

## Solutions Exercise Sheet 1

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**Exercise 1** (Unitary Operators). Let  $\mathcal{H}$  be a Hilbert space and  $U(\mathcal{H})$  its group of unitary operators. Show that the weak operator topology coincides with the strong operator topology on  $U(\mathcal{H})$ .

**Solution.** Recall that a sequence  $(T_n)_{n \in \mathbb{N}} \subset U(\mathcal{H})$  of unitary operators converges to a unitary operator  $T$  with respect to the *weak operator topology* if

$$\lambda(T_n x) \rightarrow \lambda(Tx) \quad (n \rightarrow \infty)$$

for every linear functional  $\lambda \in \mathcal{H}^*$  and every  $x \in \mathcal{H}$ .

A sequence  $(T_n)_{n \in \mathbb{N}} \subset U(\mathcal{H})$  of unitary operators converges to a unitary operator  $T$  with respect to the *strong operator topology* if

$$T_n x \rightarrow Tx \quad (n \rightarrow \infty)$$

for every  $x \in \mathcal{H}$ .

In order to show that the weak operator topology coincides with the strong operator topology it will be sufficient to show that a sequence  $(T_n)_{n \in \mathbb{N}} \subset U(\mathcal{H})$  converges with respect to the weak operator topology to  $T \in U(\mathcal{H})$  if and only if  $(T_n)_{n \in \mathbb{N}}$  converges with respect to the strong operator topology to  $T$ .

“ $\Leftarrow$ ” : Let  $T_n \rightarrow T$  strongly and let  $\lambda \in \mathcal{H}^*$ ,  $x \in \mathcal{H}$ . Then because  $\lambda$  is continuous and  $T_n x \rightarrow Tx$  we get

$$\lambda(T_n x) \rightarrow \lambda(Tx)$$

as  $n \rightarrow \infty$ .

“ $\Rightarrow$ ” : Let  $T_n \rightarrow T$  weakly and let  $x \in \mathcal{H}$ . We need to see that

$$\|T_n x - Tx\|^2 \rightarrow 0 \quad (n \rightarrow \infty).$$

We compute

$$\begin{aligned} \|T_n x - Tx\|^2 &= \langle T_n x - Tx, T_n x - Tx \rangle \\ &= \langle T_n x, T_n x \rangle - \langle T_n x, Tx \rangle - \langle Tx, T_n x \rangle + \langle Tx, Tx \rangle \\ &= \langle x, x \rangle - \langle T_n x, Tx \rangle - \langle Tx, T_n x \rangle + \langle x, x \rangle \\ &= 2\|x\|^2 - \left( \langle T_n x, Tx \rangle + \overline{\langle T_n x, Tx \rangle} \right) \\ &= 2\|x\|^2 - 2\Re(\langle T_n x, Tx \rangle) \\ &\rightarrow 2\|x\|^2 - 2\|Tx\|^2 = 2\|x\|^2 - 2\|x\|^2 = 0 \quad (n \rightarrow \infty), \end{aligned}$$

where we have used that  $T_n$  and  $T$  are unitary and that  $\langle \cdot, Tx \rangle$  is a continuous linear functional.

**Exercise 2** (Compact-Open Topology). Let  $X, Y, Z$  be topological space, and denote by  $C(Y, X) := \{f: Y \rightarrow X \text{ continuous}\}$  the set of continuous maps from  $Y$  to  $X$ . The set  $C(Y, X)$  can be endowed with the *compact-open topology*, that is generated by the subbasic sets

$$S(K, U) := \{f \in C(Y, X) \mid f(K) \subseteq U\},$$

where  $K \subseteq Y$  is compact and  $U \subseteq X$  is open.

Prove the following useful facts about the compact-open topology.

If  $Y$  is locally compact, then:

- a) The evaluation map  $e: C(Y, X) \times Y \rightarrow X, e(f, y) := f(y)$ , is continuous.
- b) A map  $f: Y \times Z \rightarrow X$  is continuous if and only if the map

$$\hat{f}: Z \rightarrow C(Y, X), \hat{f}(z)(y) = f(y, z),$$

is continuous.

