

# EXCISION

A fundamental property of relative homology groups is given by the following **EXCISION THEOREM**, describing when the relative groups  $H_n(X, A)$  are unaffected by excising/deleting a subset  $Z \subset A$ .

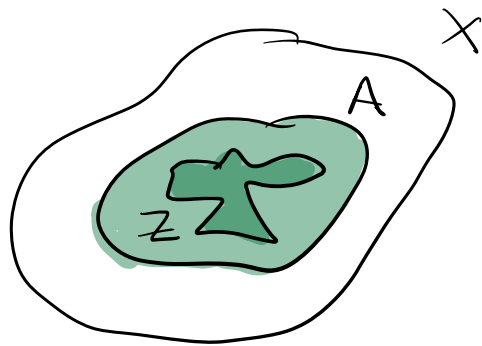
## THEOREM (EXCISION)

Given subspaces  $Z \subset A \subset X$  such that the closure of  $Z$  is contained in the interior of  $A$ , then the inclusion  $(X-Z, A-Z) \hookrightarrow (X, A)$  induces isomorphisms  $H_p(X-Z, A-Z) \rightarrow H_p(X, A)$  for all  $p$ .

Equivalently, for subspaces  $A, B \subset X$  whose interior covers  $X$ , the inclusion  $(B, A \cap B) \hookrightarrow (X, A)$  induces isomorphisms

$$H_p(B, A \cap B) \rightarrow H_p(X, A) \text{ for all } p.$$

The translation  
between the two  
versions is obtained



by setting

$$B = X - Z \quad \& \quad Z = X - B.$$

Then  $A \cap B = A - Z$  and the condition

$\bar{Z} \subset \overset{\circ}{A}$  is equivalent to

$$X = \overset{\circ}{A} \cup \overset{\circ}{B} \text{ since } X - \overset{\circ}{B} = \bar{Z}.$$

The proof is quite technical and  
will be done in several steps.

## RELATING HOMOLOGY GROUPS OF A COVERING TO HOMOLOGY GROUPS OF A SPACE

Let  $X$  be a space and  $\mathcal{U} = \{U_\alpha\}_{\alpha \in \mathcal{A}}$   
be a collection of subsets of  $X$  s.t.

the interiors of the  $U_\alpha$ 's cover  $X$ ,

$$X = \bigcup_{\alpha \in A} \overset{\circ}{U}_\alpha.$$

We say that a subset  $Q \subset X$  is  $\mathcal{U}$ -small if  $\exists \alpha \in A$  s.t.  $Q \subset U_\alpha$ .

Consider the subgroup of  $Sp(X)$  generated

by  $Sp(U_\alpha) \forall \alpha$ . Denote it by

$Sp^{\mathcal{U}}(X)$ . The elements are chains  $\sum_i n_i \delta_i$  such that each  $\delta_i$  has image contained in some set in the cover  $\mathcal{U}$ .

The boundary map  $\partial: Sp(X) \rightarrow Sp_{-1}(X)$

takes  $Sp^{\mathcal{U}}(X)$  to  $Sp^{\mathcal{U}}(X)$ , so the

groups  $Sp^{\mathcal{U}}(X)$  form a chain complex.

We denote this chain complex  $S_{\bullet}^{\mathcal{U}}(X)$

and it is a subcomplex of  $S_{\bullet}(X)$ .

We denote the homology groups of  $S_\bullet^u(x)$  by  $H_p^u(x)$ .

## THEOREM 1

The inclusion chain map  $i^u : S_\bullet^u(x) \rightarrow S_\bullet(x)$  induces an isomorphism in homology

$$i_*^u : H_p^u(x) \xrightarrow{\cong} H_p(x) \quad \forall p.$$

To prove theorem 1, we will apply the so-called barycentric subdivision process.

