

I - 1.

II. ~~Geometry~~

Basic Theory of Riemannian Symmetric  
Spaces.

Content:

II.1. Isometries and the isometry group.

II.2. Geodesic symmetry

(leads to loc. symm + s.c.  $\Rightarrow$  globally symm.)

II.3. Transvections and  $\parallel$  transport.

(transvections realize the  $\parallel$  transport)

II.4. Lie group viewpoint.

(Lie grp charact. by Riemannian symmetric pairs  $(G, \sigma)$ )

## II-2.

II. 5. Exponential map and geodesics.

II. 6. Totally geodesic submanifolds.  
(algebraic char.)

II. 7. Examples.

II. 8. Decomposition of symmetric spaces.

Non compact type  $\times$  Eucl. type  $\times$  compact type.

II. 9. Curvature

II. 10. Semisimple Lie groups.

II. 11. Duality theory.

## II-3.

### II.1. Isometries and the isometry group.

Recall that a Riemannian metric on a smooth manifold  $M$  is a map  $g$  which to every  $x \in M$  associates a scalar product  $g_x$  on the tangent space  $T_x M$  with the following smoothness property:

for every coordinate chart

$$\varphi: U \rightarrow \mathbb{R}^n$$

$\cap$   
 $M$

and  $1 \leq i, j \leq n$ ,

the function:

$$U \rightarrow \text{Sym}_n(\mathbb{R})$$

$$x \mapsto g_x \left( \left( \frac{\partial \varphi}{\partial x^i} \right)^{-1}(e_i), \left( \frac{\partial \varphi}{\partial x^j} \right)^{-1}(e_j) \right)$$

is smooth.

## I-4

The length  $l(c)$  of a smooth path

$$c: [a, b] \rightarrow M$$

is by definition

$$l(c) = \int_a^b \sqrt{g_{c(t)}(\dot{c}(t), \dot{c}(t))} dt$$

where  $\dot{c}(t) \in T_{c(t)}M$  is the tangent

vector of  $c$  at  $t$ .

If  $M$  is connected,

$$d(x, y) := \inf \left\{ l(c) : c: [a, b] \rightarrow M \right.$$

is a smooth path with  $c(a) = x,$

$$c(b) = y \left. \right\}$$

defines a distance - it is called the

(associated) Riemannian distance.

A Riemannian manifold  $(M, g)$  will

be a smooth manifold  $M$  endowed with

a Riemannian metric  $g$ .

Let  $(M, g)$  and  $(N, h)$  be Riemannian manifolds.

Def. II.1 A Riemannian isometry is a diffeomorphism  $f: M \rightarrow N$  such that

$$f^*h = g$$

namely:

$$h_{f(p)}(D_p f(u), D_p f(v)) = g_p(u, v)$$

$$\forall p \in M, \forall u, v \in T_p M.$$

It follows that  $f$  preserves the Riemannian distances. The converse is a thm.:

Thm II.2 (Hd Thm I.11.1)

Let  $(M, g)$  be a Riemannian manifold and  $d: M \times M \rightarrow [0, \infty)$  the Riemannian distance. The following properties of a self map  $\varphi: M \rightarrow M$  are equivalent:

(1)  $\varphi$  is a Riemannian isometry.

(2)  $\varphi$  is a distance preserving bijection.

We will call isometry of  $(M, g)$  any self map  $\varphi: M \rightarrow M$  satisfying the equivalent conditions of Thm II.2.

Contrary to isometries of general metric spaces, Riemannian isometries are determined by local data:

Lemma II.3 ([Hel] lemma I.11.12)

Let  $f_1, f_2: (M, g) \rightarrow (N, h)$  be isometries between Riemannian manifolds and assume  $M$  is connected. Assume that for some  $p \in M$  we have

$$(1) f_1(p) = f_2(p)$$

$$(2) D_p f_1 = D_p f_2 \quad \text{Then } f_1 = f_2.$$

This is immediate. <sup>II-7.</sup> In case  $(M, g)$  is complete

Recall the following concept from Riemannian geometry:

Normal neighborhood:

Let  $\text{Exp}_p: T_p M \rightarrow M$  be the exponential map at  $p$ . A normal neighborhood is an open set  $U \ni p$  such that  $U = \text{Exp}_p(N_0)$  where  $N_0 \ni 0$  is a star shaped open set

and

$$\text{Exp}_p|_{N_0}: N_0 \rightarrow U$$

is a diffeomorphism.

