Number Theory I

## Solutions 9

## DECOMPOSITION OF PRIMES

- 1. Let A be a Dedekind ring with quotient field K. Let K'/K be a finite separable extension and L/K its Galois closure over K. Set  $\Gamma := \operatorname{Gal}(L/K)$  and  $\Gamma' := \operatorname{Gal}(L/K')$ . Let A' be the integral closure of A in K' and B that in L. Consider a maximal ideal  $\mathfrak{p} \subset A$  with  $k(\mathfrak{p})$  perfect and a prime ideal  $\mathfrak{q} \subset B$  above  $\mathfrak{p}$ .
  - (a) Show that  $\bigcap_{\gamma \in \Gamma} \gamma^{-1} \Gamma' \gamma = \{1\}.$
  - (b) Construct a natural bijection between the set  $S_{\mathfrak{p}}$  of prime ideals of A' above  $\mathfrak{p}$  and the set of double cosets  $\Gamma' \setminus \Gamma / \Gamma_{\mathfrak{q}}$ .
  - (c) Prove that  $\mathfrak{p}$  is totally split in K' if and only if it is totally split in L.
  - (d) Prove that  $\mathfrak{p}$  is unramified in K' if and only if it is unramified in L.

## Solution:

- (a) The group in question is the unique largest subgroup of  $\Gamma'$  that is normal in  $\Gamma$ . By the Galois correspondence it therefore corresponds to the unique smallest subfield of L containing K' that is galois over K. By assumption that is L itself, so the subgroup is trivial.
- (b) For any  $\gamma \in \Gamma$  we first note that  ${}^{\gamma}\mathfrak{q} \subset B$  is a prime ideal with  ${}^{\gamma}\mathfrak{q} \cap A = \mathfrak{p}$ . Its intersection  ${}^{\gamma}\mathfrak{q} \cap A'$  is then a prime ideal of A', whose intersection with A is again  $\mathfrak{p}$ . Thus we have a natural map

$$\Gamma \longrightarrow S_{\mathfrak{p}}, \quad \gamma \mapsto {}^{\gamma}\mathfrak{q} \cap A'. \tag{(*)}$$

For any  $\mathfrak{p}' \in S_{\mathfrak{p}}$  there exists a prime ideal of B above  $\mathfrak{p}'$ . Since  $\Gamma$  transitively permutes the prime ideals of B above  $\mathfrak{p}$ , this prime ideal has the form  ${}^{\gamma}\mathfrak{q}$  for some  $\gamma \in \Gamma$ . Thus  $\mathfrak{p}' = {}^{\gamma}\mathfrak{q} \cap A'$ , proving that the map (\*) is surjective. Now consider another element  $\delta \in \Gamma$ . Then we have  ${}^{\delta}\mathfrak{q} \cap A' = {}^{\gamma}\mathfrak{q} \cap A'$  if and only if both  ${}^{\delta}\mathfrak{q}$  and  ${}^{\gamma}\mathfrak{q}$  are prime ideals of B above the prime ideal  ${}^{\gamma}\mathfrak{q} \cap A'$ of A'. As the Galois group  $\Gamma'$  transitively permutes the prime ideals of Babove  ${}^{\gamma}\mathfrak{q} \cap A'$ , this is equivalent to  ${}^{\delta}\mathfrak{q} = {}^{\gamma'\gamma}\mathfrak{q}$  for some  $\gamma' \in \Gamma'$ . That in turn is equivalent to  $\mathfrak{q} = {}^{\delta^{-1}\gamma'\gamma}\mathfrak{q}$  and hence to  ${}^{\delta^{-1}}\gamma'\gamma \in \Gamma_{\mathfrak{q}}$ , or again to  $\gamma'\gamma\Gamma_{\mathfrak{q}} = \delta\Gamma_{\mathfrak{q}}$ . Thus  ${}^{\delta}\mathfrak{q} \cap A' = {}^{\gamma}\mathfrak{q} \cap A'$  if and only if there exists  $\gamma' \in \Gamma'$  with  $\gamma'\gamma\Gamma_{\mathfrak{q}} = \delta\Gamma_{\mathfrak{q}}$ , that is, if and only if  $\Gamma'\gamma\Gamma_{\mathfrak{q}} = \Gamma'\delta\Gamma_{\mathfrak{q}}$ . Thus the map (\*) induces the desired bijection. (c) The prime  $\mathfrak{p}$  is totally split in K' if and only if  $|S_{\mathfrak{p}}| = [K'/K]$ . By (b) this is equivalent to  $|\Gamma' \setminus \Gamma / \Gamma_{\mathfrak{q}}| = |\Gamma' \setminus \Gamma|$ . This is so if and only if the surjective map  $\Gamma' \setminus \Gamma \twoheadrightarrow \Gamma' \setminus \Gamma / \Gamma_{\mathfrak{q}}$  defined by  $\Gamma' \gamma \mapsto \Gamma' \gamma \Gamma_{\mathfrak{q}}$  is bijective. That in turn is equivalent to  $\Gamma' \gamma \Gamma_{\mathfrak{q}} = \Gamma' \gamma$  for all  $\gamma \in \Gamma$ . But