**Solution.** a) For  $(f, y) \in C(Y, X) \times Y$  let  $U \subset X$  be an open neighborhood of  $f(y)$ . Since  $Y$  is locally compact, continuity of  $f$  implies there is a compact neighborhood  $K \subset Y$  of  $y$  such that  $f(K) \subset U$ . Then  $S(K, U) \times K$  is a neighborhood of  $(f, y)$  in  $C(Y, X) \times Y$  taken to  $U$  by  $e$ , so  $e$  is continuous at  $(f, y)$ .

- b) Suppose  $f: Y \times Z \rightarrow X$  is continuous. To show continuity of  $\hat{f}$  it suffices to show that for a subbasic set  $S(K, U) \subset C(Y, X)$ , the set  $\hat{f}^{-1}(S(K, U)) = \{z \in Z \mid f(K, z) \subset U\}$  is open in  $Z$ . Let  $z \in \hat{f}^{-1}(S(K, U))$ . Since  $f^{-1}(U)$  is an open neighborhood of the compact set  $K \times \{z\}$ , there exist open sets  $V \subset Y$  and  $W \subset Z$  whose product  $V \times W$  satisfies  $K \times \{z\} \subset V \times W \subset f^{-1}(U)$ . Indeed,  $f^{-1}(U) = \cup_{i \in I} V_i \times W_i$  and we can choose a finite family  $I' \subset I$  with  $K \times \{z\} \subset \cup_{i \in I'} V_i \times W_i$ . Then set  $W := \cap_{z \in W_i} W_i$  and  $V := \cup_{z \in W_i} V_i$ .

So  $W$  is a neighborhood of  $z$  in  $\hat{f}^{-1}(S(K, U))$ . (The hypothesis that  $Y$  is locally compact is not needed here.)

For the converse of b) note that  $f$  is the composition  $Y \times Z \rightarrow Y \times C(Y, X) \rightarrow X$  of  $\text{Id} \times \hat{f}$  and the evaluation map, so part a) gives the result.

**Exercise 3** (General Linear Group  $\text{GL}(n, \mathbb{R})$ ). The general linear group

$$\text{GL}(n, \mathbb{R}) := \{A \in \mathbb{R}^{n \times n} \mid \det A \neq 0\} \subseteq \mathbb{R}^{n \times n}$$

is naturally endowed with the subspace topology of  $\mathbb{R}^{n \times n} \cong \mathbb{R}^{n^2}$ . However, it can also be seen as a subset of the space of homeomorphisms of  $\mathbb{R}^n$  via the injection

$$j: \text{GL}(n, \mathbb{R}) \rightarrow \text{Homeo}(\mathbb{R}^n), \\ A \mapsto (x \mapsto Ax).$$

- a) Show that  $j(\text{GL}(n, \mathbb{R})) \subset \text{Homeo}(\mathbb{R}^n)$  is a closed subset, where  $\text{Homeo}(\mathbb{R}^n) \subset C(\mathbb{R}^n, \mathbb{R}^n)$  is endowed with the compact-open topology.

**Solution.** Note that

$$j(\mathrm{GL}(n, \mathbb{R})) = \{f \in \mathrm{Homeo}(\mathbb{R}^n) : f(\lambda x + y) = \lambda f(x) + f(y) \text{ for all } \lambda \in \mathbb{R}, x, y \in \mathbb{R}^n\}.$$

Since evaluation is continuous also the maps

$$F_{\lambda, x, y} : \mathrm{Homeo}(\mathbb{R}^n) \rightarrow \mathbb{R}^n \\ f \mapsto f(\lambda x + y) - \lambda f(x) + f(y)$$

are continuous for all  $\lambda \in \mathbb{R}, x, y \in \mathbb{R}^n$ .

Thus,

$$j(\mathrm{GL}(n, \mathbb{R})) = \bigcap_{\lambda \in \mathbb{R}, x, y \in X} F_{\lambda, x, y}^{-1}(0) \subset \mathrm{Homeo}(\mathbb{R}^n)$$

is closed as the intersection of closed sets.

- b) If we identify  $\mathrm{GL}(n, \mathbb{R})$  with its image  $j(\mathrm{GL}(n, \mathbb{R})) \subset \mathrm{Homeo}(\mathbb{R}^n)$  we can endow it with the induced subspace topology. Show that this topology coincides with the usual topology coming from the inclusion  $\mathrm{GL}(n, \mathbb{R}) \subset \mathbb{R}^{n \times n}$ . Hint: Exercise 2 can be useful here.

