

Lecture 9. Introduction to $\overline{\mathcal{M}}_{g,n}(X, \beta)$.

- Def of $\overline{\mathcal{M}}_{g,n}(X, \beta)$
- Boundary strata Def / Obs
- Examples : $X = \mathbb{P}^1$.

§1. Defn of the moduli space of stable maps.

X = nonsingular, projective variety / \mathbb{C} .

$\beta \in H_2(X, \mathbb{Z}) \leftarrow$ singular homology.

$$\overline{\mathcal{M}}_{\text{gen}}(X, \beta)(\mathbb{C}) = \left\{ f: (C, p_1, \dots, p_n) \rightarrow X \mid \begin{array}{l} (C, p_1, \dots, p_n): \text{connected nodal} \\ \text{curve} \\ p_a(C) = g, \quad f_*[C] = \beta \\ |\text{Aut}(f)| < \infty \end{array} \right\}$$

• Stability condition: $\text{Aut}(f) \subset \text{Aut}(C, p_1, \dots, p_n)$ s.t

$$\begin{array}{ccc} (C, p_i) & \xrightarrow{f} & X \\ g \downarrow s'' & \nearrow \tau & \\ (C, p_i) & \xrightarrow{f} & \end{array}$$

Ex $f: \mathbb{P}^1 \rightarrow \mathbb{P}^N$ which is not const. $\Rightarrow [f]$ has only finitely many automorphisms.

$\leadsto (C, p_1, \dots, p_n)$ can contain unstable components.

Theorem (Kontsevich) $\overline{\mathcal{M}}_{\text{gen}}(X, \beta)$ is a proper Deligne-Mumford stack / \mathbb{C} .

□ Canonical maps.

There are canonical maps associated to $\overline{\mathcal{M}}_{g,n}(X, \beta)$:

$\mathcal{M}_{g,n}$ = moduli space of nodal curves of genus g, n markings
 (no stability) \leftarrow locally finite type algebraic stack

$$\begin{array}{c}
 \mathcal{C} \cong \overline{\mathcal{M}}_{g,n+1}(X, \beta) \xleftarrow{\text{universal curve}} \\
 \pi \downarrow \qquad \qquad \qquad \xrightarrow{\text{ev}_i} X \qquad \qquad \qquad \text{ev}_i([f]) = f(p_i) \\
 [f] \in \overline{\mathcal{M}}_{g,n}(X, \beta) \qquad \qquad \qquad p_i([f]) = (c, p_1, \dots, p_n) \\
 \int_p \qquad \qquad \qquad 1 \leq i \leq n \\
 \mathcal{M}_{g,n}
 \end{array}$$

$\mathcal{M}_{g,n}(X, \beta) \subset \overline{\mathcal{M}}_{g,n}(X, \beta)$ is the locus where C is smooth.

When $2g-2+n > 0$, we know:

$\bar{M}_{g,n}$: smooth, irreducible, DM stack of dim = $3g-3+n$

\cup

$M_{g,n} \leftarrow$ nonempty open substack.

Unlike $M_{g,n}$, $\bar{M}_{g,n}(X, \beta)$ can be as complicated as possible!

- a) can be empty
- b) can be disconnected
- c) can have many irreducible components w/ different dim
- d) can have arbitrarily worse singularity. (due to Vakil)

Examples (i) $X = \text{elliptic curve} \Rightarrow \bar{M}_{0,n}(X, \beta) = \emptyset$ if $\beta \neq 0$.

(ii) $X \subset \mathbb{P}^4$ general deg 5 hypersurface

$\Rightarrow \exists$ finitely many (2875) lines $\subset X$

$\Rightarrow \bar{M}_{0,0}(X, 1)$ is a disjoint union of finitely many pts.

Despite all those difficulties, we can understand meaningful geometry of $\bar{M}_{g,n}(X, \beta)$! ('92~)

