

# Constructions w/ straightedge and compass.

Goal. Characterise the set of complex numbers which can be constructed from a given set using straightedge and compass.

## I. Basic operations and constructions.

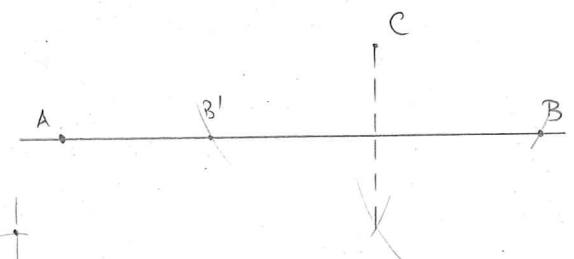
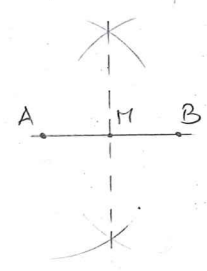
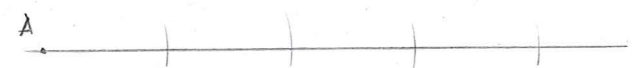
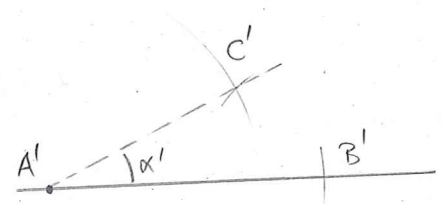
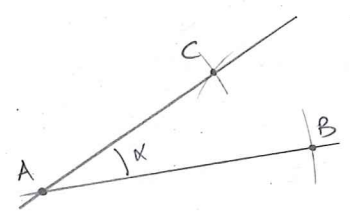
Let  $E$  denote the euclidean plane.

### Permitted operations.

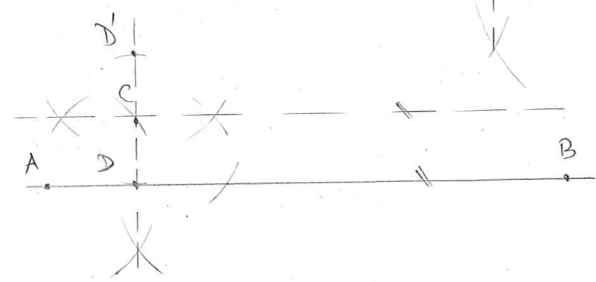
- (1) create a straight line between two existing points;
- (2) take the distance between two existing points and create a circle with this radius and centre any existing point;
- (3) create the one or two points in the intersection of any two lines as in (1) or (2) which intersect.

### Basic constructions.

- (a) transfer a length onto a line segment
- (b) transfer an angle
- (c) construct arbitrarily large lengths
- (d) find the midpoint of a line segment
- (e) construct the perpendicular bisector
- (f) construct a perpendicular line from a point to a line



- (g) construct a line through a point parallel to a given line



## II. Constructible numbers.

(2)

Let  $S \subseteq E$  be a set of points and let  $K_S$  denote the set of all points which can be constructed from  $S$  using (1)-(3).

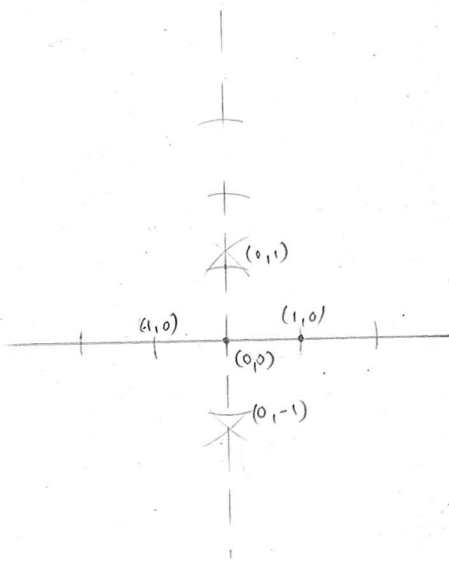
Assume  $\#S \geq 2$  (otherwise  $K_S = S$ )

**Def 1.** For  $A, B, C \in K_S$ , the (euclidean) distance  $d(A, B) \in \mathbb{R}_{\geq 0}$  is called an  $S$ -constructible length, and the angle  $\angle ABC$  is called an  $S$ -constructible angle.

Identify  $E \cong \mathbb{R}^2$  in such a way that  $(0,0), (1,0) \in S$ .

