

lectures

5 May 2021



From last week A \leftarrow flat in a RSS M is a tot. geod. subspace isom.

to \mathbb{E}^k . Also $F \in M$, $\omega \in F$ flat iff

$$F = (\text{Exp}_0 \circ \text{dil})(\omega), \quad \mathcal{D} \subset \mathbb{P} \text{ Abelian.}$$

Claim Given RSS M the dim. of a max. Abelian subspace is well-defn.

let (\mathfrak{g}, θ) OSLA, $x \in \mathfrak{g}$.

$$\text{Centr}_{\mathfrak{g}}(x) = \{Y \in \mathfrak{g} : [x, Y] = 0\}.$$

If $\mathcal{D} \subset \mathbb{P}$ Abelian & $x \in \mathcal{D} \Rightarrow$

$$\Rightarrow \mathcal{D} \subset \text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}.$$

Defn $x \in \mathbb{P}$ is a **regular element**

if $\text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$ is Abelian.

A vector not regular is called **singular**.

Thm III.7 M RSS of cpt or non-cpt type, $G = \text{Iso}(M)^\circ$,

\Rightarrow If $x \in \mathcal{D} \subset \mathbb{P} \Rightarrow \mathcal{D} \subset \text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$.

To show the reverse inclusion, let

$$Y \in \text{Centr}_{\mathfrak{g}}(x) \Rightarrow \{\exp sY : s \in \mathbb{R}\}$$

commutes with $\{\exp tx : t \in \mathbb{R}\}$

hence with $A = \exp \mathcal{D}$ by density

$$\Rightarrow [Y, \mathcal{D}] = 0. \quad \text{Since } \mathcal{D} \text{ is Ab.}$$

$\Rightarrow \mathcal{D} + Y\mathbb{R}$ is Abelian; since \mathcal{D} is max. Ab. and $\mathcal{D} + Y\mathbb{R} \supseteq \mathcal{D} \Rightarrow$

$$\Rightarrow Y \in \mathcal{D}.$$

Let now M be of non-cpt type

(\mathfrak{g}, θ) the associated OSLA,
 M^* cpt dual with $(\mathfrak{g}^*, \theta^*)$.

$\mathcal{D} \subset \mathbb{P}$ Abelian $\Leftrightarrow i\mathcal{D} \subset i\mathbb{P}$ Abelian

$\Rightarrow \exists ix \in i\mathbb{P}$ s.t. $i\mathcal{D} = \text{Centr}_{\mathfrak{g}^*}(ix) \cap \mathbb{P}$

$$\Rightarrow \mathcal{D} = \text{Centr}_{\mathfrak{g}}(ix) \cap \mathbb{P}. \quad \blacksquare$$

Thm III.8 M RSS of non-cpt type,
 $\mathcal{D}, \mathcal{D}' \subset \mathbb{P}$ two max. Abelian
subalgebras. \Rightarrow

let $\mathcal{D} \subset \mathbb{P}$ be a maximal Abelian subspace $\Rightarrow \exists x \in \mathbb{P}$ s.t.

$$\text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P} = \mathcal{D}.$$

If M RSS cpt type, $\mathcal{D} \subset \mathbb{P}$ max.

Abelian. Let $\exp(\omega) \subset G$ be the corresp. connected Lie group. Let $A = \overline{\exp(\omega)}$. To show: $\exp(\omega)$ is closed hence a torus.

$$\mathcal{D} \subset \mathbb{P} \Rightarrow \theta(x) = x \quad \forall x \in \mathcal{D}.$$

$$\text{Since } \theta = d_e \theta \Rightarrow \theta(\exp x) = (\exp x)^{-1} \quad \forall x \in \mathbb{P} \Rightarrow \theta(a) = a^{-1} \quad \forall a \in A$$

$\Rightarrow A = \exp(\text{Lie } A)$ with $\text{Lie } A \subset \mathbb{P}$ and Abelian $\Rightarrow \text{Lie } A = \mathcal{D} \Rightarrow$

