

PROPAGATION OF C^1 REGULARITY FOR $d = 2, 3$

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We continue with the proof of Theorem 4.5.1:

Theorem (Theorem 4.5.1). *Let $w \in C^1(\mathbb{R})$ such that*

$$w \geq 0, w' \leq 0, w(r) = O(r^{-\alpha}) \text{ with } \alpha > d.$$

Assume that $f^{in} \in C^1(\mathbb{R}^d \times \mathbb{R}^d)$, $0 \leq f^{in}(x, v) + |D_x f^{in}(x, v)| + |D_v f^{in}(x, v)| \leq w(|v|)$, and $\nabla^2 G_d \star \rho^{in} \in L^2(\mathbb{R}^d)$, where $\rho^{in}(x) = \int_{\mathbb{R}^d} f^{in}(x, v) dv$.

Assume that for some $k_0 > d(d-1)$ we have

$$\iint_{\mathbb{R}^d \times \mathbb{R}^d} (1 + |v|^{k_0}) f^{in}(x, v) dx dv < \infty.$$

Then there exists a weak solution $(f, E) \in C^1(\mathbb{R}_+ \times \mathbb{R}^d \times \mathbb{R}^d) \times C^1(\mathbb{R}_+ \times \mathbb{R}^d)$ for the Vlasov-Poisson equation satisfying the initial condition $f|_{t=0} = f^{in}$, together with the decay estimates

$$f(t, x, v) + |D_x f(t, x, v)| + |D_v f(t, x, v)| = O(|v|^{-\alpha}) \text{ as } |v| \rightarrow \infty$$

uniformly in $(t, x) \in [0, T] \times \mathbb{R}^d$.

Proof. We shall proceed with the proof in six steps.

Step 1. L^∞ bound on the field:

Recall that we have shown $\exists A = A_T$ such that for all $t \in [0, T]$ $\|E(t, \cdot)\|_{L^\infty(\mathbb{R}^d)} \leq A$.

Step 2. L^∞ bound on the charge density:

We show that for a.e. $(t, x, v) \in [0, T] \times \mathbb{R}^d \times \mathbb{R}^d$

$$f(t, x, v) \leq w(|v| - At).$$

Consider the quantity

$$h(t, x, v) := (f(t, x, v) - w(|v| - At))_+.$$

Then h is a weak solution to the following Vlasov equation with source term:

$$(\partial_t + v \cdot \nabla_x + E(t, x) \cdot \nabla_v) h(t, x, v) = (A - E(t, x) \cdot \frac{v}{|v|}) w'(|v| - At) \mathbb{1}_{f(t, x, v) \geq w(|v| - At)}.$$

We therefore have

$$\begin{aligned} \frac{d}{dt} \iint_{\mathbb{R}^d \times \mathbb{R}^d} h(t, x, v) dx dv &= \iint_{\mathbb{R}^d \times \mathbb{R}^d} (A - E(t, x) \cdot \frac{v}{|v|}) w'(|v| - At) \mathbb{1}_{f(t, x, v) \geq w(|v| - At)} dx dv \\ &\leq 0 \end{aligned}$$

since $w' \leq 0$ and $A - E(t, x) \cdot \frac{v}{|v|} \geq A - |E(t, x)| \geq 0$ a.e. $x \in \mathbb{R}^d$.

But by assumption, $h(0, x, v) = 0$ a.e. and $h \geq 0$ a priori, so

$$\iint_{\mathbb{R}^d \times \mathbb{R}^d} h(t, x, v) dx dv = 0$$

for all $t \in [0, T]$, hence $h(t, x, v) = 0$ and $f(t, x, v) \leq w(|v| - At)$ a.e.

We therefore have that for a.e. (t, x)

$$\begin{aligned}
\rho(t, x) &= \int_{\mathbb{R}^d} f(t, x, v) dv \leq \int_{\mathbb{R}^d} w(|v| - At) dv \\
&= \int_{B_{AT}(0)} w(|v| - At) dv + \int_{\mathbb{R}^d \setminus B_{AT}(0)} w(|v| - At) dv \\
&\leq \int_{B_{AT}(0)} w(-AT) dv + \int_{\mathbb{R}^d \setminus B_{AT}(0)} w(|v| - AT) dv \quad \text{since } w \text{ is non-increasing} \\
&= w(-AT)(AT)^d |\mathbb{B}^d| + |\mathbb{S}^{d-1}| \int_{AT}^{\infty} w(r - AT) r^{d-1} dr \\
&= w(-AT)(AT)^d |\mathbb{B}^d| + |\mathbb{S}^{d-1}| \int_0^{\infty} w(r)(r + AT)^{d-1} dr =: R_T < \infty
\end{aligned}$$

where the integral on the last line is finite because $w = O(r^{-\alpha})$ and $\alpha > d$. Therefore $\|\rho\|_{L^\infty} \leq R_T$.