**Fact 2.**  $(0, n), (n, 0) \in K_S$  for all  $n \in \mathbb{Z}$ :

- transfer length  $d((0,0), (1,0))$  to get  $(-1,0)$  (construction (a))
- construct perp bisec (constr. (c)) and transfer length again (constr. (a)) to get  $(0,1)$  and  $(0,-1)$
- repeat constr. (a)  $n$  times along axes in either direction to get  $(0,n)$  and  $(n,0)$ .



Identify  $\mathbb{R}^2 \cong \mathbb{C}$  as usual via  $(x,y) \leftrightarrow x+iy \rightsquigarrow \text{wlog } E = \mathbb{C}$  and  $0,1 \in S$

**Fact 2**  
 $\Rightarrow \mathbb{Z}, i\mathbb{Z} \in K_S$

**Theorem 3.**  $K_S$  is the smallest subfield of  $\mathbb{C}$  with the properties

- (i)  $S \subset K_S$
- (ii)  $\forall z \in K_S : \bar{z} \in K_S$
- (iii)  $\forall z \in \mathbb{C} : z^2 \in K_S \Rightarrow z \in K_S$

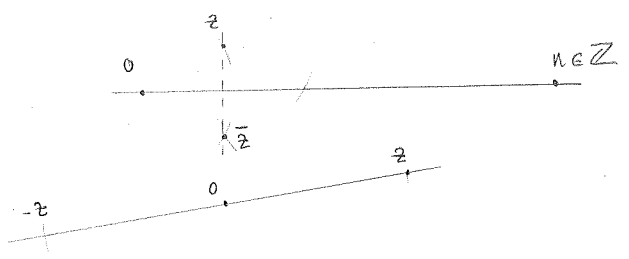
i.e.  $K_S$  is closed under complex conjugation and extraction of square roots.

**Def 4.** We call  $z \in K_S$  an  $S$ -constructible number.

If  $S = \{0,1\}$ , then  $z \in K_S$  is a constructible number.

Proof of Thm 3. (i) is clear.

1.  $z \in K_S \Rightarrow \bar{z} \in K_S$ : use constr. (f) + (a)



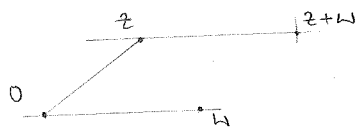
2.  $z \in K_S \Rightarrow -z \in K_S$ : use constr (a)

3.  $z, w \in K_S \Rightarrow z+w \in K_S$ :

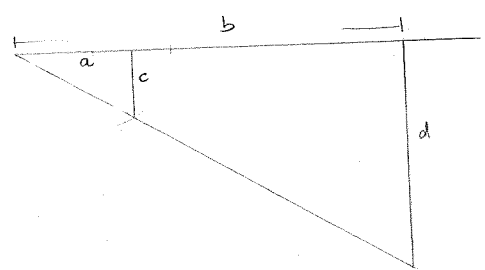
- $z=0$  or  $w=0$  is clear.
- $z, w$  collinear:



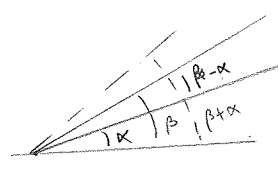
• otherwise: construct parallelogram (constr. (g))



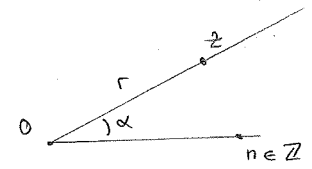
4. if  $a, b, c > 0$  are S-constructible lengths, then so is  $bc/a$ : use basic constr's to obtain  $c \perp a$ ;  $d \perp b \Rightarrow a \rightarrow$  basic proportionality thm implies  $\frac{a}{c} = \frac{b}{d} \Rightarrow d = \frac{bc}{a}$  is constructible.



5. if  $\alpha, \beta \in \mathbb{R}$  are S-constructible angles, then so are  $\alpha \pm \beta$ :



6. Write  $z = re^{i\alpha}$  with  $r \in \mathbb{R}_{>0}$ ,  $\alpha \in \mathbb{R}$ . Then  $z \in K_S \Leftrightarrow r$  and  $\alpha$  are S-constructible.