§2. Boundary strata

Recall: We described the boundary of $\overline{\mathcal{M}}_m$ in terms of stable graphs

~~~ play similar games with decorated stable graphs.

$$\text{Ex } f: \begin{array}{c} G_1 \\ \text{---} \\ G_2 \end{array} \longrightarrow X \quad \begin{aligned} f_*[G_1] &= \beta_1 \\ f_*[G_2] &= \beta_2 \end{aligned}$$

$$\rightsquigarrow \Gamma = \begin{array}{c} h_1 \quad h_2 \\ \text{---} \\ g=0, \beta_1 \quad g=1 \beta_2 \end{array}$$

• Gluing homomorphism.  $\xi_r : \overline{\mathcal{M}}_r(X) \longrightarrow \overline{\mathcal{M}}_{g,n}(X, \beta)$ .

Ex Let's try:

$$\overline{\mathcal{M}}_{0,1}(X, \beta) \times \overline{\mathcal{M}}_{1,1}(X, \beta_2) \longrightarrow \overline{\mathcal{M}}_r(X, \beta)$$

The image of two legs should map to the same point!

$$\begin{array}{ccc} \xi_r & \overline{\mathcal{M}}_r(X) & \longrightarrow \overline{\mathcal{M}}_{1,1}(X, \beta_2) \\ \searrow & \downarrow \tau & \downarrow \text{ev}_{h_2} \\ \overline{\mathcal{M}}_{g,n}(X, \beta) & \overline{\mathcal{M}}_{0,1}(X, \beta_1) & \xrightarrow{\text{ev}_{h_1}} X \end{array}$$

$\xi_r$  is a finite morphism.  
of  $\xi_r$  is not an actual divisor of  $\overline{\mathcal{M}}_{g,n}(X, \beta)$ !

We will see that the image

## ⇒ Deformation & Obstruction.

↳ We will study this carefully later!

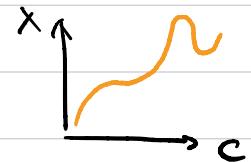
Suppose we want to vary  $f: C \rightarrow X$  in a family.

(i) deform  $C \rightsquigarrow \dim = 3g - 3 + n$

(ii) fix  $C$  & vary  $f$ .

→ locally imbed into  $\text{Hilb}(C \times X)$ .

$[f] \leftrightarrow C \hookrightarrow C \times X$  by graph. of  $f$ .



$$\text{Def}(f) = H^0(C, \mathcal{N}_{C/C \times X}) = H^0(C, f^* TX)$$

$$\text{Obs}(f) = H^1(C, \mathcal{N}_{C/C \times X}) = H^1(C, f^* TX).$$

$$\begin{aligned} \text{vdim}[f] &:= \chi(C, f^* TX) + 3g - 3 + n \\ &= (1-g)(\dim X - 3) + \int_{\beta} c_1(f^* TX) + n \end{aligned}$$

↑  
R.Roch

*independent of  $[f]$ !*

