

Lecture

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Goal: Decomp. of RSS by  
decomp. to OSLA

Defn: An OSLA is a pair  $(\mathfrak{g}, \Theta)$ ,

- of real Lie alg.  $\Theta$  inv.,  $\Theta^2 = \text{Id}$
- $\mathfrak{u} := \{X : \Theta X = X\}$  is  
compactly embedded ( $\text{ad}(\mathfrak{u}) \subset$   
 $\subset \text{ad}(\mathfrak{g})$  in  $\text{Lie}(\mathfrak{u}) = \text{ad}(\mathfrak{u})$ ,  
where  $U \subset \text{GL}(\mathfrak{g})$  cpt)

Ex.: If  $M = \mathbb{G}/K$  RSS  $\Rightarrow \mathfrak{u} = \text{Ad}(K)$

We say that OSLA is effective  
if  $\mathfrak{z}(\mathfrak{g}) \cap \mathfrak{u} = \{0\}$

$\Theta$  inv.  $\Rightarrow \mathfrak{e} := \{X \in \mathfrak{g} : \Theta X = -X\}$   
 $\mathfrak{g} = \mathfrak{u} \oplus \mathfrak{e}$ .

- $\mathfrak{e}_0$  is an Abelian ideal in  $\mathfrak{g}$ .
- $(\mathfrak{g}_e, \Theta|_{\mathfrak{g}_e})$  effective OSLA  
of the appropriate type
 

$+$	non-cpt type
$-$	cpt type
$0$	Euclidean
- The decomp. is  $\perp$  w.r.t.  $B_{\mathfrak{g}}$ .

Strategy:  $\mathfrak{e}$  is  $\text{ad}(\mathfrak{u})$ -inv.  
 $\Rightarrow$  plays the role of  $\mathfrak{k}$ .  
 $\langle , \rangle$   $\mathfrak{u}$ -inv. inn. prod. on  $\mathfrak{e}$   
 $\Rightarrow \exists A \in \text{End}(\mathfrak{e})$  sym.

$$B_{\mathfrak{g}}(X, Y) = \langle AX, Y \rangle$$

$$\mathfrak{t} \ni X, Y \in \mathfrak{e}$$

$A$  has real e.v.  $\{\beta_1, \dots, \beta_n\}$   
with  $\{\mathfrak{f}_1, \dots, \mathfrak{f}_n\}$  o.n. basis of  
eigenvectors.

Ex.:  $M = G/K$  RSS  $\Rightarrow$

$\mathfrak{g} = \mathfrak{g}_- \oplus \mathfrak{g}_0$ , w.r.t.  $\Theta =$   
= Cartan involution

Cartan decomp.

Defn: If  $(\mathfrak{g}, \Theta)$  is an OSLA,  
 $\mathfrak{g} = \mathfrak{u} \oplus \mathfrak{e}$  is the Cartan  
decomp. of  $\mathfrak{g}$  w.r.t.  $\Theta$ .

Lemma II.26: The decomp.  
is  $\perp$  w.r.t.  $B_{\mathfrak{g}}$ .

Goal:  $\mathfrak{g} = \mathfrak{g}_- \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_+$

- $\mathfrak{g}_e$   $e \in \{-, 0, +\}$  are ideals  
( $B_{\mathfrak{g}_e} = B_{\mathfrak{g}}|_{\mathfrak{g}_e}$ )
- $B_{\mathfrak{g}_-}$  is neg. defn.
- $B_{\mathfrak{g}_+}$  is pos. defn.

$$\mathfrak{e}_e := \sum_{\mathfrak{e} \in \{-, 0, +\}}^{\oplus} B_{\mathfrak{g}} f_j \quad e \in \{-, 0, +\}$$

Lemma II.28

- $\mathfrak{e}_0$  = nullspace of  $B_{\mathfrak{g}}$  is  
an Abelian ideal in  $\mathfrak{g}$

- $[\mathfrak{e}_0, \mathfrak{e}] = 0$
- $[\mathfrak{e}_+, \mathfrak{e}_-] = 0$

Rmk:  $\mathfrak{t}$  commutes with  $\text{ad}(\mathfrak{u})$

$\Rightarrow$  The decomp.  $\mathfrak{t} = \mathfrak{t}_- \oplus \mathfrak{e}_0 \oplus \mathfrak{t}_+$   
is  $\text{ad}(\mathfrak{u})$ -invariant.