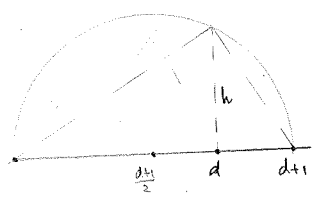


7.  $z, w \in K_S \Rightarrow zw \in K_S$  and if  $w \neq 0$ , then  $z/w \in K_S$ :

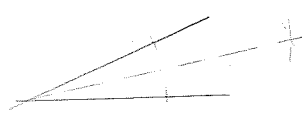
Write  $z = re^{i\alpha}$ ,  $w = se^{i\beta}$ . Then  $zw = rse^{i(\alpha+\beta)}$  is constructible if  $z$  and  $w$  are. (combine 4, 5 and 6)

w/  $b=r, c=s, a=1$  and  $b=r, c=1, a=s$

8. If  $d > 0$  is an S-constructible length, then so is  $\sqrt{d}$ : use basic constr's and Thales thm to get a right triangle. Then geometric mean thm says  $h = \sqrt{d} = \sqrt{d}$ .



9. If  $\alpha$  is an S-constructible angle, then so is  $\alpha/2$ :



10.  $z^2 \in K_S \Rightarrow z \in K_S$ : Write  $z^2 = re^{i\alpha}$ . Combine 2, 6, 8, 9 to get  $z = \pm \sqrt{r} e^{i\alpha/2} \in K_S$

It follows that  $K_S$  is a subfield of  $\mathbb{C}$  satisfying (i)-(iii).

Remains to show:  $K_S$  is the smallest such field.

- Facts 5.**
- the  $S$ -constructible lengths are precisely the ones in  $K_S \cap \mathbb{R}_{>0}$
  - for all  $x, y \in \mathbb{R}$  :  $z := x + iy \in K_S \Leftrightarrow x, y \in K_S \cap \mathbb{R}$
  - the line passing through two points  $a + ib \neq c + id$  is given by

$$\left\{ x + iy \in \mathbb{C} \mid x, y \in \mathbb{R} \text{ and } \det \begin{pmatrix} x & a & c \\ y & b & d \\ 1 & 1 & 1 \end{pmatrix} = 0 \right\}$$

- the circle with radius  $r$  and midpoint  $a + ib$  is

$$\left\{ x + iy \in \mathbb{C} \mid x, y \in \mathbb{R} \text{ and } (x-a)^2 + (y-b)^2 = r^2 \right\}$$

$\Rightarrow$  • the intersection of two lines is obtained by elementary arithmetic, ie by using only the 4 basic arithmetic operations  $+, -, \cdot, /$  (two linear equations for  $x$  and  $y$ )

- the intersection of a line and a circle or of two circles is obtained by elementary arithmetic and extraction of square roots:
  - line  $\cap$  circle : eliminate one variable from line equ  $\mapsto$  quadratic equ
  - circle  $\cap$  circle : difference of the two circ eqns is linear.

**Consequence 6.** All  $z \in K_S$  are obtained from  $S$  by elementary arithmetic and extraction of square roots and complex conjugation.

$\Rightarrow K_S$  is the smallest such subfield.

$\square$  Thm 3.

Set  $K_0 := \mathbb{Q}(S \cup \{i \mid i \in S\})$ . Note:  $S = \{0, 1\} \Rightarrow K_0 = \mathbb{Q}$ .

**Corollary 7.**  $z \in \mathbb{C}$  is an  $S$ -constructible number  $\Leftrightarrow$  and only if there exists  $n \geq 0$  and a tower of field exts  $K_0 \subset K_1 \subset \dots \subset K_n$  st  $z \in K_n$  and  $[K_j / K_{j-1}] = 2$  for all  $1 \leq j \leq n$ . (follows immediately from Cons. 6)

**Corollary 8.** If  $z \in \mathbb{C}$  is  $S$ -constructible, then  $[K_0(z) / K_0] = 2^m$  for some  $m \geq 0$ .

**Proof.**  $K_0(z)$  is an intermediate field of  $K_n / K_0$ , so  $[K_0(z) / K_0]$  divides  $[K_n / K_0] = 2^n$ .  $\square$

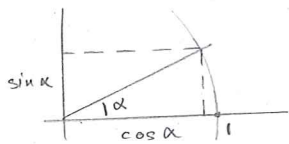
**Caution.** Not every extension  $L / K_0$  of degree  $2^m$  for  $m \geq 2$  can be embedded into such a  $K_n$ .  $\rightarrow$  see exercise in Assignment 21.

### III. Applications to geometric constructions.

**Proposition 9.** For  $\alpha \in \mathbb{R}$  + fae:

- (i)  $\alpha$  is S-constructible
- (ii)  $\cos \alpha \in K_S \cap \mathbb{R}$
- (iii)  $\sin \alpha \in K_S \cap \mathbb{R}$

Proof.



