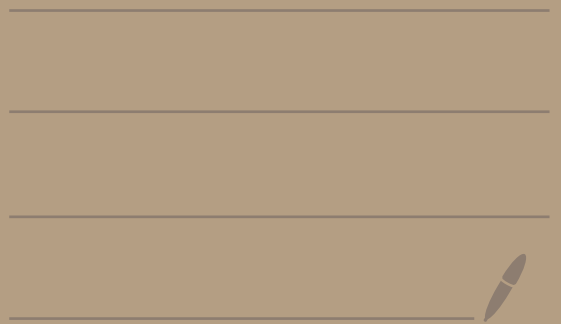


25 November 2020



Theorem (von Neumann for GL, Cartan) G real Lie gp, $H < G$ closed subgroup $\Rightarrow H$ is a real Lie group.

Rk False for complex Lie gps.

Lemma 1 let G be a Lie gp, $\text{Lie}(G) = \mathfrak{g}$, $H < G$ abstract subgp, $\mathfrak{h} \subset \mathfrak{g}$ subspace. let $\exp: U_0 \rightarrow V_e$ be a diffeo and assume

$$(*) \exp(U_0 \cap \mathfrak{h}) = V_e \cap H.$$

Then:

- (1) H is a Lie subgp of G with the induced topology;
- (2) $\mathfrak{h} \subset \mathfrak{g}$ is a subalgebra;
- (3) $\mathfrak{h} = \text{Lie}(H)$.

1

Lemma 2 G Lie gp, $\text{Lie}(G) = \mathfrak{g}$.

If $X, Y \in \mathfrak{g}$, then for t small

$$\exp(tX) \exp(tY) = \exp(t(X+Y) + O(t^2)),$$

where $\frac{1}{t^2} O(t^2)$ is bounded in a nbhd of 0.

Pf of theorem

Want to define $\mathfrak{h} \subset \mathfrak{g}$ subspace that satisfies $(*)$. let

$$\mathfrak{h} := \{ X \in \mathfrak{g} : \exp(tX) \in H \forall t \in \mathbb{R} \}$$

To show:

- (1) \mathfrak{h} is a subspace (use Lemma 2)
- (2) \mathfrak{h} satisfies $(*)$.

(1) \mathfrak{h} closed for multipl. by scalars.

2

let $X, Y \in \mathfrak{g} \Rightarrow$ Lemma 2

$$(\exp(tX) \exp(tY))^n = (\exp(t(X+Y) + O(t^2)))^n = \exp(nt(X+Y) + O(nt^2)).$$

Replace t by $\frac{t}{n} \Rightarrow$

$$(\exp(\frac{t}{n}X) \exp(\frac{t}{n}Y))^n = \exp(t(X+Y) + \frac{1}{n} O(t^2))$$

$$\lim_{n \rightarrow \infty} (\exp(\frac{t}{n}X) \exp(\frac{t}{n}Y))^n = \exp(t(X+Y)) \in H \text{ (closed)}$$

$$= \exp(t(X+Y)) \Rightarrow X+Y \in \mathfrak{h}$$

because H is closed.

(2) we show now that

$$\exp(U_0 \cap \mathfrak{h}) \stackrel{(*)}{=} V_e \cap H.$$

By contradiction. Obviously

$$\exp(U_0 \cap \mathfrak{h}) \subseteq V_e \cap H, \text{ so}$$

if $(*)$ did not hold $\forall U_0 \subset \mathfrak{g}$,

and $\forall V_e \subset G$ such that

3

$\exp: U_0 \rightarrow V_e$ is a diffeo, we could find $h \in V_e \cap H$ but $h \notin \exp(U_0 \cap \mathfrak{h})$.

So \exists a sequence $(h_k)_{k \geq 1} \subset H$ such that $h_k \rightarrow e$, and $W_0 \subset \mathfrak{h}$ s.t. $h_k \notin \exp(W_0)$.

let \mathfrak{h}' be a complementary subspace of \mathfrak{h} , $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{h}'$. In the previous lecture we saw

$$\exp: N_0 \times N'_0 \rightarrow A_e$$

$$(X, X') \mapsto \exp_{\mathfrak{h}}(X) \exp_{\mathfrak{h}'}(X')$$

is a diffeomorphism.

$$\Rightarrow (h_k) \subset H, h_k \rightarrow e$$

so $h_k \in A_e$ for large k .

