

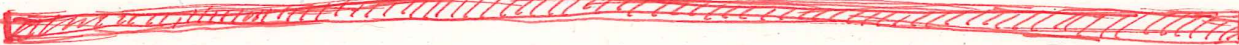
# ⑤ Riemann surface and Hurwitz theory ①

## Outline of the course:

Textbook, office hour  
April 5th off,  
alternate day/time  
HW are all exercises in textbook

(Hylenho's topic var.)  
UZH's course  
MAT 258

- Preliminaries
  - Complex analysis
  - Manifold theories (Algebraic topology)
  - general topology
- Examples of Riemann surfaces (Classification of compact R.S.)
- Theory of R.S.
  - local structure of maps
  - Riemann-Hurwitz Formula
- Some algebraic topology (Fundamental gp, covering space)
- Hurwitz theory — "map counting"



- ↳ Monodromy representation
- ↳ Degeneration formula
- ↳ Hurwitz potential
- ...

Goal

## Overview

Riemann surfaces: 2-dimensional manifolds (with complex structure)

There are maps between R.S.  $\hookrightarrow$  locally isomorphic to  $\mathbb{C}^n$

# Hurwitz theory:

Given some topological constraints (genus, degree, etc.)

count the number of maps between Riemann surface.

maps: (ramified) "coverings"

think of the action of covering



In order to cover:

- Your cover has to fit the surface (local isomorphism)

- You can have a few layers on the top (# of layers: degree)

(can't explain ramifications for now)

I would perhaps say they are ~~intertwined~~, but parts on the sheet that get sticky

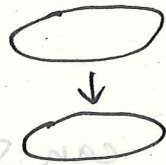
count: • weighted count

- ~~up to isom~~ insensitive to ~~precise shape~~ stretching (up to isomorphism)

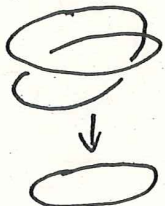
Question 1:

How many connected coverings can  $S^1$  have?

- 1 layer (= degree 1)



- 2 layers (= deg 2)



- 3 layers (= deg 3)



weight 1

weight  $\frac{1}{2}$

weight  $\frac{1}{3}$

weight: keep track of the ambiguity of the map (automorphism)

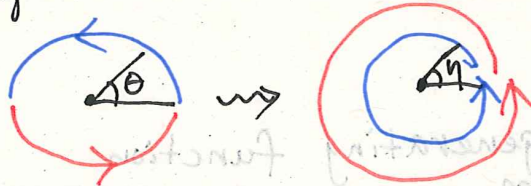
$$\begin{array}{ccc} X & \xrightarrow{f} & S^1 \\ \sigma \downarrow & & \parallel \\ X & \xrightarrow{f} & S^1 \end{array}$$

If  $\sigma$  is invertible, and the diagram commutes,

$$\sigma \in \text{Aut}(X \xrightarrow{f} S^1)$$

Define weight to be  $\frac{1}{|\text{Aut}(X \xrightarrow{f} S^1)|}$

E.g. degree 2 cover:



$$\eta = 2\theta$$

- possible automorphisms:
- Id
  - $\theta \mapsto \theta + \frac{\pi}{2}$

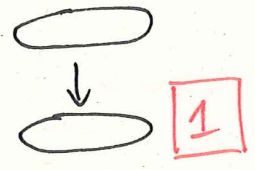
The weighted count: ~~the~~ connected Hurwitz number

$$H_d = \frac{1}{d}$$

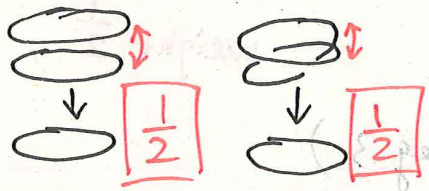
Question 2

How many possibly disconnected covering can  $S^1$  have?

