

Motivation

One of the main results of the talk is the proof of $\varepsilon(\rho(\gamma)) \leq 2$, which implies $\nu_+(\gamma) = \frac{1}{2}$ (as shown by Marius), thus completing the computation of the length spectrum of $\text{Ham}(S^2)$.

This is an instance of a general problem that requires pseudo-holomorphic curves to be solved.

Quasi-Kähler structure

Def Let M be a smooth manifold. An almost complex structure on M is an automorphism $J: TM \rightarrow TM$ s.t. $J^2 = -1$

An almost complex manifold is a pair (M, J)

Denote the space of almost complex structures on M by

$$\mathcal{J}(M) := \{ J \in C^\infty(M, \text{End}(TM)) \mid J^2 = -1 \}$$

Def Let (M, ω) be a symplectic manifold. An almost complex structure J on M is compatible if

$$g: TM \times TM \rightarrow \mathbb{R} \\ (\xi, \eta) \mapsto \omega(\xi, J\eta)$$

is a Riemannian metric on M .

Def The almost complex structure J is integrable if M^{2n} can be covered by coordinate charts $\phi: U \rightarrow \phi(U) \subset \mathbb{R}^{2n}$ s.t. $\forall q \in M$

$$d\phi(q) \circ J = J_0 \circ d\phi(q): T_q M \rightarrow \mathbb{R}^{2n}$$

$$\text{where } J_0 = \begin{pmatrix} 0 & -I_n \\ I_n & 0 \end{pmatrix}$$

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If J is integrable, (M, ω, J) is a Kähler manifold.

Quasi-Kähler manifolds are relevant as all symplectic manifolds can be given a compatible almost complex structure. This follows from contractibility of $\mathcal{J}(M)$. However there are examples of non-Kähler symplectic manifolds.

Def A map $\phi: (S^2, i) \rightarrow (P, j)$ is a pseudo-holomorphic curve if $\phi_* \circ i = j \circ \phi_*$ or equivalently $\bar{\partial} \phi := \frac{1}{2} (\phi_* + j \circ \phi_* \circ i) = 0$

The deformation problem

Let (P, ω) be a closed symplectic manifold and e a ray in $H^2(P, \mathbb{R})$ with origin at $[\omega]$.

How far can one deform ω with symplectic structures on P s.t. $[\omega]$ moves on e ?

Weak coupling construction

For $\varepsilon > 0$ sufficiently small, there exists a smooth family of closed 2-forms ω_t s.t. on P ($t \in [0, \varepsilon)$) s.t.

- $\omega_0 = p^* \tau$
- $[\omega_t] = t c + p^* [\tau]$
- $\omega_t|_{\text{fiber}} = t \Omega_X$
- ω_t symplectic for all $t > 0$

We see that $p^* [\tau]$ is degenerate, but for $t > 0$ $t c + p^* [\tau]$ is

Denote $\varepsilon(P) = \sup \varepsilon$. We construct an example where $\varepsilon(P) < \infty$

Homological intermezzo

The cohomology groups $H^n(P)$ can be turned into rings by means of the cup product:

$$\cup: H^s(P) \times H^t(P) \rightarrow H^{s+t}(P)$$

$$(\alpha, \beta) \mapsto \alpha \cup \beta$$

This is a bilinear operation that respects the grading.

We also need Poincaré duality: for a closed oriented manifold P

$$H^p(P) \cong H_{n-p}(P) \quad \forall p \in \mathbb{N}_0$$

P is symplectic in our case so it is orientable.

The Poincaré dual of the cup product is the intersection product

If $\alpha \in H^s(P)$, $\beta \in H^t(P)$ and the duals are $A \in H_{n-s}(P)$, $B \in H_{n-t}(P)$

$$\alpha \cup \beta \in H^{s+t}(P)$$

$$(A, B) \in H_{n-(s+t)}(P)$$

With the intersection product, homology on a manifold becomes a ring.

Assume now that P is connected: $H^0(P) \cong \mathbb{Z}$

We define $[P]$, the fundamental class of N , as the unique element of $H_n(P)$ that is sent to 1 under Poincaré duality: $\Phi([P]) = 1$

If $N \subseteq M$ is a submanifold of M , the inclusion $i: N \hookrightarrow M$ induces a homomorphism $i_*: H_n(N) \rightarrow H_n(M)$.

Then $i_*([N]) \in H_n(M)$ is called the class of N in M

Let p, q s.t. $p+q = \dim M =: m$ and

$$A \in H_p(M) \Rightarrow A^* \in H^q(M) \Rightarrow A^* \cup B^* \in H^m(M)$$

$$B \in H_q(M) \Rightarrow B^* \in H^p(M)$$

$$(A, B) = (A^* \cup B^*)^* \in H_0(M) \cong \mathbb{Z}$$

This is called intersection index of A and B .

