



3.1. Bounded maps to Banach space . Let M be a set and let $(X, \|\cdot\|_X)$ be a Banach space. Then show that the set of bounded maps

$$B(M, X) := \left\{ f: M \rightarrow X \mid \sup_{m \in M} \|f(m)\|_X < \infty \right\}$$

endowed with the norm

$$\|f\| = \sup_{m \in M} \|f(m)\|_X$$


(as defined in class) is itself a Banach space.

3.2. Normal convergence . Let $(X, \|\cdot\|)$ be a normed vector space. Prove that the following statements are equivalent.


(i) $(X, \|\cdot\|)$ is a Banach space.

(ii) For every sequence $(x_n)_{n \in \mathbb{N}}$ in X with $\sum_{k=1}^{\infty} \|x_k\| < \infty$, the limit $\lim_{N \rightarrow \infty} \sum_{n=1}^N x_n$ exists.

Hint. A Cauchy sequence converges if and only if it has a convergent subsequence.

3.3. Subsets with compact boundary . Let $(X, \|\cdot\|)$ be an infinite-dimensional normed vector space and let $Z \subset X$ be a bounded subset with compact boundary. Prove that Z has empty interior, i.e., $Z^\circ = \emptyset$.

Hint. Assume that $Z^\circ \neq \emptyset$. Find a continuous map that projects the boundary ∂Z to the boundary of a ball inside Z . This will contradict the fact that the unit sphere in an infinite-dimensional normed space is non-compact.

3.4. Topological complement .

Definition. Let $(X, \|\cdot\|_X)$ be a Banach space. A subspace $U \subset X$ is called *topologically complemented* if there is a subspace $V \subset X$ such that the linear map I given by

$$\begin{aligned} I: (U \times V, \|\cdot\|_{U \times V}) &\rightarrow (X, \|\cdot\|_X), & \|(u, v)\|_{U \times V} &:= \|u\|_X + \|v\|_X, \\ (u, v) &\mapsto u + v \end{aligned}$$

is a continuous isomorphism of normed spaces with continuous inverse. In this case V is said to be a *topological complement* of U .

(i) Prove that $U \subset X$ is topologically complemented if and only if there exists a continuous linear map $P: X \rightarrow X$ with $P \circ P = P$ and image $P(X) = U$.

(ii) Show that a topologically complemented subspace must be closed.

3.5. Closed subspaces ⚙️💎. Show that the subspaces

$$U = \{(x_n)_{n \in \mathbb{N}} \in \ell^1 \mid \forall n \in \mathbb{N} : x_{2n} = 0\},$$

$$V = \{(x_n)_{n \in \mathbb{N}} \in \ell^1 \mid \forall n \in \mathbb{N} : x_{2n-1} = nx_{2n}\}$$

are both closed in $(\ell^1, \|\cdot\|_{\ell^1})$ while the subspace $U \oplus V$ is not closed in $(\ell^1, \|\cdot\|_{\ell^1})$.

Hint. Prove that if any sequence $(x^{(k)})_{k \in \mathbb{N}}$ of elements $x^{(k)} = (x_n^{(k)})_{n \in \mathbb{N}} \in \ell^1$ converges to $(x_n)_{n \in \mathbb{N}}$ in ℓ^1 for $k \rightarrow \infty$, then each entry $x_n^{(k)}$ converges in \mathbb{R} to x_n for $k \rightarrow \infty$. For the second claim, show $c_c \subset U \oplus V$. (Recall c_c from Problem 2.4.)

3. Solutions

Solution of 3.1: We need to check that $B(M, X)$ is complete with respect to the topology induced by the norm $|\cdot|$. Let $(f_k)_{k \in \mathbb{N}}$ be a Cauchy sequence, i.e., for every $\varepsilon > 0$ there exists $K \in \mathbb{N}$ such that $|f_k - f_h| \leq \varepsilon$ for all $k, h \geq K$. Then note that the sequence $(f_k(m))_{k \in \mathbb{N}}$ is Cauchy in $(X, \|\cdot\|_X)$ for all $m \in M$, since $|f_k(m) - f_h(m)| \leq \sup_{n \in M} \|f_k(n) - f_h(n)\|_X = |f_k - f_h|$. Hence we can define a function $f: M \rightarrow X$ as the pointwise limit of the sequence $(f_k)_{k \in \mathbb{N}}$, namely $f(m) := \lim_{k \rightarrow \infty} f_k(m)$, which exists for all $m \in M$ because $(f_k(m))_{k \in \mathbb{N}}$ is Cauchy in the Banach space $(X, \|\cdot\|_X)$.