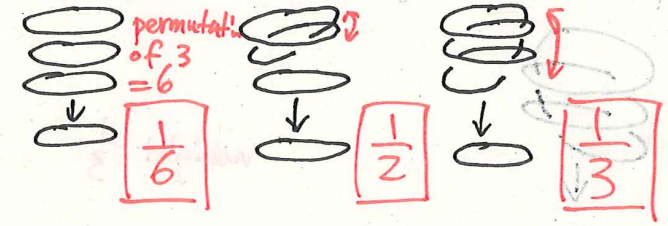
• deg 1



• deg 2



• deg 3



The weighted count: disconnected Hurwitz number

$$H_d$$

Thm :  $H_d = 1 \quad \forall d \geq 1$

Idea of proof: Form a generating function

$$f(x) = \sum_{d \geq 1} H_d x^d$$

Claim:  $\sum_{d \geq 1} H_d \cdot x^d = \exp(\sum_{d \geq 1} H_d x^d)$

Why? Think of the Taylor expansion  
 $\exp(\sum_{i \geq 1} H_d x^d) = 1 + (\sum_{i \geq 1} H_d x^d) + \frac{(\sum_{i \geq 1} H_d x^d)^2}{2!} + \dots$

Expand and collect  $x^i$  coefficients.

Leave it as an exercise.

Now,  $\sum_{d \geq 1} H_d x^d = \sum_{d \geq 1} \frac{1}{d} x^d = \log(\frac{1}{1-x})$

$\sum_{d \geq 1} H_d \cdot x^d = \exp(\log(\frac{1}{1-x})) = \frac{1}{1-x} = 1 + x + x^2 + \dots$

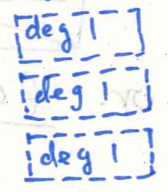
BOOM! ⚡

Maybe try  $d=3$  in the claim

$x^3$  coefficient:

$H_3 + \frac{1}{2!} 2H_1 H_2 + \frac{1}{3!} H_1^3$

from 1st term      2nd term      3rd term



Show me a good explanation next week to get reward!

Why coefficient 1?  
b/c you can't swap the two components as a whole.

why coeff. -  
b/c you CAN swap the two components as a whole!  
A total of ways!

Start of serious stuff

Complex analysis

Def: A function  $f: \mathbb{C} \rightarrow \mathbb{C}$  is ~~differentiable~~ or holomorphic ← I prefer this term if and only if the limit

$$\lim_{|h| \rightarrow 0} \frac{f(z_0+h) - f(z_0)}{h} \text{ exists}$$

Write  $f'(z_0) = \lim_{|h| \rightarrow 0} \frac{f(z_0+h) - f(z_0)}{h}$

A function  $f$  is differential at a domain  $U \subseteq \mathbb{C}$  if it is differential at  $\forall u \in U$

E.g:  $f(z) = z^2$

$f(x+iy) = (x+iy)^2 = \underline{x^2 + 2ixy} - y^2$   
 ~~$h = \Delta x + i\Delta y$~~   
 $\frac{f(x+iy+\Delta x+i\Delta y) - f(x,y)}{\Delta x+i\Delta y} = \dots$  Brutal force!

or.  $\frac{f(z+h) - f(z)}{h} = \frac{(z+h)^2 - z^2}{h} = \frac{h(z+z+h)}{h} = z+z+h$   
 $f'(z) = 2z$   $\lim_{|h| \rightarrow 0} z+z+h = 2z$

We take advantage of  $\mathbb{C}$  being a field.

In the limit, we could approach along x-axis, i.e.

$$h = \Delta x + i\Delta y, \Delta y = 0$$

$$f(x+iy) = (x+iy)^2 = x^2 + 2ixy - y^2$$

$$\lim_{h \rightarrow \Delta x \rightarrow 0} \frac{f(z+h) - f(z)}{h} = \frac{\partial}{\partial x} f = 2x + 2iy$$

Must have the equality. Otherwise the limit does not exist!

along y axis

$$\lim_{h \rightarrow i\Delta y \rightarrow 0} \frac{f(z+h) - f(z)}{h} = \frac{\partial}{\partial iy} f = (-i)(-2y + 2ix) = 2x + 2iy$$