Step 3. L^∞ estimate for $D_{x,v}f$ in terms of $D_x E$:

Set

$$L(t) := \|D_x f(t, \cdot, \cdot)\|_{L^\infty(\mathbb{R}^d \times \mathbb{R}^d)} + \|D_v f(t, \cdot, \cdot)\|_{L^\infty(\mathbb{R}^d \times \mathbb{R}^d)}.$$

We can differentiate the Vlasov equation in the weak sense to obtain

$$(\partial_t + v \cdot \nabla_x + E(t, x) \cdot \nabla_v) \begin{pmatrix} D_x f \\ D_v f \end{pmatrix} = - \begin{pmatrix} 0 & D_x E^\top \\ I_d & 0 \end{pmatrix} \begin{pmatrix} D_x f \\ D_v f \end{pmatrix},$$

so that a.e.

$$(\partial_t + v \cdot \nabla_x + E(t, x) \cdot \nabla_v)(|D_x f| + |D_v f|) \leq (1 + |D_x E|)(|D_x f| + |D_v f|).$$

Then setting

$$J(t) := \int_0^t (1 + \|D_x E(s, \cdot)\|_{L^\infty(\mathbb{R}^d)}) ds$$

and

$$g(t, x, v) := e^{-J(t)} (|D_x f(t, x, v)| + |D_v f(t, x, v)|),$$

we have

$$\begin{aligned}
(\partial_t + v \cdot \nabla_x + E \cdot \nabla_v)g &= e^{-J(t)} (\partial_t + v \cdot \nabla_x + E(t, x) \cdot \nabla_v)(|D_x f| + |D_v f|) \\
&\quad - J'(t) e^{-J(t)} (|D_x f| + |D_v f|) \\
&\leq e^{-J(t)} (1 + |D_x E|)(|D_x f| + |D_v f|) \\
&\quad - (1 + \|D_x E(s, \cdot)\|_{L^\infty(\mathbb{R}^d)}) e^{-J(t)} (|D_x f| + |D_v f|) \\
&= (|D_x E| - \|D_x E(s, \cdot)\|_{L^\infty(\mathbb{R}^d)}) g \leq 0 \text{ a.e.}
\end{aligned}$$

Hence g satisfies a Vlasov equation with negative source term, and by the maximum principle,

$$g(t, x, v) \leq \operatorname{ess\,sup}_{x, v \in \mathbb{R}^d} g(0, x, v) \leq L(0) \text{ a.e.}$$

thus

$$|D_{x,v} f| \leq g(t, x, v) e^{J(t)} \leq L(0) e^{J(t)} \text{ a.e.}$$

and

$$L(t) = \operatorname{ess\,sup}_{x,v \in \mathbb{R}^d} |D_x f| + \operatorname{ess\,sup}_{x,v \in \mathbb{R}^d} |D_v f| \leq 2L(0)e^{J(t)}.$$

Step 4. L^∞ estimate for $D_x \rho$ in terms of $D_x E$:

Proceeding similarly to Step 2, we have that

$$\begin{aligned} & (\partial_t + v \cdot \nabla_x + E(t, x) \cdot \nabla_v)(g(t, x, v) - w(|v| - At))_+ \\ & \leq (A - E(t, x) \cdot \frac{v}{|v|})w'(|v| - At)\mathbb{1}_{g(t,x,v) \geq w(|v| - At)}, \end{aligned}$$

and the right-hand side is a.e. nonpositive. Therefore, since by assumption

$$g(0, x, v) = |D_x f^{in}(x, v)| + |D_v f^{in}(x, v)| \leq w(|v|) \text{ a.e. } (x, v) \in \mathbb{R}^d \times \mathbb{R}^d,$$

we can similarly conclude that $g(t, x, v) \leq w(|v| - At)$ a.e.