\Rightarrow for large k

$$h_k = \exp(X_k) \exp(X'_k)$$

4

where $X_k \in \mathfrak{N}_0 \subset \mathfrak{h}$, $X'_k \in \mathfrak{N}'_0 \subset \mathfrak{h}'$.

i) $h_k \notin \exp(\mathfrak{W}_0)$ and

$$X_k \in \mathfrak{N}_0 \subset \mathfrak{W}_0 \Rightarrow X'_k \neq 0$$

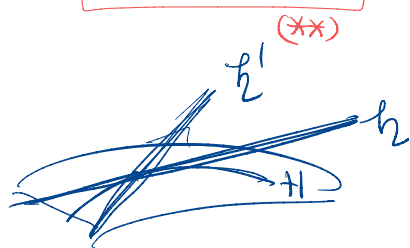
ii) $X_k \in \mathfrak{N}_0 \subset \mathfrak{W}_0 \Rightarrow \exp(X_k) \in \mathfrak{H}$

\Rightarrow Since $h_k = \exp(X_k) \exp(X'_k)$,

then $\exp(X'_k) = \exp(-X_k) h_k \in \mathfrak{H}$.

Putting all of this together, we get

$$e + \exp(X'_k) \in \mathfrak{H} \cap \exp(\mathfrak{N}'_0 - \varepsilon \mathfrak{O}_3)$$



15

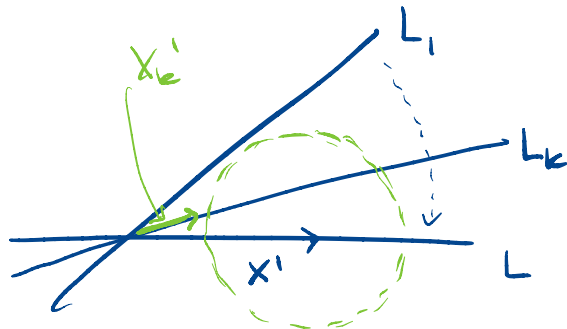
Since $h_k \rightarrow e$ w.r.t X'_k with the notations so far.

Let $L_k := \mathbb{R} X'_k \in \mathbb{P}(\mathfrak{h}')$.

Since $\mathbb{P}(\mathfrak{h}')$ is compact,

$L_k \rightarrow L \in \mathbb{P}(\mathfrak{h}')$ (perhaps by passing to a subsequence).

Let $x' \in L = \mathbb{R} X'$. Then if $\varepsilon > 0$



for k large enough:

1) $L_k \cap \mathcal{B}(x', \varepsilon) \neq \emptyset$

2) $\|X'_k\| < \varepsilon$

3) $\exists n_k \in \mathbb{Z}$ s.t.

16

$$\|x' - n_k X'_k\| < \varepsilon, \text{ that is}$$

$$\lim_{k \rightarrow \infty} n_k X'_k = x'$$

But then

$$\exp x' = \lim_{k \rightarrow \infty} \exp(n_k X'_k) =$$

$$= \lim_{n \rightarrow \infty} \underbrace{(\exp(X'_k))}^{\substack{\cap (**) \\ \mathfrak{H}}} \in \mathfrak{H},$$

which is impossible since

$$x' \in L \in \mathbb{P}(\mathfrak{h}') - \square$$

Pause

Adjoint representations

G Lie group, $\mathfrak{g} = \text{Lie}(G)$.

A representation ρ of G over

17

$\mathbb{K} (= \mathbb{R} \text{ or } \mathbb{C})$ is a continuous homomorphism $\pi: G \rightarrow GL(n, \mathbb{K})$

A rep. ρ of \mathfrak{g} over \mathbb{K} is a homo $\rho: \mathfrak{g} \rightarrow \mathfrak{gl}(n, \mathbb{K})$.

Fact (seen) Any rep. ρ of G induces by differ. a Lie algebra rep.

$$d_e \pi: \mathfrak{g} \rightarrow \mathfrak{gl}(n, \mathbb{K})$$

Fact let $V \subset \mathbb{K}^n$ be a subspace. Then V is

$\pi(G)$ -invariant \Leftrightarrow it is

$d_e \pi(G)$ -invariant.

We denote h_V the

stab. ρ of V in $GL(n, \mathbb{K})$

and \mathfrak{h}_V its Lie algebra.

