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# Introduction to Lie groups

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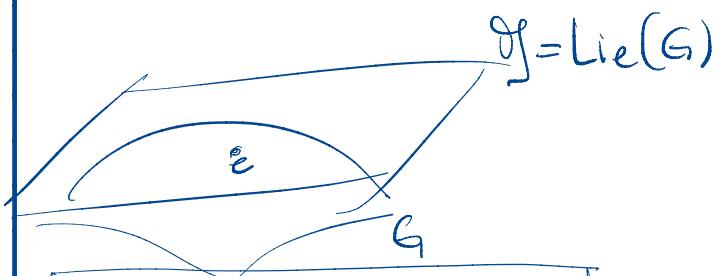
Merlin Incerti Medici

In week  $2k-1$ , get  
Pb set  $k$  to be handed  
in in week  $2k+1$ .

You may ask questions  
in the Ex. session in  
week  $2k$  (otherwise devoted  
to discussion of Pb. set  
 $k-1$ )

Sophus Lie : continuus  
transf. gps.

Alg. eq.  $\rightsquigarrow$  Diff. eq.  
Galois Lie (?)



Algebraic approach

Geom. approach

Naive approach

Can consider only  
lie gps  $G \subset GL(n, \mathbb{R})$ .

1 Lie gps as topological gps 1/2

Today: Top. gps & examples.

Tomorrow: Which of these  
ex. are (loc.) cpt.

Why loc. cpt. gps have  
the haar measure!

- ① Topological gps
- ② Lie groups & their Lie algebras
- ③ Structure theory:  
essential results  
about nilpotent,  
solvable & semisimple  
Lie groups.
- ④(?) hands-on approach  
to algebraic gps.

Defn. A topological group  
 $G$  is a group endowed  
with a Hausdorff topology  
with respect to which  
the group operations

$m: G \times G \rightarrow G$ ,  $i: G \rightarrow G$ ,  
 $(g, h) \mapsto gh^{-1}$ ,  $g \mapsto \bar{g}$

are continuous.

Recall A top. space is  
Hausdorff if any two  
distinct pts have disjoint  
neighborhoods.

Rk The Zariski topology  
is not Hausdorff

Ex. Any gp. with the discrete top- is a top gp.

Ex  $(\mathbb{R}^n, +)$  is a conn. top. gp. w.r.t. the Euclidean topology

Ex  $(\mathbb{R}^*, \cdot)$ ,  $(\mathbb{C}^*, \cdot)$  are Ab. top. gps. with respect to the top. induced by the Euclidean top.

Ex.  $\mathbb{R}^{n^2}$  = n×n matrices with real coeff.

$GL(n, \mathbb{R}) := \{A \in \mathbb{R}^{n^2} : \det A \neq 0\}$  is open set in  $\mathbb{R}^{n^2}$  as it inherits the Euclidean topology.

15

$\forall A, B \in GL(n, \mathbb{R}) \Rightarrow$

$$(AB)_{ij} = \sum_{k=1}^n A_{ik} B_{kj}$$

$\forall A \in GL(n, \mathbb{R}) \Rightarrow$

$$\Rightarrow (A^{-1})_{ij} = \frac{\det M_{ij}}{\det A}$$

$M_{ij} = (i,j)$ - minor if  $\cancel{\begin{array}{|c|c|} \hline i & j \\ \hline \end{array}}$

•  $(A_k) \in GL(n, \mathbb{R})$

$$A_k \rightarrow A \Leftrightarrow (A_k)_{ij} \rightarrow A_{ij}$$

$\Rightarrow GL(n, \mathbb{R})$  is a top. gp with the Euclidean topology.

16

Ex. Can replace  $\mathbb{R}$  with any top. field  $\mathbb{K}$  (i.e. add. mult. & inv. are cont.).

e.g.  $\mathbb{R}, \mathbb{C}, \mathbb{Q}_p$ , finite fields.

$GL(n, \mathbb{K})$  is a top. gp.

Ex.  $X$  gpt. Hausdorff space

$Homeo(X) := \{f: X \rightarrow X \text{ homeo}\}$

is a top. gp. with the gpt-open topology.

Recall(1) If  $X, Y$  are top. space, the compact-open top. on  $C(X, Y) = \{f: X \rightarrow Y\}$  func. /

is the topology generated by the subbasis

$S(C, U) := \{f \in C(X, Y) : f(C) \subset U\}$ ,  
 $C \subset X$  cpt.,  $U \subset Y$  open.

(2) If  $(Y, d)$  is a metrizable space  $\Rightarrow$

$B_C(f, \varepsilon) := \{g \in C(X, Y) : \sup_{x \in C} d(f(x), g(x)) < \varepsilon\}$

where  $C$  is cpt.,  $\varepsilon > 0$ , is a basis for the gpt-open top. on  $C(X, Y)$ .

18

Easy to see: If  $(f_n) \subset C(X, Y)$  then  $f_n \rightarrow f$  in the compact-open top.  $\Leftrightarrow$

$f_n \rightarrow f$  in the top- $\mathcal{S}_b$  unf. conv. on cpt. sets.

(please convergence)  $\Leftarrow$  (unif. conv.) on cpt. sets.  $\Leftarrow$  (unif. conv.)

$\Rightarrow$  discrete top.                     $\Rightarrow$  compact

Warning:  $\text{Homeo}(X)$  can be non-mongous if  $X$  is not cpt.

$X$  loc. cpt.  $\not\Rightarrow \text{Homeo}(X)$  top. gp. /q

$X$  loc. cpt + loc. conn.  $\Rightarrow$   $\text{Homeo}(X)$  top. gp.

