

## Exercise sheet 10

- 1. (Non-unital algebra with compact Gelfand dual).** Give an example of a non-unital commutative Banach algebra whose Gelfand dual  $\sigma(\mathcal{A})$  is non-empty and compact (and in particular not dense in  $\sigma(\mathcal{A}) \cup \{0\}$ ).

HINT: Consider  $\mathcal{A} = \mathbb{C} \times \mathcal{A}'$  where  $\mathcal{A}'$  is a nilpotent Banach algebra. That is, for every  $a' \in \mathcal{A}'$  there exists  $n \in \mathbb{N}$  with  $(a')^n = 0$ . An example of such a nilpotent algebra can be constructed as in Exercise 6, Sheet 9.

- 2. (The Gelfand transform on  $\ell^1(\mathbb{Z}^d)$ ).** Consider the commutative unital Banach algebra  $\ell^1(\mathbb{Z}^d)$  endowed with convolution  $a * b = (\sum_k a_{n-k} b_k)_k$  and unit  $\mathbb{1} = \delta_0$  (see also FA I, Sheet 4).

- a) Recall that the Pontryagin dual  $\widehat{\mathbb{Z}^d}$  of  $\mathbb{Z}^d$  can be identified with  $\mathbb{T}^d$  by mapping  $t \in \mathbb{T}^d$  to the character  $\chi_t : n \in \mathbb{Z}^d \mapsto e^{2\pi i n \cdot t}$ . Show that under this identification as well as the identification  $\sigma(\ell^1(\mathbb{Z}^d)) \simeq \widehat{\mathbb{Z}^d}$  in Proposition 11.38 the Gelfand transform for  $\ell^1(\mathbb{Z}^d)$  corresponds to the injective bounded algebra homomorphism

$$\tilde{\cdot} : \ell^1(\mathbb{Z}^d) \rightarrow C(\mathbb{T}^d), \quad a = (a_n)_n \mapsto \tilde{a} = \sum_{n \in \mathbb{Z}^d} a_n \chi_n.$$

REMARK: See also FA I, Sheet 7.

- b) Verify that  $\tilde{a}(\mathbb{T}^d) = \sigma(a)$  for every  $a \in \ell^1(\mathbb{Z}^d)$ .
- c) Apply b) to show *Wiener's lemma* for  $C(\mathbb{T}^d)$ : If  $f \in C(\mathbb{T}^d)$  has an absolutely convergent Fourier series and has the property that  $f(x) \neq 0$  for all  $x \in \mathbb{T}^d$  then  $\frac{1}{f}$  also has an absolutely convergent Fourier series.

- 3. (The Pontryagin dual of  $\mathbb{R}^d$ ).** The aim of this exercise is to show that the Pontryagin dual of  $\mathbb{R}^d$  is equal to  $\mathbb{R}^d$ .

- a) We define for any  $t \in \mathbb{R}^d$  the map  $\chi_t : x \in \mathbb{R}^d \mapsto e^{2\pi i x \cdot t}$ . Show that  $\chi_t$  is a character for any  $t$  and that  $t \in \mathbb{R}^d \mapsto \chi_t \in \widehat{\mathbb{R}^d}$  is injective.

b) Show that any character  $\chi \in \widehat{\mathbb{R}^d}$  is of the form  $\chi_t$  for some  $t \in \mathbb{R}^d$ .

HINT: For any  $s \in \mathbb{R}$  and  $\delta > 0$  we have  $\int_s^{s+\delta} \chi(x) dx = \chi(s) \int_0^\delta \chi(y) dy$ . Use this to show that  $\chi$  is smooth and find a differential equation that  $\chi$  satisfies.

c) Show that  $t \in \mathbb{R}^d \mapsto \chi_t \in \widehat{\mathbb{R}^d}$  is a homeomorphism.

4. (An operator with non-empty residual spectrum). Consider the bounded operator

$$T : \ell^2(\mathbb{N}) \rightarrow \ell^2(\mathbb{N}), \quad x = (x_n)_n \mapsto (0, x_1, x_2, \dots).$$

By FAI, Sheet 3 the discrete spectrum  $\sigma_{\text{disc}}(T)$  of  $T$  (i.e. the set of eigenvalues of  $T$ ) is empty. Since  $T$  is an isometry,  $0 \notin \sigma_{\text{appt}}(T)$ . However,  $0 \in \sigma_{\text{resid}}(T)$  as  $T$  is not surjective.

a) Show that the approximate spectrum of  $T$  is

$$\sigma_{\text{approx}}(T) = \{\lambda \in \mathbb{C} : |\lambda| = 1\}.$$

b) Find a formula for the adjoint operator  $T^*$  and compute its discrete spectrum.

c) Show that the residual spectrum of  $T$  is

$$\sigma_{\text{resid}}(T) = \{\lambda \in \mathbb{C} : |\lambda| < 1\}.$$

5. (Compactness versus discreteness). Let  $G$  be a  $\sigma$ -compact locally compact metrizable abelian group.

a) Show that  $\hat{G}$  is discrete if  $G$  is compact.

b) Show that  $\hat{G}$  is compact if  $G$  is discrete.

We note that by Pontryagin duality, the converse results of a),b) above are also true. Let us check one of them by hand:

c) Show that  $G$  is discrete if  $\hat{G}$  is compact.

HINT: Suppose that  $G$  is not discrete. Given any open neighborhood  $U$  of  $e \in G$  and  $g \in U$  non-trivial we may find by separation of characters some  $\chi' \in \hat{G}$  such that  $\chi'(g) \neq 1$ . Take a power of  $\chi'$  to find a character  $\chi \in \hat{G}$  for which  $\chi(g)$  is “far away” from 1.

6. (Closedness of subsets of the spectrum). Let  $\mathcal{H}$  be a separable Hilbert space and let  $T : \mathcal{H} \rightarrow \mathcal{H}$  be bounded. By Exercise 5 the residual spectrum is not necessarily closed.

- a)** Given an example of such an operator  $T$  for which the discrete spectrum is not closed.

HINT: Many compact operators have this property. An example is easy to give in a basis of a Hilbert space.

- b)** Show that the approximate point spectrum  $\sigma_{\text{appt}}(T)$  of  $T$  is closed.

- c)** Give an example of an operator  $T$  for which the approximate spectrum is not closed.

HINT: Consider  $\mathcal{H} = L^2([0, 1])^2$  and  $T : \mathcal{H} \rightarrow \mathcal{H}$  given by  $T(f, g)(x) = (xf(x), f(x))$  for  $x \in (0, 1)$  and  $(f, g) \in \mathcal{H}$ . Show that  $\sigma_{\text{approx}}(T)$  is equal to  $(0, 1]$ .