

## Part I. Survival kit

### 6.1. Inextendible

Let  $1 \leq p \leq \infty$ . Consider the open set  $\Omega = ]-1, 1[^2 \setminus ([0, 1[ \times \{0\})$ .

- (a) Prove that there does not exist an extension operator  $E: W^{1,p}(\Omega) \rightarrow W^{1,p}(\mathbb{R}^2)$ .
- (b) Where and why does the argument that you have seen in class fail in this situation?

### 6.2. Zero trace and $H_0^1$

Let  $\Omega \subset \mathbb{R}^n$  be any open, bounded and non-empty subset of  $\mathbb{R}^n$ .

- (a) Explain why any function  $u \in H_0^1(\Omega)$  can be extended *by zero* to a function  $\bar{u} \in H^1(\mathbb{R}^n)$ .

Conversely, one wonders whether any function in  $H^1(\mathbb{R}^n)$  which is zero outside  $\Omega$  be restricted to a function in  $H_0^1(\Omega)$ . Through the following steps, we shall answer such a question (in the negative).

- (b) Let  $\Omega \subset \mathbb{R}^n$  be of class  $C^1$ . Let  $v \in H^1(\mathbb{R}^n)$  satisfy  $v(x) = 0$  for almost every  $x \in \mathbb{R}^n \setminus \Omega$ . Prove  $v|_\Omega \in H_0^1(\Omega)$ .
- (c) Show that the assumption that  $\Omega$  is of class  $C^1$  cannot be dropped in part (b): find a bounded, connected, open set  $\Omega \subset \mathbb{R}^2$  and  $w \in H^1(\mathbb{R}^2)$  satisfying  $w(x) = 0$  for almost every  $x \in \mathbb{R}^2 \setminus \Omega$  such that  $w|_\Omega \notin H_0^1(\Omega)$ .

### 6.3. Ladyženskaja's inequality

As a warm-up to the following problem, please have a look at the *first proof* presented in the lecture notes for the Sobolev-Gagliardo-Nirenberg inequality (Satz 8.5.1 therein).

Adapt that argument to show that there is an embedding  $H^1(\mathbb{R}^2) \hookrightarrow L^4(\mathbb{R}^2)$  and prove the estimate

$$\forall u \in H^1(\mathbb{R}^2) : \|u\|_{L^4(\mathbb{R}^2)}^4 \leq 4 \|u\|_{L^2(\mathbb{R}^2)}^2 \|\nabla u\|_{L^2(\mathbb{R}^2)}^2.$$

## Part II. Project on compact embeddings

Recall that if  $\Omega \subset \mathbb{R}^n$  is a *bounded* domain with boundary of class  $C^1$  and  $1 \leq p < n$ , then Sobolev's embedding  $W^{1,p}(\Omega) \hookrightarrow L^q(\Omega)$  is *compact* for any  $1 \leq q < p^* := \frac{np}{n-p}$ . Are the assumptions on the domain  $\Omega$  necessary for compactness?

### 6.4. Non-compactness

Let  $n \in \mathbb{N}$  and  $1 \leq p \leq \infty$ . Prove that the embedding  $W^{1,p}(\mathbb{R}^n) \hookrightarrow L^p(\mathbb{R}^n)$  is *not* compact.

### 6.5. Compactness

(a) Let  $n \in \mathbb{N}$  and  $1 < p \leq n$ . Let  $\Omega \subset \mathbb{R}^n$  be possibly unbounded but of finite Lebesgue measure. Prove that then, the embedding  $W_0^{1,p}(\Omega) \hookrightarrow L^p(\Omega)$  is compact.

(Recall that  $W_0^{1,p}(\Omega)$  is the closure of  $C_c^\infty(\Omega)$  with respect to the  $W^{1,p}(\Omega)$ -norm.)

(b) Is the statement of part (a) still true, if the space  $W_0^{1,p}(\Omega)$  is replaced by  $W^{1,p}(\Omega)$ ?