$\Rightarrow A = \exp \mathcal{D}$ closed $\Rightarrow \exp \mathcal{D}$ cpt.
Since an Abelian Lie grp $\mathbb{T}^k \times \mathbb{R}^l$

$\Rightarrow A = \exp \mathcal{D}$ is a torus \Rightarrow

$\Rightarrow \exists$ dense flow, that is $x \in \mathcal{D}$ s.t. $\{\exp tx : t \in \mathbb{R}\}$ is dense in A .

$$\exists k \in \mathbb{K} \text{ s.t. } \mathcal{D}' = \text{Ad}_G(k)\mathcal{D}.$$

Defn. Let M be a RSS of cpt or non-cpt type. The **rank** $r_k(M)$ is the dimension of a max. flat in M .

If $x \in \mathcal{D}$, $x' \in \mathcal{D}'$ regular elem.

Consider $f: \mathbb{K} \rightarrow \mathbb{R}$,

$$f(k) := \text{Bij}(\text{Ad}_G(k)x, x') -$$

& cpt, f smooth $\Rightarrow f$ has a critical pt $k_0 \in \mathbb{K}$, that is $\frac{df}{dk}|_{k=k_0} = 0$

$$\frac{d}{dt} \Big|_{t=0} \text{Bij}(\text{Ad}_G(k_0 \exp tZ)x, x') = 0$$

$$0 = \frac{d}{dt} \Big|_{t=0} \text{Bij}(\text{Ad}_G(k_0 \exp tZ)x, x')$$

$$= \left(\frac{d}{dt} \Big|_{t=0} \text{Bij}(\text{Ad}_G(k_0) \text{Ad}_G(\exp tZ)x, x') \right) =$$

$$= \text{Bij}(\text{Ad}_G(k_0) \text{ad}_g(Z)x, x') =$$

$$\begin{aligned}
 &= B_{\mathfrak{g}}(\text{Ad}_G(k_0)[z, x], x') \\
 &= B_{\mathfrak{g}}([\text{Ad}_G(k_0)(z), \text{Ad}_G(k_0)x], x') \\
 &= B_{\mathfrak{g}}(\underbrace{\text{Ad}_G(k_0)(z)}_{\in \mathfrak{k}}, \underbrace{[\text{Ad}_G(k_0)(x), x']}_{\in \mathfrak{k}})
 \end{aligned}$$

$$\begin{aligned}
 z \in \mathbb{R} \Rightarrow \text{Ad}_G(k_0)z \in \mathbb{R} \\
 x, x' \in \mathbb{P} \Rightarrow \text{Ad}_G(k_0)(x) \in \mathbb{P} = \gamma \\
 \Rightarrow [\mathbb{P}, \mathbb{P}] \subset \mathbb{R}.
 \end{aligned}$$

But this was $\gamma \in \mathbb{R}$ & $B_{\mathfrak{g}}$ is non-degenerate $\Rightarrow [\text{Ad}_G(k_0)(x), x'] = 0$
 $\Rightarrow \text{Ad}_G(k_0)(x) \in \text{Centr}_{\mathfrak{g}}(x') \cap \mathbb{P} = \mathcal{O}'$
 But \mathcal{O}' is Abelian \Rightarrow every element in \mathcal{O}' commutes with $\text{Ad}_G(k_0)(x)$
 $\Rightarrow \mathcal{O}' \subset \text{Centr}_{\mathfrak{g}}(\text{Ad}_G(k_0)x) \cap \mathbb{P} =$

$$\begin{aligned}
 &= \text{Ad}_G(k_0)(\text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}) = \\
 &= \text{Ad}_G(k_0)(\mathcal{O}). \\
 \text{By maximality } \mathcal{O}' = \text{Ad}_G(k_0)(\mathcal{O}).
 \end{aligned}$$

Corollary III.9 Every geodesic is contained in at least one flat.
 It is contained in exactly one flat iff the $x \in \mathbb{P}$ that defines it is regular.