$$\Gamma'\gamma\Gamma_{\mathfrak{q}}=\Gamma'\gamma\iff\gamma\Gamma_{\mathfrak{q}}\subset\Gamma'\gamma\iff\Gamma_{\mathfrak{q}}\subset\gamma^{-1}\Gamma'\gamma.$$

Thus the condition is equivalent to  $\Gamma_{\mathfrak{q}} \subset \bigcap_{\gamma \in \Gamma} \gamma^{-1} \Gamma' \gamma \stackrel{(a)}{=} \{1\}$ . But that is equivalent to  $\mathfrak{p}$  being totally split in L, as desired.

(d) By (b) the prime  $\mathfrak{p}$  is unramified in K' if and only if  $e_{\gamma \mathfrak{q} \cap A'|\mathfrak{p}} = 1$  for every  $\gamma \in \Gamma$ . By the multiplicativity

$$e_{\gamma \mathfrak{q}|\mathfrak{p}} = e_{\gamma \mathfrak{q}|\gamma \mathfrak{q} \cap A'} \cdot e_{\gamma \mathfrak{q} \cap A'|\mathfrak{p}}$$

this is equivalent to  $e_{\gamma \mathfrak{q}|\mathfrak{p}} = e_{\gamma \mathfrak{q}|\gamma \mathfrak{q} \cap A'}$  for all  $\gamma \in \Gamma$ . To translate this into a condition on inertia groups we use the assumption that  $k(\mathfrak{q})/k(\mathfrak{p})$  is separable. First note that  $k(\gamma \mathfrak{q})/k(\mathfrak{p})$  is then again separable. Thus by Proposition 6.4.3 of the lecture the inertia group  $I_{\gamma \mathfrak{q}}$  satisfies  $|I_{\gamma \mathfrak{q}}| = e_{\gamma \mathfrak{q}|\mathfrak{p}}$ . Also, the subextension  $k(\gamma \mathfrak{q} \cap A')/k(\mathfrak{p})$  is separable, so by the same proposition applied to the extension L/K' we have  $|I_{\gamma \mathfrak{q}} \cap \Gamma'| = e_{\gamma \mathfrak{q}|\mathfrak{q} \cap A'}$ . The condition is therefore equivalent to  $I_{\gamma \mathfrak{q}} = I_{\gamma \mathfrak{q}} \cap \Gamma'$ , or again to  $I_{\gamma \mathfrak{q}} \subset \Gamma'$ , for all  $\gamma \in \Gamma$ . Now a direct computation shows that  $I_{\gamma \mathfrak{q}} = \gamma I_{\mathfrak{q}} \gamma^{-1}$ . Thus the condition is equivalent to  $I_{\mathfrak{q}} \subset \bigcap_{\gamma \in \Gamma} \gamma^{-1} \Gamma' \gamma \stackrel{(a)}{=} \{1\}$ . But that in turn is equivalent to  $e_{\mathfrak{q}|\mathfrak{p}} = |I_{\mathfrak{q}}| = 1$ . This is equivalent to  $e_{\gamma \mathfrak{q}|\mathfrak{p}} = 1$  for all  $\gamma \in \Gamma$ , and hence to  $\mathfrak{p}$  being unramified in L, as desired.

- 2. Let A be a Dedekind ring with quotient field K. Consider finite Galois extensions M/L/K such that M/K is Galois. Let  $B \subset C$  denote the integral closures of A in  $L \subset M$ . Consider a prime  $\mathfrak{r} \subset C$  above a prime  $\mathfrak{q} \subset B$  above a prime  $\mathfrak{p} \subset A$ .
  - (a) Show that the decomposition group of  $\mathfrak{r}$  in  $\operatorname{Gal}(M/K)$  surjects to the decomposition group of  $\mathfrak{q}$  in  $\operatorname{Gal}(L/K)$ .
  - (b) Show that the inertia group of r in Gal(M/K) surjects to the inertia group of q in Gal(L/K), if k(p) is perfect. *Hint:* Use the multiplicativity e<sub>r|p</sub> = e<sub>r|q</sub> · e<sub>q|p</sub>.

