

I) Introduction + Recap:

Let G be a finite dim Lie Group w/ Lie Algebra \mathfrak{g} .

A norm on \mathfrak{g} is called invariant if it is preserved under the adjoint action

$$\text{def of } G: \quad |\xi| = |\text{Ad}_g \xi| \quad \forall \xi \in \mathfrak{g}, g \in G.$$

Any such action gives rise to a Metric on G :

$$d(g_0, g_1) := \inf_g \int_0^1 |\dot{g} \cdot g^{-1}| dt.$$

Where $g: g_0 \rightarrow g_1$ is a path parameterized by t .

\rightarrow We want to take this idea, and apply it to our setting:

As seen last time: ~~the space~~

Given a symplectic Manifold (M, ω) and a smooth time dependent (normalized) Hamiltonian $H: \mathbb{R} \rightarrow \mathbb{R}$ we consider the vector field $X_{H_t}: \mathbb{R} \rightarrow TM$ given by $\omega(X_{H_t}, -) = dH_t$

~~We denote by $\text{Ham}(X, \omega)$ the~~

We then observe that $\text{Symp}_0(M, \omega)$, the path connected component of all diffeomorphisms of M , which preserve ω , containing the identity.

This turns out to be the same as $\text{Ham}(M, \omega)$ which

is the Lie group of flows ϕ_t of X_{H_t}

~~of flows $\phi_{t=a}$ for H_t non-~~

1) We now ask the question: What is the minimal amount of Energy needed to generate a given Hamiltonian flow $\phi \in \text{Ham}(M, \omega)$

Let us formalize this Question:

Consider all possible Hamiltonian ~~paths~~ ^{paths} $\{f_t\}$ $t \in [0, 1]$ s.t.
 $f_0 = \text{id}$, $f_1 = \phi$. For each ~~flow~~ ^{path} take its unique normalized Hamiltonian H_t , and measure its magnitude. Then minimize over all ~~such flows~~ ^{paths} $\{f_t\}$.

Recall that H_t is an element of the Lie Algebra \mathcal{H} , (of $\text{Ham}(M, \omega)$)

Choose any norm on \mathcal{H} , $\|\cdot\|$ in a coordinate free way that is

$$\|H \circ \psi^{-1}\| = \|H\| \quad \forall H \in \mathcal{H}, \psi \in \text{Ham}(M, \omega)$$

We now define the magnitude as $\inf_{f_t} \int_0^1 |H_t| dt$.

Observe this resembles some measurement of length of f_t s

This leads us to define

$$e(f_t) = \int_0^1 |H_t| dt.$$

Which in turn leads naturally to the definition of the pseudo metric on $\text{Ham}(M, \omega)$

$$\rho(\gamma, \phi) = \inf_{f_t: \gamma \circ \phi} \int_0^1 |H_t| dt.$$

Lemma 1 Let F_t, G_t be normalized time dependent Hamiltonians generating paths ϕ_t and ψ_t . Then:

i) The path $\phi_t \psi_t$ is generated by $F_t + G_t \circ \phi_t^{-1}$

ii) The path ϕ_t^{-1} is generated by $-F_t \circ \phi_t$

iii) For $\gamma \in \text{Ham}(M, \omega)$ $\psi^{-1} \phi_t \psi$ is generated by $F_t \circ \gamma$

Proof: i) Let H_t be the Hamiltonian generating $\phi_t \psi_t$.

It must suffice $\partial_t \phi_t \psi_t = X_{H_t} \phi_t \psi_t$. $\overline{\Gamma \omega}(X_{H_t}, -) = dH_t$

Now $\partial_t \phi_t \psi_t = (\partial_t \phi_t) \psi_t + \phi_{t*} \partial_t \psi_t$

$$= X_{F_t} \phi_t \psi_t + \phi_{t*} X_{G_t} \psi_t$$

$$= X_{F_t} \phi_t \psi_t + \phi_{t*} X_{G_t \circ \phi_t^{-1}} \phi_t \psi_t$$

$$= (X_{F_t} + X_{G_t \circ \phi_t^{-1}}) \phi_t \psi_t$$

ii) Consider $\partial_t \phi_t \phi_t^{-1} = 0$

$$X_{F_t} = -\phi_{t*} \partial_t \phi_t^{-1} \quad (\Rightarrow \phi_t^* X_{F_t} = \partial_t \phi_t^{-1})$$

$$-X_{F_t \circ \phi_t} \phi_t^* = \partial_t \phi_t^{-1}$$

iii) Exercise.