Fact  $\text{vdim} \leq \text{dimension of each irreducible component of } \overline{\mathcal{M}}_{g,n}(X, \beta)$

### § 3. Examples : $X = \mathbb{P}^1$

$\beta = d[\mathbb{P}^d] \in H_2(\mathbb{P}^1, \mathbb{Z})$ ,  $d \geq 0$ ,  $T\mathbb{P}^1 \cong \mathcal{O}_{\mathbb{P}^1}(2)$ .

(A)  $d=0$ ,  $2g-2+n > 0$

[f] should be constant  $\Rightarrow \bar{M}_{g,n}(\mathbb{P}^1, 0) \cong \bar{M}_{g,n} \times \mathbb{P}^1$ .

$$\text{vdim} = (1-g)(1-3) + n = 2g-2+n \leq \text{dim} = 3g-3+n$$

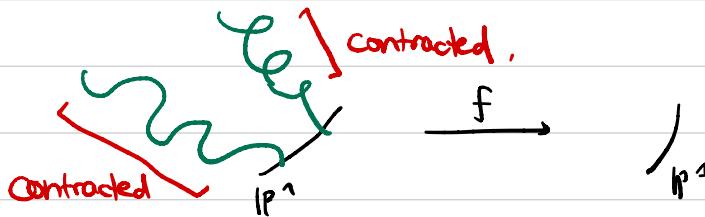
difference = g.

We will see the meaning of the difference later!

(B)  $d=1$ ,  $g \geq 1$

$M_g(\mathbb{P}^1, 1) = \emptyset$  because there is no degree 1 map  
 $C \rightarrow \mathbb{P}^1$ .  $g(C) \geq 1$ .

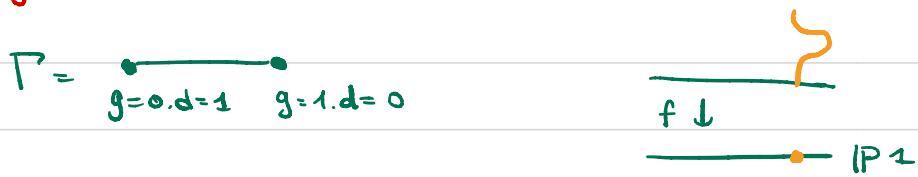
~ So we have to break the domain curve C



$\approx \bar{M}_1(\mathbb{P}^1, 1)$  are not actual boundaries

(codim = 1)

$d=1, g=1 \Rightarrow \bar{\mathcal{M}}_{1,0}(\mathbb{P}^1, 1) \cong \bar{\mathcal{M}}_P(\mathbb{P}^1)$  where



Check let  $C =$  ratl nodal curve. Then  $\# C \rightarrow \mathbb{P}^1$  of deg = 1

Last time :  $\bar{\mathcal{M}}_{0,0}(\mathbb{P}^1, 1) = \text{pt}$ ,  $\bar{\mathcal{M}}_{0,1}(\mathbb{P}^1, 1) \cong \mathbb{P}^1$ .

$$\bar{\mathcal{M}}_P = \bar{\mathcal{M}}_{0,1}(\mathbb{P}^1, 1) \times_{\mathbb{P}^1} \bar{\mathcal{M}}_{1,1}(\mathbb{P}^1, 0)$$

$$= \bar{\mathcal{M}}_{1,1} \times \mathbb{P}^1.$$

$$\bar{\mathcal{M}}_{1,1} \times \mathbb{P}^1 \longrightarrow \bar{\mathcal{M}}_{1,1} \times \mathbb{P}^1 \approx \bar{\mathcal{M}}_{1,1}(\mathbb{P}^1, 0)$$

$$\begin{array}{ccc} \bar{\mathcal{M}}_{1,1} \times \mathbb{P}^1 & \longrightarrow & \bar{\mathcal{M}}_{1,1} \times \mathbb{P}^1 \approx \bar{\mathcal{M}}_{1,1}(\mathbb{P}^1, 0) \\ \downarrow \Gamma & & \downarrow \text{pr}_2 \\ \bar{\mathcal{M}}_{0,1}(\mathbb{P}^1, 1) & = & \mathbb{P}^1 \xrightarrow{\text{Id}} \mathbb{P}^1 \end{array}$$

$$\Rightarrow \text{vdim} = 2 = \dim \bar{\mathcal{M}}_1(\mathbb{P}^1, 1).$$

$$(c) d=2, g=1, \quad \overline{M}_1(\mathbb{P}^1, 2)$$