Finally we need to show that $f \in B(M, X)$ and that the sequence $(f_k)_{k \in \mathbb{N}}$ converges to f with respect to the norm $|\cdot|$. To this purpose, fixed any $\varepsilon > 0$, observe that there exists $K \in \mathbb{N}$ with

$$|f_k - f_h| \leq \varepsilon \quad \forall k, h \geq K \implies \|f_k(m) - f_h(m)\|_X \leq \varepsilon \quad \forall k, h \geq K \quad \forall m \in M.$$

Letting $h \rightarrow \infty$, this implies

$$\|f(m) - f_k(m)\|_X \leq \varepsilon \quad \forall k \geq K \quad \forall m \in M \implies |f - f_k| \leq \varepsilon \quad \forall k \geq K,$$

which proves that $(f_k)_{k \in \mathbb{N}}$ converges to $f \in B(M, X)$.

Solution of 3.2: If $(X, \|\cdot\|)$ is a Banach space, and $(x_k)_{k \in \mathbb{N}}$ any sequence in X with $\sum_{k=1}^{\infty} \|x_k\| < \infty$, then $(s_n)_{n \in \mathbb{N}}$ given by $s_n = \sum_{k=1}^n x_k$ is a Cauchy sequence (and hence convergent) since by assumption, every $\varepsilon > 0$ allows $N_\varepsilon \in \mathbb{N}$ such that for every $m \geq n \geq N_\varepsilon$,

$$\|s_m - s_n\| \leq \sum_{k=n+1}^m \|x_k\| \leq \sum_{k=N_\varepsilon+1}^{\infty} \|x_k\| < \varepsilon.$$

Conversely, we assume for every sequence $(x_k)_{k \in \mathbb{N}}$ in X that $\sum_{k=1}^{\infty} \|x_k\| < \infty$ implies convergence of $s_n = \sum_{k=1}^n x_k$ in X for $n \rightarrow \infty$. Let $(y_n)_{n \in \mathbb{N}}$ be a Cauchy in X . Then,

$$\forall k \in \mathbb{N} \quad \exists N_k \in \mathbb{N} \quad \forall n, m \geq N_k : \quad \|y_n - y_m\| \leq 2^{-k}.$$

Without loss of generality, we can assume $N_{k+1} > N_k$. Let $x_k := y_{N_{k+1}} - y_{N_k}$. Then,

$$\sum_{k=1}^{\infty} \|x_k\| = \sum_{k=1}^{\infty} \|y_{N_{k+1}} - y_{N_k}\| \leq \sum_{k=1}^{\infty} 2^{-k} < \infty,$$

which by assumption implies that

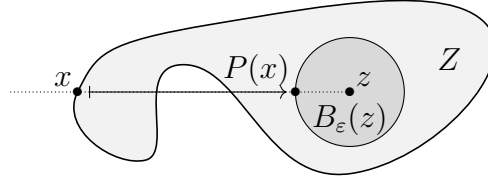
$$s_n = \sum_{k=1}^n x_k = \sum_{k=1}^n (y_{N_{k+1}} - y_{N_k}) = y_{N_{n+1}} - y_{N_1}$$

converges in X for $n \rightarrow \infty$. Hence, $(y_{N_n})_{n \in \mathbb{N}}$ is a convergent subsequence of $(y_n)_{n \in \mathbb{N}}$. Since $(y_n)_{n \in \mathbb{N}}$ is Cauchy, it converges to the same limit in X . Thus, X is complete.

Solution of 3.3: If $Z \subset X$ has non-empty interior $Z^\circ \neq \emptyset$, then there exists $z \in Z$ and $\varepsilon > 0$ such that $B_\varepsilon(z) \subset Z^\circ$, where $B_\varepsilon(z)$ denotes the ball of radius ε around z in $(X, \|\cdot\|)$ and $\partial B_\varepsilon(z)$ its boundary. We consider the projection

$$P: Z \setminus \{z\} \rightarrow \partial B_\varepsilon(z)$$

$$x \mapsto z + \varepsilon \frac{x - z}{\|x - z\|}.$$



For every $y \in \partial B_\varepsilon$ the ray $\gamma = \{z + t(y - z) \mid t > 0\}$ must intersect ∂Z since Z is assumed to be bounded. Therefore, $P(\partial Z) = \partial B_\varepsilon(z)$. Being continuous, P maps compact sets onto compact sets. Since ∂Z is assumed to be compact, we have that the sphere $\partial B_\varepsilon(z)$ is compact. This however contradicts the assumption that the dimension of X is infinite.

