

Proof.

(1) The proof is by induction on  $[E:k]$ .

If  $[E:k] = 1$ , that is  $E = k$  then  $f$  is a product of linear factors in  $k[x]$  hence  $f_x = \varphi_x(f)$  is a product of linear factors in  $k'[x]$  and  $E^* = k'$ , and  $\varphi: k \rightarrow k'$  is the extension of itself.

Assume  $[E:k] > 1$  and let  $f = p \cdot g$

where  $p$  is an irreducible factor of  $f$  of largest degree  $d$  among all irreducible factors of  $f$ . Then  $d > 1$ . ~~But~~

~~it is separable~~ We have  $f_x = \varphi_x(p) \varphi_x(g)$

and since  $f_x$  is separable  $p_x$  has exactly

$d$  roots  $\alpha_1, \dots, \alpha_d$  all contained in  $E_x$

since  $E_x^*$  is a splitting field of  $f_x$ .

Let  $\alpha \in R(p)$ . By Lemma II.15 we have for every root  $\alpha_i^*$  an extension

$$\hat{\varphi}_i: k(\alpha) \rightarrow E_*$$

with  $\hat{\varphi}_i(\alpha) = \alpha_i^*$ .

Exercise: if  $\hat{\varphi}: k(\alpha) \rightarrow E_*$  is any extension of  $\varphi: k \rightarrow k'$  then  $\hat{\varphi} = \hat{\varphi}_i$  for some  $1 \leq i \leq d$ .

Thus there are precisely  $d$  extensions

$\hat{\varphi}: k(\alpha) \rightarrow E_*$  of  $\varphi: k \rightarrow k'$ , one for each root of  $p_*$ . Now fix such an extension

$$\hat{\varphi}: k(\alpha) \rightarrow k'(\alpha_*) , \alpha_* \in \{\alpha_1^*, \dots, \alpha_d^*\}.$$

Then  $[E: k(\alpha)] = [E: k] / d < [E: k]$ .

Now  $E$  is a splitting field of  $f$  over  $k(\alpha)$  and  $\hat{\varphi}_*(f) = f_*$ , and  $E_*$  is a splitting

field of  $f$  over  $k'(\alpha_1)$ . By recurrence

$\hat{\varphi}$  has  $[E:k(\alpha_1)] = [E:k] / d$  extensions

to  $E \rightarrow E_*$  and as a result  $\varphi:k \rightarrow k'$

has  $[E:k(k)] / d = [E:k]$  extensions.

(2) Take  $k=k'$ ,  $\varphi = \text{id}_k$ ,  $E = E_*$ .  $\square$

Remark: The equality  $[E:k] = |\text{Gal}(E/k)|$

establishes a relation between two quantities

that are both difficult to compute in

practice.

The following corollary is very useful

Corollary II.18 (Coroll. A-5.9) Let  $E/k$

be the splitting field of a separable polynomial

$f \in k[x]$  of degree  $n$ . If  $f$  is irreducible

$$n \mid |\text{Gal}(E/k)|.$$

Proof: Let  $\alpha \in E$  be a root of  $f$ .

Since  $f$  is irreducible  $[k(\alpha) : k] = n$

which together with

$$|\text{Gal}(E/k)| = [E : k] = [E : k(\alpha)] [k(\alpha) : k]$$

gives the result.  $\square$

Proof: Let  $\alpha \in E$  be a root of  $f$ .

Since  $f$  is irreducible  $[k(\alpha):k] = n$

which together with  $\mathbb{F}$

$$|\text{Gal}(E/k)| = [E:k] = [E:k(\alpha)][k(\alpha):k]$$

gives the result.  $\square$

Here is a first nice application of the extension

theorem: (Thm. A.5.13)

Corollary 19 Let  $p$  be prime,  $n \geq 1$ .

$$\text{Then } \text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p) \cong \mathbb{Z}/n\mathbb{Z}$$

and a generator is given by the Frobenius

automorphism:

$$\begin{aligned} \text{Fr} : \mathbb{F}_{p^n} &\longrightarrow \mathbb{F}_{p^n} \\ x &\longmapsto x^p. \end{aligned}$$

Proof:

(1)  $\mathbb{F}_{p^n}$  is a splitting field of the polynomial  $X^{p^n-1} - 1$ ; indeed, since  $\mathbb{F}_{p^n}^\times$  has order  $p^n - 1$ ,  $x^{p^n-1} = 1 \forall x \in \mathbb{F}_{p^n}^\times$  and thus

$$\mathbb{F}_{p^n} = \{0\} \cup \text{Roots}(X^{p^n-1} - 1)$$

which shows that  $\mathbb{F}_{p^n}$  is a splitting field of said polynomial.