**Solution**: Abbreviate  $\Gamma := \operatorname{Gal}(M/K)$  and  $\overline{\Gamma} := \operatorname{Gal}(L/K)$  and let  $\pi \colon \Gamma \twoheadrightarrow \overline{\Gamma}$  denote the canonical surjection. Then  $\Gamma' := \ker(\pi) = \operatorname{Gal}(M/L)$ .

(a) The respective decomposition groups are

$$\begin{split} \Gamma_{\mathfrak{r}} &:= \{ \gamma \in \Gamma \mid {}^{\gamma} \mathfrak{r} = \mathfrak{r} \}, \\ \bar{\Gamma}_{\mathfrak{q}} &:= \{ \bar{\gamma} \in \bar{\Gamma} \mid {}^{\bar{\gamma}} \mathfrak{q} = \mathfrak{q} \}. \end{split}$$

For any  $\gamma \in \Gamma_{\mathfrak{r}}$  we thus have

$$\pi^{(\gamma)}\mathfrak{q} = \pi^{(\gamma)}(\mathfrak{r} \cap B) = {}^{\gamma}\mathfrak{r} \cap B = \mathfrak{r} \cap B = \mathfrak{q}$$

and therefore  $\pi(\gamma) \in \overline{\Gamma}_{\mathfrak{q}}$ . Conversely, take any  $\overline{\gamma} \in \overline{\Gamma}_{\mathfrak{q}}$  and choose  $\gamma \in \pi^{-1}(\overline{\gamma})$ . Then  ${}^{\gamma}\mathfrak{r} \cap B = {}^{\pi(\gamma)}(\mathfrak{r} \cap B) = {}^{\overline{\gamma}}\mathfrak{q} = \mathfrak{q}$  shows that  ${}^{\gamma}\mathfrak{r}$  is a prime ideal of C above  $\mathfrak{q}$ . As  $\Gamma' = \ker(\pi)$  transitively permutes the prime ideals of C above  $\mathfrak{q}$ , there exists  $\delta \in \ker(\pi)$  with  ${}^{\gamma}\mathfrak{r} = {}^{\delta}\mathfrak{r}$ . Then  ${}^{\delta^{-1}\gamma}\mathfrak{r} = \mathfrak{r}$  and so  $\delta^{-1}\gamma \in \Gamma_{\mathfrak{r}}$  with  $\pi(\delta^{-1}\gamma) = \overline{\gamma}$ . Thus  $\pi$  induces a surjection  $\Gamma_{\mathfrak{r}} \twoheadrightarrow \overline{\Gamma}_{\mathfrak{q}}$ , proving (a).

(b) The respective inertia groups are

$$I_{\mathfrak{r}} := \{ \gamma \in \Gamma \mid \forall x \in C \colon {}^{\gamma}x \equiv x \mod \mathfrak{r} \}, \\ \bar{I}_{\mathfrak{q}} := \{ \bar{\gamma} \in \bar{\Gamma} \mid \forall x \in B \colon {}^{\bar{\gamma}}x \equiv x \mod \mathfrak{q} \}.$$

For any  $\gamma \in I_{\mathfrak{r}}$  and any  $x \in B$  we thus have  $\gamma x - x \in \mathfrak{r} \cap B = \mathfrak{q}$  and therefore  $\pi(\gamma) \in \overline{I}_{\mathfrak{q}}$ . Thus  $\pi$  induces a homomorphism  $I_{\mathfrak{r}} \to \overline{I}_{\mathfrak{q}}$ . By construction its kernel  $I'_{\mathfrak{r}} := I_{\mathfrak{r}} \cap \Gamma'$  is the inertia group of  $\mathfrak{r}$  over  $\mathfrak{q}$ , and we obtain an injection  $I_{\mathfrak{r}}/I'_{\mathfrak{r}} \hookrightarrow \overline{I}_{\mathfrak{q}}$ . Next, since  $k(\mathfrak{p})$  is perfect, the finite extensions  $k(\mathfrak{r})/k(\mathfrak{q})/k(\mathfrak{p})$  are separable. The respective inertia groups therefore satisfy  $|I_{\mathfrak{r}}| = e_{\mathfrak{r}|\mathfrak{p}}$  and  $|\overline{I}_{\mathfrak{q}}| = e_{\mathfrak{q}|\mathfrak{p}}$ . By the multiplicativity  $e_{\mathfrak{r}|\mathfrak{p}} = e_{\mathfrak{r}|\mathfrak{q}} \cdot e_{\mathfrak{q}|\mathfrak{p}}$  this implies that  $|\overline{I}_{\mathfrak{q}}| = e_{\mathfrak{r}|\mathfrak{q}} = |I_{\mathfrak{r}}/I'_{\mathfrak{r}}|$ . Thus the injection  $I_{\mathfrak{r}}/I'_{\mathfrak{r}} \hookrightarrow \overline{I}_{\mathfrak{q}}$  is a bijection, proving (b).

