Number Theory I

## Solutions 10

## DIFFERENT AND DISCRIMINANT

1. Let L/K be a Galois extension of number fields with Galois group  $\Gamma$ , and let  $\mathfrak{b}$  be a fractional ideal of  $\mathcal{O}_L$ . Show that

$$\operatorname{Nm}_{L/K}(\mathfrak{b}) = K \cap \prod_{\gamma \in \Gamma} {}^{\gamma} \mathfrak{b}.$$

**Solution**: For any fractional ideal  $\mathfrak{b}$  of  $\mathcal{O}_L$  we set  $N(\mathfrak{b}) := K \cap \prod_{\gamma \in \Gamma} {}^{\gamma} \mathfrak{b}$ , which by construction is an A-submodule of K. Since  $\operatorname{Nm}_{L/K}(\mathfrak{b})$  is the fractional ideal of  $\mathcal{O}_K$  that is generated by the elements  $\operatorname{Nm}_{L/K}(b) = \prod_{\gamma \in \Gamma} {}^{\gamma} b$  for all  $b \in \mathfrak{b}$ , and all these lie in  $N(\mathfrak{b})$ , we have  $\operatorname{Nm}_{L/K}(b) \subset N(\mathfrak{b})$ . In particular  $N(\mathfrak{b})$  is non-zero.

Also, by construction we have  $1 = \operatorname{Nm}_{L/K}(1) \in \operatorname{Nm}_{L/K}(\mathcal{O}_L) \subset \mathcal{O}_K$  and therefore  $\operatorname{Nm}_{L/K}(\mathcal{O}_L) = \mathcal{O}_K$ . The multiplicativity of the relative norm thus implies that

$$\mathcal{O}_K = \operatorname{Nm}_{L/K}(\mathcal{O}_L) = \operatorname{Nm}_{L/K}(\mathfrak{b}) \cdot \operatorname{Nm}_{L/K}(\mathfrak{b}^{-1}) \subset N(\mathfrak{b}) \cdot N(\mathfrak{b}^{-1}).$$

On the other hand we compute that

$$N(\mathfrak{b}) \cdot N(\mathfrak{b}^{-1}) = \left( K \cap \prod_{\gamma \in \Gamma} {}^{\gamma} \mathfrak{b} \right) \cdot \left( K \cap \prod_{\gamma \in \Gamma} {}^{\gamma} \mathfrak{b}^{-1} \right) \subset K \cap \prod_{\gamma \in \Gamma} {}^{\gamma} \mathfrak{b}^{\gamma} \mathfrak{b}^{-1} = K \cap \mathcal{O}_L = \mathcal{O}_K.$$

In particular this shows that  $N(\mathfrak{b}) \subset \frac{1}{a}\mathcal{O}_K$  for any  $a \in N(\mathfrak{b}^{-1}) \setminus \{0\}$ ; hence  $N(\mathfrak{b})$  is a fractional ideal of  $\mathcal{O}_K$ . Also, together we conclude that the inclusion

$$\operatorname{Nm}_{L/K}(\mathfrak{b}) \cdot \operatorname{Nm}_{L/K}(\mathfrak{b}^{-1}) \subset N(\mathfrak{b}) \cdot N(\mathfrak{b}^{-1})$$

must be an equality. Thus the inclusion of fractional ideals  $\operatorname{Nm}_{L/K}(\mathfrak{b}) \subset N(\mathfrak{b})$  is an equality, as desired.

- 2. Let A be a Dedekind ring with quotient field K. Take finite separable extensions M/L/K and let C/B/A be the respective integral closures of A.
  - (a) Prove that  $\operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(\mathfrak{c})) = \operatorname{Nm}_{M/K}(\mathfrak{c})$  for any fractional ideal  $\mathfrak{c}$  of C.
  - (b) Prove that  $\operatorname{diff}_{C/A} = \operatorname{diff}_{C/B} \cdot \operatorname{diff}_{B/A}$ .

