

D-MATH  
 HS 2021  
 Prof. E. Kowalski

## Solutions 5

Commutative Algebra

① We consider the case  $n = 2$ , the general case follows by induction. First, note that all the ideals of  $A_1 \times A_2$  are of the form  $I \times J$ , with  $I, J$  ideals of  $A_1$  and  $A_2$ , respectively. Moreover, it's easy to show that the prime ideals of  $A_1 \times A_2$  are of the form  $\wp_1 \times A_2$ ,  $A_1 \times \wp_2$  with  $\wp_1 \subseteq A_1$ ,  $\wp_2 \subseteq A_2$  prime ideals. Therefore, any chain of prime ideals in  $A_1 \times A_2$  arises from either a chain of primes in  $A_1$  or a chain of primes in  $A_2$ . The longest chain must come from the longest chain in  $A_1$  or  $A_2$ .

② a. Let  $\mathcal{F}$  be the set of non-principal ideals of  $R$ . If  $\mathcal{F}'$  is a chain in  $\mathcal{F}$ , then  $\bigcup_{I \in \mathcal{F}'} I$  is an ideal of  $\mathcal{F}$ , which is not principal, if not, one of the  $I \in \mathcal{F}'$  would be principal.

b. Since  $R$  is a domain of dimension 1, any non-zero prime ideal  $\wp$  has height 1. Let  $a \in \wp$ , write

$$a = \prod_{i=1}^n a_i,$$

where  $a_i$  are irreducible. Since  $\wp$  is prime, there exists  $i \in \{1, \dots, n\}$  so that  $a_i \in \wp$ . But now

$$0 \subset (a_i) \subseteq \wp$$

is a chain of prime ideals. Because  $\text{ht}(\wp) = 1$ , one gets  $\wp = (a_i)$ .

Assume  $\mathcal{F} \neq \emptyset$ . By Zorn's lemma,  $\mathcal{F}$  has a maximal element  $I$ . In particular,  $I$  is not prime, pick then  $a, b \notin I$  with  $ab \in I$ . Since

$$I + (a) \supset I$$

and

$$I + (b) \supset I,$$

by the maximality of  $I$ , both  $I + (a)$  and  $I + (b)$  are principal. Let  $I + (a) = (x)$ . Consider the ideal  $J = I :_R (I + (a))$ ; it contains  $I + (b)$ , so it is principal generated by  $y$ , say. One has

$$I = I + (ab) = (I + (a))J = (xy),$$

a contradiction.

- ③ Suppose  $a \notin S^{-1}\mathfrak{p}_i$ ,  $a \notin k$ . After clearing denominators, we may assume  $a \in A$ . Then  $a$  includes a monomial not including any of the generators of  $\mathfrak{p}_i$  as a factor. By removing monomials in  $a$  belonging to  $\mathfrak{p}_i$ , we may assume  $a$  contains no monomial such as  $X_{m_i+1}$  with nonzero coefficients; then  $a + X_{m_i+1} \in S$ , hence it is a unit.

Next, any  $x \in A$ ,  $x \neq 0$  can be in only finitely many  $S^{-1}\mathfrak{p}_i$ . It's enough to check for the variables, which is obvious.

By the above, in particular  $S^{-1}A$  satisfies condition 2 of the Lemma. To see that  $S^{-1}A_{S^{-1}\mathfrak{p}_i}$  is noetherian, note that it coincides with

$$A_{\mathfrak{p}_i} \simeq k(X_j)[X_{m_i+1}, \dots, X_{m_{i+1}}]_{(X_{m_i+1}, \dots, X_{m_{i+1}})},$$

a localization of a noetherian ring, where  $j \in \mathbb{N} \setminus \{m_i + 1, \dots, m_{i+1}\}$ . This will satisfy condition 1 of the Lemma. Hence it suffices to prove a generalization of the prime avoidance lemma:

any ideal  $I \subseteq A$  so that  $I \subseteq \bigcup_i \mathfrak{p}_i$  is contained in  $\mathfrak{p}_i$  for some  $i$ .