Theorem 1 ρ is a pseudometric.

i) $\rho(\phi_0, \phi_1) = \rho(\phi_1, \phi_0)$

ii) $\rho(\phi_0, \phi_2) \leq \rho(\phi_0, \phi_1) + \rho(\phi_1, \phi_2)$

iii) $\rho(\phi_0 \otimes, \phi_1 \otimes) = \rho(\otimes \phi_0, \otimes \phi_1) = \rho(\phi_0, \phi_1)$

iv) $\rho(\otimes \phi_0 \psi^{-1}, \otimes \phi_1 \otimes^{-1}) = \rho(\phi_0, \phi_1)$

Proof: ⁱⁱⁱ⁾ Let H_t generate ϕ_t then $\otimes \phi_0$ is generated

by $H_t \circ \phi_0^{-1}$ and $\phi_0 \otimes$ is generated by H_t . So by

invariance ρ stays unchanged. Observe due to iso
 $\rho(\psi, \phi) = \rho(\text{id}, \phi \psi^{-1})$

ii) Choose compactly supported $F_t, G_t: M \times \mathbb{R}^d \rightarrow \mathbb{R}$ generating

~~$\phi_1 \phi_0^{-1}$ resp. $\phi_2 \phi_1^{-1}$~~ $\gamma_t: \text{id} \mapsto \phi_1 \phi_0^{-1}, \eta_t: \text{id} \mapsto \phi_2 \phi_1^{-1}$.

Then $\phi_2 \phi_0^{-1}$ is generated by $K_t = G_t + F_t \eta_t^{-1}$

Since $\|H_t\| \leq \|K_t\| + \|G_t\|$, the triangle inequality

follows by taking inf over all F, G .

i) $L_t = -F_t \circ \gamma_t$ generate $\phi_0 \phi_1^{-1}$ and clearly $|L_t| = |F_t|$.

iv) Lastly $F_t \circ \otimes$ generates $\otimes^{-1} \phi_1 \phi_0^{-1} \otimes$ and clearly $|F_t| = |F_t \circ \otimes|$.

□

III) The question of non-degeneracy & the choice of Norm.

A very natural choice of Norms on \mathcal{H} satisfying the invariance assumption are the L_p norms.

$$\|H\|_p = \left(\int_M |H|^p d\text{Vol} \right)^{1/p}$$

$$\|H\|_\infty = \max H - \min H$$

Theorem 1 The pseudo distance ρ_p for $p < \infty$ is degenerate. Moreover for closed manifolds it vanishes identically.

Theorem 2: For $p = \infty$ ρ_∞ is non degenerate in this case we call it the Hofer metric.

Our goal will be to prove Theorem 2 for the case $M = \mathbb{R}^{2n}$.

To that aim consider:

Definition: Let ρ be a biinvariant pseudo-metric on $\text{Ham}(M, \omega)$ and let A be a bounded subset of M .

The displacement energy of A is given by:

$$e(A) := \inf \{ \rho(1, f) \mid f \in \text{Ham}(M, \omega) \ f(A) \cap A = \emptyset \}$$

with the convention $\inf \emptyset = +\infty$.

Clearly we have

Lemma 2: For every $A \subset B \subset \mathcal{M}$ it holds:

i) $e(A) \subset e(B)$

ii) $e(f(A)) = e(A) \quad \forall f \in \text{Ham}(\mathcal{M}, \omega)$

Proof: Exercise

Example: Consider (\mathbb{R}^2, ω) and take an open square A whose edges have length u and are parallel to the coordinate axes.

Consider $H(p, q) = up$, the corresponding system is:

$$\dot{q} = \frac{\partial H}{\partial p} = u$$

$$\dot{p} = -\frac{\partial H}{\partial q} = 0$$

~~Note all motion of~~

Therefore it's time 1 map h sends $(p, q) \mapsto (p, q+u)$

Note all motion of A takes place in $K = \text{Closure}(A \cup h(A))$.

Consider \tilde{H} a cutoff of H outside a small neighborhood of K .