Proof of Lemma 1.3 The map  $f := \overset{-1}{f_2} \circ f_1: M \rightarrow M$

~~satisfies~~ is an isometry satisfying

$f(p) = p$ ,  $D_p f = \text{Id}$ . The set

$$S = \{ q \in M : f(q) = q, D_q f = \text{Id} \}$$
 is

closed and  $S \ni p$ . We claim  $S$  is open which together with  $M$  connected implies

$$S = M.$$

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Let  $q \in S$  and  $U = \text{Exp}_q(N_0)$  a normal neighborhood of  $q$ . Since  $f$  is a Riemannian isometry we have  $\forall v \in T_q M$  and  $t \in \mathbb{R}$  with  $tv \in N_0$ :

$$\begin{aligned} f(\text{Exp}_q(tv)) &= \text{Exp}_q(tD_q f(v)) \\ &= \text{Exp}_q(tv) \end{aligned}$$

which implies  $f|_U = \text{Id}_U$ , hence  $U \subset S$ .  $\square$

~~Now let us discuss  $Is(M)$ .~~

Let  $Is(M)$  be the group of isometries of  $M$ , the group law being given by composition of maps. Recall that the compact open topology on  $Is(M)$  is given by the subbasis of open sets:

$$W(C, U) = \{ f \in Is(M) : f(C) \subset U \}$$

where  $C$  is compact and  $U$  is open.



In the notes there is an outline of the following theorem; we refer the reader to the notes.

Thm I. 4.

$IS(M)$  with compact open topology is a locally compact group acting continuously on  $M$ .

This is based on the following facts

(1) On  $IS(M)$  the topology of pointwise convergence and the compact open topology coincide.

(2) Let  $(f_n)_{n \geq 1}$  be a sequence in  $IS(M)$  and  $p \neq \infty$ .  $(f_n(x))_{n \geq 1}$  converges.

Then  $(f_n)_{n \geq 1}$  admits a convergent subsequence.

In particular  $\text{Stab}(p_0)$  is compact.

Now using lemma II.3 we deduce that

$$\begin{aligned} \text{Stab}(r_0) &\longrightarrow D(T_{p_0}M) \\ f &\longmapsto D_{r_0}f \end{aligned}$$

is an injective (cont.) homomorphism which shows  $\text{Stab}(p_0)$  is isomorphic to a closed subgroup of  $D(T_{p_0}M)$ .

## II.2. Geodesic symmetry.

We turn now to a definition of locally symmetric space that is as synthetic as possible, that is without reference to the smooth structure.

Let  $(M, g)$  be a Riemannian manifold.

Def. II.5

(1)  $M$  is locally symmetric if for every  $p \in M$  there is a (normal) neighborhood  $U$  of  $p$  and an isometry

$$S_p : U \rightarrow U$$

with

(a)  $S_p^2 = \text{Id}$

(b)  $p$  is the only fixed point of

$S_p$  in  $U$ .

(2)  $M$  is globally symmetric if  $S_p$  can be extended to an isometry of  $M$ .

In the following lemma we get additional info on these symmetries:

Lemma II.6 Let  $p \in U \subset M$ , where

$U = \text{Exp}_p(V)$  is a normal neighborhood of  $p$ ;

~~let  $S_p : U \rightarrow U$  be an isometry satisfying~~

## II-12-

Let  $f_p: U \rightarrow U$  be an isometry such that  $p$  is the only fixed point of  $f_p$ .

TFTE (1)  $f_p^2 = \text{Id}$

(2)  $D_p f_p = -\text{Id}$ .

Proof:

(2)  $\Rightarrow$  (1)  $D_p(f_p^2) = \text{Id}$  and hence

by lemma 1.3,  $f_p^2 = \text{Id}$ .

(1)  $\Rightarrow$  (2): From  $f_p^2 = \text{Id}$  we conclude

$(D_p f_p)^2 = \text{Id}$ . Hence  $D_p f_p$  is diagonalizable

with eigenvalues  $1, -1$ . If there is  $v \in T_p X_0$

with  $D_p f_p(v) = v$ , then  $f_p(\text{Exp}_p tv) =$

$= \text{Exp}_p tv \quad \forall tv_0 \in N_0$  ~~and hence~~

~~contradiction.~~ □

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It follows then from lemma II.3 that if  $(M, g)$  is connected locally symmetric and  $S_p: U \supseteq V$  is a local symmetry that admits an extension to an isometry  $f$  of  $M$ , this extension is unique.

Let  $(M, g)$  be connected globally symmetric. We have seen that  $Is(M)$  with compact open topology is a locally compact group, thus in particular a topological group.