**Solution.** Consider the inclusions

$$i : \mathrm{GL}(n, \mathbb{R}) \rightarrow \mathbb{R}^{n \times n}, \\ A \mapsto \begin{pmatrix} | & & | \\ A\mathbf{e}_1 & \cdots & A\mathbf{e}_n \\ | & & | \end{pmatrix},$$

where  $\mathbf{e}_1, \dots, \mathbf{e}_n$  denotes the standard basis of  $\mathbb{R}^{n \times n}$ .

Further, consider the maps

$$\varphi : \mathbb{R}^{n \times n} \rightarrow C(\mathbb{R}^n, \mathbb{R}^n), \\ \begin{pmatrix} | & & | \\ \mathbf{v}_1 & \cdots & \mathbf{v}_n \\ | & & | \end{pmatrix} \mapsto (\mathbf{x} \mapsto x_1 \cdot \mathbf{v}_1 + \cdots + x_n \cdot \mathbf{v}_n),$$

and

$$\psi : C(\mathbb{R}^n, \mathbb{R}^n) \rightarrow \mathbb{R}^{n \times n}, \\ f \mapsto \begin{pmatrix} | & & | \\ f(\mathbf{e}_1) & \cdots & f(\mathbf{e}_n) \\ | & & | \end{pmatrix}.$$

It is easy to verify that these form the following commutative diagram.

$$\begin{array}{ccc} & \mathrm{GL}(n, \mathbb{R}) & \\ & \swarrow i & \searrow j \\ \mathbb{R}^{n \times n} & \xrightarrow{\varphi} & C(\mathbb{R}^n, \mathbb{R}^n) \\ & \xleftarrow{\psi} & \end{array}$$

Since both topologies under consideration on  $\text{GL}(n, \mathbb{R})$  come from pulling back the topologies of  $\mathbb{R}^{n \times n}$  resp.  $C(\mathbb{R}^n, \mathbb{R}^n)$  via  $i$  resp.  $j$  they will coincide if we can show that the maps  $\varphi$  and  $\psi$  are continuous<sup>1</sup>.

The map  $\psi$  is continuous because it is the product of the evaluation maps

$$\text{ev}_{\mathbf{e}_i} : C(\mathbb{R}^n, \mathbb{R}^n) \rightarrow \mathbb{R}^n, \text{ev}_{\mathbf{e}_i}(f) = f(\mathbf{e}_i)$$

( $i = 1, \dots, n$ ).

Further, observe that the map

$$\text{ev} \circ (\varphi \times \text{Id}) : \mathbb{R}^{n \times n} \times \mathbb{R}^n \rightarrow \mathbb{R}^n, (A, x) \mapsto Ax$$

is continuous. This implies that  $\varphi$  is continuous.

**Exercise 4** (Isometry Group  $\text{Iso}(X)$ ). Let  $(X, d)$  be a *compact* metric space. Recall that the isometry group of  $X$  is defined as

$$\text{Iso}(X) = \{f \in \text{Homeo}(X) : d(f(x), f(y)) = d(x, y) \quad \text{for all } x, y \in X\}.$$

Show that  $\text{Iso}(X) \subset \text{Homeo}(X)$  is compact with respect to the compact-open topology.

Hint: Use the fact that the compact-open topology is induced by the metric of uniform-convergence and apply Arzelà–Ascoli’s theorem.

**Solution.** The compact-open topology on  $\text{Homeo}(X)$  coincides with the topology induced by the metric of uniform convergence

$$d_\infty(f, g) = \sup\{d(f(x), g(x)) : x \in X\}.$$

Note that by Arzelà–Ascoli (Theorem A.1 in the lecture notes) a family  $\mathcal{F} \subseteq C(X, X)$  of continuous maps is compact if and only if  $\mathcal{F}$  is equicontinuous, and  $\mathcal{F}$  is closed.

Equicontinuity of  $\mathcal{F} := \text{Iso}(X)$  is clear, because we are dealing with isometries. We check that  $\text{Iso}(X)$  is closed.