Note \tilde{H} is a normalized Hamiltonian. And since $H = \tilde{H}$ on K

the time one map f generated by the Hamiltonian flow of \tilde{H}

Observe $e(A) = \inf \{ \rho(1, f) \mid f \in \text{Ham}(\mathcal{M}, \omega) f(A) \cap A \neq \emptyset \} \leq \int_0^1 \max_K H - \min_K H \leq u^2$

So $e(A) \leq \text{Area}(A)$. As in \mathbb{R}^2 A is symplectomorphic to the

disc we showed $e(B^2(r)) \leq \pi r^2$

Theorem 4: The displacement energy is a relative symplectic capacity for subsets of \mathbb{R}^{2n} and satisfies

$$e(B^{2n}(r)) = \pi r^2$$

Auxiliary Theorem:

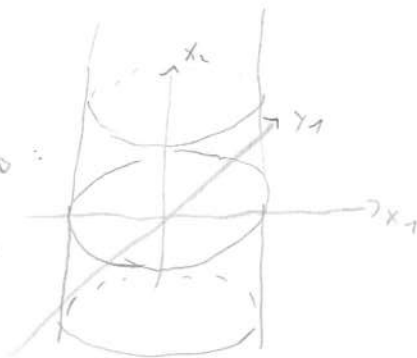
Theorem 5: (Non-squeezing Theorem) [Gromov]:

If there exists a symplectic embedding of $(B^{2n}(r), \omega_0)$ into $(Z^{2n}(R), \omega_0)$ then $r \leq R$.

Where $Z^{2n}(R) = \{(x, y) \in \mathbb{R}^{2n} \mid x_1^2 + y_1^2 \leq R\}$.

Picture: Consider \mathbb{R}^4 w/ coordinates (x_1, x_2, y_1, y_2) and the projection π onto the first 3 coordinates:

The $\pi(Z^{2n}(R))$, and $\pi(B^{2n}(r))$ look like:



(clearly there is a linear map taking $B^{2n}(r) \hookrightarrow Z^{2n}(R)$ for $r \leq R$.

$$\begin{pmatrix} R & & & \\ & r & & \\ & & R & \\ & & & r \end{pmatrix} \frac{2\pi r^2}{\pi R^2} = \pi \left(\frac{r}{R}\right)^{2n-2}$$

This Def: The Gromov width of a symplectic manifold (M, ω)

$$W_G(M) := \sup \{ r^2 \mid B^{2n}(r) \text{ embeds symplectically into } M \}$$

It holds:

i) $M_1, \omega_1 \hookrightarrow M_2, \omega_2$

and $\dim M_1 = \dim M_2 \Rightarrow W_G(M_1) \leq W_G(M_2)$

iii) $W_G(B^{2n}(r)) > 0$

$W_G(Z^{2n}(r)) < \infty$

ii) $W_G(M, \lambda \omega) = \lambda W_G(M, \omega)$

Proof of Theorem 3.1: $(e(B) = \pi r^2)$ (Sketch)
 $e(B) \leq \pi r^2 \checkmark$

$$e(B) \geq \pi r^2:$$

Assume ϕ_1 is a compactly supported Hamiltonian diffeo satisfying

$$\phi_1(B) \cap B = \emptyset. \text{ Denote by}$$

$$c := \omega_G(B) = \pi r^2, \quad e = \rho(\phi_1, \text{id})$$

If we can show $e \geq c$ we are done.

To this aim let us construct $\phi := \psi \circ \phi_1 \circ \psi^{-1}$ such that

$\phi(B) \cap \sqrt{2}B = \emptyset$. This symplectomorphism has the same dist to the identity as ϕ_1 .

Using ϕ we shall construct for every $\varepsilon > 0$ an embedding

$$B^{2n+2}(\sqrt{2}r) \hookrightarrow Z^{2n+2}(R) = B^2(R) \times \mathbb{R}^{2n} \text{ s.t.}$$

Observe that $\omega_G(B^{2n+2}(\sqrt{2}r)) = 2c$ s.t. $\omega_G(Z^{2n+2}(R)) = \pi R^2 = c + e + \varepsilon$

Now by Gromov's non squeezing Theorem we must have

$$2c \leq c + e + \varepsilon$$

$$c \leq e + \varepsilon$$

Proof of Theorem 3:

Let ϕ be a symplectomorphism of $(\mathbb{R}^{2n}, \omega_0)$ not equal to the identity. Then there exists a Ball of radius r s.t. $\phi(B) \cap B = \emptyset$.

Hence $\text{osc}(B) \ll \rho(\text{id}, \phi)$. \square