*Proof.* Assume  $I$  is not contained in  $\bigcup_{k \in K} \mathfrak{p}_k$  for  $K \subseteq \mathbb{N}$  finite set (if not, it is the usual prime avoidance). Let  $f \in A$  and define

$$D(f) := \{i \in \mathbb{N} : f \in S^{-1}\mathfrak{p}_i\}.$$

Let  $f \in I$ , then if there is no  $g \in I$  so that  $D(f) \cap D(g) = \emptyset$ , then

$$I \subseteq \bigcup_{i \in D(f)} \mathfrak{p}_i$$

and  $D(f)$  is finite. Hence there exists  $g \in I$  such that  $D(f) \cap D(g) = \emptyset$ . Note that if  $D(f) = \emptyset$  or  $D(g) = \emptyset$  then one or the other lies outside of  $\bigcup_i \mathfrak{p}_i$ , contradicting  $I \subseteq \bigcup_i \mathfrak{p}_i$ . Hence we may assume  $D(f) \neq \emptyset$  and  $D(g) \neq \emptyset$ .

Let  $\sigma \in D(g)$ ,  $d = \deg f$ . The claim is that  $D(f + X_{m_\sigma+1}^{d+1}g) = \emptyset$ , proving a contradiction.

Clearly  $D(X_{m_\sigma+1}^{d+1}g) = D(g)$ , and since  $D(f) \cap D(g) = \emptyset$ ,

$$f + X_{m_\sigma+1}^{d+1}g \notin \mathfrak{p}_\ell \quad \forall \ell \in D(f) \cup D(g).$$

Moreover, since the term of lowest degree of  $X_{m_\sigma+1}^{d+1}g$  is of greater degree than the term of highest degree of  $f$ , there can be no cancellation among the monomials, so, by fixing an index  $\ell$ , if  $f \notin \mathfrak{p}_\ell$ ,  $f$  has a nonzero monomial not in  $\mathfrak{p}_\ell$ , and that monomial persists with the same nonzero coefficient in  $f + X_{m_\sigma+1}^{d+1}g$ , hence  $f + X_{m_\sigma+1}^{d+1}g$  cannot lie in  $\mathfrak{p}_\ell$ , since for a polynomial to lie in  $\mathfrak{p}_\ell$ , every monomial must lie in  $(X_{m_\ell+1}, \dots, X_{m_{\ell+1}})$ .  $\square$

- ④ a. Consider the  $\mathbb{C}$ -algebras morphism

$$\begin{aligned}\Psi : \mathbb{C}[X, Y] &\longrightarrow \mathbb{C}[T] \\ X &\longmapsto T^2 \\ Y &\longmapsto T^3.\end{aligned}$$

We prove that  $\ker \Psi = (Y^2 - X^3)$ . From this, we deduce that  $R$  is isomorphic to a subring of  $\mathbb{C}[T]$ , which is an integral domain. Indeed,  $R \simeq \mathbb{C}[T^2, T^3]$ .

( $\supseteq$ ): Clear.

( $\subseteq$ ): Any  $f \in \mathbb{C}[X, Y]$  can be written as

$$f = f_0(X) + f_1(X)Y + (Y^2 - X^3)g(X, Y).$$

To see this, note that

$$X^m Y^n = (Y^2 - X^3)X^m Y^{n-2} + X^{m+3} Y^{n-2}$$

for all  $m \in \mathbb{N}$ ,  $n \geq 2$ . Hence by induction

$$X^m Y^n = (Y^2 - X^3)p(X, Y) + q_0(X) + q_1(X)Y.$$

Let  $f \in \ker \Psi$ , then

$$\begin{aligned}0 &= \Psi(f(X, Y)) = f(T^2, T^3) \\ &= f_0(T^2) + f_1(T^2)T^3.\end{aligned}$$

The even terms of  $f_0(T^2) + f_1(T^2)T^3$  are  $f_0(T^2)$ , the odd terms are  $f_1(T^2)T^3$ . Thus  $f_0(T^2) = 0$  and  $f_1(T^2) = 0$ , i.e.  $f_0(X) = 0$  and  $f_1(X) = 0$ . Thus

$$f = (Y^2 - X^3)g(X, Y).$$

Finally, we have that the Krull dimension of  $R$  is  $\geq 1$ , since it is not a field. Also,

$$\dim R \leq \dim \mathbb{C}[X, Y] - \text{ht}(Y^2 - X^3) = 2 - \text{ht}(Y^2 - X^3).$$

The height of  $(Y^2 - X^3)$  is 1: suppose

$$0 \neq \wp \subseteq (Y^2 - X^3)$$

is a chain of primes; take an irreducible  $g \in \wp$ , then  $g = r \cdot (Y^2 - X^3)$ . But then  $r$  is a constant, so

$$\wp \supseteq (g) = (Y^2 - X^3).$$

Hence  $\dim R = 1$ .