## BARYCENTRIC SUBDIVISION

### ① BARYCENTRIC SUBDIVISION OF SIMPLICES

Let  $\sigma = [v_0, v_1, \dots, v_n]$  be an  $n$ -simplex in  $\mathbb{R}^d$ . Then

$$\sigma = \left\{ \sum_{i=0}^n t_i v_i \mid 0 \leq t_i \leq 1, \sum t_i = 1 \right\}$$

The **BARYCENTER** or 'center of gravity' of the simplex  $\sigma$  is the point

$$b = b_\sigma = \frac{1}{n+1} \sum_{i=0}^n v_i$$

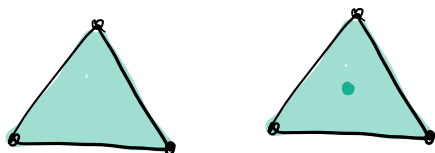
$n=0$



$n=1$



$n=2$



The **BARYCENTRIC SUBDIVISION** of

$[v_0, v_1, \dots, v_n]$  is the decomposition of

$[v_0, v_1, \dots, v_n]$  into  $n$ -simplices

$[b, w_0, \dots, w_{n-1}]$  where, inductively,  $[w_0, \dots, w_{n-1}]$

is an  $(n-1)$ -simplex in the barycentric

subdivision of a face  $[v_0, \dots, \hat{v}_i, \dots, v_n]$ .

The induction starts with  $n=0$ .

$n=0$

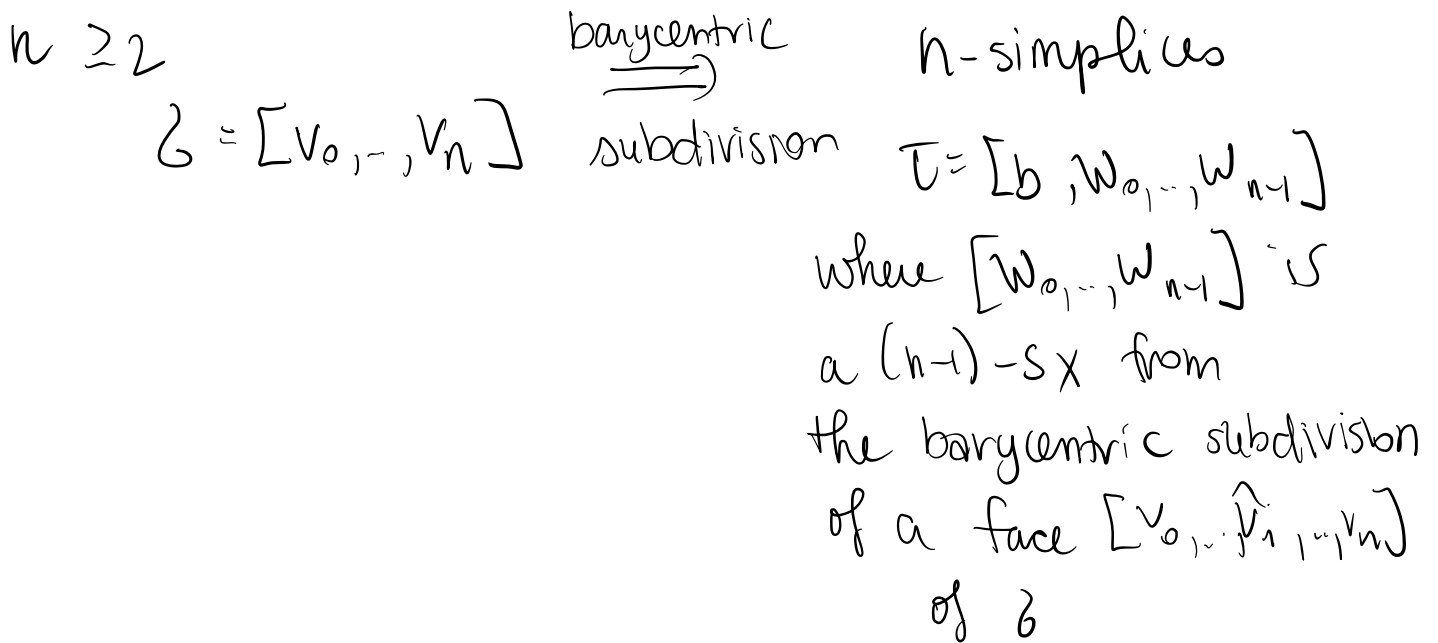
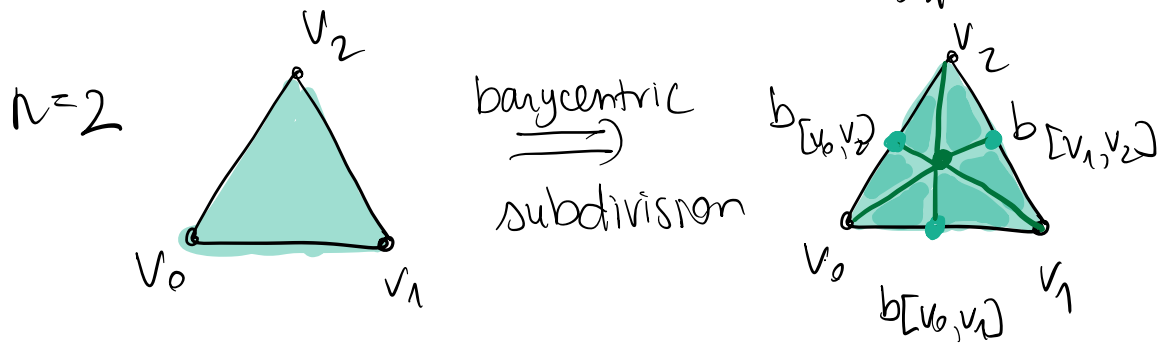
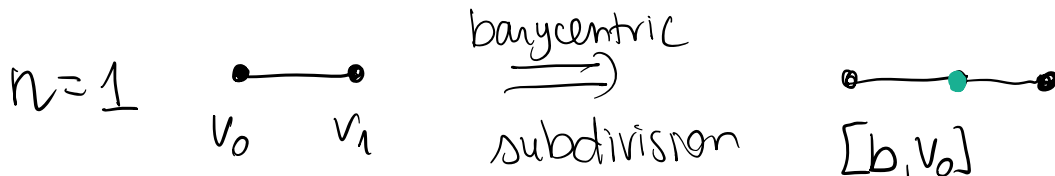


