Chapter 6 Smoothness and singularities

Stangent space





We want to define the tangent space TpX as the space of rectors that have a high order contact with X at p. However, it's tricky because we don't want TpX to depend on the embedding XGA", and we want it to be defined for non-affine varieties too.

Hypersurfaces in M Assume $X = Z(f) \subset A^n$, $p = (a_1, ..., a_n) \in X$ line passing through $p: L = \{(a_1 + tb_1, ..., a_n + tb_n | t \in k\}$ def. L is tangent to x at p if the polynomial $g(t) := f(a_1 + tb_1, ..., a_n + bt_n)$ has a multiple root at t=0, i.e. g'(t)=0. L'intersection multiplicity of x and t at p

Equivalently,
$$\Sigma b_i \cdot \frac{\partial f}{\partial z_i}(p) = 0$$
,
i.e. $(b_1, ..., b_n)$ belongs to the tangent space
 $T_{pX} := \{(v_1, ..., v_n) \in L^h \mid \Sigma v_i \cdot \frac{\partial f}{\partial z_i}(p) = 0\}$
vector subspace of L^h

Affine varieties

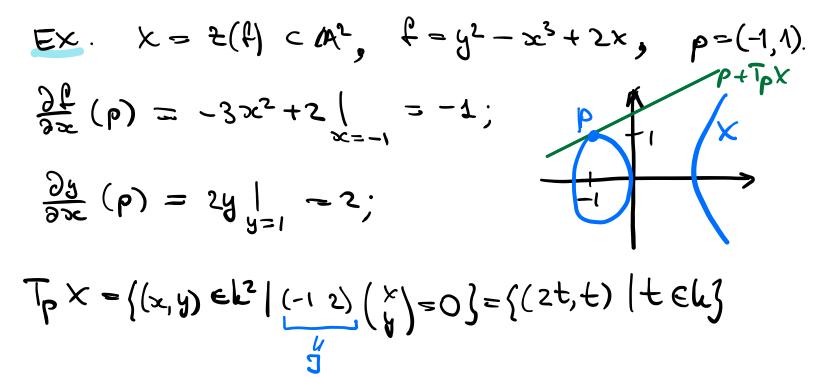
$$X \in \mathbb{A}^{n}$$
 affine variety, $I(A) = (f_{1}, ..., f_{r})$
def. The tangent space of X at p is
 $T_{p} \times := \{(v_{1}, ..., v_{n}) \in k^{n} | \Sigma v_{i} \cdot \frac{\partial f}{\partial x_{i}}(p) = 0 \quad \forall f \in I(k)\}$
enough for $f_{1_{i}, ..., f_{r}}$
 $= \ker J, \quad where$
 $J := \left(\frac{\partial f_{i}}{\partial x_{i}}(p)\right)_{\substack{i \leq 1 \dots r}{j \leq 1 \dots r}}$ - Jacobian matrix
 $T_{p} \times is a k-vector space,$
 $\dim T_{p} \times = n - rkJ.$

det. The affine tangent space of k at p is

$$p+T_pk := \{(v_{n_{y-1}}, v_{n}) \in \mathbb{A}^{h} \mid \sum_{\substack{\partial f \\ \partial x_i}} (p) \cdot (v_{i}-a_{i}) = 0\}$$

translation by p

Lemma. For
$$X \in \mathbb{A}^n$$
 and $p = (0, ..., 0)$
 $p + T_p X = 2(f^{(n)} | f \in I(x))$
linear part of f



Intrinsic description of TpX
(Dant: get rid of the data of
$$C = A^h$$

Assume $X \in A^h$, $p = (0, ..., 0)$, $I := I(x)$.
Let $M := (x_{n,...,} x_{n}) \subset k[x_{n,...,} x_{n}]$.
For $f \in k[x_{n,...,} x_{n}]$ denote
 $f(r) := \sum_{i=1}^{n} \frac{\partial f}{\partial x_{i}}(0) \cdot x_{i} - linearization of f et p$
 $f(h)$ gives a linear function $k^{h} \rightarrow k$.