Define:  $\mathfrak{u}_+ := [\mathfrak{t}_+, \mathfrak{t}_+]$   
 $\mathfrak{u}_- := [\mathfrak{t}_-, \mathfrak{t}_-]$

Lemma II.29:  $\mathfrak{u}_+$  &  $\mathfrak{u}_-$  are  
orth. w.r.t.  $B_{\mathfrak{g}}$ .

Pf:  $X_e, Y_e \in \mathfrak{t}_e$ ,  $e \in \{-, +\}$   
want to show that

$$\text{Bog} \left( \underbrace{[X_+, Y_+]}_{\mathcal{U}_+}, \underbrace{[X_-, Y_-]}_{\mathcal{U}_-} \right) = 0$$

$$\begin{aligned} & \text{Bog} \left( [X_+, Y_+], [X_-, Y_-] \right) = \text{ad-inw.} \\ & = - \text{Bog} \left( Y_+, [X_+, [X_-, Y_-]] \right) \\ & \text{Jacobi: } = - \text{Bog} \left( Y_+, [X_+, [X_-, Y_-]] \right) = 0 \\ & = \text{Bog} \left( Y_+, [X_-, [Y_-, X_+]] \right) \\ & + \text{Bog} \left( Y_+, [Y_-, [X_+, X_-]] \right) \quad \text{ad-inw.} \\ & \quad [e_+, e_-] = 0 \end{aligned}$$

Define  $\mathcal{U}_0 := \mathcal{U} \ominus (\mathcal{U}_+ \oplus \mathcal{U}_-)$   
orth. in  $\mathcal{W}$  w.r.t.  $\text{Bog}$ .

Need properties of the  $\mathcal{U}_\varepsilon$ .

Corollary II.30  $\mathcal{U}_\varepsilon \oplus \mathcal{E}_\varepsilon$  are pairwise orthogonal ideals,  
 $\varepsilon \in \{-, 0, +\}$

(2) let  $z \in \mathcal{U}_0$ ,  $x, y \in \mathcal{E}_\varepsilon$ ,  $\varepsilon \in \{+, -\}$

$$\begin{aligned} \text{Bog} \left( \underbrace{[z, x]}_{\mathcal{U}_0}, y \right) &= - \text{Bog} \left( [x, z], y \right) = \\ & \quad \text{ad-inw.} \\ &= \text{Bog} \left( z, \underbrace{[x, y]}_{\mathcal{U}_\varepsilon} \right) = \end{aligned}$$

But  $\text{Bog}([e_\varepsilon x, e_\varepsilon])$  is non-deg.  $\Rightarrow$   
 $[z, x] = 0 \quad \forall z \in \mathcal{U}_0, x \in \mathcal{E}_\varepsilon$ .

(3) & (4)  $\varepsilon \in \{+, -\}$

$$\begin{aligned} [\mathcal{U}_\varepsilon, \mathcal{E}_\varepsilon] &\stackrel{\text{def}}{=} [[e_\varepsilon, e_\varepsilon], e_\varepsilon] = \text{Jacobi} \\ &= [[e_\varepsilon, \mathcal{E}_0], \mathcal{E}_\varepsilon] + [[\mathcal{E}_0, e_\varepsilon], \mathcal{E}_\varepsilon] \\ &\stackrel{\text{ad-inw.}}{=} 0 \quad \text{Lemma I.28} \end{aligned}$$

$$\begin{aligned} [\mathcal{U}_\varepsilon, \mathcal{E}_{-\varepsilon}] &= [[e_\varepsilon, e_{-\varepsilon}], e_{-\varepsilon}] = \\ &= [[e_\varepsilon, \mathcal{E}_{-\varepsilon}], \mathcal{E}_{-\varepsilon}] = 0 \\ &\stackrel{\text{ad-inw.}}{=} \text{Lemma I.28} \end{aligned}$$