barycentric



subdivision





## CLAIM

$$\text{diam}[b, w_0, \dots, w_{n-1}] \leq \frac{n}{n+1} \text{diam}[v_0, \dots, v_n]$$

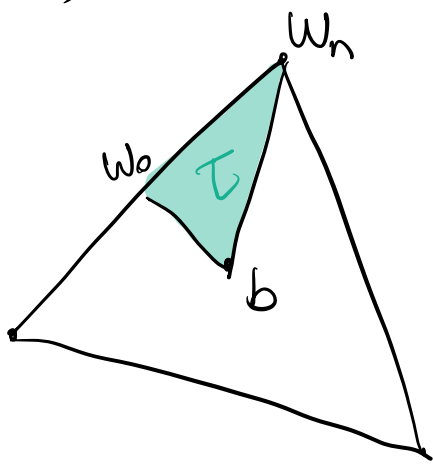
$\nearrow$  max distance  
between any two  
of its vertices since

$$|v - \sum_i t_i v_i| = |\sum_i t_i (v - v_i)| \leq \sum_i t_i |v - v_i|$$

$$\leq \sum_i t_i \max_j |v - v_j| = \max_j |v - v_j|$$

To obtain the bound, we therefore need to verify that the distance between any two vertices  $w_j$  and  $w_k$  of a simplex  $\tau$  of the barycentric subdivision of  $[v_0, \dots, v_n]$  is at most

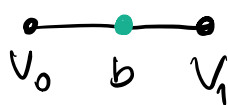
$$\frac{n}{(n+1)} \text{diam}[v_0, \dots, v_n].$$



①  $w_j$  &  $w_k \neq b$ ,  
 the barycenter of  $[v_0, \dots, v_n]$   
 In this case the statement follows by induction on  $n$  as these two points lie in a proper face of  $[v_0, \dots, v_n]$ :

$$\begin{aligned} \text{diam}[v_0, b] &\leq \frac{1}{2} \text{diam}[v_0, v_1] \\ \text{diam}[v_1, b] &\leq \frac{1}{2} \text{diam}[v_0, v_1] \end{aligned}$$

$n=1$



$$|w_i - w_j| \stackrel{\text{IH}}{\leq} \frac{n-1}{n} \text{diam}[v_0, \dots, \hat{v}_i, \dots, v_n]$$

$$\leq \frac{n}{n+1} \text{diam}[v_0, \dots, v_n]$$

$$\frac{n-1}{n} \leq \frac{n}{n+1}$$

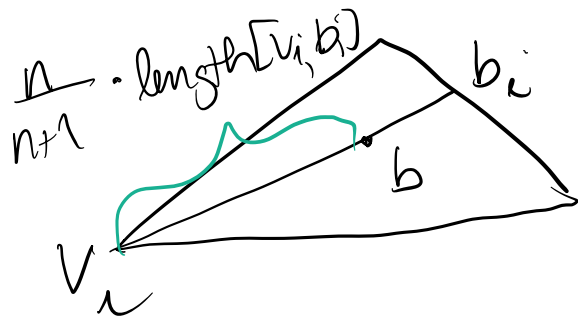
② Suppose wlog that  $w_j = b$ .