Solution of 3.4:

(i) Suppose, $U \subset X$ is topologically complemented by $V \subset X$. Then, $I: U \times V \rightarrow X$ with $(u, v) \mapsto u + v$ is a continuous isomorphism with continuous inverse. We define

$$P_1: U \times V \rightarrow U \times V, \quad P := I \circ P_1 \circ I^{-1}: X \rightarrow X.$$

$$(u, v) \mapsto (u, 0)$$

The map P_1 is linear, bounded since $\|P_1(u, v)\|_{U \times V} = \|u\|_U \leq \|(u, v)\|_{U \times V}$ and hence continuous. As composition of linear continuous maps, P is linear and continuous. Moreover,

$$P \circ P = (I \circ P_1 \circ I^{-1}) \circ (I \circ P_1 \circ I^{-1}) = I \circ P_1 \circ P_1 \circ I^{-1} = I \circ P_1 \circ I^{-1} = P,$$

$$P(X) = I(U \times \{0\}) = U.$$

Conversely, suppose $U \subset X$ allows a continuous linear map $P: X \rightarrow X$ with $P \circ P = P$ and $P(X) = U$. Let $V := \ker(P)$. Then

$$P \circ (1 - P) = P - P = 0 \quad \Rightarrow \quad (1 - P)(X) \subseteq \ker(P) = V. \quad (1)$$

In fact, $(1 - P)(X) = V$ since given $v \in V$ we have $v = (1 - P)v$. Analogously,

$$(1 - P) \circ P = P - P = 0 \quad \Rightarrow \quad U = P(X) \subseteq \ker(1 - P). \quad (2)$$

In fact, $U = \ker(1 - P)$ since $x - Px = 0$ implies $x = Px \in U$. The claim is, that

$$I: U \times V \rightarrow X$$

$$(u, v) \mapsto u + v$$

is continuous and has a continuous inverse. The continuity of I follows directly from

$$\|I(u, v)\|_X = \|u + v\|_X \leq \|u\|_X + \|v\|_X = \|(u, v)\|_{U \times V}.$$

By the assumptions on P , in particular by (1), the map

$$\begin{aligned}\Phi: X &\rightarrow U \times V \\ x &\mapsto (Px, (1 - P)x)\end{aligned}$$

is well-defined and continuous. Since $Pu = u$ for all $u \in U$ by (2), we have

$$\begin{aligned}(\Phi \circ I)(u, v) &= \Phi(u + v) = (Pu + Pv, u - Pu + v - Pv) = (u, v). \\ (I \circ \Phi)(x) &= I(Px, (1 - P)x) = Px + (1 - P)x = x,\end{aligned}$$

which implies that Φ is inverse to I . Consequently, U is topologically complemented.

(ii) If $U \subset X$ is topologically complemented, then (i) implies existence of a continuous map $P: X \rightarrow X$ with $\ker(1 - P) = U$. Thus, U must be closed as the kernel of the continuous map $1 - P$.

Remark. If X is *not* isomorphic to a Hilbert space, then X has closed subspaces which are *not* topologically complemented (see [Lindenstrauss & Tzafriri. *On the complemented subspaces problem.* (1971)]). An example is $c_0 \subset \ell^\infty$ but this is not easy to prove.

Solution of 3.5:

Claim 1. Let $(x^{(k)})_{k \in \mathbb{N}}$ be a sequence of sequences $x^{(k)} = (x_n^{(k)})_{n \in \mathbb{N}} \in \ell^1$ and let $x = (x_n)_{n \in \mathbb{N}} \in \ell^1$. Then, the following implication is true.

$$\lim_{k \rightarrow \infty} \|x^{(k)} - x\|_{\ell^1} = 0 \quad \Rightarrow \quad \forall n \in \mathbb{N} : \lim_{k \rightarrow \infty} |x_n^{(k)} - x_n| = 0.$$

Proof. Let $\varepsilon > 0$ and $n \in \mathbb{N}$. By assumption, there exists $K_\varepsilon \in \mathbb{N}$ such that

$$\forall k \geq K_\varepsilon : \quad |x_n^{(k)} - x_n| \leq \sum_{n=1}^{\infty} |x_n^{(k)} - x_n| = \|x^{(k)} - x\|_{\ell^1} < \varepsilon. \quad \square$$

Claim 2. $U = \{(x_n)_{n \in \mathbb{N}} \in \ell^1 \mid \forall n \in \mathbb{N} : x_{2n} = 0\}$ is closed in $(\ell^1, \|\cdot\|_{\ell^1})$.