### Lemma II.31

- (1)  $\mathcal{U}_\varepsilon$  are ideals in  $\mathcal{W}$ , pure orth.  $\text{Bog}$
- (2)  $[\mathcal{U}_0, \mathcal{E}_-] = [\mathcal{U}_0, \mathcal{E}_+] = 0$
- (3)  $[\mathcal{U}_-, \mathcal{E}_0] = [\mathcal{U}_-, \mathcal{E}_+] = 0$
- (4)  $[\mathcal{U}_+, \mathcal{E}_0] = [\mathcal{U}_+, \mathcal{E}_-] = 0$

Pf (1) Need to show only that they are ideals, rest is done.

- let  $e \in \{+, -, 0\}$ .

$$\begin{aligned} [\mathcal{U}_\varepsilon, \mathcal{U}] &\stackrel{\text{def}}{=} [[e_\varepsilon, e_\varepsilon], \mathcal{U}] = \text{Jacobi} \\ &= [[e_\varepsilon, \mathcal{U}], e_\varepsilon] + [[\mathcal{U}, e_\varepsilon], e_\varepsilon] = \\ &\stackrel{\text{ad-inw.}}{=} [e_\varepsilon, \mathcal{U}] + [e_\varepsilon, e_\varepsilon] = \mathcal{U}_\varepsilon. \end{aligned}$$

- let  $x \in \mathcal{U}_0 \perp (\mathcal{U}_+ \oplus \mathcal{U}_-)$ ,  $z \in \mathcal{U}$   
want that  $[z, x] \perp (\mathcal{U}_+ \oplus \mathcal{U}_-)$ ,  
that is  $\forall y \in \mathcal{U}_+ \oplus \mathcal{U}_-$

$$\begin{aligned} 0 &= \text{Bog} ([z, x], y) \stackrel{\text{ad-inw.}}{=} \\ &= - \text{Bog} (x, \underbrace{[z, y]}_{\mathcal{U}_+ \oplus \mathcal{U}_-}) = 0 \quad \text{since ideals} \end{aligned}$$

### Pf of Corollary II.30

$$\mathcal{U} = \mathcal{U}_- \oplus \mathcal{U}_0 \oplus \mathcal{U}_+ \perp \text{w.r.t. } \text{Bog}$$

$$\mathcal{E} = \mathcal{E}_- \oplus \mathcal{E}_0 \oplus \mathcal{E}_+$$

$\Rightarrow \mathcal{U}_\varepsilon \oplus \mathcal{E}_\varepsilon$  are p.wise orthogonal.

- To see that  $\mathcal{U}_0 \oplus \mathcal{E}_0 \subset \mathfrak{g}$  ideal.  
we only need to see what happens for  $[\mathcal{U}_0, \mathcal{E}]$

$$[\mathcal{U}_0 \oplus \mathcal{E}_0, \mathcal{U} \oplus \mathcal{E}] =$$

$$= [\mathcal{U}_0, \mathcal{U}] + [\mathcal{U}_0, \mathcal{E}] + [\mathcal{E}_0, \mathcal{U}] + [\mathcal{E}_0, \mathcal{E}]$$