18

We can find coordinates in \mathbb{R}^n in such a way that

$$H_v \subset \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\} \subset GL(n, \mathbb{R})$$

$$h_v \subset \left\{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \right\} \subset \mathfrak{gl}(n, \mathbb{R})$$

If $g \in G$, consider $\mathcal{G}: G \rightarrow G$
 $\mathcal{G}(h) = ghg^{-1}$. (in the context of the Haar \otimes $\mathcal{G}(h) = \bar{g}hg$.)
 $\mathcal{G}(e) = e$, $\mathcal{G} \circ c_u = c_{gh} \Rightarrow$
 $\Rightarrow d_e \mathcal{G}: \mathfrak{g} \rightarrow \mathfrak{g}$ is a Lie algebra automorphism.

Defn. let G be a Lie gp with Lie algebra \mathfrak{g} .

19

(1) The Adjoint representation of G is

$$\text{Ad}: G \rightarrow GL(\mathfrak{g})$$

$$g \mapsto d_e c_g$$

(2) The adjoint representation of \mathfrak{g} is

$$\text{ad}: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$$

$$\text{ad} := d_e \text{Ad}.$$

Remark By naturality of the exponential map

$$\begin{array}{ccc} \mathfrak{g} & \xrightarrow{\text{Ad}(g)} & \mathfrak{g} \\ \text{exp}_G \downarrow & \circlearrowleft & \downarrow \text{exp}_G \\ G & \xrightarrow{c_g} & G \end{array}$$

10

commutes, that is $\forall x \in \mathfrak{g}$
 $\text{exp}_G \circ \text{Ad}(g)(x) = c_g \circ \text{exp}_G(x)$

(2) but also

$$\begin{array}{ccc} \mathfrak{g} & \xrightarrow{\text{ad}} & \mathfrak{gl}(\mathfrak{g}) \\ \text{exp}_G \downarrow & \circlearrowleft & \downarrow \text{exp}_{GL(\mathfrak{g})} \\ G & \xrightarrow{\text{Ad}} & GL(\mathfrak{g}) \end{array}$$

commutes, that is

$$\text{exp}_{GL(\mathfrak{g})} \circ \text{ad} = \text{Ad} \circ \text{exp}_G.$$

Proposition If G is a closed subgroup of $GL(n, \mathbb{R})$, then
 $\text{Ad}(g)(x) = gXg^{-1}$
 for all $g \in G$, $x \in \mathfrak{g}$.

11

Proof, since $G \subset GL(n, \mathbb{R}) \Rightarrow$
 $\Rightarrow d_e c_g = c_g \quad \square$

Proposition If G is a Lie gp with Lie algebra \mathfrak{g} , then for all $x, y \in \mathfrak{g}$,
 $\text{ad}(x)(y) = [x, y]$.

Definition let \mathfrak{g} be a Lie algebra. The adjoint representation of \mathfrak{g} is

$$\text{ad}: \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$$

$$x \mapsto \text{ad}(x),$$

where $\text{ad}(x)(y) := [x, y]$.

Pf of Proposition $\left. \begin{array}{l} \mathfrak{g} = \text{Lie}(G) \\ \text{ad} = d_e \text{Ad} \end{array} \right\} \text{ad}(x)(y) = [x, y]$

12

Sloppy proof. $X, Y \in \mathfrak{g}$

$$\begin{aligned} \text{ad}(X)(Y) &= (d_e \text{Ad})(X)(Y) = \\ &= \frac{d}{dt} \Big|_{t=0} \text{Ad}(\exp(tX))(Y) \\ &= \frac{d}{dt} \Big|_{t=0} (d_e c_{\exp(tX)})(Y) \\ &= \frac{d}{dt} \Big|_{t=0} \frac{d}{ds} \Big|_{s=0} c_{\exp(tX)}(\exp(sY)) \\ &= \frac{d}{dt} \Big|_{t=0} \frac{d}{ds} \Big|_{s=0} \exp(tX) \exp(sY) \exp(-tX) \end{aligned}$$

If G is linear $d_e c_g = c_g$

$$\begin{aligned} \Rightarrow \text{ad}(X)(Y) &= \\ &= \frac{d}{dt} \Big|_{t=0} \exp(tX) Y \exp(-tX) = \\ &= XY - YX. \end{aligned}$$

13

We need to consider the left invariant v.f. associated to an element in \mathfrak{g} .