Solution:

(a) For any fractional ideal  $\mathfrak{c}$  of C and any  $x \in M^{\times}$  we have

$$\begin{split} \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(x\mathfrak{c})) &= \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(x) \cdot \operatorname{Nm}_{M/L}(\mathfrak{c})) \\ &= \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(x)) \cdot \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(\mathfrak{c})) \\ &= \operatorname{Nm}_{M/K}(x) \cdot \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(\mathfrak{c})) \end{split}$$

and

$$\operatorname{Nm}_{M/K}(x\mathfrak{c})) = \operatorname{Nm}_{M/K}(x) \cdot \operatorname{Nm}_{M/K}(\mathfrak{c})$$

Since any fractional ideal of C can be written in the form  $x\mathfrak{c}$  for an  $x \in M^{\times}$ and a non-zero ideal  $\mathfrak{c} \subset C$ , it suffices to prove the desired formula in the case  $\mathfrak{c} \subset C$ .

In that case choose  $z \in \mathfrak{c} \setminus \{0\}$  and set  $x := \operatorname{Nm}_{M/K}(z)$ . Since  $\mathfrak{c} \subset C$  we then have  $x \in \mathfrak{c} \setminus \{0\}$  and can therefore write  $\mathfrak{c} = (x, w)$  for some  $w \in M$ . By the lemma from §6.6 we then have

$$\operatorname{Nm}_{M/K}(\mathfrak{c}) = (x, \operatorname{Nm}_{M/K}(w))$$

On the other hand we have  $y := \operatorname{Nm}_{M/L}(z) \in \operatorname{Nm}_{M/L}(\mathfrak{c})$  and therefore  $\operatorname{Nm}_{M/L}(\mathfrak{c}) = (y, \operatorname{Nm}_{M/L}(w))$  by the same lemma. Since  $x = \operatorname{Nm}_{M/K}(z) = \operatorname{Nm}_{L/K}(y) \in \operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(\mathfrak{c}))$ , using the same lemma again implies that

$$\operatorname{Nm}_{L/K}(\operatorname{Nm}_{M/L}(\mathfrak{c})) = (x, \operatorname{Nm}_{K/L}(\operatorname{Nm}_{M/L}(w))) = (x, \operatorname{Nm}_{M/K}(w)).$$

The desired equality follows.

(b) For any element  $z \in M$  we have  $z \in \text{diff}_{C/A}^{-1}$  if and only if

$$\forall c \in C \colon \operatorname{Tr}_{M/K}(cz) \in A \iff \forall c \in C \colon \forall b \in B \colon \operatorname{Tr}_{M/K}(bcz) \in A \iff \forall c \in C \colon \forall b \in B \colon \operatorname{Tr}_{L/K}(\operatorname{Tr}_{M/L}(bcz)) \in A \iff \forall c \in C \colon \forall b \in B \colon \operatorname{Tr}_{L/K}(b \operatorname{Tr}_{M/L}(cz)) \in A \iff \forall c \in C \colon \operatorname{Tr}_{M/L}(cz) \in \operatorname{diff}_{B/A}^{-1}.$$

Since  $\operatorname{Tr}_{M/L}$  is *L*-linear, multiplying by  $\operatorname{diff}_{B/A}^{\pm 1}$  shows that the last condition is equivalent to

$$\forall y \in C \cdot \operatorname{diff}_{B/A} \colon \operatorname{Tr}_{M/L}(yz) \in B.$$

That in turn is equivalent to

$$\forall y \in \operatorname{diff}_{B/A} \colon \forall c \in C \colon \operatorname{Tr}_{M/L}(cyz) \in B$$
  
$$\iff \forall y \in \operatorname{diff}_{B/A} \colon yz \in \operatorname{diff}_{C/B}^{-1}$$
  
$$\iff diff_{B/A} \cdot z \in \operatorname{diff}_{C/B}^{-1}$$
  
$$\iff z \in \operatorname{diff}_{B/A}^{-1} \operatorname{diff}_{C/B}^{-1}.$$

Therefore  $\operatorname{diff}_{C/A}^{-1} = \operatorname{diff}_{B/A}^{-1} \operatorname{diff}_{C/B}^{-1}$ , from which the claim follows.