Pf Let $\gamma(t) := \exp(tx) \cdot 0$.
 $\Rightarrow \gamma \subset \exp \mathcal{O}$ & Abelian \mathcal{O} with $x \in \mathcal{O}$.

(\Leftarrow) x regular, $\mathcal{O}, \mathcal{O}' \subset \mathbb{P}$ max. Abelian s.t. $\mathcal{O} \subset \exp \mathcal{O} \cdot 0$ and $\mathcal{O}' \subset \exp \mathcal{O}' \cdot 0$. Since $x \in \mathcal{O}'$ and \mathcal{O}' Abelian $\Rightarrow \mathcal{O}' \subset \text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$
 $\Rightarrow \mathcal{O} = \mathcal{O}'$.

(\Rightarrow) Assume $\gamma(t) = (\exp tx) \cdot 0$ is contained in exactly one flat & x not regular, that is $\text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$ is not Abelian.
 \mathcal{O} Abelian, $x \in \mathcal{O} \Rightarrow \mathcal{O} \subset \text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$.
 Let $x' \in \text{Centr}_{\mathfrak{g}}(x) \cap \mathbb{P}$ but $x' \notin \mathcal{O}$.
 Since $[x, x'] = 0 \Rightarrow \text{span}\{x, x'\}$ is Abelian \Rightarrow let \mathcal{O}' be a maximal Abelian that contains $\text{span}\{x, x'\}$. But $x' \in \mathcal{O}'$, but $x' \in \mathcal{O} \Rightarrow \mathcal{O}' = \mathcal{O}$. But $x \in \mathcal{O}' \Rightarrow \gamma \subset \exp \mathcal{O}' \nsubseteq \mathcal{O}$. ■

Example (1) $M = \text{SL}(n, \mathbb{R})/\text{SO}(n, \mathbb{R})$
 $\mathbb{P} = \{X \in \text{sl}(n, \mathbb{R}) : {}^t X = X\}$ -

We claim that

$$\mathcal{O} = \{\text{diag}(t_1, \dots, t_n) : \sum t_i = 0, t_i \in \mathbb{R}\}$$

is maximal Abelian.

$x \in \mathcal{O}$ is regular iff $t_i \neq t_j$ if $i \neq j$.

In fact $[t_i x]_{ij} = (t_j - t_i) x_{ij}$
 $\Rightarrow \text{Centr}_{\mathfrak{g}}(t_i x) = \mathcal{O}$.
 $\Rightarrow \text{rk}(\text{sl}(n, \mathbb{R})) = n-1$.
 Recall $M = \text{Pos}_+(n) = \text{positive matrices with det. 1 with the } \text{SL}(n, \mathbb{R})\text{-action by conjugation } g \cdot p = g^{-1} p \cdot g$.
 If $\text{Id}_n \in \text{Pos}_+(n)$ is the basept a max. flat is
 $F = \exp \mathcal{O} \cdot \text{Id}_n =$
 $= \{\text{diag}(e^{zt_1}, \dots, e^{zt_n}) : \sum t_i = 0\}$
 $= \{\text{diag}(\lambda_1, \dots, \lambda_n) : \lambda_j > 0, \prod \lambda_j = 1\}$.

Now we show how if $x \in \mathcal{O}$ has non-distinct eigenvalues it is contained in a 1-param. family of flats. Set $n=3$.

$$X = \text{diag}(1, 1, 2) \in \mathfrak{X}.$$

$$K_0 := \begin{pmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \in K$$

$$\Rightarrow \text{Ad}_G(K_0) X = X.$$

Let γ be a vector orthogonal to X (e.g. $\gamma = (2, 0, 1)$).

