Forcing

D-MATH Prof. Lorenz Halbeisen

## Musterlösung Serie 11

LAVER FORCING UND MATHIAS FORCING

32. Given  $\mathbb{L}_{\mathscr{F}} = (L, \leqslant)$ , for each  $f \in {}^{\omega} \omega \cap \mathbf{V}$ , consider the set of conditions given by

$$D_f = \{T \in L : \exists n \in \omega \,\forall k > n \,\forall t \in T[k] \,(next_T(t) \cap (f(k) + 1) = \emptyset)\},\$$

 $D_f$  is clearly open, and we want to argue that it is dense as well. Fix some  $\tilde{T} \in L$ . Let  $s \in {}^{<\omega}\omega$  be the stem of  $\tilde{T}$  and write  $n = \operatorname{dom}(s)$ . Consider now the subtree of  $\tilde{T}$  given by

$$T = \{t \in T : t \leq s \lor (s \leq t \land (\forall k \in \operatorname{dom}(t) \smallsetminus n, t(k) > f(k)))\}.$$

Since by assumption  $\mathscr{F}$  includes the Fréchet filter, we have that  $T \in L$ . To see that, notice that we know by definition of  $\mathbb{L}_{\mathscr{F}}$  that for all nodes  $t \succeq s$  in  $\tilde{T}$  we have  $next_{\tilde{T}}(t) \in \mathscr{F}$ . Moreover,  $\mathscr{F}$  is a filter and for all  $k \in \omega$  we have  $\omega \setminus k \in \mathscr{F}$ , from which we deduce that  $next_{\tilde{T}}(t) \cap (\omega \setminus k) = next_{\tilde{T}}(t) \setminus k$  belongs to  $\mathscr{F}$  as well (it is in particular infinite). Hence,  $T \in L$  finally follows from the fact that s is the stem of T and that for all nodes  $t \succeq s$  in T we have  $next_{\tilde{T}}(t) \setminus k = next_T(t)$ . We can now conclude since clearly  $T \ge \tilde{T}$  and if  $g \in {}^{\omega}\omega$  is the Laver real given by the intersection of the conditions in the generic filter, then T forces that for all k > n, g(k) > f(k), which, by the fact that fwas arbitrary, shows that g is a dominating real.

33. In what follows, for each subset s ∈ 𝒫(ω) let š be the only increasing function š: |s| → ω such that Im(š) = s. Moreover, if for some α ∈ ω + 1 we have two functions f, g: α → ω, define γ<sub>f,g</sub>: α → 2 by γ<sub>f,g</sub>(k) = 1 if and only if f(k) < g(k). We provide an argument that works both for Laver and for Mathias Forcing, since it relies on the fact that conditions can be written in form (s, t<sub>s</sub>) for some stem s ∈ <sup><ω</sup>ω and some infinite set t<sub>s</sub> (respectively a perfect tree and a subset of ω) which restricts how s can grow in stronger conditions. If G is a generic filter for M × M, then G is in the form G<sub>1</sub> × G<sub>2</sub>, where each G<sub>i</sub> is a generic filter for M. Call m<sub>1</sub> and m<sub>2</sub> the Mathias reals ∈ [ω]<sup>ω</sup> corresponding to G<sub>1</sub> and G<sub>2</sub>. We claim that γ<sub>m̃1,m̃2</sub> is a Cohen real. Indeed, consider the subset of L × L given by

$$E = \{ \langle (s, x_s), (t, x_t) \rangle \in L \times L : |s| = |t| \},\$$

together with the embedding

$$\Gamma \colon E \to \bigcup_{n \in \omega} {}^{n}2, \text{ defined by } \Gamma(\langle (s, x_s), (t, x_t) \rangle) = \gamma_{\tilde{s}, \tilde{t}}.$$

Notice that E is a dense subset of  $L \times L$ . Now, if D is an open dense set in  $\bigcup_{n \in \omega} {}^{n}2$  and e is some condition in E, a moment's thought shows that there is a stronger condition

 $e' \ge e$  with  $\Gamma(e') \in D$ , which means that  $\Gamma^{-1}(D)$  is dense in E, and is consequently dense in  $L \times L$ . We can now deduce that  $G_1 \times G_2$  intersects  $\Gamma^{-1}(D)$ , and hence, since D was arbitrary, the filter given by the initial segments of  $\gamma_{\tilde{m}_1,\tilde{m}_2}$  is generic for  $\bigcup_{n \in \omega} {}^n 2$ , which is what we wanted to show.