3. For  $K := \mathbb{Q}(\sqrt[3]{2})$  compute the prime factorization of the different  $\dim_{\mathcal{O}_K/\mathbb{Z}}$  and verify that a prime ideal of  $\mathcal{O}_K$  divides  $\dim_{\mathcal{O}_K/\mathbb{Z}}$  if and only if it is ramified over  $\mathbb{Z}$ .

**Solution**: By Exercise 3 of Sheet 8 we have  $\mathcal{O}_K = \mathbb{Z}[\omega]$  with  $\omega := \sqrt[3]{2}$ . The minimal polynomial of  $\omega$  over  $\mathbb{Q}$  is  $f(X) := X^3 - 2$ ; hence by Proposition 6.7.3 we have

diff<sub>$$\mathcal{O}_K/\mathbb{Z}$$</sub> =  $\left(\frac{df}{dX}(\omega)\right) = \left(3\omega^2\right)$ .

In the solution of Exercise 4 on Sheet 8, we calculated that  $\mathcal{O}_K/2\mathcal{O}_K \cong \mathbb{F}_2[X]/(X)^3$ and  $\mathcal{O}_K/3\mathcal{O}_K \cong \mathbb{F}_3[X]/(X-2)^3$ . Therefore  $2\mathcal{O}_K = \mathfrak{p}_2^3$  and  $3\mathcal{O}_K = \mathfrak{p}_3^3$  for the prime ideals  $\mathfrak{p}_2 := (2, \omega) = (\omega)$  and  $\mathfrak{p}_3 := (3, \omega - 2)$ . The prime factorization of the different is therefore  $\dim_{\mathcal{O}_K/\mathbb{Z}} = \mathfrak{p}_3^3\mathfrak{p}_2^2$ .

In particular, the primes  $\mathfrak{p}_2$  and  $\mathfrak{p}_3$  are totally ramified over  $\mathbb{Z}$  and divide the different. Any other prime  $\mathfrak{p}$  of  $\mathcal{O}_K$  lies over a rational prime  $p \neq 2, 3$ . The polynomial  $f(X) = X^3 - 2$  is then separable modulo p. Thus its decomposition in  $\mathbb{F}_p[X]$  has no multiple factors, and so all exponents in the prime factorization of  $p\mathcal{O}_K$  are 1. Thus  $\mathfrak{p}$  is unramified over  $\mathbb{Z}$  and does not divide the different. Together this shows that a prime of  $\mathcal{O}_K$  is ramified over  $\mathbb{Z}$  if and only if it divides diff\_ $\mathcal{O}_K/\mathbb{Z}}$ .

- 4. Let  $K := \mathbb{Q}(\alpha)$  for  $\alpha := \sqrt[3]{539}$ .
  - (a) Using Exercise 5 of Sheet 8, show that (7) and (11) are totally ramified in  $\mathcal{O}_K$ . Let  $\mathfrak{p}_7$  and  $\mathfrak{p}_{11}$  denote the prime ideals above (7) and (11), respectively.
  - (b) Using the discriminant, show that  $\mathcal{O}_K = \alpha \mathbb{Z} \oplus \beta \mathbb{Z} \oplus \gamma \mathbb{Z}$ , where  $\beta := \frac{77}{\alpha}$  and  $\gamma := \frac{1+2\alpha+\beta}{3}$ , and that  $\operatorname{disc}(\mathcal{O}_K) = -3 \cdot 7^2 \cdot 11^2$ .
  - (c) Show that  $3\mathcal{O}_K = \mathfrak{p}_3^2 \mathfrak{p}_3'$  for distinct prime ideals  $\mathfrak{p}_3$  and  $\mathfrak{p}_3'$ .
  - (d) Show that the different of  $\mathcal{O}_K/\mathbb{Z}$  is  $\mathfrak{p}_3\mathfrak{p}_7^2\mathfrak{p}_{11}^2$ .
  - \*(e) Using the norm, show that  $\operatorname{diff}_{\mathcal{O}_K/\mathbb{Z}}$  is not principal and conclude that  $\mathcal{O}_K$  is not generated by one element over  $\mathbb{Z}$ .