Proof. Let $(x^{(k)})_{k \in \mathbb{N}}$ be a sequence of sequences $x^{(k)} = (x_n^{(k)})_{n \in \mathbb{N}} \in U$ converging to $x = (x_n)_{n \in \mathbb{N}}$ in ℓ^1 . By definition, $x_{2n}^{(k)} = 0$ for every $n \in \mathbb{N}$. According to Claim 1,

$$x_{2n} = \lim_{k \rightarrow \infty} x_{2n}^{(k)} = 0$$

for every $n \in \mathbb{N}$. Thus, $x \in U$. □

Claim 3. $V = \{(x_n)_{n \in \mathbb{N}} \in \ell^1 \mid \forall n \in \mathbb{N} : x_{2n-1} = nx_{2n}\}$ is closed in $(\ell^1, \|\cdot\|_{\ell^1})$.

Proof. Let $(x^{(k)})_{k \in \mathbb{N}}$ be a sequence of sequences $x^{(k)} = (x_n^{(k)})_{n \in \mathbb{N}} \in V$ converging to $x = (x_n)_{n \in \mathbb{N}}$ in ℓ^1 . By definition, $x_{2n-1}^{(k)} = nx_{2n}^{(k)}$ for every $n \in \mathbb{N}$. By Claim 1,

$$x_{2n-1} = \lim_{k \rightarrow \infty} x_{2n-1}^{(k)} = \lim_{k \rightarrow \infty} nx_{2n}^{(k)} = nx_{2n}$$

for every $n \in \mathbb{N}$. Thus, $x \in V$. □

Claim 4. $c_c := \{(x_n)_{n \in \mathbb{N}} \in \ell^\infty \mid \exists N \in \mathbb{N} \forall n \geq N : x_n = 0\} \subset U \oplus V$.

Proof. Let $x \in c_c$. Then, $x = u + v$ with $u = (u_m)_{m \in \mathbb{N}}$ and $v = (v_m)_{m \in \mathbb{N}}$ given by

$$u_m = \begin{cases} x_m - nx_{m+1}, & \text{if } m = 2n - 1, \\ 0, & \text{if } m \text{ is even} \end{cases} \quad v_m = \begin{cases} nx_{m+1}, & \text{if } m = 2n - 1, \\ x_m, & \text{if } m \text{ is even.} \end{cases}$$

The assumption $x \in c_c$ implies $u, v \in c_c \subset \ell^1$. Then, $u \in U$ holds by construction and $v \in V$ follows from $v_{2n-1} = nx_{2n-1+1} = nx_{2n} = nv_{2n}$ for every $n \in \mathbb{N}$. □

Claim 5. The space c_c is dense in $(\ell^1, \|\cdot\|_{\ell^1})$.

Proof. Let $x \in \ell^1$. Let $x^{(k)} = (x_n^{(k)})_{n \in \mathbb{N}} \in c_c$ be given by

$$x_n^{(k)} = \begin{cases} x_n & \text{for } n < k, \\ 0 & \text{for } n \geq k. \end{cases}$$

Then,

$$\|x^{(k)} - x\|_{\ell^1} = \sum_{n=k}^{\infty} |x_n| \xrightarrow{k \rightarrow \infty} 0. \quad \square$$

Claim 6. The sequence $x = (x_m)_{m \in \mathbb{N}}$ defined as follows is in ℓ^1 but not in $U \oplus V$.

$$x_m = \begin{cases} 0, & \text{if } m \text{ is odd,} \\ \frac{1}{n^2}, & \text{if } m = 2n. \end{cases}$$

Proof. Since $\sum_{n=1}^{\infty} \frac{1}{n^2} < \infty$ we have $x \in \ell^1$. Suppose $x = u + v$ for $u \in U$ and $v \in V$. Then, $u_{2n} = 0$ implies $v_{2n} = x_{2n} = \frac{1}{n^2}$ for every $n \in \mathbb{N}$. By definition of V , we have $v_{2n-1} = nv_{2n} = \frac{1}{n}$ for every $n \in \mathbb{N}$. However, $\sum_{n=1}^{\infty} \frac{1}{n} = \infty$ implies $v \notin \ell^1$ which contradicts the definition of V . □

Claims 4, 5 and 6 imply that

$$\overline{U \oplus V} \supset \overline{c_c} = \ell^1 \not\supseteq U \oplus V.$$

Therefore, $U \oplus V$ cannot be closed.