Let  $Is(M)^0$  be the connected component ~~of~~ of  $\{Id\}$ . Then (exercise)  $Is(M)^0$  is a closed normal subgroup of  $Is(M)$  and our next goal will be to show that it acts transitively on  $M$ . To this end we will need the following

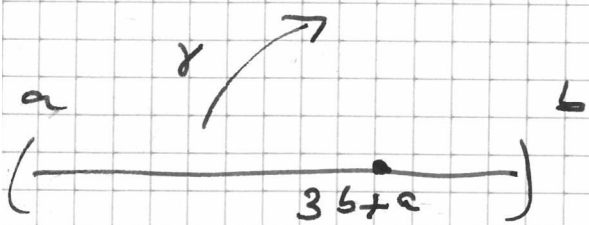
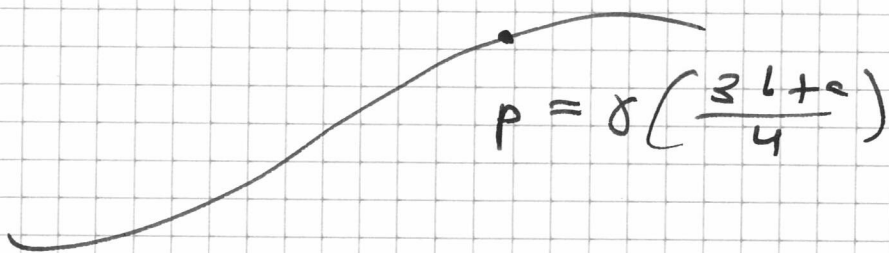
lemmas:

Lemma II.7  $(M, g)$  connected globally symmetric is complete.

Proof: ~~By the Hopf-Rinow theorem it suffices to show that any geodesic segment  $\gamma: [a, b) \rightarrow M$  can be extended to  $b$ .~~

Let  $a < b$  and  $\gamma: (a, b) \rightarrow M$  a geodesic segment. We will show that  $\gamma$  can be extended to a geodesic segment  $(a, b + \frac{b-a}{2})$ ; this will readily imply that  $\gamma$  can be extended to  $\mathbb{R}$  and implies completeness by the Hopf-Rinow theorem.

So let



$$\bar{A} = 16.$$

Let  $\mathcal{S}_p$  be the symmetry at  $p = \delta\left(\frac{3b+a}{4}\right)$   
and define the geodesic

$$\eta: \left(\frac{b+a}{2}, b + \frac{(b-a)}{2}\right) \longrightarrow M$$
$$t \longmapsto \mathcal{S}_p \left( \delta\left(\frac{3b+a}{2} - t\right) \right)$$

Then  $\eta\left(\frac{3b+a}{4}\right) = \delta\left(\frac{3b+a}{4}\right) = p$

$$\dot{\eta}\left(\frac{3b+a}{4}\right) = \mathcal{D}_p \mathcal{S}_p \left( -\dot{\delta}\left(\frac{3b+a}{4}\right) \right)$$
$$= \dot{\delta}\left(\frac{3b+a}{4}\right)$$

hence  $\eta \Big|_{\left(\frac{b+a}{2}, b\right)} = \delta \Big|_{\left(\frac{b+a}{2}, b\right)}$

and provides the desired extension.  $\square$

We can now take the first step towards  
our goal:

Lemma II.8 If  $(M, g)$  is connected globally symmetric then  $Is(M)$  acts transitively on  $M$ .

Proof: Let  $p, q \in M$ ,  $d(p, q)$  the (Riemannian) distance and (by completeness)

$$\gamma: [0, d(p, q)] \rightarrow M$$

a geodesic connecting  $p$  to  $q$ . Take

$$m = \gamma\left(\frac{d(p, q)}{2}\right), \text{ then}$$

$$S_m(\gamma(t)) = \gamma(d-t)$$

and hence  $S_m(p) = q$ .  $\square$

The following is left as an exercise:

Lemma II.9  $(M, g)$  connected globally

symmetric;  $p \in M$ ,  $K = \text{Stab}_{Is(M)}(p)$ .

Then the orbit map

$$Is(M) / K \longrightarrow M \text{ is a homeom.}$$



This will be used to show:

Lemma - II.10. Under the same assumptions

the map  $M \rightarrow IS(M)$

$$g_p \mapsto f_{g_p}$$

is continuous.