## Solution:

- (a) The minimal polynomial of  $\alpha$  is  $X^3 7^2 \cdot 11$ , which is Eisenstein at 11 and therefore irreducible. Thus  $[K/\mathbb{Q}] = 3$ . On the other hand K is also generated by  $\beta := \frac{77}{\alpha}$  which has minimal polynomial  $X^3 - 7 \cdot 11^2$  that is Eisenstein at 7. By Exercise 5 of Sheet 8, the primes (7) and (11) are therefore totally ramified in  $\mathcal{O}_K$  with decompositions  $7\mathcal{O}_K = \mathfrak{p}_7^3$  for  $\mathfrak{p}_7 := (7,\beta)$  and  $11\mathcal{O}_K = \mathfrak{p}_{11}^3$  for  $\mathfrak{p}_{11} := (11, \alpha)$ .
- (b) Since  $\beta = \frac{\alpha^2}{7}$ , the elements  $\alpha, \beta, \gamma$  form a basis of K over  $\mathbb{Q}$ . We compute the multiplication table for pairs of basis elements:

	α	$\beta$	$\gamma$
$\alpha$	$7\beta$	$77 = -154\alpha - 77\beta + 231\gamma$	$-51\alpha - 21\beta + 77\gamma$
$\beta$	77	11α	$-99\alpha - 51\beta + 154\gamma$
$\gamma$	$-51\alpha - 21\beta + 77\gamma$	$-99\alpha - 51\beta + 154\gamma$	$-67\alpha - 31\beta + 103\gamma$

This table shows that  $A := \alpha \mathbb{Z} \oplus \beta \mathbb{Z} \oplus \gamma \mathbb{Z}$  is a subring. Since A is finitely generated as a  $\mathbb{Z}$ -module, it is integral over  $\mathbb{Z}$  and hence contained in  $\mathcal{O}_K$ . Next, we see from the minimal polynomials of  $\alpha$  and  $\beta$  that  $\operatorname{Tr}_{K/\mathbb{Q}}(\alpha) =$  $\operatorname{Tr}_{K/\mathbb{Q}}(\beta) = 0$ . By  $\mathbb{Q}$ -linearity this implies that  $\operatorname{Tr}_{K/\mathbb{Q}}(\gamma) = \frac{1}{3} \operatorname{Tr}_{K/\mathbb{Q}}(1) = 1$ . Using the multiplication table we can now calculate the discriminant of A:

$$disc(A) = det \begin{pmatrix} \operatorname{Tr}(\alpha^2) & \operatorname{Tr}(\alpha\beta) & \operatorname{Tr}(\alpha\gamma) \\ \operatorname{Tr}(\beta\alpha) & \operatorname{Tr}(\beta^2) & \operatorname{Tr}(\beta\gamma) \\ \operatorname{Tr}(\gamma\alpha) & \operatorname{Tr}(\gamma\beta) & \operatorname{Tr}(\gamma^2) \end{pmatrix}$$
$$= det \begin{pmatrix} 0 & 231 & 77 \\ 231 & 0 & 154 \\ 77 & 154 & 103 \end{pmatrix} = -17787 = -3 \cdot 7^2 \cdot 11^2.$$

From the lecture course, we know that  $\operatorname{disc}(A) = [\mathcal{O}_K : A]^2 \operatorname{disc}(\mathcal{O}_K)$ . Furthermore, both 7 and 11 are ramified in  $\mathcal{O}_K$  by (a) and therefore divide  $\operatorname{disc}(\mathcal{O}_K)$  by Theorem 6.8.4 (a). Thus  $[\mathcal{O}_K : \mathfrak{a}]^2$  must divide  $3 \cdot 7 \cdot 11$ , which is only possible for  $[\mathcal{O}_K : \mathfrak{a}] = 1$ . Therefore  $A = \mathcal{O}_K$  with the stated discriminant, as desired.

