Chapter 11
The prime spectrum Schemes!
Main sources: O "tubroduction to schemes" by Ellingsrud, Otter
O "Geometry of schemes" Eiserbud, Harris
Before: affine varieties"
$$\simeq$$
 reduced fingen algebras
over $k = t_k$
Now: affine schemes" \simeq rings (assoc. canm. with f)
This generalization allows to study
arithmetic phenomena by geometric methods,
by taking rings to be Ξ , Ξ p, O'k etc.
Recall: X offine k-variety, $k = k > by$ Nullstellensate
points $x \in X$ as max ideals $m_x \subset k \subset X$]
 $m_x = \{f \in k \subset X\} | f(x) = 0\}$
def. R ring. Its spectrum is
Spec R := $n \neq 1$ p CR is a prime ideal J.
This way, $x \in Spec R$ as $p_x \in R$ ("interface)
NS: in general, we cannot think about
 $f \in R$ as functions with values in a fixed field k.
Hoevener, there's a more general notion.

def. Let $x \in \text{Spec } R$ correspond to $p \in R$. The residue field of x (or p) is (K(z) = K(p): = Rp/p.Rp - maximal ideal unrelated to any particular k Every element $f \in R$ has a value $f(x) := f \mod p_x \in K(x)$ $\forall x \in Spec R$, and the colomain depends on the choice of x. By definition, f(x) = 0 iff $f \in P_x$. def.-Prop. The Zarishi topology on Spec R is given by the closed subsets $Z(a) := \{x \in Spee R \mid f(x) = 0 \forall f \in a\}$ ={ $pespec R| p \ge a$ }, $a \in R$ any ideal. Prop. Let a, 6 CR be ideals. Than 1) $Z(\alpha) \subseteq Z(b)$ iff $\exists \alpha \supseteq J \overline{b}$. In particular, $Z(\alpha) = Z(\overline{\lambda}\alpha)$. 2) $Z(\alpha) = \emptyset$ iff $\alpha = A$ 3) 2(a) = Speck iff a (1) =: Nilk milradical

Proof uses the Main Fact:
$$\sqrt{a} = Ap$$
.
In particular, $p \ge a$ iff $p \ge \sqrt{a}$, so
 $\ge(a) = 2(\sqrt{a})$.
(a) $= 2(\sqrt{a})$.
(b) $= 2(a) \le \pm (6) = a$ $Ap \ge 2A(6) \ge \sqrt{a} \ge \sqrt{a$

Ju particular, for S=1,03 we get 1p3 = Z(p) = (q E Spec R | q = p prime]e Cor. x E Spec R is a closed point iff px is a maximal ideal. In Earishi topology, points don't have to be closed! (unless R is of Krull dim O)

Motivation: why prime ideals instead of maximal? For varieties over k = Te, Nullstellcusatz follows from the Iacobson property of f.g. reduced k-algebras, which tells that JI = 1 hr VICR, MZI and this property leads to the bijection between closed subsets and radical ideals. In general, we must use prime ideals to get such a correspondence. e.g. R a dur => $\exists! m = (t) CR$, but R has two radical 'deals: (0) and (t), so building spec only out of maxideals wouldn't be enough.

J'Generic points def. X top. space, $Z \subseteq X$ closed subset. A generic point of Z (if exists) is a point $\eta \in Z$ s.t. $\{\eta_i\} = Z$. a dense point.

In our context:

$$\forall p \text{ is a generic point of } 2(p) \subseteq \text{Speck}$$

Main $\exists x :: R$ integral domain $=>$
 $p=(o)$ is the generic point of $\text{Spec } R$,
because $\{q \ge (o) \mid q \text{ prime}\} = \text{Spec } R$.

Ex. 1) R=K a field Spec K = {(0)} - single point 2) R=K[t]/(tⁿ) - Unichening Spec R = {(t)} - "thick" point Note: (0) is not prime, because t.t^{h¬} ∈ (0) 1) vs 2]: some top. spaces, different algebraic structures

3) R Artinian ring
Spec R is a finite set;
R local Artinian => Spec R is a point
W R dVr, e.g.
$$R = \overline{E}p$$

Spec $R = \{x, h\}$ with x know and here(0).
Since >c is a closed point,
the generic point $h = R - \{x\}$ is an open point.
When spec R
5) $R = \overline{Z}$
Spec $R^{(0)}$
(p) \forall prime number p
 $\cdot p \overline{E} \in \mathcal{U}$ is maximal => [(p)} is closed $\forall p$,
and $k(p) = \overline{E}p/p \cdot \overline{E}p = \overline{E}p$
 $\cdot \overline{E}(0) = \overline{E} => [(0)]$ is the generic pt of Spec \overline{E} ,
and $k(0) = \overline{E}_{(0)} = \mathbb{Q}$