3. Construct a number field L in which there are at least two distinct prime ideals of  $\mathcal{O}_L$  over every rational prime.

*Hint:* Try a composite of quadratic number fields.

**Solution**: Choose distinct odd primes  $p \equiv p' \equiv 1 \mod (4)$  with  $(\frac{p}{p'}) = (\frac{p'}{p}) = 1$ , for example (p, p') = (13, 17) as in the solution to problem 6 (c) of sheet 5. Setting  $K := \mathbb{Q}(\sqrt{p})$  and  $K' := \mathbb{Q}(\sqrt{p'})$ , we claim that L := KK' has the desired property. First note that  $(\frac{p}{p'}) = 1$  implies that p splits in  $\mathcal{O}_{K'}$ , so there are two distinct primes of  $\mathcal{O}_{K'}$  above p. Any primes of  $\mathcal{O}_L$  above these are then two distinct primes of  $\mathcal{O}_L$  above p, as desired. The same argument with K and K' interchanged proves that there are at least two distinct primes of  $\mathcal{O}_L$  above p'.

Now consider an arbitrary rational prime  $q \neq p, p'$  and a prime  $\mathfrak{q}$  of  $\mathcal{O}_L$  above q. Then  $d_K = p$  and  $d_{K'} = p'$  implies that q is unramified in  $\mathcal{O}_K$  and in  $\mathcal{O}_{K'}$ . By exercise 2 (b) the inertia group  $I_{\mathfrak{q}}$  therefore has trivial image in  $\Gamma := \operatorname{Gal}(K/\mathbb{Q})$ and in  $\operatorname{Gal}(K'/\mathbb{Q})$ . Since  $\Gamma \xrightarrow{\sim} \operatorname{Gal}(K/\mathbb{Q}) \times \operatorname{Gal}(K'/\mathbb{Q})$ , it follows that  $I_{\mathfrak{q}}$  is trivial; hence p is unramified in  $\mathcal{O}_L$ . The decomposition group  $\Gamma_{\mathfrak{q}} < \Gamma$  is thus generated by the Frobenius substitution  $\operatorname{Frob}_{\mathfrak{q}|q}$ . In particular it is a cyclic subgroup of  $\Gamma \cong C_2^2$  and hence a proper subgroup. Thus the number of primes of  $\mathcal{O}_L$  over q is  $[\Gamma : \Gamma_{\mathfrak{q}}] \geq 2$ , as desired. Aliter: Take  $L := \mathbb{Q}(\mu_n)$  for a suitable composite integer n, for instance n = pp' with p, p' as above.

- 4. Consider a number field K and a positive integer m. Let  $G_m(K) := \{x^m \mid x \in K^*\}$ be the subgroup of m-th powers in  $K^*$  and  $L_m(K)$  the group of elements  $x \in K^*$ such that, in the prime factorization of (x), all exponents are multiples of m.
  - (a) Prove that for every  $x \in L_m(K)$ , there exists a unique fractional ideal  $\mathfrak{a}_x$  such that  $(x) = \mathfrak{a}_x^m$ .
  - (b) Define  $S_m(K) := L_m(K)/G_m(K)$  and  $\operatorname{Cl}(\mathcal{O}_K)[m] := \{c \in \operatorname{Cl}(\mathcal{O}_K) \mid c^m = 1\}$ and show that we get a well-defined group homomorphism

$$f: S_m(K) \longrightarrow \operatorname{Cl}(\mathcal{O}_K)[m], \ [x] \mapsto [\mathfrak{a}_x]$$

- (c) Show that f is surjective.
- (d) Identify the kernel of f.