$$\mathcal{U}_0 \subset \mathcal{U}$$

$$\mathcal{E}_0 \subset \mathfrak{g}$$

$$\text{ideal}$$

$$\text{Lemma II.31}$$

$$\text{ideal}$$

$$\text{Lemma II.28}$$

$$\text{But } [\mathcal{U}_0, \mathcal{E}] = [\mathcal{U}_0, \mathcal{E}_0]$$

$$\text{Lemma II.31}$$

But  $\mathcal{U}$  ( $\mathcal{U}_0$  in particular)  
preserves the dimension of  $\mathcal{E}$   $\Rightarrow$   
 $[\mathcal{U}_0, \mathcal{E}_0] \subset \mathcal{E}_0 \Rightarrow \mathcal{U}_0 \oplus \mathcal{E}_0$   
is an ideal in  $\mathfrak{g}$ .

• To see that  $u_\varepsilon \oplus t_\varepsilon \subset \mathfrak{g}$  is an ideal

$$[u_\varepsilon \oplus t_\varepsilon, u \oplus t] =$$

$$\begin{aligned} &= [u_\varepsilon, u] \subset u_\varepsilon \text{ by Lemma II.31} \\ &+ [u_\varepsilon, t] = [u_\varepsilon, t_\varepsilon \oplus t_0 \oplus t_{-\varepsilon}] \downarrow \\ &+ [t_\varepsilon, u] \subset t_\varepsilon \text{ (u-inv. of dec. of } t) \\ &+ [t_\varepsilon, t] = [t_\varepsilon, t_\varepsilon \oplus t_0 \oplus t_{-\varepsilon}] \subset \\ &\quad \subset [t_\varepsilon, t_\varepsilon] = u_\varepsilon \quad \text{Lemma II.28} \end{aligned}$$

Summarize  $(\mathfrak{g}, \oplus)$  effective OSLA

$$\begin{aligned} \mathfrak{g} &= u \oplus t = (u_- \oplus u_0 \oplus u_+) \oplus \\ &\quad (t_- \oplus t_0 \oplus t_+) = \\ &= (\underbrace{u_- \oplus t_-}_{\mathfrak{g}_-}) \oplus (\underbrace{u_0 \oplus t_0}_{\mathfrak{g}_0}) \oplus (\underbrace{u_+ \oplus t_+}_{\mathfrak{g}_+}) \end{aligned}$$

Exercise  $u_\varepsilon$  is compactly embedded in  $\mathfrak{g}_\varepsilon$ ,  $\varepsilon \in \{-, 0, +\}$  (Helgason, Ch. V)

Problem

• If  $t_0 = (0) \Rightarrow \oplus|_{\mathfrak{g}_0} = \oplus|_{u_0} = \text{id}$   
 $\Rightarrow$  the decoupl.

$$\mathfrak{g} = (\underbrace{u_- \oplus t_-}_{\mathfrak{g}_-}) \oplus (\underbrace{u_0 \oplus t_0}_{\mathfrak{g}_0}) \oplus (\underbrace{u_+ \oplus t_+}_{\mathfrak{g}_+})$$

is not in OSLA, since

$(\mathfrak{g}_0, \oplus|_{\mathfrak{g}_0}) = (u_0, \mathbb{I})$  is not an OSLA.

(•) If  $t_- \neq (0) \Rightarrow$

$$\Rightarrow \mathfrak{g}_0 = (0)$$

$$\mathfrak{g}_+ = u_+ \oplus t_+$$

$$\mathfrak{g}_- = u_- \oplus u_0 \oplus t_-$$

Does it work?

(1)  $\mathfrak{g}_\varepsilon$  are p.wise orth. ideals in  $\mathfrak{g}$  (w.r.t.  $B_\mathfrak{g}$ ) (Cor. II.30).  
 Moreover  $B_\mathfrak{g}|_{\mathfrak{g}_\varepsilon} = B_{\mathfrak{g}_\varepsilon}|_{\mathfrak{g}_\varepsilon}$ .

