



**11.1. Dual operators** . Let  $(X, \|\cdot\|_X)$ ,  $(Y, \|\cdot\|_Y)$  and  $(Z, \|\cdot\|_Z)$  be normed spaces. Recall that if  $T \in L(X, Y)$ , then its dual operator  $T^*$  is in  $L(Y^*, X^*)$  and it is characterised by the property

$$\forall x \in X \quad \forall y^* \in Y^* : \quad \langle T^*y^*, x \rangle_{X^* \times X} = \langle y^*, Tx \rangle_{Y^* \times Y}.$$


Prove the following facts about dual operators.

- (i)  $(\text{Id}_X)^* = \text{Id}_{X^*}$
- (ii) If  $T \in L(X, Y)$  and  $S \in L(Y, Z)$ , then  $(S \circ T)^* = T^* \circ S^*$ .
- (iii) If  $T \in L(X, Y)$  is bijective with inverse  $T^{-1} \in L(Y, X)$ , then  $(T^*)^{-1} = (T^{-1})^*$ .
- (iv) Let  $\mathcal{I}_X: X \hookrightarrow X^{**}$  and  $\mathcal{I}_Y: Y \hookrightarrow Y^{**}$  be the canonical inclusions. Then,

$$\forall T \in L(X, Y) : \quad \mathcal{I}_Y \circ T = (T^*)^* \circ \mathcal{I}_X.$$

**11.2. Isomorphisms and isometries** . Let  $(X, \|\cdot\|_X)$  and  $(Y, \|\cdot\|_Y)$  be normed spaces and  $T \in L(X, Y)$ . Prove the following statements.

- (i) If  $T$  is an isomorphism, then  $T^*$  is an isomorphism.
- (ii) If  $T$  is an isometric isomorphism, then  $T^*$  is an isometric isomorphism.
- (iii) If  $X$  and  $Y$  are both reflexive, then the reverse implications of (i) and (ii) hold.
- (iv) If  $(X, \|\cdot\|_X)$  is a reflexive Banach space isomorphic to the normed space  $(Y, \|\cdot\|_Y)$ , then  $Y$  is reflexive.

**11.3. Operator on compact sequences** . Consider the space  $(c_0, \|\cdot\|_{\ell^\infty})$ , where as usual  $c_0 := \{(x_n)_{n \in \mathbb{N}} \in \ell^\infty \mid \lim_{n \rightarrow \infty} x_n = 0\}$  and the subspace  $c_c := \{(x_n)_{n \in \mathbb{N}} \in \ell^\infty \mid \exists N \in \mathbb{N} \forall n \geq N : x_n = 0\}$ . Consider the linear operator


$$T: c_c \subset c_0 \rightarrow \ell^1, \quad (Tx)_n = nx_{n+1}.$$

- (i) Is  $T$  extendable to a bounded linear operator  $T: c_0 \rightarrow \ell^1$ ? Justify your answer.
- (ii) Compute the adjoint of  $T$ , namely determine

$$T^*: D_{T^*} \subset (\ell^1)^* \rightarrow (c_0)^*.$$

Notice that the characterization of the subspace  $D_{T^*}$  is also required.

- (iii) Prove that the operator  $T$  is closable. Define the domain  $D_{\overline{T}}$  of its closure and determine an element belonging to the set  $D_{\overline{T}} \setminus c_c$ .

**11.4. Compact operators** . Let  $(X, \|\cdot\|_X)$ ,  $(Y, \|\cdot\|_Y)$  and  $(Z, \|\cdot\|_Z)$  be normed spaces. We denote by

$$K(X, Y) = \{T \in L(X, Y) \mid \overline{T(B_1(0))} \subset Y \text{ compact}\}$$

the set of *compact operators* between  $X$  and  $Y$ . Prove the following statements.

- (i)  $T \in L(X, Y)$  is a compact operator if and only if every bounded sequence  $(x_n)_{n \in \mathbb{N}}$  in  $X$  has a subsequence  $(x_{n_k})_{k \in \mathbb{N}}$  such that  $(Tx_{n_k})_{k \in \mathbb{N}}$  is convergent in  $Y$ .
- (ii) If  $(Y, \|\cdot\|_Y)$  is complete, then  $K(X, Y)$  is a closed subspace of  $L(X, Y)$ .
- (iii) Let  $T \in L(X, Y)$ . If its range  $T(X) \subset Y$  is finite dimensional, then  $T \in K(X, Y)$ .
- (iv) Let  $T \in L(X, Y)$  and  $S \in L(Y, Z)$ . If  $T$  or  $S$  is a compact operator, then  $S \circ T$  is a compact operator.
- (v) If  $X$  is reflexive, then any operator  $T \in L(X, Y)$  which maps weakly convergent sequences to norm-convergent sequences is a compact operator.

**11.5. Integral operators**  $\square$ . Let  $m \in \mathbb{N}$  and let  $\Omega \subset \mathbb{R}^m$  be a bounded subset. Given  $k \in L^2(\Omega \times \Omega)$ , consider the linear operator  $K: L^2(\Omega) \rightarrow L^2(\Omega)$  defined by

$$(Kf)(x) = \int_{\Omega} k(x, y)f(y) dy$$

- (i) Prove that  $K$  is well-defined, i.e.  $Kf \in L^2(\Omega)$  for any  $f \in L^2(\Omega)$ .
- (ii) Prove that  $K$  is a compact operator.

**11.6. Operator that is (almost) injective**  $\square$ . Suppose that  $X, Y, Z$  are Banach spaces over  $\mathbb{R}$ , let  $P \in L(X, Y)$  and assume that there exists a compact map  $J \in L(X, Z)$ . Suppose also that there is a constant  $C > 0$  such that for all  $x \in X$  one has

$$\|x\|_X \leq C(\|Px\|_Y + \|Jx\|_Z) \tag{*}$$

- (i) If  $P$  is injective, show that there is another constant  $C' > 0$  such that for all  $x \in X$  one has

$$\|x\|_X \leq C'\|Px\|_Y.$$

- (ii) Without assuming that  $P$  is injective show that (\*) implies that  $\ker(P)$  has finite dimension. Hence, prove the existence of a closed subspace  $W$  of  $X$  with  $X = \ker(P) \oplus W$  (i.e. a topologically complementary subspace  $W$  of  $\ker(P)$  in  $X$ ). Then exploit part (i) to show that for all  $x \in W$  one has

$$\|x\|_X \leq C''\|Px\|_Y$$

for some constant  $C'' > 0$ .