then

$$|b - w_k| \leq |b - v_i| \text{ for some } i.$$

Let  $b_i$  be the barycenter of  $[v_0, \dots, \hat{v}_i, \dots, v_n]$

$$b_i = \frac{1}{n} \sum_{j \neq i} v_j$$



$$b = \frac{1}{n+1} v_i + \frac{n}{n+1} b_i$$



$$|b - v_i| = \frac{n}{n+1} |b_i - v_i|$$

$$\leq \frac{n}{n+1} \text{diam}[v_0, \dots, v_n]$$

IMPORTANT:

$$\left(\frac{n}{n+1}\right)^r \xrightarrow[r \rightarrow \infty]{} 0$$

## ② BARYCENTRIC SUBDIVISION

### OF LINEAR CHAINS

Let  $Y \subset \mathbb{R}^d$  be a convex set.

We define

$$LS_p(Y) = \langle \sigma: \Delta^p \rightarrow Y \mid \sigma \text{ is a linear map} \rangle$$

linear simplices in  $Y$ 
 $\sigma\left(\sum_{i=0}^p t_i e_i\right) = \sum_{i=0}^p t_i \sigma(e_i)$

↑ standard basis

$LS_p(Y) \subset S_p(Y)$  & the boundary map

maps  $LS_p(Y)$  to  $LS_{p-1}(Y)$ .

Let  $LS_{-1}(Y) = \mathbb{Z} \langle [\emptyset] \rangle \leftarrow$  empty simplex

and  $\partial[w_0] = [\emptyset] \forall 0$ -sx  $w_0$ .

We have the following chain complex,

$$\dots \rightarrow LS_p(Y) \rightarrow LS_{p-1}(Y) \rightarrow \dots \rightarrow LS_1(Y) \rightarrow LS_0(Y) \rightarrow \mathbb{Z} \rightarrow \dots$$

a subcomplex of  $S_0(Y)$  that we denote by  $LS_0(Y)$ .

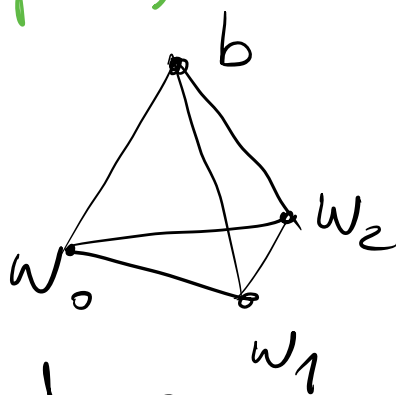
Each  $b \in Y$  determines a homomorphism

$b: LS_p(Y) \rightarrow LS_{p+1}(Y)$  defined by:

$$b([w_0, \dots, w_p]) = [b, w_0, \dots, w_p]$$

& extended to all of  $LS_p(Y)$  linearly.

↖ **CONE OPERATOR**



$b$  sends a linear chain to the cone that has this chain as a base & whose tip is  $b$

Let's compute

$$\begin{aligned} \partial (b [w_0, \dots, w_p]) &= \partial ([b, w_0, \dots, w_p]) \\ &= (-1)^0 [w_0, \dots, w_p] + (-1)^1 [b, w_1, \dots, w_p] \\ &\quad + (-1)^2 [b, w_0, w_2, \dots, w_p] + \dots + (-1)^p [b, w_0, \dots, w_{p-1}] \\ &= [w_0, \dots, w_p] - b ([w_1, \dots, w_p] + (-1)^1 [w_0, w_2, \dots, w_p] \\ &\quad + \dots + (-1)^p [w_0, \dots, w_{p-1}]) = \\ &= [w_0, \dots, w_p] - b \partial [w_0, \dots, w_p] = \\ &= (\text{id} - b \circ \partial) [w_0, \dots, w_p] \end{aligned}$$

$$\Rightarrow \partial b = \text{id} - b \circ \partial$$

$b$  is a **CHAIN HOMOTOPY** between  $\partial$  and the identity, on the augmented chain complex  $LS. (I)$ .