If $\dim N = \frac{1}{2} \dim M$, $([N], [N])$ is called intersection index of N

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The intersection product counts the number of intersections (with orientation).

Def Let P^4 be a 4-dim. symplectic manifold and Σ a symplectic embedded 2-sphere. If $([\Sigma], [\Sigma]) = -1$, we call Σ an exceptional sphere

Thm 10.1 Let $\Sigma \subset (P^4, \omega)$ be an exceptional sphere and ω_t a deformation of symplectic form ($t \in [0, 1]$). Then $([\omega_t], \Sigma) > 0$

Application to coupling

We study the coupling deformation for the fibration $P(T \oplus C) \rightarrow \mathbb{C}P^1$, where T and C are the tautological and trivial bundle respectively.

Let $[F]$ be the class of the fiber and Σ be the section corresponding to the rank 1 subbundle $0 \oplus C$.

Since for $b \neq c$ $p^{-1}(b) \cap p^{-1}(c) = \emptyset$ and all these fibers are homologous, it follows $([F], [F]) = 0$. Also $([F], [\Sigma]) = 1$

It can be shown that $([\Sigma], [\Sigma]) = -1$

Let ω_t be a coupling deformation. By Moser's theorem, for t small ω_t is symplectomorphic to a positive symplectic form on $P(T \oplus C)$ (a Kähler form) WLOG we can assume ω_t is Kähler for t small.

It follows that Σ is symplectic and embedded. Together with $([\Sigma], [\Sigma]) = -1$ this implies that Σ is an exceptional sphere.

By theorem 10.1.A, $([\omega_t], [\Sigma]) > 0 \quad \forall t \in [0, 1]$

Recall $[\omega_t] = p^*[\tilde{\omega}] + tc$, where $[\tilde{\omega}] \in H_2(S^2, \mathbb{Z})$ is a generator and c is the coupling class.

Using Poincaré duality, we identify homology and cohomology and get the following relations:

$$\begin{aligned} (p^*[\tilde{\omega}], [F]) &= 0 & (p^*[\tilde{\omega}], [\Sigma]) &= 1 \\ (c, [F]) &= 1 & c^2 &= 0 \end{aligned}$$

$$(p^*[\tau], [F]) = 0 \quad (p^*[\tau], [\Sigma]) = 1$$

$$(c, [F]) = 1 \quad c^2 = 0$$

The generators of $H_1(S^2, \mathbb{Z})$ are $[F]$ and $[\Sigma]$. These relations define $p^*[\tau]$ and $[c]$ uniquely.

$$p^*[\tau] = \alpha [F] + \beta [\Sigma] \quad c = \gamma [F] + \delta [\Sigma]$$

$$0 = \alpha ([F], [F]) + \beta ([\Sigma], [F]) = \beta$$

$$1 = (p^*[\tau], [\Sigma]) = \alpha ([F], [\Sigma]) = \alpha \Rightarrow p^*[\tau] = [F]$$

$$1 = (c, [F]) = \gamma ([F], [F]) + \delta ([\Sigma], [F]) = \gamma$$

$$0 = (c, c) = \gamma^2 ([F], [F]) + 2\gamma ([F], [\Sigma]) + ([\Sigma], [\Sigma]) = 2\gamma - 1 \Leftrightarrow \gamma = \frac{1}{2}$$

$$\text{Therefore } c = [\Sigma] + \frac{1}{2} [F]$$

By using Thm 10.1A, it follows

$$\begin{aligned} 0 < ([w_t], [\Sigma]) &= ([F] + t[\Sigma] + \frac{t}{2}[F], [\Sigma]) \\ &= ([F], [\Sigma]) + t([\Sigma], [\Sigma]) + \frac{t}{2}([F], [\Sigma]) \\ &= 1 - t + \frac{t}{2} \end{aligned}$$

$$\Rightarrow t < 2$$

This is true for all weak deformations, so $\varepsilon(P) < 2$. This completes the proof. ■

Properties of pseudo-holomorphic curves

Let (P^{2n}, ω) a symplectic manifold and $A \in H_2(P, \mathbb{Z})$ a primitive class ($\nexists B \in H_2(P, \mathbb{Z})$ and $k > 1$ integer s.t. $A = kB$). In particular $A \neq 0$.