(2) Now  $\dim(\mathbb{F}_{p^n}/\mathbb{F}_p) = n$  and  $X^{p^n-1} - 1$  is separable hence by Thm.

$$|\text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p)| = n.$$

(3) Clearly  $\overline{Fr} \in \text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p)$ . Let's

show it has order  $n$ : assume  $1 < k < n$

is its order. Then  $\overline{Fr}^k = \text{Id}_{\mathbb{F}_{p^n}}$

~~II.20~~

But  $\text{Tr}^k(x) = x^{p^k}$ , so every  $x \in \mathbb{F}_{p^n}$  is a root of  $X^{p^k} - X = 0$  and hence  $p^n \leq p^k$  which implies  $k = n$ .  $\square$

Next we turn to an example where the Galois group of a polynomial is as big as possible.

Thm II.20. Let  $p$  be a prime number

and  $f \in \mathbb{Q}[x]$  a polynomial of

~~with splitting field  $E$~~   
degree  $p$ . Assume that  $f$  is irreducible

and has exactly  $p-2$  real roots.

Then  $\text{Gal}(E/\mathbb{Q}) \cong S_p$ .

We will need the following input from finite group theory:

Lemma 5.21 (Cauchy) Let  $G$  be a finite group and  $p$  a prime with  $p \mid |G|$ .

Then  $G$  contains an element of order  $p$ .

Proof: Consider

$$\Gamma_p = \{ (g_1, \dots, g_p) \in G^p : g_1 \dots g_p = e \}$$

The symmetric group  $S_p$  acts on  $G^p$

via  $\gamma (g_1, \dots, g_p) = (g_{\gamma(1)}, \dots, g_{\gamma(p)})$ ,  $\gamma \in S_p$ .

Let  $\sigma = (1, 2, \dots, p)$ , that is a  $p$ -cycle and

$$C_p := \{ \sigma^i : 0 \leq i \leq p-1 \} \leq S_p$$
 the

cyclic subgroup of order  $p$  generated by  $\sigma$ .

We claim that  $C_p$  preserves  $\Gamma_p$ :



Indeed if  $g_1 \dots g_p = e$  then

$$(g_1 \dots g_i)^{-1} g_1 \dots g_p g_1 \dots g_i = e$$

$$g_{i+1} \dots g_p g_1 \dots g_i = e$$

but  $\sigma^i(g_1, \dots, g_p) = (g_{i+1}, g_{i+2}, \dots, g_p, g_1, \dots, g_i)$ .

Now  $\Gamma_p$  is a disjoint union of  $C_p$ -orbits and since  $p$  is prime such an orbit has either cardinality 1 or  $p$ . Let

$$\Gamma_p = \bigsqcup_{i=1}^r U_i \sqcup \bigsqcup_{j=r+1}^l U_j$$

where  $|U_i| = p \quad 1 \leq i \leq r$

$|U_j| = 1 \quad \text{for } j \leq l.$

Thus  $|\Gamma_p| = r \cdot p + (l-r) \cdot 1$

~~On the other hand~~ Observe that

~~$|\Gamma_p| = |G|$~~   $(e, \dots, e) \in \Gamma_p$

gives an orbit of cardinality 1, hence

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$l-r \geq 1$ . On the other hand

$|\Gamma_p| = |G|^{p-1}$  hence  $p \mid |\Gamma_p|$ , which

implies  $l-r \geq 2$ ; that is there is

$(h, \dots, h) \in \Gamma_p$  with  $h \neq e$  which

proves the lemma.  $\square$

Proof of Thm 2.20

Let  $\mathbb{Q} \subset E \subset \mathbb{C}$  be a splitting field of

$f$  and  $R(f) = \{\alpha_1, \dots, \alpha_p\}$  numbered in

such a way that  $\{\alpha_3, \dots, \alpha_p\} \subset \mathbb{R}$ .