Use got a k-linear map

$$d: M \longrightarrow (k^{h})^{\vee}$$

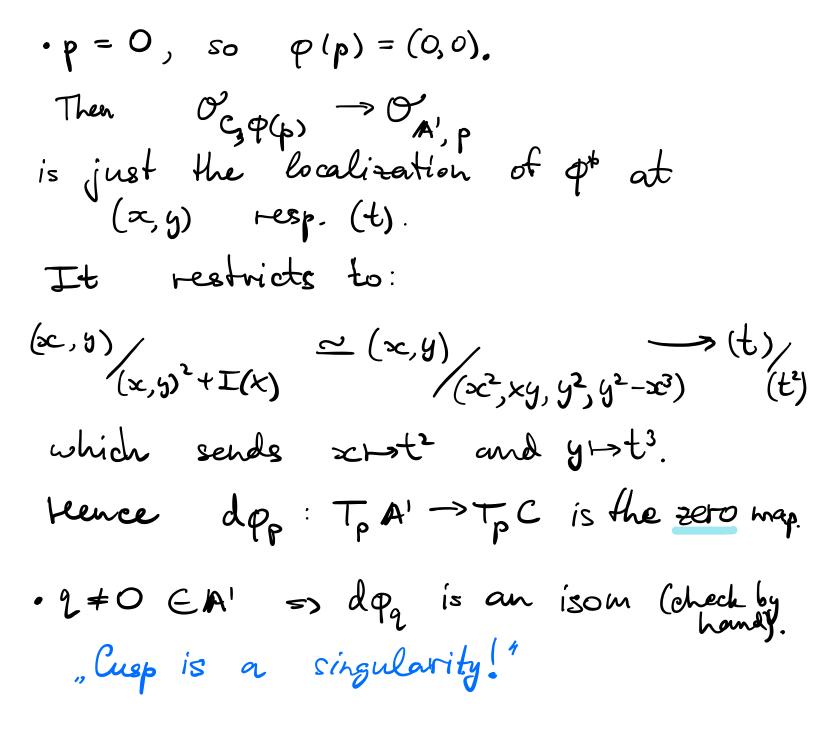
 $f \longmapsto f^{(1)}$
Observe:
 $d is surjective, since $dx_i = x_i$
 $f \in ker d = > all terms in f have deg > 2$
 $=> f \in M^2$
We get the following.
Lemma. d induces an isom
 $M_{M2} \longrightarrow (k^{h})^{\vee}$
Cle had: $Tp X \Longrightarrow k^{h}$.
Dualize: $(k^{h})^{\vee} \longrightarrow (Tp X)^{\vee}$
 $Obtain: M_{M2} \simeq (k^{h})^{\vee} \longrightarrow (Tp X)^{\vee}$$

Lemma. Let $\Theta = M^2 + I$. Proof: feker $\Theta \iff f^{(1)} = 0$ on $T_p \times$ (=> $f^{(1)} = g^{(1)}$ for some $g \in I$ Lemma (=> f - g Eker $d = M^2 \iff f \in M^2 + I$.

We get altogether: $(T_p k)^{\vee} \simeq M / M^2 + I \simeq \frac{M / I}{M^2 + I} \sim M / I / M^2 / I$ and the latter is m/m2 for mCOx, p the max ideal in the local ring Oxp. By taking duels, we obtain Prop. \forall affine variety $X \in A^{h}$, there is a hatural isomorphism k-vector $T_{p}X \simeq (h_{p}/m_{p}^{2})^{"} = kom_{k} (m_{p}/h_{p}^{2}, k)$ intrinsic invariant of k!

