

2.1. An equivalence for closed surjective operators.

Let X, Y be Banach spaces, let $A : D_A \subset X \rightarrow Y$ be a linear densely defined closed operator, with dual operator $A^* : D_{A^*} \subset Y^* \rightarrow X^*$. Prove that the following are equivalent:

- (a) A is surjective.
- (b) A^* is injective, and $\text{Im}(A^*)$ is closed.
- (c) There exists $c_0 > 0$ such that for all $y^* \in D_{A^*}$ we have

$$c_0 \|y^*\|_{Y^*} \leq \|A^* y^*\|_{X^*}. \quad (1)$$

2.2. Self adjoint extensions of $i \frac{d}{dt}$.

Fix $\alpha \in \mathbb{R}$. Let $A_\alpha = i \frac{d}{dt}$ with domain $D(A_\alpha) := \{u \in H^1(0, 1) : u(1) = e^{i\alpha} u(0)\} \subset L(0, 2)$.

- (a) Show that A_α is self-adjoint for all $\alpha \in \mathbb{R}$.

For the rest of the exercise we aim to prove that all self-adjoint extensions of $i \frac{d}{dt}$ are equal to A_α for some $\alpha \in \mathbb{R}$.

- (b) Let $H_0^1(0, 1) := \{u \in H^1(0, 1) : u(0) = u(1) = 0\}$. Show that the map $H^1(0, 1) \ni u \mapsto (u(0), u(1)) \in \mathbb{C}^2$ is surjective with kernel $H_0^1(0, 1)$, and deduce that $\dim H^1(0, 1)/H_0^1(0, 1) = 2$.

- (c) Let $B_0 = i \frac{d}{dt}$, but with domain $D(B_0) = H_0^1(0, 1)$. Show that if B is a self-adjoint extension of B_0 , then $\dim D(B_0^*)/D(B) = 1$.

(Hint. Recall that the adjoint of $B_0 = i \frac{d}{dt} : D(B_0) \subset L^2(0, 1) \rightarrow L^2(0, 1)$ is given by $B_0^* = i \frac{d}{dt}$ with $D(B_0^*) = H^1(0, 1)$. Thus, part (b) gives $\dim D(B_0^*)/D(B_0) = 2$.) Conclude that there exists a nonzero linear functional $\lambda : \mathbb{C}^2 \rightarrow \mathbb{C}$ so that $D(B) = \{u \in H^1(0, 1) : \lambda(u(0), u(1)) = 0\}$.)

- (d) If $B \supset B_0$ is self-adjoint, show that $B = A_\alpha$ for some $\alpha \in \mathbb{R}$.

(Hint. Write $\lambda(u_0, u_1) = a_0 u_0 + a_1 u_1$ where $a_0, a_1 \in \mathbb{C}$ are not both zero. Write out the condition for self-adjointness and compare this with what you get via integration by parts.)

2.3. Spectrum and adjoint of an operator on $\ell^2(\mathbb{Z})$. Let $(q_n)_{n \in \mathbb{Z}} \subset \mathbb{C}$ be a sequence of complex numbers. Set $D = \{a = (a_n)_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z}) : (q_n a_n)_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})\}$. Define $A : D \subset \ell^2(\mathbb{Z}) \rightarrow \ell^2(\mathbb{Z})$ by $A(a_n)_{n \in \mathbb{Z}} = (q_n a_n)_{n \in \mathbb{Z}}$. Prove the following.

- (a) Show that A is closed.
- (b) Compute the adjoint of A . (This includes the determination of its domain.) Conclude that A is self-adjoint when $q_n \in \mathbb{R}$ for all $n \in \mathbb{Z}$.
- (c) Compute the spectrum of A . Give an example of a sequence $(q_n)_{n \in \mathbb{Z}}$ so that $\sigma_c(A) \neq \emptyset$.

2.4. The adjoint operator is *always* closed.

Let H be a Hilbert space, and define the operator $V: H \times H \rightarrow H \times H$ by $V(x, y) = (-y, x)$. Let $A: D(A) \subset H \rightarrow H$ be a densely defined operator. Show that $\Gamma_{A^*} = (V(\Gamma_A))^\perp$.

Remark. Since orthogonal complements are always closed, this gives another proof that A^* is always closed.