$$\text{vdim} = (1-g)(\text{dim } X - 3) + 2d + n = 0 + 2 \cdot 2 + 0 = 4.$$

There are at most 3 irreducible components

$$(i) \overline{\mathcal{M}}^{\text{main}} : \text{closure of } M_{1,0}(\mathbb{P}^1, 2) \subset \overline{M}_{1,0}(\mathbb{P}^1, 2).$$

$$(ii) \overline{M}_{\Gamma_1} = \overline{M}_{\Gamma_1}(\mathbb{P}^1):$$

$$\Gamma_1 = \begin{array}{c} \bullet \text{---} \bullet \\ g=1 \qquad g=0 \\ d=0 \qquad d=2 \end{array}$$

$$(iii) \overline{M}_{\Gamma_2} = \overline{M}_{\Gamma_2}(\mathbb{P}^1):$$

$$\Gamma_2 = \begin{array}{c} \bullet \text{---} \bullet \text{---} \bullet \\ g=0 \qquad g=1 \qquad g=0 \\ d=1 \qquad d=0 \qquad d=1 \end{array}$$

(i) is the most interesting part. Let  $f: C \rightarrow \mathbb{P}^1$

*Smooth elliptic curve*

By Riemann-Hurwitz,

$$b_r(f) = \deg(K_C) - 2\deg(K_{\mathbb{P}^1}) = 0 - 2(-2) = 4.$$

We have a map

$$b_r: M_1(\mathbb{P}^1, 2) \longrightarrow \text{Sym}^4(\mathbb{P}^1) \cong \mathbb{P}^4.$$

ASIDE (stack structure)  $C \subset \mathbb{P}^2$ ,  $C = V(G)$ . where

$$G = y^2 - x^3 - ax^2 - bx - c.$$

$$f: C \longrightarrow \mathbb{P}^1 \quad (x, y) \mapsto x$$

$\exists \sigma \in \text{Aut}(C)$ .  $\sigma(x, y) = (x, -y)$ .

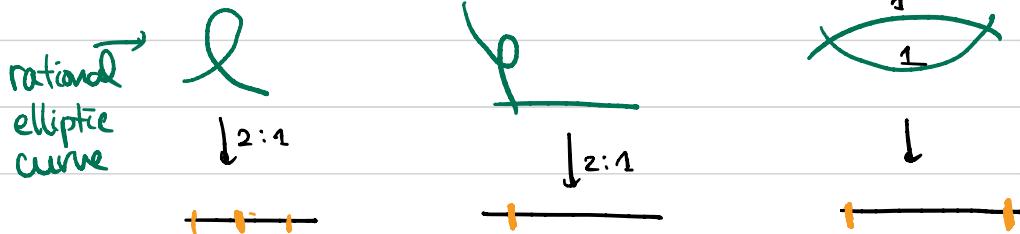
$$C \xrightarrow[\sim]{\sigma} C \Rightarrow \text{Aut}(f) \text{ is nontrivial}$$

$f \downarrow \quad f \downarrow$

$P^1$

for all  $[f] \in M_1(P^1)$

In the closure of  $M_{1,0}(P^1)$ , we might have following configurations



It is not so obvious when  $[f] \in \overline{M}^{\text{main}}$ .

Thm (Vakil) Let  $g=1$ . Then  $[f] \in \overline{M}^{\text{main}}$  if and only if

(i)  $f$  contracts no  $g=1$  curve OR

(ii) let  $E = \text{maximal connected } g=1 \text{ contracted by } f$  &  
 $E$  meets  $C' := \overline{C \setminus E}$  at points  $p_1 \dots p_m$ . Then

$$\{df(T_{p_1}C'), \dots, df(T_{p_m}C')\}$$

is a linearly dependent set of  $T_{f(E)}P^1$ .

Let's go back to our case :