Coming from here

### Thm (Cauchy - Riemann eqn)

Let  $f: \mathbb{C} \rightarrow \mathbb{C}$  be a holomorphic fcn. on an open subset  $U \subset \mathbb{C}$ . Considering  $f = u + iv$  as a real differential fcn on  $\mathbb{R}^2$ , then

$$\frac{\partial}{\partial x} u = \frac{\partial}{\partial y} v, \quad \frac{\partial}{\partial x} v = -\frac{\partial}{\partial y} u$$

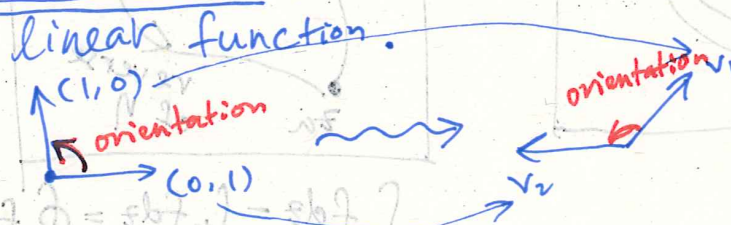
Cor: Let  $f$  be a non-constant hol. fcn.  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is orientation preserving.

Pf:  $J(f) = \begin{pmatrix} \frac{\partial}{\partial x} u & \frac{\partial}{\partial y} u \\ \frac{\partial}{\partial x} v & \frac{\partial}{\partial y} v \end{pmatrix}$

$$\det J(f) = \frac{\partial}{\partial x} u \frac{\partial}{\partial y} v - \frac{\partial}{\partial y} u \frac{\partial}{\partial x} v = \left(\frac{\partial}{\partial x} u\right)^2 + \left(\frac{\partial}{\partial x} v\right)^2 > 0$$

Jacobian matrix: a local approximation of  $f$  as a linear function.

For a linear fcn:



counterclockwise  
counterclockwise  
orientation preserving

### Thm (Open mapping thm)

A non-constant hol. fcn.  $f$  is open

$$\left( \begin{array}{l} U \subset \mathbb{C} \text{ open} \\ \Rightarrow f(U) \subset \mathbb{C} \text{ is open} \end{array} \right)$$

### Path integral

Given a path  $\gamma: [a, b] \rightarrow \mathbb{C}$

$$\int_{\gamma} f(z) dz := \int_a^b f(\gamma(t)) \gamma'(t) dt$$

(treat it like real integration)

Thm: Suppose  $\gamma, \eta: [a, b] \rightarrow U \subset \mathbb{C}$  are related by a continuous deformation of paths.

$$\left( \begin{array}{l} H: [a, b] \times [0, 1] \rightarrow U \\ H(s, 0) = \gamma(s) \\ H(s, 1) = \eta(s) \end{array} \right)$$

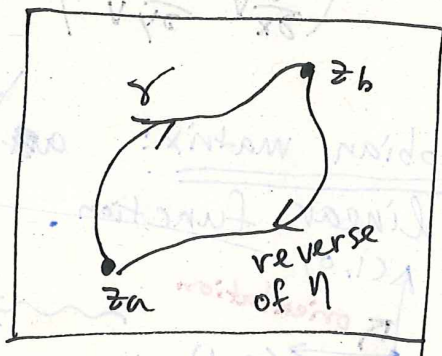
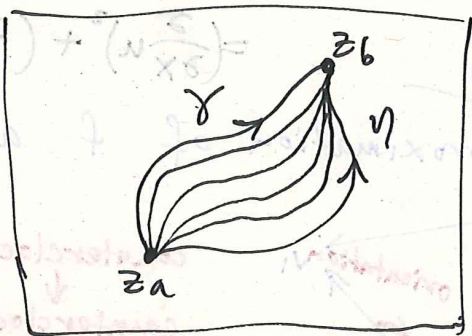
$$\left( \begin{array}{l} \text{say } \gamma(a) = \eta(a) = z_a \\ \gamma(b) = \eta(b) = z_b \\ H(a, t) = z_a \\ H(b, t) = z_b \end{array} \right)$$

then

$$\int_{\gamma} f(z) dz = \int_{\eta} f(z) dz$$

(proof omitted)

proof can be done using Stokes's thm.



exercise

$$\int_{\gamma} f dz - \int_{\eta} f dz = \oint f dz = \dots$$