Proof: First an observation of general interest:

Let  $p \in M$ ,  $g \in IS(M)$  then:

$$f_{g_p} = g f_p g^{-1}.$$

In deed,  ~~$f_{g_p}(g_p) = g_p$~~

$$(g f_p g^{-1})(g_p) = g_p$$

and: 
$$D_{g_p} (g f_p g^{-1}) = \underbrace{\left( \begin{matrix} D_p g \\ -Id \end{matrix} \right)}_{= -Id} \left( \begin{matrix} D_p f_p \\ \end{matrix} \right) \left( \begin{matrix} D_p g^{-1} \\ \end{matrix} \right)$$

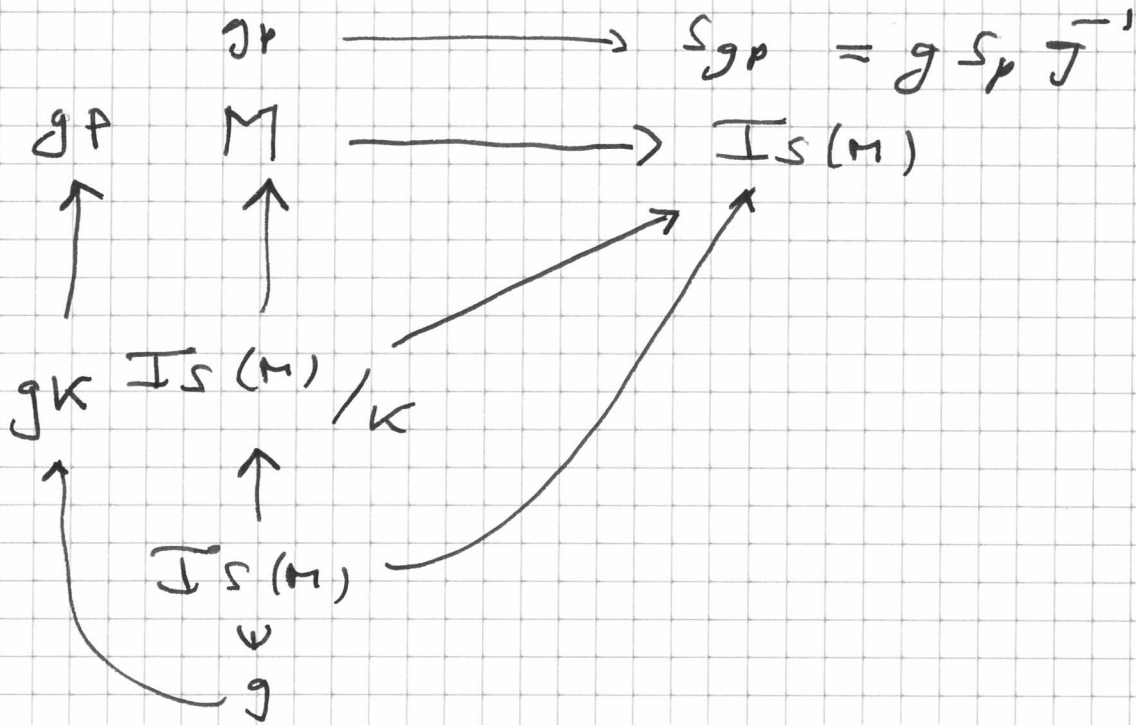
$$= -D_p g D_{g_p} g^{-1} = -Id_{g_p}$$

which by lemma II.6 implies

$$g f_p g^{-1} = f_{g_p}.$$

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Consider now the commutative diagram:



Since  $g \mapsto g S_p \bar{J}^{-1}$  is obviously cont.

~~and  $IS(M) / \kappa \xrightarrow{\quad} M$~~

and descends to  $IS(M) / \kappa$ , by the definition of quotient topology,

$$IS(M) / \kappa \longrightarrow IS(M)$$

$$g_K \longmapsto g S_p \bar{J}^{-1}$$

is continuous; since  $IS(M) / \kappa \longrightarrow M$

is a homeo., the map  $M \longrightarrow IS(M)$

$$g_P \longmapsto g$$

is therefore continuous.  $\square$

We are now ready to prove:

Proposition II.11  $M$  connected, globally symmetric, then  $IS(M)^{\circ}$  acts transitively on  $M$ .

Proof: It follows from the preceding lemma

$$\text{that } M \times M \longrightarrow IS(M)$$
$$(p, p') \longmapsto \mathcal{S}_p \circ \mathcal{S}_{p'}$$

is continuous. Its image contains

$$\mathcal{S}_p = \text{Id}$$

and hence  $\mathcal{S}_p \circ \mathcal{S}_{p'} \in IS(M)^{\circ} \forall p, p' \in M$

Since  $M$  is connected. Now let  $p, q \in M$

$\gamma: [0, d] \rightarrow M$  a geodesic connecting  $p$

to  $q$ . Then:  $\mathcal{S}_{\gamma(\frac{d}{2})} \circ \mathcal{S}_p \in IS(M)^{\circ}$

and  $(\mathcal{S}_{\gamma(\frac{1}{2})} \circ \mathcal{S}_p) \circ \mathcal{S}_p = \mathcal{I}$ .  $\square$

Here is an interesting Corollary:

Corollary II.12 Let  $(M, g)$  be connected and globally symmetric,  $p \in M$  and  $K = \text{Stab}(p) / \text{ISOM}$ .