Tangent spaces in general det X alg variety, pEX. The (zarishi) tangent space of X at p is $T_p \chi := H_{pm_k}(m_{pm_p}, k), m_{pm_p} \mathcal{O}_{qp}.$

Functoriality $\varphi: X \to Y$ morphism of varieties $\varphi^{*}: \mathcal{O}_{y,\varphi(p)} \to \mathcal{O}_{X,p}$ $\varphi^{*}: a local have. (<math>M_{y,\varphi(p)} \to \mathcal{O}_{X,p}$ $(-)'((M_{\varphi(p)} \to M_{p'}) = M_{p'}$ dep: TpX -> Tp(p) y The map dip is called the differential of patp. Lemma. If $\varphi: X \to Y$ is a polyhomical map induced by $A^n \to A^m \times \mapsto (f_i(x), \ldots, f_m(x))$ then dop is the multiplication by $\mathcal{J}(\varphi) := \left(\frac{\partial f_i}{\partial x_i}\right)_{i=1...m}$ Jacobian matrix Ex. cuspidal cubic $\varphi: M' \rightarrow C = 2(y^2 - x^3) \subset A^2$ $f \mapsto (t^2, t^3)$ We have $\varphi^*: k[x,y]/y^2-x^3 \rightarrow k[t]$ $x \mapsto t^2$ $y \rightarrow t^3$ Let's compute differentials.



§ Singularities

We want to define singularities in terms of the intrinsic def. of tangent space. Lemma (A, m) Noetherian local ring, k = A/m => dime holding & dim A. Proof: pick a basis $V_{1,...,}$ V_{r} of k-vector space $\frac{h_{1}}{h_{1}}$, by Nakayama's lemma we can lift it to a set of generators $(\omega_{1,...,}, \omega_{r}) = hr$. By Krull's principal ideal theorem, ht $m \leq r$, and dim A = ht m. det. (A,m) Noetherian local ring is regular if dime m/m = dim A. Cor. X alg variety, pEX => dim tpx > dim Oxp det. We call a point PEX hon-singular or regular dim TpX = dim Ox,p if I.e. if the local ring of X at p is regular.

def. We say that X is non-singular or regular
if Sing
$$(X) = \emptyset$$
.

Rem. For hidror Singularities may appear after passing
to a bigger base field, in general.
The reason is that, say,
$$k = Fp(t) => (x^p - t) \subset k [x]$$
 is radical,
but $(x^p - t) = (x - t^{\frac{1}{2}})^p \subset t [x]$ is not radical,
and that's how singularities can arise
(see later in scheme theory)
def. We call dim $\mathcal{O}_{x,p}$ the dimension of X at p , since
dim $\mathcal{O}_{x,p} = \max_{irred comp} dim X_i$.

 $\dim_{p} X = 1$ dim X = 2 Fact. (A, m) reguleur local ring. Then A is an integral domain. Cor. pEX regular => 3! irreducible comp. K; <K passing through p. Jacobian criterion. X C At attine, pEX, dimp X = d. Then X is hon-singular at p iff the Jacobian matrix I has rank n-d at p. (f1...,fr)=I(A) (Dtr.) maximal rank Rem. Same condition for implicit function thm in Analysis! Prop. Sing (X) is a closed subset of X. Proof: Enough for affine X (X-Sing(X) is open). Then pesing (x) means that the Jacobian matrix I at p does not have full rank, which is a closed condition. Moreover, Yr>0 {p E × { dim Tp X > r } is a closed set, determined by vanishing of (h-r+1)×(n-r+1) minors of J.

Ex.
$$\chi = \frac{1}{2}(y^{2} - x^{3}) - A^{2}$$

At $(a,b): J = (\frac{3t}{9x}(p), \frac{2t}{9y}(p)) = (3a^{2} - 2b)$
 $p+Tp \chi = \frac{1}{2}(3a^{2}(x-a) - 2b(y-b)) - A^{2}$
 χ is non-singular at p (=) $Tp\chi$ has dim = 1
 $\chi = y(a,b) \neq (0,0)$
Computing mp/mq
 $M = (x-a, y-b); J(\chi) = (y^{2} - x^{3}) = y$ change of
 $mp/mq^{2} = \frac{(x-a, y-b)}{(x-a)^{2}, (x-a)(y-b), (y-b)}, y^{2} - x^{3}$
 $\frac{(x, y)}{(x^{2}, xy, y^{2}, (x+a)^{3} - (y+b)^{2})} = \frac{(x, y)}{(x^{2}, xy, y^{2}, 3a^{2}x+a^{2}-2by-b)}$
 $p = (b, 0) = y m/m^{2} - kx \oplus ky = y \dim_{k} m/m^{2} = 2$
 $p \neq (0, 0) = y g(x, y)$ is a linear equation,
so dim $m/m^{2} = 1$
char $k \neq 2, 3: m/m^{2} = (\overline{x}) = (\overline{y})$
duar $k = 2: m/m^{2} = (\overline{y});$ char $k = 3: m/m^{2} = (\overline{x})$.