34. Assume that  $\mathscr{U}$  is not a Ramsey ultrafilter, while  $r \in {}^{\omega}\omega$  is  $\mathbb{M}_{\mathscr{U}}$ -generic. Let  $\pi : [\omega]^2 \to 2$  with  $\pi \in \mathbf{V}$  be a colouring such that there is no homogeneous  $x \in \mathscr{U}$  with respect to  $\pi$ . We now want to show that for all  $n \in \omega$  the set

$$D_n = \{(s, x_s) \in M : (s, x_s) \Vdash_{\mathbb{M}_{\mathscr{U}}} |\mathrm{Im}(r) \cap \pi^{-1}(0)| \ge n \land |\mathrm{Im}(r) \cap \pi^{-1}(1)| \ge n\}$$

is open dense in  $\mathbb{M}_{\mathscr{U}}$ . As usual,  $D_n$  is clearly open. In order to show that it is also dense, fix  $n \in \omega$  and let  $(t, x_t) \in M$  be an arbitrary but fixed condition. Notice that it suffices to show that there exists some  $k \in \omega$  such that  $|x_t \cap k \cap \pi^{-1}(0)| \ge n$  and  $|x_t \cap k \cap \pi^{-1}(1)| \ge n$ , for if we are able to find such an initial segment then we can consider the condition  $(t \cup (x_t \cap k), x_t \setminus k)$ , which is stronger than  $(t, x_t)$  and which belongs to  $D_n$ , as we remind that the  $\mathbb{M}_{\mathscr{U}}$ -generic real  $r \in {}^{\omega}\omega$  is obtained as the unique strictly increasing function from  $\omega$  to  $\omega$  that satisfies  $\text{Im}(r) = \bigcup_{(s,x_s)\in G} s$ , where G is the  $\mathbb{M}_{\mathscr{U}}$ -generic filter. The existence of such an initial segment follows by the fact that since there is no  $\pi$ -homogeneous set in  $\mathscr{U}$ , then no element of  $\mathscr{U}$  can be almosthomogeneous for  $\pi$ . More explicitly: assume towards a contradiction that for all  $k \in \omega$ we have (we pick wlog the *colour* 1) that  $|x_t \cap k \cap \pi^{-1}(1)| < n$ . Then there is a  $k_0 \in \omega$ such that for all  $k \ge k_0$  we have  $|x_t \cap k \cap \pi^{-1}(1)| = |x_t \cap k_0 \cap \pi^{-1}(1)|$ , which means that no elements in  $x_t \setminus k_0$  can be involved in a pair P belonging to  $[x_t]^2$  such that  $\pi(P) = 1$ . Now, since  $\mathscr{U}$  is a non-principal ultrafilter and  $x_t \in \mathscr{U}$ , we get that also  $(x_t \setminus k_0) \in \mathscr{U}$ , but  $x_t \, \smallsetminus \, k_0$  is  $\pi$ -homogeneous, contradicting the choice of  $\pi$ . To summarize, we showed that for every element  $x \in \mathscr{U}$  and for every  $n \in \omega$  we can find an initial segment of x which is sufficiently *non-homogeneous* with respect to the appositely chosen  $\pi$ , and this allows us to conclude that  $D_n$  is dense in  $\mathbb{M}_{\mathscr{U}}$ . Notice that by the fact that n was arbitrary, the real number r is not almost-homogeneous for  $\pi$ . On the other hand, by Exercise 2 (see Serie 1), we know that if a real number  $r' \in {}^{\omega}\omega$  is  $\mathbb{L}_{\mathscr{U}}$ -generic, then the set  $\operatorname{Im}(r') \subset \omega$  is almost homogeneous for every colouring  $\pi \colon [\omega]^2 \to 2$  with  $\pi \in \mathbf{V}$ . We can thus conclude that for r to be both  $\mathbb{L}_{\mathscr{U}}$ - and  $\mathbb{M}_{\mathscr{U}}$ -generic, it is necessary that  $\mathscr{U}$ is a Ramsey ultrafilter.