## Solution:

- (a) For any  $x \in L_m(K)$  the prime factorization of the principal ideal (x) has the form  $(x) = \prod_i \mathfrak{p}_i^{ma_i}$  by assumption. Thus  $\mathfrak{a}_x := \prod_i \mathfrak{p}_i^{a_i}$  has the required property, and it is unique by the uniqueness of the prime factorization.
- (b) Consider the map f̃: L<sub>m</sub>(K) → Cl(O<sub>K</sub>), x → [a<sub>x</sub>]. For any x, y ∈ L<sub>m</sub>(K) we have (a<sub>x</sub>a<sub>y</sub>)<sup>m</sup> = a<sup>m</sup><sub>x</sub>a<sup>m</sup><sub>y</sub> = (x)(y) = (xy) and so a<sub>xy</sub> = a<sub>x</sub>a<sub>y</sub> by uniqueness. Thus f̃ is a homomorphism. Also f̃(x)<sup>m</sup> = [a<sub>x</sub>]<sup>m</sup> = [a<sup>m</sup><sub>x</sub>] = [(x)] = 1 shows that Im(f̃) ⊂ Cl(O<sub>K</sub>)[m]. Moreover consider any x ∈ G<sub>m</sub>(K) and choose z ∈ K<sup>×</sup> such that z<sup>m</sup> = x. Then a<sub>x</sub> = (z) and hence f̃(x) = 1. Therefore G<sub>m</sub>(K) ⊂ Ker f̃, and so f̃ factors through S<sub>m</sub>, inducing the homomorphism f.
- (c) Let  $[\mathfrak{a}] \in \operatorname{Cl}(\mathcal{O}_K)[m]$ . Then  $\mathfrak{a}^m$  is principal, say  $\mathfrak{a}^m = (x)$ . But then  $x \in L_m(K)$  and  $\mathfrak{a} = \mathfrak{a}_x$  by uniqueness. Thus  $f([x]) = [\mathfrak{a}]$ ; hence f is surjective, as desired.
- (d) Take any  $x \in L_m(K)$ . Then f([x]) = 1 if and only if  $\mathfrak{a}_x = (y)$  for some  $y \in K^{\times}$ . By unique factorization of ideals this is equivalent to  $\mathfrak{a}_x^m = (y)^m$ , and hence to  $(x) = (y^m)$ , or again to  $x = uy^m$  for some unit  $u \in \mathcal{O}_K^{\times}$ . Thus f([x]) = 1if and only if  $x \in \mathcal{O}_K^{\times}G_m(K)$ . Therefore  $\operatorname{Ker}(f) = \mathcal{O}_K^{\times}G_m(K)/G_m(K)$ . Since  $\mathcal{O}_K^{\times} \cap G_m(K) = (\mathcal{O}_K^{\times})^m$ , the second isomorphism theorem for groups yields a natural isomorphism  $\operatorname{Ker}(f) \cong \mathcal{O}_K^{\times}/(\mathcal{O}_K^{\times})^m$ .

\*5. (*Hilbert's Theorem 90*) Let L/K be a finite Galois extension of fields whose Galois group is cyclic and generated by  $\sigma$ . Show that for any element  $x \in L^{\times}$  with  $\operatorname{Norm}_{L/K}(x) = 1$  there exists an element  $y \in L^{\times}$  with  $x = \sigma(y)/y$ .

*Hint:* Set n := [L/K] and consider the map

$$h: L \longrightarrow L, \quad z \mapsto h(z) := \sum_{i=0}^{n-1} \sigma^i(z) \cdot \prod_{i < j < n} \sigma^j(x).$$

**Solution**: By Galois theory  $\sigma$  has finite order n and the elements  $\mathrm{id}, \sigma, \ldots, \sigma^{n-1} \in \mathrm{Hom}_K(L,L)$  are L-linearly independent. Since all  $\sigma^j(x)$  are non-zero, the map  $h \in \mathrm{Hom}_K(L,L)$  is therefore also non-zero. Thus there exists  $z \in L$  with  $y := h(z) \neq 0$ . Using the facts that  $\sigma^n = \mathrm{id}$  and  $\prod_{0 < j \leq n} \sigma^j(x) = \mathrm{Norm}_{L/K}(x) = 1$ , we compute

$$\begin{aligned} x \cdot h(z) &= \sigma^n(x) \cdot \sum_{i=0}^{n-1} \sigma^i(z) \cdot \prod_{i < j < n} \sigma^j(x) \\ &= \sum_{i=0}^{n-1} \sigma^i(z) \cdot \prod_{i < j \leq n} \sigma^j(x) \\ &= z \cdot \prod_{0 < j \leq n} \sigma^j(x) + \sum_{i=1}^{n-1} \sigma^i(z) \cdot \prod_{i < j \leq n} \sigma^j(x) \\ &= \sigma^n(z) \cdot 1 + \sum_{i=1}^{n-1} \sigma^i(z) \cdot \prod_{i < j \leq n} \sigma^j(x) \\ &= \sum_{i=1}^n \sigma^i(z) \cdot \prod_{i < j \leq n} \sigma^j(x) \\ &= \sigma(h(z)). \end{aligned}$$

We therefore have  $xy = \sigma(y)$  and hence  $x = \sigma(y)/y$ , as desired.