Alternative description of Tox det. Let A be a k-algebora, M an A-module. A k-derivation of A into M is a k-linear map D: A -> M, satisfying Leibniz rule: D(fg) = f D(g) + y D(f). In particular, $\forall c \in k \quad D(c) = 0$ because $D(1) = D(1 \cdot 1) = D(1) \cdot 1 + 1 \cdot D(1) = 2 \cdot D(1).$ The space of k-derivations of A into M Der (A, M) is a k-vector space. Our case: X alg variety, pEX no A=Ox,p, M=k is an A-module via Oxp->le finf(p) det. The differential map is the map dp: Ux,p -> mp/mp $f \mapsto d_p f := f - f(p) \mod m^2$ Lemma, dp is k-léneur and satisfies Leibniz rule. Ex. X=1A^h, p=(0,...,0) => {dac;}, h form a Basis of the cotangent space m/m2, and $df = \hat{z} \frac{\partial f}{\partial x}(o) \cdot dx; \quad \forall f \in \mathbb{L}[x_1, x_n].$

Thm. There is a compical isom of k-vector sp: $\operatorname{Det}_{k}(\mathcal{O}_{x,p},k) \xrightarrow{\phi} \operatorname{Tp} X$ Proof. define O we can decompose $O_{x,p}$ as k-vector space: $\Theta_{x,p} \simeq k \oplus m_p$ $f \mapsto (f(p), f - f(p))$ Pick a devivation D: 0×1p ->k zero on constants (, D: mp ->k Leibniz rule (, $hp/h^2 - k$) $D(fg) = f(p) \cdot D(g) + g(p) D(f)$ m^2 $D(h) \in Tp = Hom_k (hp/hp^2, k)$ · inverse hap Given any k-linear V: hp/hp² >h, we define D=Vodp: Ox, de hp/hp² >k derivation by the lemma. $f \longrightarrow F-F(p) \longrightarrow V(F-F(p)).$

Lem. Morally,
$$(Q^{-1})$$
 sends a tangent vector V
to $\frac{d}{dv} - the directional derivative."$
More concretely, if we replace $O_{X,P}$
with $C_{P}^{\infty}(R^{n})$, $P \in \mathbb{R}^{n}$ (stalk of smooth function)
at P
then $Der_{\mathbb{R}}(C_{P}^{\infty}(\mathbb{R}^{n}), \mathbb{R}) \stackrel{\sim}{=} \mathbb{R}^{n}$
 $2/_{2v} \stackrel{\sim}{\leftarrow} v$
chere $\frac{2}{2v}(f) := \frac{d}{2v}(t r + f(p + tv))(0)$
advectional derivative analytic derivative
along v^{n}

& Tangent spaces for projective varieties

First, let
$$X = \overline{z}_{+}(F) \subset \mathbb{P}^{h}$$
 be a hypersurface
and $p = (1:\omega_{1}:\ldots:\omega_{h}) \in D_{+}(x_{0}) \cap X$.
Then in $D_{+}(x_{0}) \simeq \mathbb{A}^{h}$ we have
 $p + T_{p}X = f(\overline{z}_{1,\ldots},\overline{z}_{h}) \in \mathbb{A}^{h} \left\{ \begin{array}{c} \sum_{i=1}^{n} \frac{\partial F}{\partial \overline{z}_{i}}(p)(\overline{z}_{i}-\omega_{i})_{0} \right\}$
where $f(\overline{z}_{1,\ldots},\overline{z}_{h}) = F(1, x_{5,\ldots}, \overline{z}_{h})$.
We define the projective tangent space
as the closure of $p+T_{p}X$ in \mathbb{P}^{h} :
 $T_{p}X = \{(x_{0}:\ldots:z_{h}) \in \mathbb{P}^{h} \mid \sum_{i=1}^{n} \frac{\partial F}{\partial x_{i}}(\Lambda, \omega_{1,\ldots}, \omega_{h}) \cdot (x_{i}-\omega_{i}z_{0}) = 0\}$