b. The element  $\frac{Y}{X} \in \text{frac}(R) \setminus R$  satisfies the integral equation

$$\left(\frac{Y}{X}\right)^2 - X = 0.$$

c. One sees by evaluating at  $(1, 1)$  that  $(Y^2 - X^3) \subseteq (X - 1, Y - 1)$ . Moreover,

$$R/p \simeq \mathbb{C}[X, Y]/(X - 1, Y - 1) \xrightarrow{f \mapsto f(1,1)} \simeq \mathbb{C}.$$

d. The maximal ideal of  $R_p$  is principal:

$$pR_p = (X - 1)R_p,$$

since  $Y - 1 = (X - 1)(X^2 + X + 1)/(Y + 1)$ . Then it is a PID.

⑤ a. The polynomial  $X^2 - 5$  is irreducible in  $\mathbb{Z}[X]$  (Eisenstein criterion for instance), so prime, so  $R$  is an integral domain. Also,  $\text{ht}(X^2 - 5) = 1$  since it is principal. The dimension of  $R$  is  $\geq 1$ , since it is not a field. It is also  $\leq 1$  by the inequality

$$\dim R \leq \dim \mathbb{Z}[X] - \text{ht}(X^2 - 5) \leq 2 - 1 = 1.$$

The fraction field is

$$\text{frac}(\mathbb{Z}[\sqrt{5}]) = \left\{ \frac{a + b\sqrt{5}}{c + d\sqrt{5}} : a, b, c, d \in \mathbb{Z}, (c, d) \neq (0, 0) \right\} = \mathbb{Q}(\sqrt{5}),$$

by noting that

$$\frac{a + b\sqrt{5}}{c + d\sqrt{5}} = \frac{(a + b\sqrt{5})(c - d\sqrt{5})}{c^2 - 5d^2}.$$

b. Observe that

$$\left(\frac{1 + \sqrt{5}}{2}\right)^2 = \frac{1 + 5 + 2\sqrt{5}}{4} = \frac{1 + \sqrt{5}}{2} + 1.$$

Then  $\alpha := \frac{1 + \sqrt{5}}{2}$  satisfies

$$\alpha^2 - \alpha - 1 = 0$$

and  $\alpha$  is not in  $R$ .

c. Let  $x = a + b\sqrt{5}$  be integral over  $\mathbb{Z}$ , with  $a, b \in \mathbb{Q}$ . Consider the automorphism

$$\begin{aligned} \sigma : \mathbb{Q}(\sqrt{5}) &\longrightarrow \mathbb{Q}(\sqrt{5}) \\ \sqrt{5} &\longmapsto -\sqrt{5}. \end{aligned}$$

Then  $x + \sigma(x)$  and  $x\sigma(x)$  are integral over  $\mathbb{Z}$ , since  $\sigma(x)$  is. But

$$\begin{aligned}x + \sigma(x) &= 2a; \\x\sigma(x) &= a^2 - 5b^2\end{aligned}$$

are both elements of  $\mathbb{Q}$  integral over  $\mathbb{Z}$ , which is integrally closed. Hence

$$2a, a^2 - 5b^2 \in \mathbb{Z} \tag{1}$$

On the other hand,  $x$  is a root of

$$X^2 - 2aX + a^2 - 5b^2 \in \mathbb{Z}[X],$$

so by assuming (1) one has

$$4(a^2 - 5b^2) = (2a)^2 - 5(2b)^2 \implies 5(2b)^2 \in \mathbb{Z} \implies 2b \in \mathbb{Z}.$$

Write

$$a = \frac{u}{2}, \quad b = \frac{v}{2},$$

where  $u, v \in \mathbb{Z}$ . Condition (1) translates to

$$u^2 - 5v^2 \in 4\mathbb{Z} \tag{2}$$

- if  $v$  is even, then (2) implies  $u$  even as well, so  $a, b \in \mathbb{Z}$  and  $x \in R$ ;
- if  $v$  is odd, then  $v^2 \equiv 1 \pmod{4}$  and by (2) also  $u^2 \equiv 1 \pmod{4}$ .

In particular

$$x = \frac{1}{2}(u + \sqrt{5}v)$$

where  $u$  and  $v$  are either both even or both odd.