$\Rightarrow \mathfrak{X} = \text{span}\{X, \gamma\}$ is a max. Abelian subalg. containing $\mathfrak{g} = \exp X \subset \exp \mathfrak{X}$.

Moreover $\text{span}\{X, \text{Ad}_G(K_0)\gamma\} \supset \mathfrak{g}$.

$$(2) \text{rk}(\text{SO}(p, q)/S(O(p) \times O(q))) = \min\{p, q\}$$

$$(3) \text{rk}(\text{Sp}(2q, \mathbb{R})) = q.$$

III.3 Roots and root spaces

(Q, Θ) OSLA of non-split type: \mathfrak{g} consider $\langle X, \gamma \rangle := -B_{\mathfrak{g}}(X, \Theta\gamma)$.

Lemma III.10 If $\mathfrak{X} \subset \mathfrak{g}$ the endom. $\text{ad}_{\mathfrak{g}}(X) \in \text{End}(\mathfrak{g})$ is self-adj. w.r.t. \langle , \rangle .

Pf Verification \blacksquare

If $\mathfrak{X} \subset \mathfrak{g}$ is Abelian \Rightarrow

$\{\text{ad}_{\mathfrak{g}}(X) : X \in \mathfrak{X}\}$ is a comm. family of diag. endom. \Rightarrow they are simultaneously diag.

Defn. $\alpha \in \mathfrak{X}^*$,

$$\mathfrak{g}_\alpha := \{X \in \mathfrak{g} : \text{ad}_{\mathfrak{g}}(H)X = \alpha(H)X \quad \forall H \in \mathfrak{X}\}$$

Lemma III.11

$$(1) \alpha, \beta \in \mathfrak{X}^* \Rightarrow [\mathfrak{g}_\alpha, \mathfrak{g}_\beta] \subset \mathfrak{g}_{\alpha+\beta}$$

$$(2) \bigoplus (\mathfrak{g}_\alpha) = \mathfrak{g} \quad \forall \alpha \in \mathfrak{X}^*.$$

Pf (1) $H \in \mathfrak{X}, X \in \mathfrak{g}_\alpha, Y \in \mathfrak{g}_\beta$

$$\begin{aligned} \Rightarrow \text{ad}_{\mathfrak{g}}(H)[X, Y] &= [\text{ad}_{\mathfrak{g}}(H)X, Y] + \\ &+ [X, \text{ad}_{\mathfrak{g}}(H)(Y)] = \\ &= \alpha(H)[X, Y] + \beta(H)[X, Y] = \\ &= (\alpha+\beta)(H)[X, Y]. \end{aligned}$$

$$(2) H \in \mathfrak{X} \subset \mathfrak{g} \Rightarrow \text{ad}_{\mathfrak{g}}(H) = H.$$

$$\text{If } X \in \mathfrak{g}_\alpha \Rightarrow$$

$$\begin{aligned} \Rightarrow \text{ad}_{\mathfrak{g}}(H)\text{ad}_{\mathfrak{g}}(X) &= [H, \text{ad}_{\mathfrak{g}}(X)] \\ &= [\text{ad}_{\mathfrak{g}}(H), X] = -[H, X] = \\ &= -\text{ad}_{\mathfrak{g}}(H)X. \quad \blacksquare \end{aligned}$$

Defn. A root α of \mathfrak{X} in \mathfrak{g} is a non-zero linear form on \mathfrak{X}^* s.t. $\mathfrak{g}_\alpha \neq \{0\}$. Then \mathfrak{g}_α is the associated root space.