Convention If $Z \in \mathfrak{g}$,
 $\tilde{Z} \in \text{Vect}(G)^G$ with $\tilde{Z}_e = Z$.

Naturality of exp

$$\begin{aligned} \text{Ad}(\exp_G(tX)) &= \exp_{\text{Ad}(G)}(\text{ad}(tX)) \\ &= e^{\text{ad}(tX)} \end{aligned}$$

matrix exponential

If $Y \in \mathfrak{g}$

$$\begin{aligned} \text{Ad}(\exp_G(tX))(Y) &= e^{\text{ad}(tX)}(Y) \\ &= 1 + t \text{ad}(X)(Y) + \frac{t^2}{2} R(tX)(Y) \end{aligned}$$

14

$$\widetilde{\text{ad}(X)(Y)} \stackrel{(*)}{=} \frac{d}{dt} \Big|_{t=0} \widetilde{\text{Ad}(\exp_G(tX))(Y)}$$

To compute the derivative:

$Z \in \mathfrak{g}$, $f \in C^\infty(G)$

$$\begin{aligned} \widetilde{Z}_g(f) &= (d_g f)(\widetilde{Z}_g) = \\ &= (d_g f)(d_e L_g)(Z) = \quad (*) \\ &= d_e(f \circ L_g)(Z). \end{aligned}$$

(1) $s \mapsto \exp(sZ)$ goes through e at $s=0$ with tangent vector Z .

(*) at $g \exp(tX)$

$$\begin{aligned} \widetilde{Z}_{g \exp(tX)} \stackrel{(*)}{=} &= d_e(f \circ L_{g \exp(tX)})(Z) \quad (2) \\ &= \frac{d}{ds} \Big|_{s=0} (f \circ L_{g \exp(tX)})(\exp(sZ)) \\ &= \frac{d}{ds} \Big|_{s=0} f(g \exp(tX) \exp(sZ)) \end{aligned}$$

15

$$\begin{aligned} (2) \quad Z &= \text{Ad}(h)(Y) = d_e c_h(Y) \\ g \text{ to } s &\mapsto h \exp(sY) h^{-1} \\ \Rightarrow (*) &\text{ to } \widetilde{\text{Ad}(h)(Y)} = \end{aligned}$$

$$\begin{aligned} \Rightarrow \widetilde{\text{Ad}(h)(Y)} g(f) &= \\ &= d_e(f \circ L_g)(\text{Ad}(h)(Y)) = \\ &= \frac{d}{ds} \Big|_{s=0} (f \circ L_g)(h \exp(sY) h^{-1}) = \\ &= \frac{d}{ds} \Big|_{s=0} f(g h \exp(sY) h^{-1}). \end{aligned}$$

$$\begin{aligned} \text{From } (*) & \\ \widetilde{\text{ad}(X)(Y)} &= \frac{d}{dt} \Big|_{t=0} \widetilde{\text{Ad}(\exp_G(tX))(Y)} \\ (2) \quad h &= \exp(tX) \\ &= \frac{d}{dt} \Big|_{t=0} \frac{d}{ds} \Big|_{s=0} f(g \exp(tX) \exp(sY) \exp(-tX)) \end{aligned}$$

16

Ex. If $F(u, v)$ is diff. \Rightarrow
 $\frac{d}{dt} \Big|_{t=0} F(t, t) = \frac{d}{dt} \Big|_{t=0} F(0, t) +$
 $+ \frac{d}{dt} \Big|_{t=0} F(t, 0).$ \square

$$= \frac{d}{ds} \Big|_{s=0} f(g \exp(tx) \exp(sY)) +$$

$$+ \frac{d}{ds} \Big|_{s=0} f(g \exp(sY) \exp(-tx))$$

(1) $\frac{d}{dt} \Big|_{t=0} \tilde{Y} g \exp(tx) (f) -$

$$- \frac{d}{ds} \Big|_{s=0} \tilde{X} g \exp(sY) (f) =$$

$$= (\tilde{X} \cdot \tilde{Y})_g^{(f)} - (\tilde{Y} \cdot \tilde{X})_g^{(f)} = [X, Y]_g^{(f)}$$

$$= \widetilde{[X, Y]}_g (f) \Rightarrow$$

\Rightarrow at $g=e$

$$\boxed{\text{ad}(X)(Y) = [X, Y]} \quad \square$$