Euler's formula: F homogeneous of deg
$$d = 3$$

 $d \cdot F = \sum_{j=0}^{h} x_j \cdot \frac{\partial F}{\partial x_j}$ (check for monomials)

We have: $F(\Lambda, \omega_{\Lambda}, ..., \omega_{h}) = 0.$ Hence $\sum_{i=1}^{h} \frac{\partial F}{\partial x_{i}}(\Lambda, \omega_{\Lambda, ..., \omega_{h}})(-\omega; x_{0}) = \frac{\partial F}{\partial x_{0}}(I, \omega_{\Lambda, ..., \omega_{h}})x_{0.}$ $= \sum T_{p} X = \{(x_{0} : ... : x_{h}) \mid \sum_{i=0}^{\infty} \frac{\partial F}{\partial x_{i}}(p) \cdot x_{i} = 0\}$

def.
$$X \in \mathbb{P}^{h}$$
 projective variety, $p \in X$.
The projective tangent spec of X at $p \in X$ is
 $T_{p} X := \left\{ (x_{0}, \dots, x_{n}) \in \mathbb{P}^{h} \mid \tilde{\Sigma} \stackrel{\ge}{\rightarrow} F_{n}(p) \cdot x_{i} = 0 \\ \forall F \in \Xi(X) \right\}$
Projective Jacobian criterion (follows from affine)
Let $X = 2 + (F_{1,\dots}, F_{r}) \in \mathbb{P}^{h}$, $p \in X$,
 $J = (\stackrel{\ge}{\rightarrow} F_{i}(p))$ radical ideal
 $J = (\stackrel{\ge}{\rightarrow} F_{i}(p))$ pick a representable of p
Then: $rk J$ does not depend on the
representative of p
 iff $rk J = h - dim X$
EX. Fermat hypersurface
 $X = 2 + (F) \in \mathbb{P}^{h}$, $F = x_{0}^{0} + \dots + x_{h}^{n}$
 $J = (p \times c_{0}^{p-1} p x_{1}^{p-1} \dots p \otimes c_{h}^{p-1})$
other $k \neq p = rk J = 4$ everywhere =>
 X is regular
 $F = (x_{0} + \dots + x_{h}) \stackrel{\cong}{\rightarrow} P^{n-1}$ also regular.

& Singular Locus

Thun X alg variety => X-sing(x) is donse in X. Enough to prove for every irreducible component, so we can assume X irreducible, and then we just need to show that $X - Sing(X) \neq \emptyset$ (we know it's open). We first consider the case of a hypersurface in an affine space. Prop. $Y=Z(f) \subseteq A^{h}$ irreducible hypersurface. Then sing(y) < Y is a proper closed cubset.

- Proof: $p \in Sing \mathcal{Y} \implies \mathcal{Y} = \mathcal{Y} \implies \frac{\partial f}{\partial x_i} = 0$. Hence $Sing(\mathcal{Y}) = \mathcal{Y} \implies \frac{\partial f}{\partial x_i} \in \mathbb{I}(\mathcal{Y}) = (f)$ \mathcal{Y}_i . But (f) was prime and $\deg \frac{\partial f}{\partial x_i} \subset \deg f$. Hence $\frac{\partial f}{\partial x_i} \equiv 0$ \mathcal{Y}_i .
 - · chark=0 => f is constant => $y = \emptyset$.
- · char k=p => f is a polynomial in {xi}i=, => f = gP for g \in k[x_1,...,x_n] => f hot irreducible. Tuses k perfect

