

**Exercise 10.1** Let  $k \geq j$ . Then the inclusion map  $C^k([0, 1]) \rightarrow C^j([0, 1])$  is compact if and only if  $k > j$ .

**Exercise 10.2** Let  $m \in \mathbb{N}$  and let  $\emptyset \neq \Omega \subset \mathbb{R}^m$  be a bounded open set. Given  $k \in L^2(\Omega \times \Omega, \mathbb{C})$ , consider the linear operator  $K : L^2(\Omega, \mathbb{C}) \rightarrow L^2(\Omega, \mathbb{C})$  defined by

$$(Kf)(x) = \int_{\Omega} k(x, y)f(y)dy.$$

- (a) Prove that  $K$  is well-defined, i.e.,  $Kf \in L^2(\Omega, \mathbb{C})$  for any  $f \in L^2(\Omega, \mathbb{C})$ .
- (b) Prove that  $K$  is a compact operator.
- (c) If, in addition, the kernel  $k$  satisfies  $k(x, y) = \overline{k(y, x)}$  for almost every  $(x, y) \in \Omega \times \Omega$ , prove that the operator  $A : L^2(\Omega, \mathbb{C}) \rightarrow L^2(\Omega, \mathbb{C})$ , defined by

$$Af = f - Kf,$$

is surjective if and only if it is injective.

**Exercise 10.3** Let  $X, Y$  be Banach spaces. Show that a bounded linear operator  $A : X \rightarrow Y$  is Fredholm if and only if it is “invertible modulo compact operators”, i.e. there exist  $B_1, B_2 \in L(Y, X)$  and compact operators  $K_1 \in L(Y)$ ,  $K_2 \in L(X)$  so that

$$AB_1 = I - K_1, \quad B_2A = I - K_2.$$

**Exercise 10.4** Suppose that  $X, Y, Z$  are Banach spaces, let  $P \in L(X, Y)$  and assume that there exists a compact map  $J \in L(X, Z)$ . Suppose also that there is a constant  $C > 0$  such that for all  $x \in X$  one has

$$\|x\|_X \leq C (\|Px\|_Y + \|Jx\|_Z). \tag{1}$$

- (a) If  $P$  is injective, show that there is another constant  $C' > 0$  such that for all  $x \in X$  one has

$$\|x\|_X \leq C' \|Px\|_Y.$$

- (b) Without assuming that  $P$  is injective show that (1) implies that  $\ker(P)$  has finite dimension. Hence, prove the existence of a closed subspace  $W$  of  $X$  with  $X = \ker(P) \oplus W$  (i.e. a topological complement  $W$  of  $\ker(P)$  in  $X$ ). Then exploit part (a) to show that for all  $x \in W$  one has

$$\|x\|_X \leq C'' \|Px\|_Y$$

for some constant  $C'' > 0$ .

- (c) Assume  $Z'$  is yet another Banach space, and there exist a compact operator  $J' \in L(Y^*, Z')$  and a constant  $C > 0$  so that for all  $y^* \in Y^*$  we have

$$\|y^*\|_{Y^*} \leq C \left( \|P^*y^*\|_{X^*} + \|J'y^*\|_{Z'} \right).$$

Show that  $P$  is Fredholm, and that also  $P^*$  is Fredholm.