So, every element fEZE gives a pregular" function with values in various fields:

$$f = 17 \in \mathcal{H} \longrightarrow f((o)) = 17 \in \mathbb{R}$$

$$f((2)) = \overline{1} \in \mathbb{R}$$

$$f((3)) = \overline{2} \in \mathbb{R}$$

$$f((s)) = \overline{2} \in \mathbb{R}$$

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Kowener, 12th has more points, e.g. (0). Ex. a) Mac - the affine line over a (or any k=te) (x-a) mac C closed pts
(0) = n generic pt $\kappa(\alpha) = \mathbb{C}[\infty]_{(x-\alpha)} / \mathbb{C}$ $\kappa(\gamma) = \mathbb{C}(x)_{(0)} = \mathbb{C}(x)$ A(R) · (x-a), a E IR closed pts $A'(\mathbb{R}) \not > (x-b)(x-b), b \in \mathbb{C}$ closed pts , of degree 2^{4} $A'(\mathbb{R}) \not > (0) = h$ generic pt $a \in \mathbb{R} \rightarrow k(a) \simeq \mathbb{R}$ $p=(2c^2+1) \longrightarrow \kappa(p) \simeq PR(2c) \simeq C$ real orthine line has pts with complex residue fields In general, (f(t)) EtA' prime ideal => k(f(t)) = k is the extension obtained by adjoining a root of f (irreducible polynomial).

Rem. R integral domain => Spec R irreducible but it's not a necessary condition: Spec $k[t]/(t^2) = [(t)]$ is a point, hence irreducible. Proof of Prop. 1) $\overline{p} = \overline{z}(p) - ok$, migneness: $\overline{z}(p) = \overline{z}(q) = p \ge q$ and $q \le p$. 2) @ Z(p) = {p} is irreducible because {p} is. =) let Z(a) = Spec R closed subset. If $\overline{Ja} = \Omega p$ is not prime, then $\exists p \not\equiv p' \supseteq a^{p}$, so we can write Ja = 6 n 6', where $J6 \neq JB'$. Kence $Z(\alpha) = Z(B) \cup Z(B') => Z(\omega)$ not irreducible. 3) follows from 2) because Spec R = 2(NiR R). By the same argument as for varieties, we have: Prop. R Noetherian, Z C Spec R closed subset => $Z = Z_1 \cup U Z_r$, $Z_i \not\in Z_j$, Z_i closed irreducible, unique up to reordering irreducible components Exercise: Spec (R1 × R2) = Spec R1 & Spec R2.

& Morphisms between prime spectra

Another reason why we need prime ideals: q: R->S ring how, he cS more ideal => p⁻¹(m) cR is not maximal in general, unlike for morphisms of varieties.

Prop. There's a contravariant functor
Spec: Ring^o
$$\rightarrow$$
 Top
R \mapsto Spec R
 $\varphi: R \rightarrow S \mapsto \varphi^*: Spec S \rightarrow spec R$
 $p \mapsto p^*p$

Proof: $p \in S$ prime => $\varphi^{-1}p \in R$ also prime: $a \cdot b \in (\varphi^{-1}(p)) => \varphi(ab) = \varphi(a) \cdot \varphi(b) \in p => \varphi(a) \text{ or } \varphi(b) \in p$. Moreover, $(\varphi \circ \psi)^{*} = \psi^{*} \circ \varphi^{*}$ because $\psi^{-1}(\varphi^{-1}(p)) = (\varphi \circ \psi)^{*}(p)$. llearly, $id^{*} = id$. φ^{*} is continuous: see labour

Lemma (Properties of
$$\varphi^*$$
, analogous to varieties)
Let $\varphi: R \rightarrow S$ ring how, $\mathfrak{P}:=\varphi^*: Spec S \rightarrow Spec R$.
1) $\mathfrak{P}^{-1}(\exists(a)) = \mathbb{Z}(\varphi(a) \cdot S)$ \forall ideal $a \in R$.
In particular, \mathfrak{P} is continuous.
2) $\mathfrak{P}^{-1}(\mathfrak{D}(\mathfrak{f})) = \mathfrak{D}(\varphi(\mathfrak{f}))$ \forall ideal $\mathfrak{G} \in S$.
3) $\overline{\mathfrak{P}(\mathfrak{Z}(\mathfrak{G}))} = \mathfrak{Z}(\varphi^{-1}(\mathfrak{G}))$ \forall ideal $\mathfrak{G} \in S$.
Prop. $\varphi^* R \rightarrow S$ ring how, $\mathfrak{P}:= \varphi^*: Spec S \rightarrow Spec R$.
1) If φ is surjective, then
 $\mathfrak{P}: Spec S \rightarrow \mathbb{Z}(\ker \varphi) \subseteq Spec R$
(homeomorphism)
2) If φ is injective, then
 $\mathfrak{P}(Spec S) \subseteq Spea R$ is dense.
Moreover, Im \mathfrak{P} is dense iff $\ker \varphi \subseteq Nil R$.
Proof: 1) $\varphi: R \rightarrow S \Rightarrow S \cong R/a$, $a = \ker \varphi$.
 $\{\mathfrak{p} \in R/a\} \iff \{\mathfrak{p} \in R \mid \mathfrak{p} \ge a\}$, hence
 \mathfrak{P} is a continuous bijection onto $\mathbb{Z}(\ker \varphi)$.
 \mathfrak{L} is also closed: $\mathfrak{P}(\mathfrak{L}(\mathfrak{h}a)) = \mathfrak{Z}(\mathfrak{h}$.