& Normal varieties det. An integral domain R is integrally closed if YaEFrac(R) s.t. IMEN, brER $a^{m} + b a^{m-1} + \dots + \lambda_{n} a + \lambda_{n} = 0 = a \in \mathbb{R}.$ det. An alg voriety X is normal it HZEX the local ring O'x, x is an integral domain which is integrally closed. In particular normal connected => irreducible. Lemma. X affine, then X normal iff LETXI is integrally closed. (=) localizations of int. closed are int. closed I L[X]= n k[X] in and intersections are int. closed. Fact: Regular varieties are normal (regular local ring =>ufd=>int closed) Morally: normal varieties can have singularities but they are not too bad, and later we will learn how to approximate any variety by a normal one (via prormalization"). ーイ E_{∞} : $X = Z(xy - z^2) C A^3$ has a singularity at 0, but it is wormal.

Prop. X normal variety, ZCX closed subvariety codim, z > 2. Then any $f \in O_{\chi}(X-2)$ extends to a regular function on X. E_{X} . $k[A^{n}=0] \simeq k[A^{n}]$ いふ2. Proof. We can assume × connected (=>itted) and affine (regularity is a local condition) "Algebraic Martigles lemma": A Noetherian integrally closed integral domain $A = \sum A_p \subset Frac(A).$ htp=1 2) Morally: "it you have a traction whose denominator s in none of the ht =1 prime ideals, then the demoninator is invertible" is in Now codin 2 > 2 => Inside le (x) we have (*) $O_{x}(x-2) \subset k[x]_{p} \forall ht p=1$ becense 2(p) 72. Hence $f \in O_X(X-2) = F \in \bigcap_{h \neq p = 1}^{k \in X} = \frac{k \in X}{p}$ $(*): X-2 = \nabla D(1), \exists f: ep$ yeox(x-z) => yeox(D(f:1)=hcxy, skcx) by the Lemma

Prop. X normal variety,
$$Z \subset X$$
 closed irred, $\operatorname{codim}_X Z = 1$
 $Z = X$ affine open $W \subseteq X$ s.t. $W \cap Z \neq \emptyset$ and
 $J(Z \cap W) = (f) \operatorname{ch}(W)$ for some $f \in \mathcal{O}_X(W)$.
Proof: can assume X affine connected \Rightarrow irred.
Then $I(Z) = \rho$ for $\rho \subset k \subset X$, $\operatorname{ht} \rho = 1$.

Consider
$$k[X]_p$$
:
•it's integrally dosed as localization of
 $k[X]_m$ for any $m \Leftrightarrow p \in 2;$
• dim $k[X]_p = 1.$
Hence $k[X]_p = 1.$
We conclude that the maximal ideal
 $p \cdot k[X]_p = (f)$ for $f = g \in k(X)$, here,
We have $p = (f_1, \dots, f_r) \implies$
 $\forall i \ S_i = f \cdot \underline{u}_i$ for $f_i \notin p.$
We let $W := D(h \cdot f_1 \dots \cdot f_r),$ then $f \in k[W]$
and $\underline{u}_i \in h[W] \implies p \cdot h(W] = (f).$

Using this, we can prove the following. Thm. X normal variety => Sing (x) has codimension >,2. Cor. A singular curve cannot be hormal. Rem. Prop + Thm is a criterion of normality! Proof. Assume (Ucsingle) irreducible, codim, W=1. By previous Prop. we can shrink Xso that X is affine irreducible and $I(W) = (f), f \in L[X].$ variety We know: IWEW regular point in W. Nakayama=> Mc "W, " is gen. by g1,...gd1, d=dim X. Since $O''_{w,w} = O'_{x,w}/(f)$ we can lift gi to gi EOx, w and $(f, \tilde{g}_1, \ldots, \tilde{g}_{d-1}) = M_{\chi} C \mathcal{O}_{\chi, \omega}$ ω ∉ Sing(k)! => (9x, w is regular, so Hurrah!