Let  $f \in C(X, X)$  and let  $(f_n)_{n \in \mathbb{N}} \subset \text{Iso}(X)$  be a sequence converging to it. Let  $x, y \in X$  then

$$\begin{aligned} 0 &\leq |d(f(x), f(y)) - d(x, y)| \\ &= |d(f(x), f(y)) - d(f_n(x), f_n(y))| \\ &\leq |d(f(x), f(y)) - d(f_n(x), f(y))| + |d(f_n(x), f(y)) - d(f_n(x), f_n(y))| \\ &\leq d(f(x), f_n(x)) + d(f(y), f_n(y)) \rightarrow 0 \quad (n \rightarrow \infty). \end{aligned}$$

Hence,  $f$  is an isometry as wished for. Because  $f$  was arbitrary this shows that  $\text{Iso}(X) \subseteq C(X, X)$  is closed.

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<sup>1</sup>Let  $\tau_i, \tau_j$  denote the topologies, so that  $\tau_i$  is the smallest topology on  $\text{GL}(n, \mathbb{R})$  such that  $i$  is continuous and  $\tau_j$  is the smallest such that  $j$  is continuous. If  $\varphi$  is continuous, then

$$j = \varphi \circ i : (\text{GL}(n, \mathbb{R}), \tau_i) \rightarrow C(\mathbb{R}^n, \mathbb{R}^n)$$

is continuous, thus  $\tau_j \subset \tau_i$ . Analogously, if  $\psi$  is continuous, then  $\tau_i \subset \tau_j$  and so the two topologies coincide.

**Exercise 5** ( $p$ -adic Integers  $\mathbb{Z}_p$ ). Let  $p \in \mathbb{N}$  be a prime number. Recall that the  $p$ -adic integers  $\mathbb{Z}_p$  can be seen as the subspace

$$\left\{ (a_n)_{n \in \mathbb{N}} \in \prod_{n \in \mathbb{N}} \mathbb{Z}/p^n \mathbb{Z} : a_{n+1} \equiv a_n \pmod{p^n} \right\}$$

of the infinite product  $\prod_{n \in \mathbb{N}} \mathbb{Z}/p^n \mathbb{Z}$  carrying the induced topology. Note that each  $\mathbb{Z}/p^n \mathbb{Z}$  carries the discrete topology and  $\prod_{n \in \mathbb{N}} \mathbb{Z}/p^n \mathbb{Z}$  is endowed with the resulting product topology.

a) Show that the image of  $\mathbb{Z}$  via the embedding

$$\begin{aligned} \iota : \mathbb{Z} &\rightarrow \mathbb{Z}_p, \\ x &\mapsto (x \pmod{p^n})_{n \in \mathbb{N}} \end{aligned}$$

is dense. In particular,  $\mathbb{Z}_p$  is a compactification of  $\mathbb{Z}$ .

**Solution.** Let  $(x_n) \in \mathbb{Z}_p$ . A neighborhood basis of  $(x_n)$  is given by the sets

$$B_m((x_n)) = \{(y_n) \in \mathbb{Z}_p : x_1 = y_1, \dots, x_m = y_m\}, \quad m \in \mathbb{N}.$$

Let  $m \in \mathbb{N}$ . We want to construct an integer  $x \in \mathbb{Z}$  such that  $\iota(x) \in B_m((x_n))$ . It suffices to take a preimage  $x \in \mathbb{Z}$  of  $x_m \in \mathbb{Z}/p^m \mathbb{Z}$  under  $\pi_m : \mathbb{Z} \rightarrow \mathbb{Z}/p^m \mathbb{Z}$ . Then we clearly obtain

$$\begin{aligned} x_m &\equiv x \pmod{p^m}, \\ x_{m-1} &\equiv x_m \pmod{p^{m-1}} \equiv x \pmod{p^{m-1}}, \\ &\vdots \\ x_1 &\equiv x \pmod{p}. \end{aligned}$$

That is  $\iota(x) \in B_m((x_n))$ .

b) Show that the 2-adic integers  $\mathbb{Z}_2$  are homeomorphic to the “middle thirds” cantor set

$$C = \left\{ \sum_{n=1}^{\infty} \varepsilon_n 3^{-n} : \varepsilon_n \in \{0, 2\} \text{ for each } n \in \mathbb{N} \right\} \subset [0, 1].$$

**Solution.** We will prove that the map

$$\begin{aligned} \varphi : C &\rightarrow \mathbb{Z}_2, \\ \sum_{n=1}^{\infty} \varepsilon_n 3^{-n} &\mapsto \left( \sum_{k=1}^n \frac{\varepsilon_k}{2} \cdot 2^{k-1} \right)_{n \in \mathbb{N}} \end{aligned}$$

is a homeomorphism.

