, \ldots, z_4$  the four roots of  $X^4 + 1$  in  $\mathbb{C}$ , the decomposition

$$X^{4} + 1 = (X - z_{1})(X - z_{2})(X - z_{3})(X - z_{4})$$

holds as well, so that, since  $\mathbb{C}[X]$  is a UFD, for some distinct *i* and *j* we must have  $(X - z_i)(X - z_j) = X^2 + aX + b$ . Hence

$$X^{2} + aX + b = X^{2} - (z_{i} + z_{j})X + z_{i}z_{j} \implies z_{i} + z_{j}, z_{i}z_{j} \in \mathbb{Q}$$
(2)

It is easy to compute that

$$\{z_1, z_2, z_3, z_4\} = \left\{\pm \frac{\sqrt{2}}{2}(1\pm i)\right\}$$

We see that  $z_i + z_j = 0$  if  $z_i$  and  $z_j$  are opposites, while otherwise  $z_i + z_j \in \{\pm\sqrt{2}, \pm\sqrt{2}i\}$  (drawing the four roots in the complex plane makes this computations clear). Hence  $z_i + z_j \in \mathbb{Q}$  implies that  $z_i = -z_j$ . But then

$$z_i z_j = -\frac{1}{2}(1 \pm i)^2 = -\frac{1}{2}(1 \pm i)^2 = -(\pm i) \notin \mathbb{Q}.$$

This contradicts (??), so that  $X^4 + 1$  is irreducible in  $\mathbb{Q}[X]$ .

(b) Now we move to  $\mathbb{F}_p[X]$ . If p = 2, the polynomial  $X^4 + 1$  factors as  $X^4 + 1 = (X+1)^4$ . So from now on we suppose that  $p \ge 3$ . Suppose that -1 is a square in  $\mathbb{F}$  that is, there exists  $\xi \in \mathbb{F}$  such that

Suppose that -1 is a square in  $\mathbb{F}_p$ , that is, there exists  $\xi \in \mathbb{F}_p$  such that  $\xi^2 = -1$ . Then

$$X^4 + 1 = (X^2 - \xi)(X^2 + \xi)$$

so that the given polynomial is reducible and we are left to consider the case in which  $p \ge 3$  and -1 is not a square.

We denote by  $\mathbb{F}_p^{\times 2}$  the subgroup of  $\mathbb{F}_p^{\times}$  consisting of squares. It is the image of the group homomorphism  $\theta : \mathbb{F}_p^{\times} \to \mathbb{F}_p^{\times}$  sending  $x \mapsto x^2$ . Since ker $(\theta) = \{\pm 1\}$ , by the First Isomorphism Theorem we see that  $[\mathbb{F}_p^{\times} : \mathbb{F}_p^{\times 2}] = 2$ . By assumption,  $-1 \notin \mathbb{F}_p^{\times 2}$  so that  $\mathbb{F}_p^{\times} = \mathbb{F}_p^{\times 2} \sqcup (-1)\mathbb{F}_p^{\times 2}$ . We look for a decomposition of the form

$$X^{4} + 1 = (X^{2} + aX + b)(X^{2} - aX + b),$$

for some  $a, b \in \mathbb{F}_p$ . This works if and only if  $2b - a^2 = 0$  and  $b^2 = 1$ . Clearly this implies that  $a, b \in \mathbb{F}^{\times}$ . More precisely, we obtain  $b = \pm 1$  and we need to find  $a \in \mathbb{F}_p^{\times}$  such that  $a^2 = 2b$ . This works because of the partition  $\mathbb{F}_p^{\times} = \mathbb{F}_p^{\times 2} \sqcup (-1) \mathbb{F}_p^{\times 2}$ , which tells us that either 2 or -2 is a square, so that we can choose a to be the square root of one of the two and  $b \in \{\pm 1\}$  accordingly.