If $\Sigma \subset \mathfrak{X}^*$, \mathfrak{g}_α is the set of roots of \mathfrak{X} in \mathfrak{g} \Rightarrow

$$\Rightarrow \mathfrak{g} = \mathfrak{g}_0 \oplus \sum_{\alpha \in \Sigma}^\oplus \mathfrak{g}_\alpha$$

and the decomposition is orthogonal w.r.t. \langle , \rangle .

let $\mathfrak{X}^* \xrightarrow{\alpha} \mathfrak{X}$ be the $\alpha \mapsto H_\alpha$ isom.

$$\alpha(H) = B_{\mathfrak{g}}(H, H_\alpha)$$

Thm III.12 Let $\mathfrak{X} \subset \mathfrak{g}$ be a max. Abelian subspace, $\Sigma \subset \mathfrak{X}^*$ the set of roots of \mathfrak{X} in \mathfrak{g} .

Then Σ is a root system, that is

$$(1) \Sigma \text{ spans } \mathfrak{X}^*$$

$$(2) \text{For every } \alpha, \beta \in \Sigma,$$

$$\beta - \frac{z B_{\mathfrak{g}}(H_\alpha, H_\beta)}{B_{\mathfrak{g}}(H_\alpha, H_\alpha)} \alpha \in \Sigma$$

$$(3) \frac{2 \operatorname{Br}_{\mathfrak{g}}(h_{\alpha}, h_{\beta})}{\operatorname{Br}_{\mathfrak{g}}(h_{\alpha}, h_{\alpha})} \in \mathbb{Z}.$$

Bew $\mathfrak{H}^* \rightarrow \mathfrak{H}$

$$\alpha \mapsto h_{\alpha}$$

Let \langle , \rangle be the inner product on \mathfrak{H}^* , $\langle \alpha, \beta \rangle := \operatorname{Br}_{\mathfrak{g}}(h_{\alpha}, h_{\beta})$

$\Rightarrow \forall \alpha \in \mathfrak{H}^*, S_{\alpha}: \mathfrak{H}^* \rightarrow \mathfrak{H}^*$

$$S_{\alpha}(\beta) = \beta - \frac{2 \langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \cdot \alpha$$

is the reflection on the hyperplane orthogonal to α .

$$S_{\alpha}(\alpha) = -\alpha$$

$$S_{\alpha}(\beta) = \beta \text{ if } \beta \perp \alpha$$

$$\mathfrak{H} = \mathfrak{H}_0 \oplus \sum_{\alpha \in \Sigma} \mathfrak{H}_{\alpha}$$

$$\alpha \in \Sigma \Rightarrow -\alpha \in \Sigma.$$

Strategy:

Find $x_{\alpha} \in \mathfrak{H}_{\alpha}$,

$x_{-\alpha} \in \mathfrak{H}_{-\alpha}$ and

$h_{\alpha} \in \mathfrak{H}$ s.t.

$\{x_{\alpha}, x_{-\alpha}, h_{\alpha}\}$ span a copy of $\operatorname{sl}(2, \mathbb{R})$

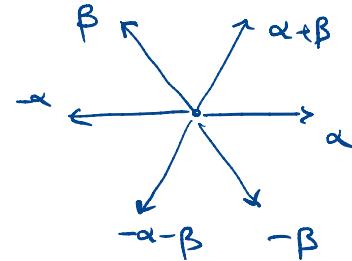
Exercise

Verify that the root system of

(1) $\operatorname{sl}(2, \mathbb{R})$



(2) $\operatorname{sl}(3, \mathbb{R})$



From now on $\mathfrak{I} = \text{max. Abelian subalg.}$

Lemma III-13 \mathfrak{I} max. Abelian \Rightarrow

$$\Rightarrow \mathfrak{I}_0 \cap \mathfrak{P} = \mathfrak{I}_0.$$

$$\text{Pf: } \mathfrak{I} \subset \mathfrak{I}_0 \cap \mathfrak{P} = \{x \in \mathbb{H} : [x, h] = 0 \text{ if } h \in \mathfrak{P}\}$$

If on the other hand $x \in \mathfrak{I}_0 \cap \mathfrak{P}$

$$\Rightarrow \mathfrak{I} + x \text{ Abelian} \xrightarrow{\text{max}} x \in \mathfrak{I}. \blacksquare$$