$\varphi$  is well-defined because

$$\varphi \left( \sum_{n=1}^{\infty} \varepsilon_n 3^{-n} \right)_n \equiv \sum_{k=1}^n \frac{\varepsilon_k}{2} \cdot 2^{k-1} + \frac{\varepsilon_{n+1}}{2} \cdot 2^n \equiv \varphi \left( \sum_{n=1}^{\infty} \varepsilon_n 3^{-n} \right)_{n+1} \pmod{2^n}.$$

By uniqueness of 2-adic expansions  $\varphi$  is injective.

$\varphi$  is surjective because for every  $(x_n)_{n \in \mathbb{N}} \in \mathbb{Z}_2$  we can find 2-adic expansions

$$x_n = a_0^{(n)} + a_1^{(n)} \cdot 2 + \cdots + a_{n-1}^{(n)} \cdot 2^{n-1}, \quad n \in \mathbb{N},$$

with unique  $a_i^{(n)} \in \{0, 1\}$ . By the compatibility condition in  $\mathbb{Z}_2$

$$x_n \equiv x_{n+1} \pmod{2^n}$$

we get that  $a_i^{(n)} = a_i^{(n+1)}$  for every  $i < n$ . Hence, we can write

$$x_n = a_0 + a_1 \cdot 2 + \cdots + a_{n-1} \cdot 2^{n-1}, \quad n \in \mathbb{N},$$

with unique  $a_i \in \{0, 1\}$ . Thus,

$$\varphi \left( \sum_{n=1}^{\infty} 2a_n 3^{-n} \right) = (x_n)_{n \in \mathbb{N}},$$

i.e.  $\varphi$  is surjective.

In order to prove that  $\varphi$  is continuous and open we first need to deduce the following neat relation: For every  $c = \sum_{n=1}^{\infty} \varepsilon_n 3^{-n}$ ,  $d = \sum_{n=1}^{\infty} \delta_n 3^{-n} \in C$

$$-\log_3 |d - c| \leq \min\{k \in \mathbb{N} : \varepsilon_k \neq \delta_k\} \leq -\log_3 |d - c| + 1.$$

Indeed, let  $m = \min\{k \in \mathbb{N} : \varepsilon_k \neq \delta_k\}$ . Then

$$\begin{aligned} |d - c| &= \left| (\delta_m - \varepsilon_m) \cdot 3^{-m} + \sum_{n=m+1}^{\infty} (\delta_n - \varepsilon_n) \cdot 3^{-n} \right| \\ &\geq \left| \underbrace{|\delta_m - \varepsilon_m|}_{=2} \cdot 3^{-m} - \left| \sum_{n=m+1}^{\infty} (\delta_n - \varepsilon_n) \cdot 3^{-n} \right| \right| \\ &\geq \frac{2}{3^m} - \sum_{n=m+1}^{\infty} |\delta_n - \varepsilon_n| \cdot 3^{-n} \\ &\geq \frac{2}{3^m} - \sum_{n=m+1}^{\infty} 2 \cdot 3^{-n} = \frac{2}{3^m} - \frac{1}{3^m} = 3^{-m}. \end{aligned}$$

Applying the logarithm to base 3 on both sides yields the first inequality.

The second inequality follows from the following easier computation.

$$\begin{aligned} |d - c| &= \left| \sum_{n=m}^{\infty} (\delta_n - \varepsilon_n) \cdot 3^{-n} \right| \leq \sum_{n=m}^{\infty} 2 \cdot 3^{-n} = \frac{1}{3^{m-1}} \\ \implies \log_3 |d - c| &\leq -m + 1. \end{aligned}$$