Hence

$$|D_x f(t, x, v)| + |D_v f(t, x, v)| \leq e^{J(t)}w(|v| - At) \text{ a.e.}$$

and in particular

$$|D_x \rho(t, x)| \leq \int_{\mathbb{R}^d} |D_x f(t, x, v)| dv \leq e^{J(t)} \int_{\mathbb{R}^d} w(|v| - At) dv \leq R_T e^{J(t)} \text{ a.e.}$$

Step 5. L^∞ estimate for $D_x E$ in terms of $D_x \rho$:

Now we estimate

$$\|D_x E(t, \cdot)\|_{L^\infty(\mathbb{R}^d)} = \|\nabla^2 G_d \star \rho(t, \cdot)\|_{L^\infty(\mathbb{R}^d)}$$

where

$$\nabla^2 G_d = \frac{1}{|\mathbb{S}^{d-1}|} \text{p. v.} \frac{|x|^2 I_d - dx x^\top}{|x|^{d+2}} - \frac{1}{d} \delta_0 I_d.$$

Since the Calderón-Zygmund theory does not apply for $p = \infty$, we instead prove the following lemma:

Lemma (Lemma 4.5.2). *Let Ω be a continuous function on \mathbb{S}^{d-1} which integrates to 0, and let*

$$K := \text{p. v.} \frac{\Omega(\frac{x}{|x|})}{|x|^d}.$$

Let $\phi \in L^1(\mathbb{R}^d) \cap L^\infty(\mathbb{R}^d)$ weakly differentiable with $D\phi \in L^\infty(\mathbb{R}^d)$ ($\phi \in W^{1,\infty}(\mathbb{R}^d)$). Then

$$\begin{aligned} \|K \star \phi\|_{L^\infty} & := \operatorname{ess\,sup}_{x \in \mathbb{R}^d} \left| \lim_{\varepsilon \rightarrow 0^+} \int_{\mathbb{R}^d \setminus B_\varepsilon(0)} \frac{\Omega(\frac{y}{|y|})}{|y|^d} \phi(x - y) dy \right| \\ & \leq \|\Omega\|_{L^\infty} (\|\phi\|_{L^1} + |\mathbb{S}^{d-1}| (1 + \|\phi\|_{L^\infty} \ln(1 + \|D\phi\|_{L^\infty}))). \end{aligned}$$

Proof. We split the domain of integration into three radial regions. Let $0 < r < 1$, and divide $\mathbb{R}^d \setminus B_\varepsilon(0)$ as

$$\mathbb{R}^d \setminus B_\varepsilon(0) = (\mathbb{R}^d \setminus B_1(0)) \sqcup (B_1(0) \setminus B_r(0)) \sqcup (B_r(0) \setminus B_\varepsilon(0)).$$

Then fixing $x \in \mathbb{R}^d$, we integrate along each region separately:

$$\begin{aligned} \int_{\mathbb{R}^d \setminus B_\varepsilon(0)} \frac{\Omega(\frac{y}{|y|})}{|y|^d} \phi(x-y) dy &= \int_{\mathbb{R}^d \setminus B_1(0)} + \int_{B_1(0) \setminus B_r(0)} + \int_{B_r(0) \setminus B_\varepsilon(0)} \frac{\Omega(\frac{y}{|y|})}{|y|^d} \phi(x-y) dy \\ &=: I_1 + I_2 + I_3. \end{aligned}$$

For I_1 we obtain a straightforward L^1 bound:

$$\begin{aligned} |I_1| &\leq \int_{\mathbb{R}^d \setminus B_1(0)} \frac{|\Omega(\frac{y}{|y|})|}{|y|^d} |\phi(x-y)| dy \\ &\leq \int_{\mathbb{R}^d \setminus B_1(0)} \|\Omega\|_{L^\infty} |\phi(x-y)| dy \leq \|\Omega\|_{L^\infty} \|\phi\|_{L^1}. \end{aligned}$$

For I_2 we bound both Ω and ϕ by their L^∞ norms and change to spherical coordinates:

$$\begin{aligned} |I_2| &\leq \int_{B_1(0) \setminus B_r(0)} \frac{|\Omega(\frac{y}{|y|})|}{|y|^d} |\phi(x-y)| dy \\ &\leq \int_{B_1(0) \setminus B_r(0)} \|\Omega\|_{L^\infty} \|\phi\|_{L^\infty} \frac{dy}{|y|^d} \\ &\leq \|\Omega\|_{L^\infty} \|\phi\|_{L^\infty} \int_r^1 |\mathbb{S}^{d-1}| \frac{R^{d-1} dR}{R^d} \\ &= \|\Omega\|_{L^\infty} \|\phi\|_{L^\infty} |\mathbb{S}^{d-1}| \ln \frac{1}{r}. \end{aligned}$$