~~Now the complex conjugation  $\sigma: \mathbb{C} \rightarrow \mathbb{C}$~~   
 $\sigma \mapsto \bar{\sigma}$

~~fixes  $\alpha_3, \dots, \alpha_p$  and interchanges  $\alpha_1, \alpha_2$ .~~

~~Thus  $\sigma \in \Gamma = \text{Gal}(E/\mathbb{Q})$  and~~

Using Lemma II.7 we consider  $\text{Gal}(E/\mathbb{Q})$

as a subgroup of  $S_p$ .

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Notice that the complex conjugation

$\varepsilon: \mathbb{C} \rightarrow \mathbb{C}, z \mapsto \bar{z}$ , fixes  $\alpha_3, \dots, \alpha_n$  and interchanges  $\alpha_1, \alpha_2$ . Since  $E = \mathbb{Q}(\alpha_1, \dots, \alpha_n)$  this implies  $\varepsilon(E) = E$  and that

$\varepsilon|_E \in \text{Gal}(E/\mathbb{Q}) < S_p$  is the transposition (12).

Since  $f$  is irreducible, Coroll. II.18

implies  $p \mid |\text{Gal}(E/\mathbb{Q})|$  and by Cauchy's

Theorem (Lemma II.21)  $\text{Gal}(E/\mathbb{Q})$

contains an element  $\eta$  of order  $p$ .

Thus  $\eta$  is a  $p$ -cycle (exercise)

and since  $p$  is prime, a transposition

and a  $p$ -cycle generate  $S_p$  (exercise).

□

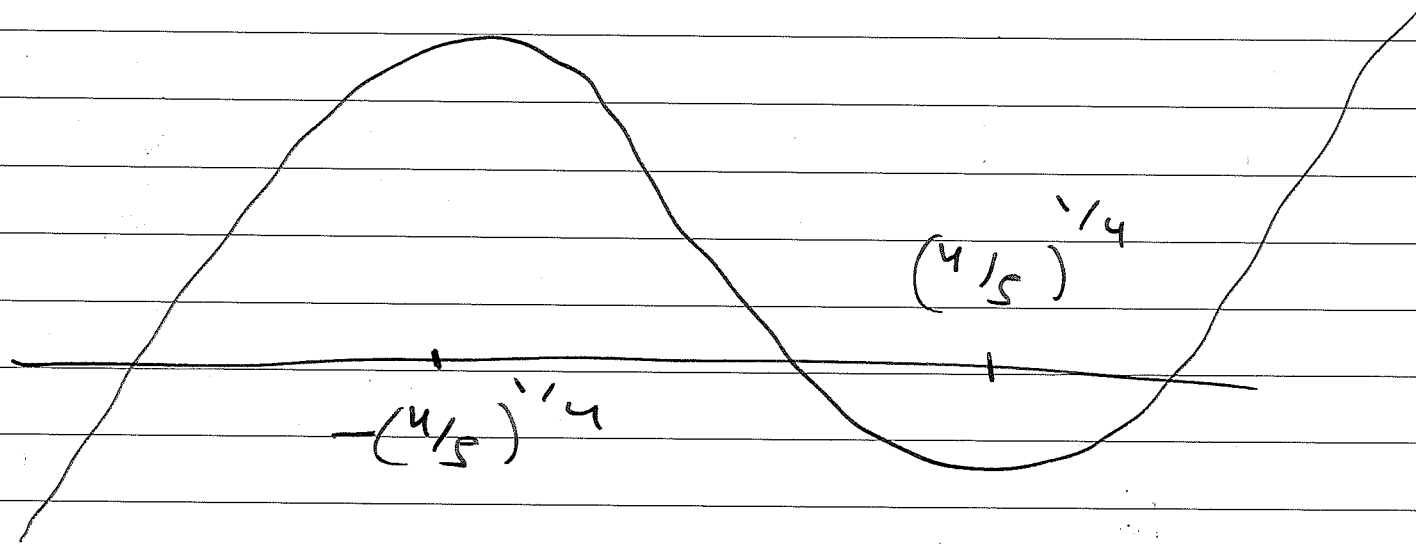
Corollary II.22 The Galois group of  $x^5 - 4x + 2$  is isomorphic to  $S_5$ .

Proof: By Eisenstein's criterion

$$x^5 - 4x + 2 \in \mathbb{Q}[x]$$

is irreducible.

By computing local extrema, we see that the graph of  $f$  has the following shape:



Thus  $f$  has exactly  $3 = 5 - 2$  real zeros and the theorem II.20. applies.  $\square$