Now, let  $c = \sum_{n=1}^{\infty} \varepsilon_n 3^{-n} \in C$  and consider a neighborhood  $B_m(\varphi(c))$ . Then

$$\begin{aligned} d &= \sum_{n=1}^{\infty} \delta_n 3^{-n} \in \varphi^{-1}(B_m(\varphi(c))) \\ \iff \sum_{k=1}^l \frac{\varepsilon_k}{2} \cdot 2^{k-1} &= \sum_{k=1}^l \frac{\delta_k}{2} \cdot 2^{k-1}, \quad \forall 1 \leq l \leq m \\ \iff \varepsilon_k &= \delta_k, \quad \forall k = 1, \dots, m \\ \iff \min\{k \in \mathbb{N} : \varepsilon_k &\neq \delta_k\} \geq m + 1 \end{aligned}$$

By the previously deduced relation this readily implies

$$B_{m+1}(\varphi(c)) \subset \varphi(C \cap (-3^{-m} + c, c + 3^{-m})) \subset B_m(\varphi(c)).$$

It follows that  $\varphi$  is continuous and open.

**Exercise† 6** (Homeomorphism Group  $\text{Homeo}(X)$ ). a) Let  $X$  be a *compact* Hausdorff space. Show that  $(\text{Homeo}(X), \circ)$  is a topological group when endowed with the compact-open topology.

**Solution.** Denote by  $m : \text{Homeo}(X) \times \text{Homeo}(X) \rightarrow \text{Homeo}(X)$  the composition  $m(f, g) = f \circ g$  and by  $i : \text{Homeo}(X) \rightarrow \text{Homeo}(X)$  the inversion  $i(f) = f^{-1}$ . We need to see that  $m$  and  $i$  are continuous.

i)  $m$  is continuous: We want to show that  $m$  is continuous at any tuple  $(f, g) \in \text{Homeo}(X) \times \text{Homeo}(X)$ . Thus let  $S(K, U) \ni f \circ g$  be a subbasis neighborhood of  $f \circ g$ , i.e.  $K \subset X$  is compact and  $U \subset X$  is open such that  $f(g(K)) \subset U$ . Observe that  $g(K)$  is compact and is contained in  $f^{-1}(U)$  which is open. Because  $X$  is (locally) compact we may find an open set  $V \subset X$  with compact closure  $\bar{V}$  such that

$$g(K) \subset V \subset \bar{V} \subset f^{-1}(U).$$

It is now easy to verify that  $W := S(\bar{V}, U) \times S(K, V)$  is an open neighborhood of  $(f, g)$  such that  $m(W) \subset S(K, U)$ . Indeed,  $(f, g)$  is by construction of  $V$  contained in  $W$  and for any  $(h_1, h_2) \in W$  we get

$$h_2(K) \subset V \subset \bar{V} \subset h_1^{-1}(U).$$

Hence,  $m$  is continuous at every point of  $\text{Homeo}(X) \times \text{Homeo}(X)$ .

ii)  $i$  is continuous: Let  $f \in \text{Homeo}(X)$ ,  $K \subset X$  compact and  $U \subset X$  open. Then

$$\begin{aligned} i(f) \in S(K, U) &\iff f^{-1}(K) \subset U \iff K \subset f(U) \\ &\iff f(U^c) = f(U)^c \subset K^c \iff f \in S(U^c, K^c). \end{aligned}$$

Observe that  $U^c$  is compact as a closed subset of the compact space  $X$  and that  $K^c$  is open as the complement of a (compact) closed set.

This shows that  $i^{-1}(S(K, U)) = S(U^c, K^c)$  for every element  $S(K, U)$  of a subbasis for the compact-open topology on  $\text{Homeo}(X)$ , whence  $i$  is continuous.

b) Let  $\mathbb{S}^1 \subset \mathbb{C} \setminus \{0\}$  denote the circle. Show that  $\text{Homeo}(\mathbb{S}^1)$  is not locally compact.

**Remark:** In fact,  $\text{Homeo}(M)$  is not locally compact for any manifold  $M$ .

**Solution.** We will prove a more general fact, namely that  $\text{Homeo}(M)$  is not locally compact for any compact manifold  $M$ . Note that we can think of  $M$  as a compact metric space  $(M, d)$  by Urysohn's metrization theorem. In the case when  $M$  is a smooth manifold this is even easier to see by endowing it with a Riemannian metric. This puts us now in the favorable position of being able to identify the compact-open topology on  $\text{Homeo}(X)$  with the topology of uniform convergence.