For I_3 we note that, since Ω integrates to 0,

$$\int_{B_r(0) \setminus B_\varepsilon(0)} \frac{\Omega(\frac{y}{|y|})}{|y|^d} dy = \int_\varepsilon^r \left(\int_{\mathbb{S}^{d-1}} \Omega(u) du \right) \frac{R^{d-1} dR}{R^d} = 0,$$

so we can adjust ϕ in the integral by a constant, namely $\phi(x)$:

$$\begin{aligned} |I_3| &= \left| \int_{B_r(0) \setminus B_\varepsilon(0)} \frac{\Omega(\frac{y}{|y|})}{|y|^d} (\phi(x-y) - \phi(x)) dy \right| \\ &\leq \|\Omega\|_{L^\infty} \int_{B_r(0) \setminus B_\varepsilon(0)} \frac{|\phi(x-y) - \phi(x)|}{|y|^d} dy. \end{aligned}$$

Now because ϕ is weakly differentiable, we have $|\phi(x-y) - \phi(x)| \leq \|D\phi\|_{L^\infty} |y|$ a.e. (see Functional Analysis II, lecture 8). Hence, again applying spherical coordinates,

$$\begin{aligned} |I_3| &\leq \|\Omega\|_{L^\infty} \int_{B_r(0) \setminus B_\varepsilon(0)} \frac{\|D\phi\|_{L^\infty} |y|}{|y|^d} dy \\ &= \|\Omega\|_{L^\infty} \|D\phi\|_{L^\infty} |\mathbb{S}^{d-1}| \int_\varepsilon^r \frac{R^{d-1} dR}{R^{d-1}} \\ &= \|\Omega\|_{L^\infty} \|D\phi\|_{L^\infty} (r - \varepsilon). \end{aligned}$$

Putting the three bounds together, we have

$$\|K \star \phi\|_{L^\infty} \leq \|\Omega\|_{L^\infty} (\|\phi\|_{L^1} + |\mathbb{S}^{d-1}| (\|\phi\|_{L^\infty} \ln \frac{1}{r} + \|D\phi\|_{L^\infty} r)).$$

Finally choose

$$r = \frac{1}{1 + \|D\phi\|_{L^\infty}}$$

to obtain

$$\|K \star \phi\|_{L^\infty} \leq \|\Omega\|_{L^\infty} (\|\phi\|_{L^1} + |\mathbb{S}^{d-1}| (\|\phi\|_{L^\infty} \ln(1 + \|D\phi\|_{L^\infty}) + 1)). \quad \square$$

Then noting that $\nabla^2 G_d$ satisfies the assumptions of the lemma, we have

$$\begin{aligned} \|D_x E(t, \cdot)\|_{L^\infty(\mathbb{R}^d)} &\leq C(1 + \|\phi\|_{L^1} + \|\phi\|_{L^\infty} \ln(1 + \|D\phi\|_{L^\infty}) + 1) \\ &\leq C(1 + \mathcal{M}^{in} + R_T \ln(1 + R_T e^{J(t)})) \\ &\leq C(1 + \mathcal{M}^{in} + R_T \ln((1 + R_T) e^{J(t)})) \\ &\leq C(1 + \mathcal{M}^{in} + R_T \ln(1 + R_T) + R_T J(t)) \leq C_T(1 + J(t)) \end{aligned}$$

for some constant $C_T > 0$.

Step 6. Conclusion:

By the estimate on $\|D_x E\|_{L^\infty(\mathbb{R}^d)}$ we have

$$J(t) = \int_0^t (1 + \|D_x E(s, \cdot)\|_{L^\infty(\mathbb{R}^d)}) ds \leq T(1 + C_T) + C_T \int_0^t J(s) ds.$$

Therefore by Gronwall's inequality,

$$J(t) \leq T(1 + C_T) e^{TC_T} \text{ for all } t \in [0, T].$$

We then plug this bound back into the previous estimates:

$$\begin{aligned} \|D_x E(t, \cdot)\|_{L^\infty(\mathbb{R}^d)} &\leq C_T(1 + J(t)) \leq C_T(1 + T(1 + C_T) e^{TC_T}); \\ \|D_x \rho(t, \cdot)\|_{L^\infty(\mathbb{R}^d)} &\leq R_T e^{J(t)} \leq R_T \exp(C_T(1 + T(1 + C_T) e^{TC_T})); \end{aligned}$$

and

$$|D_x f(t, x, v)| + |D_v f(t, x, v)| = L(t) \leq e^{J(t)} w(|v| - At) \leq C w(|v| - AT).$$

Therefore we obtain the desired asymptotic behavior for $D_{x,v} f$.

The bound on $D_x E$ then ensures that E is Lipschitz, and this implies that the vector field of the Vlasov equation to which f is a weak solution admits a global flow Z , and the unique weak solution to the Vlasov equation is the pushforward along the flow, i.e. $f = Z \# f^{in}$. Therefore $f \in C^1$, and likewise for ρ .

Finally, $E \in C^1$ follows from elliptic regularity and the fact that $\rho \in C^1$. \square