(2)  $\mathfrak{g}_0$  effective  $\Rightarrow B_\mathfrak{g}|_{\mathfrak{g}_0} \ll 0$  (Lemma II.26)

(i)  $B_\mathfrak{g}|_{\mathfrak{g}_-} \ll 0 \Rightarrow B_\mathfrak{g}^- \ll 0 \Rightarrow$   
 $\Rightarrow \mathfrak{g}_-$  is of compact type

(ii)  $B_\mathfrak{g}|_{\mathfrak{g}_+} \gg 0 \Rightarrow \mathfrak{g}_+$  of non-opt type  
Rk In both cases  $\mathfrak{g}$  is semi-simple.

(3)  $(\mathfrak{g}, \oplus)$  effective.

$t_0$  is an Abelian ideal,  $t_0 \subset \mathfrak{g}_0$ .

$$\Rightarrow \mathcal{Z}(t_0) = \mathcal{Z}(\mathfrak{g}) \Rightarrow$$

$$\Rightarrow \mathcal{Z}(\mathfrak{g}_0) \cap u_0 \subset \mathcal{Z}(\mathfrak{g}) \cap u_0 = (0)$$

$\Rightarrow (\mathfrak{g}_0, \oplus|_{\mathfrak{g}_0})$  is effective.

(•) If  $t_- = (0) \Rightarrow$

$$\mathfrak{g}_0 = \mathfrak{g}_- = (0)$$

$$\mathfrak{g}_+ = (u_+ \oplus u_0 + u_-) \oplus t_+$$

(•)  $t_0 = t_+ = t_-$  does not happen.

Recall  $M = \mathbb{G}/K$  RSS,  $o \in M \Rightarrow$

$\Rightarrow T_o M \cong \mathfrak{g}$  in the Cartan dec.  
 and in general if

$$\mathfrak{g} = u \oplus t \text{ with } t = 0$$

$\Rightarrow (\mathfrak{g}, \oplus)$  is not an OSLA  
 because  $\oplus = \text{id}$ .

OSLA wr RSP

Recall  $(G, K)$  RSP  $\Rightarrow$

$\Rightarrow (\mathfrak{g}, \oplus)$  is an OSLA wr  
 & compactly embedded

$$\Rightarrow \mathcal{Z}(G) \cap K = \text{Lie}(\mathcal{Z}(G) \cap K)$$

Thus effective  $\Leftrightarrow \mathcal{Z}(G) \cap K$  discrete

Defn A RSP  $(G, k)$  is **effective** if  $\mathcal{Z}(G) \cap K$  is discrete

Lemma II.32 M RSS,

$$G = \text{Iso}(M)^\circ, o \in M, K = \text{Stab}_G(o).$$

If  $N \triangleleft G$  is contained in  $K$   
 $\Rightarrow N = \{e\}$  and in particular  
the RSP  $(G, k)$  is effective.

Pf If  $g \neq o \in M \Rightarrow$

$$\Rightarrow \text{Stab}_G(g \neq o) = gKg^{-1}$$

Since  $N \triangleleft G, N \subset K \Rightarrow$

$$N \subset \bigcap_{g \in G} gKg^{-1} = \bigcap_{g \in G} \text{Stab}(g \neq o) = \{e\}$$

Since every subgroup of  $\mathcal{Z}(G)$   
is normal  $\Rightarrow (G, k)$  effective  $\blacksquare$

Defn (1) An effective RSP  $(G, k)$   
is of **opt**, **non-opt**, **Euclidean**  
type if the correspond. OSLA is.

(2) A RSS M is of **opt**, **non-opt**,  
Eucl. type if the correspond.  
RSP  $(\text{Iso}(M)^\circ, \text{Stab}_{\text{Iso}(M)^\circ}(o))$  is,  
 $o \in M$ .

Thm II.33 If M is a simply  
conn. RSS, then M is

$$M = M_- \times M_0 \times M_+$$

(Riem. product), where

$M_-$  is of opt type

$M_0$  Eucl.

$M_+$  is of non-opt type.