EX. 1) Quotients acRideal no Spec R/a -> Spec R. \sim Z(a)it's a homeo onto a closed subset, i.e. a closed immersion. D'Localizations fer ~ Spec Rf ~ Spec R homes onto an open subset - open immersion 3 Reductions Z ~> IFp ~> Spec IFp -> Spec Z ~~ {(p)} I! Z→R ~> Spec R ~> Spec Z, and it factors through Spec Ep iff R is of charp.

SFibers

$$φ: R \to S \longrightarrow φ^*: Spee S \to Spee R$$

For $p \subset R$ we have: $(q^*)^{-1}(p) = \{q \in S \mid q^{-4}(q) = p\}$.
def. The scheme-theoretic fiber of
 p^* over p is Spec S ⊗ $\kappa(p)$.
Points of this spece are in bijection with $(q^*)^{-1}(p)$,
because $S ⊗ \kappa(p) ≃ S ⊗ R p / p \cdot R_p ≃ S p / p \cdot S_p^*$
EX. $A'_{k} \to A'_{k} \quad t \mapsto t^2$
Then the scheme -theoretic fiber over $O = (t)$ is:
Spec $K(t) ⊗ K(t)/(t) = Spec K(t)/(t^2)$
 $point of multiplicity 2$
def. If R is a domain and $t = (0)^{-1}$,
we call fiber over n the generic fiber.
Moral: Generic fibers are useful for
proving statements of type
 $something good happens on a dance open subset :$
 $q: X \to Y$ ins dim $p^{-1}(n_Y) = dim X - dim Y ⊕ scheet :$
 $point a dense open U = Y dim y^{-1}(u) = dim X - dim Y.$

Ex.
$$\pi: A_{\mathbb{C}}^{l} \to A_{\mathbb{R}}^{1}$$
 canonical map
 $\cdot \pi^{-l}((o_{1})) = (o)$
 $\cdot \pi^{-l}(t-a) = (t-a) \ a \in \mathbb{R}$
 $\cdot \pi^{-l}((t-b_{1}(t-b))) = \{(t-b), (t-b)\}, \quad b \in \mathbb{C}$
 $g \in \mathbb{R}$
one point in $\mathbb{R}_{\mathbb{R}}^{l}$ two points in $\mathbb{R}_{\mathbb{C}}^{l}$
Gal $(\mathbb{C}/\mathbb{R})^{l}$ orbit of $(t-b)$
This can be generalized as follows.
This. K field, L/k Galois extension, $G:= Gal(U/k)$.
Let A be a P.g. K-algebra, $A_{\mathbb{C}}:= A \otimes L$.
Then Spec $A \cong Spec \mathbb{R}/\mathbb{C}$

Mainidea: G acts transitively on Spec E&L ~ Spec E&AL & E 2 K field extension. any files

 $G: E \succ E \rightarrow E \times E$. and

EX. Gauesian integers

$$p: Spec ZE(i) \rightarrow Spec Z$$

Analyze Spec ZE(i) by looking at fibers of $p:$
 $p \equiv 3 \mod 4$ is prime in $2E(i)$, whereas
 $p \equiv 1 \mod 4$ decomposes as $(x+iy)(x-iy)$ in $2E(i)$, hence:
Spec ZE(i)
 $(2+i)$ $(3+2i)$ $(0) \leftarrow Spec O[i]$
Spec ZE(i)
 $(2+i)$ (1) $(3-2i)^{(H)}$ $(0) \leftarrow Spec O[i]$
Spec ZE(i)
 (2) (3) (5) (7) (M) (13) (17) $(0) \leftarrow Spec O[i]$
 (2) (3) (5) (7) (M) (13) (17) $(0) \leftarrow Spec O[i]$
 (1) (1) (1) (2) (2) (3) (5) (7) (M) (1) (2) (2) (3) (3) (5) (7) (M) (1) (2) (2) (2) (2) (3) (3) (3) (3) (1) (1) (1) (1) (2) (3) $($

Comments

Points of Spec R have various residue fields,
 allows us to study
 simultaneously solutions of equations
 over different fields (or rings)

For example, fibers over Spec 72 could be given by solutions over Kp's and Q

 $= x : \operatorname{Spec} \overline{\mathcal{Z}}[x,y]/(x^2 - y^2 - 5) \to \operatorname{Spec} \overline{\mathcal{Z}}$ most tibers are usual consics, but some fibers are degenerate: $x^2 - y^2 - 5 \equiv (x + y + 1)^2 \mod 2;$ x2-y2-5 = (x-4)(x+4) mod 5. / () X () () ... (2) (3) (5) (7) (11) ... · Celhen R is a fig. k-algebra, k= k, then & closed point in we have $\kappa(m) = k,$ since by Weak Nullstellensatz k(m) 2k is a finite field extension. . For such R, the topology of Spec R is fully detected by the closed pts, that's why we didn't encounter the diversity of residue fields before - But having them lets us put hunder theory into geometric context and then some of us can prove results like Fermat's Last Thin! ")