- (c) The multiplication table in (b) shows that  $\alpha \equiv \gamma^2 \gamma 1 \mod 3\mathcal{O}_K$  and  $\beta \equiv \gamma^2 \gamma + 1 \mod 3\mathcal{O}_K$ . Thus  $\mathcal{O}_K/3\mathcal{O}_K$  is generated as an  $\mathbb{F}_3$ -algebra by the residue class of  $\gamma$ . Another direct calculation using the multiplication table shows that  $\gamma^3 \gamma^2 \equiv 0 \mod 3\mathcal{O}_K$ . Therefore  $\mathcal{O}_K/3\mathcal{O}_K \cong \mathbb{F}_3[X]/(X^3 X^2) = \mathbb{F}_3[X]/(X^2(X-1))$ , where the residue class of  $\gamma$  corresponds to the residue class of X. Thus the maximal ideals (X) and (X-1) of the right hand side correspond to the maximal ideals  $\mathfrak{p}_3 := (3, \gamma)$  and  $\mathfrak{p}'_3 := (3, \gamma 1)$  of  $\mathcal{O}_K$ , both with residue fields isomorphic to  $\mathbb{F}_3$ . Since  $\mathfrak{p}_3^2\mathfrak{p}_3'/3\mathcal{O}_K$  maps to the ideal  $(X)^2(X-1) = (X^3 X^2) = (0) \subset \mathbb{F}_3[X]/(X^3 X^2)$  via the isomorphism given above, we have  $\mathfrak{p}_3^2\mathfrak{p}_3' \subset 3\mathcal{O}_K$ . As both sides have the same norm, we deduce the desired equality.
- (d) By Theorem 6.7.6 a prime  $\mathfrak{p}$  of  $\mathcal{O}_K$  divides the different diff\_ $\mathcal{O}_K/\mathbb{Z}$  if and only if  $\mathfrak{p}$  is ramified over  $\mathbb{Z}$ . By the multiplicativity of the norm Norm( $\mathfrak{p}$ ) then divides Norm(diff\_ $\mathcal{O}_K/\mathbb{Z}$ ), which is equal to  $|\operatorname{disc}(\mathcal{O}_K)| = 3 \cdot 7^2 \cdot 11^2$  by Proposition 6.8.2 and part (b). In view of parts (a) and (c) this leaves only the possibilities  $\mathfrak{p} = \mathfrak{p}_3, \mathfrak{p}_7, \mathfrak{p}_{11}$ . But the norm of any prime ideal is the order of its residue field, and the residue field is a prime field in each of these cases. Thus the prime factorization of  $|\operatorname{disc}(\mathcal{O}_K)|$  implies that diff\_ $\mathcal{O}_K/\mathbb{Z}} = \mathfrak{p}_3\mathfrak{p}_7^2\mathfrak{p}_{11}^2$ .
- \*(e) By (a) we have  $(\alpha)^3 = (\alpha^3) = (7^2 \cdot 11) = \mathfrak{p}_7^6 \mathfrak{p}_{11}^3$ . By unique prime factorization of ideals this implies that  $(\alpha) = \mathfrak{p}_7^2 \mathfrak{p}_{11}$ . Using (d) it follows that  $\operatorname{diff}_{\mathcal{O}_K/\mathbb{Z}} = \mathfrak{p}_3 \mathfrak{p}_7^2 \mathfrak{p}_{11}^2 = \alpha \mathfrak{p}_3 \mathfrak{p}_{11}$ , so  $\operatorname{diff}_{\mathcal{O}_K/\mathbb{Z}}$  is principal if and only if  $\mathfrak{p}_3 \mathfrak{p}_{11}$  is principal. Suppose that  $\mathfrak{p}_3 \mathfrak{p}_{11} = (\xi)$  for some element  $\xi \in \mathcal{O}_K$ . Then  $|\operatorname{Norm}_{K/\mathbb{Q}}(\xi)| = \operatorname{Norm}(\mathfrak{p}_3 \mathfrak{p}_{11}) = 3 \cdot 11$ , and so  $\operatorname{Norm}_{K/\mathbb{Q}}(\xi) = \pm 33$ . We will show that this is impossible. Write  $\xi = a\alpha + b\beta + c\gamma$  with  $a, b, c \in \mathbb{Z}$ . The Galois conjugates of