$$\overline{M}_{P_1} = \overline{M}_{0,1}(\mathbb{P}^1, 2) \times_{\mathbb{P}^1} \overline{M}_{1,1}(\mathbb{P}^2, 0)$$

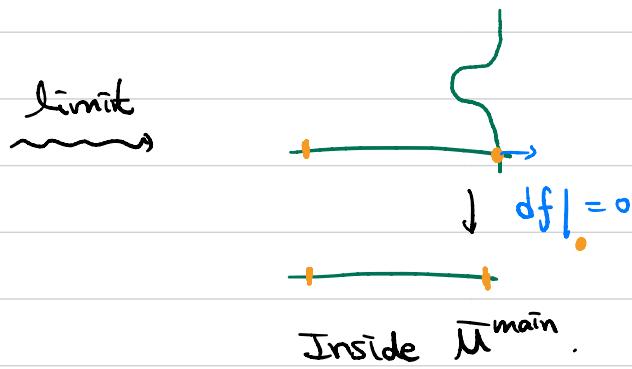
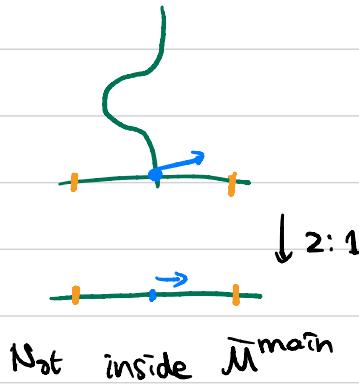
$$= \overline{M}_{0,1}(\mathbb{P}^2, 2) \times \overline{M}_{1,1}$$

We saw  $\overline{M}_{0,0}(\mathbb{P}^1, 2) \cong \mathbb{P}^2$  (as a coarse moduli space)

$$\Rightarrow \dim \overline{M}_{0,1}(\mathbb{P}^1, 2) = 3.$$

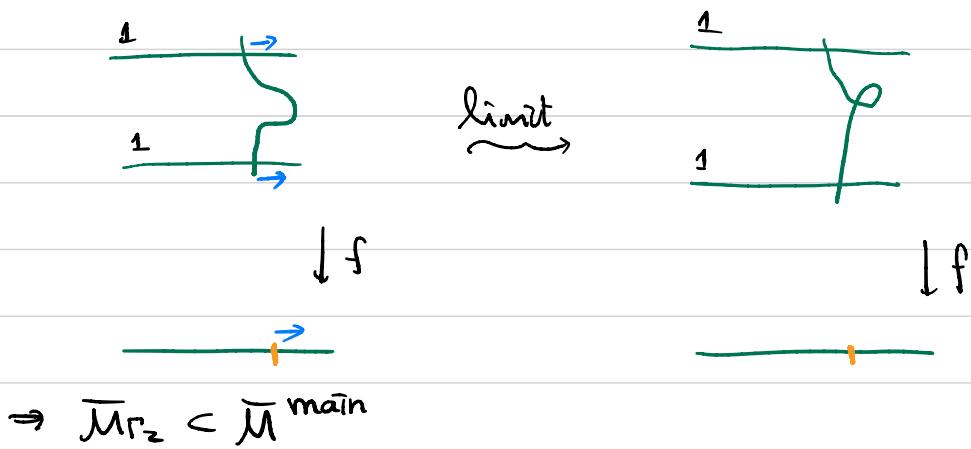
$\$ \dim \overline{M}_{P_1} = 4 \leftarrow \text{dimension of "boundary" does not drop!}$

\* General point of  $\overline{M}_{P_1}$  :

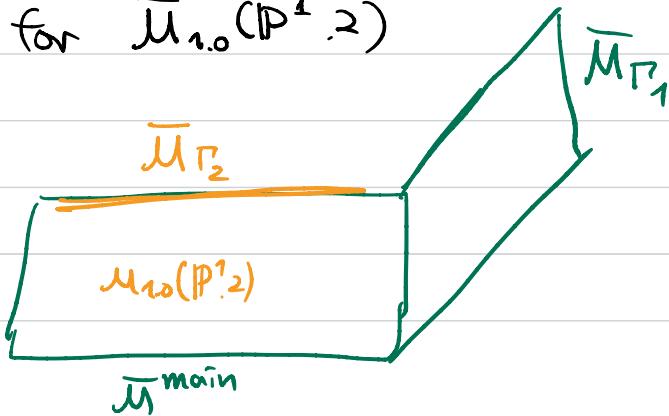


$$\begin{aligned}\overline{\mathcal{M}}_{\Gamma_2} &= \overline{\mathcal{M}}_{0,1}(\mathbb{P}^1, 1) \times_{\mathbb{P}^1} \overline{\mathcal{M}}_{1,2}(\mathbb{P}^1, 0) \times_{\mathbb{P}^1} \overline{\mathcal{M}}_{0,1}(\mathbb{P}^1, 1) \\ &= \mathbb{P}^1 \times \overline{\mathcal{M}}_{1,2} \\ \dim \overline{\mathcal{M}}_{\Gamma_2} &= 3.\end{aligned}$$

General points in  $\overline{\mathcal{M}}_{\Gamma_2}$



≈ Picture for  $\overline{\mathcal{M}}_{1,0}(\mathbb{P}^1, 2)$



(b)  $d=2, g=2$

\* Let's start from  $M_{2,0}(\mathbb{P}^1 \cdot 2)$ .  $f : C \xrightarrow{z:1} \mathbb{P}^1$

By Riemann-Hurwitz,

$$\text{br}(f) = \deg(K_C) - 2\deg(K_{\mathbb{P}^1}) \\ = 2 - 2(-2) = 6.$$

$$\approx M_{2,0}(\mathbb{P}^1 \cdot 6) \longrightarrow \mathbb{P}^6.$$

$$\Rightarrow \dim \overline{M}^{\text{main}} = 6$$

$$v\dim = (1-g)(\dim X - 3) + 2d + n = -1(-2) + 2 \cdot 2 = 6$$

\* Consider a graph

$$\Gamma = \begin{array}{c} \bullet \quad \bullet \\ \hline \end{array} \quad \begin{matrix} g=0, d=2 & & g=2, d=0 \end{matrix}$$

$$\approx \overline{M}_{\mathbb{P}}(\mathbb{P}^1) = \overline{M}_{0,1}(\mathbb{P}^1 \cdot 2) \times_{\mathbb{P}^1} \overline{M}_{2,1}(\mathbb{P}^1 \cdot 0)$$

$$\dim \overline{M}_{\mathbb{P}}(\mathbb{P}^1) = 2+1+3+1+1-1 = 7$$

dimension of the "boundary stratum" jumps!

So irreducible components of  $\overline{M}_{g,n}(X, \beta)$  can have different dimensions