**Example 10.** The regular 5-gon can be constructed.

Proof. Set  $\alpha := \frac{2\pi}{5}$  and  $e^{i\alpha} = \cos \alpha + i \sin \alpha =: x + iy$ . Then

$$1 = (x + iy)^5 = x^5 + 5ix^4y - 10x^3y^2 - 10ix^2y^3 + 5xy^4 + iy^5 = x^5 - 10x^3y^2 + 5xy^4 + iy^5$$

$$y^2 = \sin^2 = 1 - \cos^2 = 1 - x^2$$

(Im(LHS) = 0)

$$= x^5 - 10x^3(1-x^2) + 5x(1-x^2)^2 = 16x^5 - 20x^3 + 5x$$

(Note:  $\cos 5\alpha = 16\cos^5 \alpha - 20\cos^3 \alpha + 5\cos \alpha$ )

$$\Rightarrow \cos \alpha \text{ is a root of } f(x) = 16x^5 - 20x^3 + 5x - 1 = (x-1)(4x^2 + 2x - 1)^2$$

$$\cos \alpha > 0 \Rightarrow \cos \alpha = \frac{1}{4}(\sqrt{5} - 1), \text{ which is constructible.}$$

**Theorem 11.** (Gauss-Wantzel, w/o proof).

A regular  $n$ -gon can be constructed w/ straightedge and compass if and only if  $n$  is the product of a power of 2 and a (possibly empty) product of distinct Fermat primes (ie primes of the form  $2^{2^m} + 1$ )

$\rightarrow$  only 5 known Fermat primes  $\Rightarrow$  only 31 known odd-sided constructible  $n$ -gons!

**Proposition 12.** The following constructions are impossible using only straightedge and compass and finitely many steps:

- (1) doubling the cube: given a cube of volume  $v$  and side length  $s$ , construct a cube of volume  $2v$ .
- (2) trisecting the angle: given an arbitrary angle  $\alpha$ , construct  $\alpha/3$ .
- (3) squaring the circle: construct a square with the same area as a given circle.



Proof. (1)  $\text{ALOG } s=1 \rightsquigarrow K_0 = \mathbb{Q} \rightarrow$  need to construct  $\sqrt[3]{2}$ . But this is a root of  $X^3-2$ , which is irred  $\mathbb{Q}$  by Eisenstein criterion at  $p=2$ . Thus  $[\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}] = 3$  is not a power of 2, and  $\sqrt[3]{2} \notin K_5$ .

(2) Let  $\alpha = \frac{\pi}{3}$ . Then  $\cos \alpha = \frac{1}{2} \in \mathbb{Q} \subset K_{4,0,1,3} \cap \mathbb{R}$ , so  $\alpha$  is constructible. But, using trigonometric identities, we have  $\frac{1}{2} = \cos \alpha = \cos(3 \cdot \frac{\alpha}{3}) = 4\cos^3(\frac{\alpha}{3}) - 3\cos(\frac{\alpha}{3})$ . (\*)

Set  $u := 2\cos(\frac{\alpha}{3})$ . Then (\*)  $\Leftrightarrow u^3 - 3u = 1$ . So  $\alpha$  is constructible if and only if the polynomial  $X^3 - 3X - 1$  is reducible over  $\mathbb{Q}$ . This is true if and only if  $(X+1)^3 - 3(X+1) - 1 = X^3 + 3X^2 - 3$  is. But the latter is irreducible by Eisenstein at  $p=3$ . So  $[\mathbb{Q}(\cos(\frac{\alpha}{3}))/\mathbb{Q}] = 3$  and  $\frac{\alpha}{3}$  not constructible.

(3) Take  $S = \{0, 1, 1, 1\} \rightsquigarrow \text{area} = \pi$ . Then we need to construct side length  $\sqrt{\pi}$ . But we have  $[\mathbb{Q}(\pi)/\mathbb{Q}(\sqrt{\pi})] = 2$  and the highly nontrivial fact that  $[\mathbb{Q}(\pi)/\mathbb{Q}] = \infty$ . Thus we deduce from  $\infty = [\mathbb{Q}(\pi)/\mathbb{Q}] = [\mathbb{Q}(\pi)/\mathbb{Q}(\sqrt{\pi})] \cdot [\mathbb{Q}(\sqrt{\pi})/\mathbb{Q}]$  that  $[\mathbb{Q}(\sqrt{\pi})/\mathbb{Q}] = \infty$  and so  $\sqrt{\pi}$  not constructible. □