$\varphi \in \operatorname{Hom}_{\mathbb{Q}}(K, \overline{\mathbb{Q}})$	$\varphi(\alpha)$	$\varphi(\beta)$	$\varphi(\gamma)$
$\operatorname{id}: \alpha \mapsto \alpha$	$\alpha$	β	$\gamma$
$\varphi_1: \alpha \mapsto \zeta_3 \alpha$	$\zeta_3 lpha$	$\zeta_3^2 eta$	$\frac{1{+}2\zeta_3\alpha{+}\zeta_3^2\beta}{3}$
$\varphi_2: \alpha \mapsto \zeta_3^2 \alpha$	$\zeta_3^2 \alpha$	$\zeta_3 eta$	$\frac{1{+}2\zeta_3^2\alpha{+}\zeta_3\beta}{3}$

 $\alpha$ ,  $\beta$ , and  $\gamma$  are given in the following table, where  $\zeta_3$  is a primitive 3rd root of unity:

We calculate

$$\operatorname{Norm}_{K/\mathbb{Q}}(\xi) = \xi \cdot \varphi_1(\xi) \cdot \varphi_2(\xi)$$
  
= 7<sup>2</sup> \cdot 11a<sup>3</sup> + 7 \cdot 11<sup>2</sup>b<sup>3</sup> + 2 \cdot 7<sup>2</sup> \cdot 11a<sup>2</sup>c - 7 \cdot 11abc + 7 \cdot 11<sup>2</sup>b<sup>2</sup>c  
+ 3<sup>2</sup> \cdot 7 \cdot 11ac<sup>2</sup> + 3 \cdot 7 \cdot 11bc<sup>2</sup> + 2 \cdot 3 \cdot 29c<sup>3</sup>.

This is congruent to  $-c^3 \mod (7)$ . Since the only cubes in  $\mathbb{F}_7$  are 0 and  $\pm 1$ , it follows that  $\operatorname{Norm}_{K/\mathbb{Q}}(\xi)$  is congruent to 0 or  $\pm 1 \mod (7)$ . As each of these residue classes is distinct from  $\pm 33 \equiv \pm 5 \mod (7)$ , we have obtained the desired contradiction. Therefore no element  $\xi \in \mathcal{O}_K$  of norm  $\pm 33$  exists and  $\operatorname{diff}_{\mathcal{O}_K/\mathbb{Z}}$  is not principal in  $\mathcal{O}_K$ .

Finally, if  $\mathcal{O}_K = \mathbb{Z}[\omega]$  and f(X) is the minimal polynomial of  $\omega$  over  $\mathbb{Q}$ , by Proposition 6.7.3 diff\_{\mathcal{O}\_K/\mathbb{Z}} = (\frac{df}{dX}(\omega)). Since diff\_ $\mathcal{O}_K/\mathbb{Z}$  is not a principal ideal, it follows that  $\mathcal{O}_K$  is not generated by a single element over  $\mathbb{Z}$ .