Let $\mathcal{J} = \{J: TP \rightarrow TP \mid J^2 = -1 \text{ and } J \text{ is } \omega\text{-compatible}\}$ and

$$\mathcal{N} := \{f \in C^\infty(S^2, P) \mid [f] = A\}.$$

Then $\mathcal{X} := \{(f, j) \in \mathcal{N} \times \mathcal{J} \mid \bar{\partial}_j f = 0\}$. \mathcal{X} is a smooth manifold and

Then $\mathcal{X} := \{(f, j) \in \mathcal{M} \times \mathcal{J} \mid \bar{\partial}_j f = 0\}$. \mathcal{X} is a smooth manifold and the projection $\pi: \mathcal{X} \rightarrow \mathcal{J}$ is a Fredholm operator:

$$\text{Index } \pi_* = \dim \ker \pi_* - \dim \text{coker } \pi_* = 2(c_1(A) + n)$$

Let j_0, j_1 regular values of π . So $\pi^{-1}(j_0), \pi^{-1}(j_1)$ are smooth submanifolds.

If γ is a path from j_0 to j_1 , $\pi^{-1}(\gamma)$ is a smooth submanifold of dimension $\text{Index}(\pi) + 1$ and $\partial \pi^{-1}(\gamma) = \pi^{-1}(j_0) \cup \pi^{-1}(j_1)$.

Key point: let $\text{PSL}(2, \mathbb{C})$ be the group of conformal transformations of (S^2, i)

If $h \in \text{PSL}(2, \mathbb{C})$ and $(f, j) \in \pi^{-1}(j)$, also $(f \circ h, j) \in \pi^{-1}(j)$

$\pi^{-1}(\gamma)$ can't be compact as it admits the action of the non-compact group $\text{PSL}(2, \mathbb{C})$.

Thm (Gromov) Either $\pi^{-1}(\gamma)/\text{PSL}(2, \mathbb{C})$ is compact or there exists a family $(f_k, j_k) \in \pi^{-1}(\gamma)$ s.t. $j_k \rightarrow j_\infty$ and f_k converges to a j_∞ -holomorphic cusp curve in the class A .

Write $A = \sum_{i=1}^d A_i$ and $\phi_k: S^2 \rightarrow P$ are j -holomorphic curves in A_i .

The union $\bigcup_{i=1}^d \phi_i(A_i)$ is the image of the cusp curve, usually assumed to be connected.

Imagine now the following:

- The set $\mathcal{J}' \subseteq \mathcal{J}$ of all j that generate cusp curves has codimension at least 2
- $j \in \mathcal{J} \setminus \mathcal{J}'$ is a regular value and $\pi^{-1}(j)/\text{PSL}(2, \mathbb{C})$ does not bound a compact manifold.

Then $\forall j_1 \in \mathcal{J} \setminus \mathcal{J}'$, $\pi^{-1}(j_1)/\text{PSL}(2, \mathbb{C}) \neq \emptyset$.

Indeed, suppose the contrary and join j_0 and j_1 by a path γ . Then

$$\partial(\pi^{-1}(\gamma)/\text{PSL}(2, \mathbb{C})) = \pi^{-1}(j_0)/\text{PSL}(2, \mathbb{C})$$

But from Gromov's theorem, $\pi^{-1}(\gamma)/\text{PSL}(2, \mathbb{C})$ is compact. This contradicts the second assumption.

Persistence of exceptional spheres

We sketch a proof for 10.1A

Let (P^4, ω) be a symplectic manifold and $\Sigma \subset (P^4, \omega)$ be an exceptional sphere with $A = [\Sigma]$. Let ω_t ($t \in [0, 1]$) be a deformation of $\omega = \omega_0$

Step 1: choose a j_0 that is ω -compatible and extend to a family j_t that is ω_t -compatible

Step 2: It holds $\text{Index } \pi = 2(c_1(A) + n) = 2(1 + 2) = 6$

Since $\dim_{\mathbb{R}} \text{PSL}(2, \mathbb{C}) = 6$, it follows that if j_0 is regular

$$\dim_{\mathbb{R}} (\pi^{-1}(j_0) / \text{PSL}(2, \mathbb{C})) = 0$$

Step 3 In dimension 4, two different germs of j -holomorphic curves always intersect with positive index at common points.

Assume Σ' is another curve in A . Then

$$([\Sigma], [\Sigma']) = ([\Sigma], [\Sigma]) = -1 \quad \checkmark$$

It follows that there is a unique j -holomorphic curve in A .

$\pi^{-1}(j_0) / \text{PSL}(2, \mathbb{C})$ contains just one point.

Step 4 Choose j_0, j_t regular. We claim that no cusp curve appear generically

Set

$$A = \sum_{i=1}^d A_i \Rightarrow c_1(A) = \sum_{i=1}^d c_1(A_i) = 1$$

Thus at least one Chern class is non-positive. WLOG let it be $c_1(A_1)$

$$\dim \pi_{A_1}^{-1}(x) / \text{PSL}(2, \mathbb{C}) = 2(c_1(A_1) + 2) - 6 + 1 \leq -1 \quad \checkmark$$

Generically no bubbling of happens. Thus A is represented by a j_t -hol.

By compactness $([\omega_t], [\Sigma]) > 0 \quad \forall t \in [0, 1]$.