$X$  proper metric space (closed balls  $\mathcal{S}_b$  finite radius are cpt)  $\Rightarrow$   $\text{Homeo}(X)$  top. gp.

Ex  $X$  compact metric space.

$\text{Iso}(X) := \{f \in \text{Homeo}(X) :$

$$\begin{aligned} d(f(x), f(y)) &= \\ &= d(x, y) \\ &\forall x, y \in X. \end{aligned}$$

Then  $\text{Iso}(X) \subset \text{Homeo}(X)$  is a closed subgp  $\Rightarrow$  top. gp.

10

Ex Before: top. gp. with the  $\text{Bart.}$  on top.

But  $\text{GL}(n, \mathbb{R}) \subset \text{Homeo}(\mathbb{R}^n)$

↑  
metric space

$\Rightarrow$  the cpt. open top on  $\text{Homeo}(\mathbb{R}^n)$  is the top- $\mathcal{S}_b$  unf. conv. on cpt. sets.

If  $(A_k) \subset \text{GL}(n, \mathbb{R})$ ,  $A_k \rightarrow A$  unf. on cpt. sets  $\Rightarrow$   $A$  linear  $\Rightarrow \text{GL}(n, \mathbb{R})$  is a top. gp. with resp. to the compact open top.

Ex. The cpt. open & the Euclidean top. on  $\text{GL}(n, \mathbb{R})$

coincide.

Ex  $M$  diff. manifold,

$\text{Diff}^r(M) := \{f: M \rightarrow M, f, f^{-1} \in C^r(M)\}$

not a top. gp. in the compact-open topology. However the subspace of

$(f_k) : f_k \rightarrow f \quad \left. \begin{array}{l} \text{unf.} \\ \text{on} \\ f_k \rightarrow f^{(k)} \end{array} \right\} \text{cpt. sets}$   
 $0 \leq k \leq r$  is a top. gp.

Ex  $\Lambda =$  partially ordered set,  $(G_\lambda)_{\lambda \in \Lambda}$ .

Let us assume that  $\forall$

11

$\lambda_1, \lambda_2$  with  $\lambda_1 \leq \lambda_2 \exists$  homo

$$G_{\lambda_2} \xrightarrow{P_{\lambda_2, \lambda_1}} G_{\lambda_1}$$

$((G_\lambda)_{\lambda \in \Lambda}, P_{\lambda_2, \lambda_1})$  a projective system

Then the inverse limit  $\varprojlim$  of a proj. system is the unique smallest top. grp.  $G$  s.t.  
+  $\lambda \in \Lambda \Rightarrow$  homo  $f_\lambda: G \rightarrow G_\lambda$   
such that the diagram

$$\begin{array}{ccc} G & \xrightarrow{P_{\lambda_2}} & G_{\lambda_2} \\ & \downarrow f_{\lambda_1} & \downarrow P_{\lambda_2, \lambda_1} \\ & & G_{\lambda_1} \end{array}$$

$$P_{\lambda_2, \lambda_1} \circ P_{\lambda_2} = P_{\lambda_1}$$

13

Also

$$\varprojlim G_\lambda := \left\{ (x_\lambda)_{\lambda \in \Lambda} \in \prod_{\lambda \in \Lambda} G_\lambda : P_{\lambda_2, \lambda_1}(x_{\lambda_2}) = x_{\lambda_1} \right\}$$

(Compatible sequences).

Fact:  $G = \varprojlim G_\lambda$

If the  $(G_\lambda)$  are top. gps  
 $\Rightarrow \prod_{\lambda \in \Lambda} G_\lambda$  is a top. gp  
 $\Rightarrow \varprojlim G_\lambda$  top. gp.

14

Fact (1)  $(G_\lambda)$  cpt  $\Rightarrow$

$$\Rightarrow \varprojlim G_\lambda$$
 cpt

(2)  $(G_\lambda)$  discrete  $\Rightarrow$

$\Rightarrow \varprojlim G_\lambda$  is totally disconnected

Defn. A profinite gp is the proj. limit of a proj. system consisting of finite gps.

Profinite  $\Rightarrow$  compact & totally disconnected

For example

$$\left( (\mathbb{Z}/p^n\mathbb{Z})_{n \in \mathbb{N}}, P_{n,m}: \mathbb{Z}/p^n\mathbb{Z} \rightarrow \mathbb{Z}/p^m\mathbb{Z} \right)$$

reduction  
mod  $p^n$

The projective limit is the  $p$ -adic integers  $\mathbb{Z}_p$ ; this is a compactification of  $\mathbb{Z}$  (exercise)

Ex important subgps  
 $\varprojlim \mathrm{GL}(n, \mathbb{R})$

15

16

$$(1) A = \left\{ \begin{pmatrix} \lambda & 0 \\ 0 & \lambda_n \end{pmatrix} : \lambda_i \neq 0 \right\}$$

Romeo + Romeo

$\leq GL(n, \mathbb{R})$

$$(2) N = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\} \subset GL(n, \mathbb{R})$$

Romeo

$\mathbb{R}^{\frac{n(n-1)}{2}}$

Not as gpo since  $N$   
is abelian only if  $n \leq 2$

$$(3) K = O(\mathbb{R}^n, \langle \cdot, \cdot \rangle) =$$

$$= \{ X \in GL(n, \mathbb{R}) : \langle Xv, Xw \rangle = \langle v, w \rangle \text{ and } v, w \in \mathbb{R}^n \}$$

$$= \{ X \in GL(n, \mathbb{R}) : \|Xv\| = \|v\|, v \in \mathbb{R}^n \}$$

$$= \{ X \in GL(n, \mathbb{R}) : {}^t X X = Id_n \}.$$

17

18

19

20