Then  $K$  meets every connected component of  $\text{IS}(M)$ , in particular  $\text{IS}(M)^\circ$  is open of finite index in  $\text{IS}(M)$ .

Proof:

Let  $g \in \text{IS}(M)$ ; since  $\text{IS}(M)^\circ$  acts transitively on  $M$ , there exists  $g_0 \in \text{IS}(M)^\circ$  with  $g p = g_0 p$ , hence there is  $k \in K$  with  $g = g_0 \cdot k$ . This means that  $K$  meets every coset  $\text{IS}(M)^\circ \cdot g$ ,  $g \in \text{IS}(M)$  and proves the first assertion. Thus the hom.

$$\begin{aligned} \alpha: K &\longrightarrow \text{IS}(M) / \text{IS}(M)^\circ \text{ is} \\ k &\longmapsto k \cdot \text{IS}(M)^\circ \end{aligned}$$

surjective. But  $\text{Id} \in K^\circ$ , hence  $K^\circ \subset \text{IS}(M)^\circ$  and hence  $\alpha$  factors

to  $K/K^0 \rightarrow \text{IS}(M)/\text{IS}(M)^0$ . Since

$K$  is a compact Lie group  $K^0$  is open of finite index in  $K$  which concludes the second part.  $\square$

We now sketch a proof of the following; details can be found in Helgerson, Chap IV lemma 3.2.

### Thm II.12

Let  $M$  be connected, globally symmetric. Then  $\text{IS}(M)$  has a compatible smooth structure. It acts smoothly on  $M$  and the orbit map  $\text{IS}(M)/K \rightarrow M$  is a diffeomorphism.

Proof:

We already know that  $K$  equips  
a smooth structure via

$$\begin{aligned} K &\longrightarrow \mathcal{O}(\mathbb{T}_p M) \\ g &\longmapsto \int_p g. \end{aligned}$$

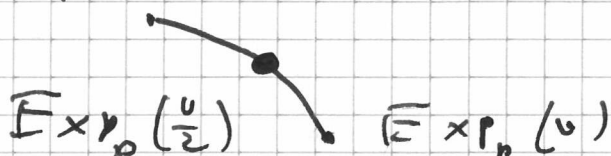
Let  $U = \text{Exp}_p(U_1)$  be a normal  
neighborhood of  $p$ . Then:

$$\begin{aligned} \phi: U &\longrightarrow \text{IS}(M) \\ \text{Exp}_p v &\longmapsto \int_{\text{Exp}_p(\frac{v}{2})} \int_p \end{aligned}$$

is continuous.

$$\begin{aligned} \text{Let } \pi: \text{IS}(M) &\longrightarrow M \\ g &\longmapsto g \cdot p \end{aligned}$$

$$\begin{aligned} \text{Then: } \pi \phi(\text{Exp}_p v) &= \int_{\text{Exp}_p(\frac{v}{2})} \int_p (p) \\ &= \text{Exp}_p(v) \end{aligned}$$



Thus:  $U \xrightarrow{\phi} \pi^{-1}(U) \xrightarrow{\bar{\pi}} U$

and  $\pi \circ \phi = \text{id}_U$  which implies that  $\phi$  is a homeomorphism onto its image, since it has a continuous inverse.

Hence the map

$$\begin{aligned} \gamma: U \times K &\longrightarrow \pi^{-1}(U) \\ (u, k) &\longmapsto \phi(u) \cdot k \end{aligned}$$

is bijective continuous and it has a continuous inverse given by:

$$\begin{aligned} \pi^{-1}(U) &\longrightarrow U \times K \\ g &\longmapsto (g \cdot \bar{\pi}^{-1}(g)) \end{aligned}$$

Thus:  $\bar{\gamma}: \pi^{-1}(U) \rightarrow U \times K$  is a homeo between the open neighborhood  $\pi^{-1}(U)$  of  $\text{Id}$

and  $U \times K$ . One obtains an atlas on  $IS(\pi)$  by covering it by left translates of  $\pi^{-1}(U)$ . One has then to check compatibility.

□