We denote by

$$d_\infty(f, g) := \sup\{d(f(x), g(x)) : x \in M\}$$

the metric of uniform convergence on  $\text{Homeo}(M)$ . Further denote by  $B_f^\infty(r)$  the ball of radius  $r > 0$  about a homeomorphism  $f \in \text{Homeo}(M)$ . In order to show that  $\text{Homeo}(M)$  is not locally compact we will construct in every  $\varepsilon > 0$  ball about the identity  $B_{\text{Id}}^\infty(\varepsilon)$  a sequence of homeomorphisms  $(f_k)_{k \in \mathbb{N}}$  with no convergent subsequence.

Let  $\varepsilon > 0$  and denote  $B = B_{\text{Id}}^\infty(\varepsilon)$ . Further, let  $x_0 \in M$  and choose a coordinate chart  $\varphi : U \subset B_{\varepsilon/2}(x_0) \rightarrow \mathbb{R}^n$  centered at  $x_0$  (i.e.  $\varphi(x_0) = 0$ ) contained in the  $\varepsilon/2$ -ball  $B_{\varepsilon/2}(x_0)$  about  $x_0$  in  $M$ . Consider the homeomorphisms

$$\psi_k : \overline{B_1}(0) \rightarrow \overline{B_1}(0), x \mapsto \|x\|^k x$$

on the closed unit ball  $\overline{B_1}(0)$  in  $\mathbb{R}^n$  which fix  $0 \in \mathbb{R}^n$  and the boundary  $n$ -sphere pointwise. Note that the sequence  $(\psi_k)_{k \in \mathbb{N}}$  converges pointwise to

$$\psi_\infty = \begin{cases} x, & \text{if } x \in \partial B_1(0), \\ 0, & \text{if } x \in B_1(0). \end{cases}$$

Now, define

$$f_k(x) := \begin{cases} x, & \text{if } x \notin \varphi^{-1}(B_1(0)), \\ \varphi^{-1}(\psi_k(\varphi(x))), & \text{if } x \in \varphi^{-1}(B_1(0)). \end{cases}$$

It is easy to see that the maps  $f_k : M \rightarrow M$  are indeed homeomorphisms:  $f_k|_{\varphi^{-1}(\overline{B_1}(0))^c} = \text{Id} : \varphi^{-1}(\overline{B_1}(0))^c \rightarrow \varphi^{-1}(\overline{B_1}(0))^c$  is a homeomorphism,  $\varphi^{-1} \circ \psi_k \circ \varphi : \varphi^{-1}(B_1(0)) \rightarrow \varphi^{-1}(B_1(0))$  is a homeomorphism and both coincide on  $\varphi^{-1}(\partial B_1(0))$ .

Further, the homeomorphisms  $f_k$  map the  $\varepsilon/2$ -ball  $B_{\varepsilon/2}(x_0)$  to itself and fix  $x_0$ . Therefore,

$$d(f_k(x), x) \leq d(f_k(x), \underbrace{f_k(x_0)}_{=x_0}) + d(x_0, x) < \varepsilon,$$

for every  $x \in B_{\varepsilon/2}(x_0)$ , and clearly  $f_k(x) = x$  for every  $x \notin B_{\varepsilon/2}(x_0)$ . Hence, the sequence  $(f_k)_{k \in \mathbb{N}}$  is in  $B_\varepsilon^\infty(\text{Id})$ .

However, the sequence  $(f_k)_{k \in \mathbb{N}}$  converges pointwise to

$$f_\infty(x) = \begin{cases} x, & \text{if } x \notin \varphi^{-1}(B_1(0)), \\ x_0, & \text{if } x \in \varphi^{-1}(B_1(0)), \end{cases}$$

If there were a subsequence  $(f_{k_l})_{l \in \mathbb{N}}$  converging to some  $f \in \text{Homeo}(M)$  uniformly then this sequence would also converge pointwise to  $f$ , i.e.  $f$  needs to coincide with  $f_\infty$ . But  $f_\infty$  is not even continuous which contradicts our assumption of  $f \in \text{Homeo}(M)$ . Therefore  $(f_k)_{k \in \mathbb{N}} \subset B_\varepsilon^\infty(\text{Id})$  has no uniformly convergent subsequences.