## Exercise Sheet 2 - Solutions

Let  $(V, || \cdot ||)$  be a normed space.

1. Let  $W \subset V$  be a subspace. For any  $v \in V$  define  $d(v, W) := \inf_{w \in W} ||v - w||$ . Assume  $W \subset V$  is closed and  $W \neq V$ . Show that for all  $\epsilon > 0$  there exists  $v \in V$  with ||v|| = 1 and  $d(v, W) > 1 - \epsilon$ .

Solution: Suppose there exists  $\epsilon > 0$  such that  $d(v, W) \leq ||v||(1 - 2\epsilon)$  for all  $v \in V$ . Let  $v_0 \in V$ . By assumption, there exists  $w_1 \in W$  with  $||v_0 - w_1|| \leq ||v_0||(1 - \epsilon)$ . Set  $v_1 := v_0 - w_1$ . Using recursion, we construct a sequence of vectors  $v_n$  such that  $||v_n|| \leq (1 - \epsilon)^n ||v_0||$  and  $v_0 - v_n \in W$  for all  $n \in \mathbb{N}$ . Thus  $v_0 = \lim_{n \to \infty} (v_0 - v_n)$  lies in W since W is closed. This argument works for any  $v_0 \in V$ , so V = W. This contradicts  $V \neq W$ .

- 2. Let  $W \subset V$  be a subspace. Define ||v + W|| := d(v, W) for all  $v + W \in V/W$ 
  - (a) Show that this defines a norm on V/W if and only if W is closed in V.
  - (b) Shot that if V is Banach and W closed in V, then V/W is Banach.
  - (c) Prove: If W is closed and  $W \neq V$ , then the canonical projection

$$\pi \colon V \to V/W$$

satisfies  $||\pi|| = 1$ .

Solution:

(a) Suppose W is closed and consider ||v + W|| = 0. There exists a sequence  $w_n \in W$  with  $||v - w_n|| < \frac{1}{n}$ . The sequence  $(w_n)_n$  is Cauchy with limit v. Thus  $v \in V$  and hence v + W = W. The homogeneity and the triangle inequality follow from the corresponding properties of  $|| \cdot ||$ .

Suppose  $(w_n)_n$  is sequence in W with limit w. Then  $||w+W|| \leq ||w-w_n||$  by definition for all  $n \in \mathbb{N}$ , so we get ||w+W|| = 0 by letting  $n \to \infty$ . Since  $|| \cdot ||_{V/W}$  is a norm, this implies w + W = W or, equivalently,  $w \in W$ . Hence W is closed.

- (b) Consider a Cauchy sequence  $(v_n+W)_n$  in V/W. There exists a subsequence  $(v_{n_m}+W)_m$ with  $||v_{n_m} - v_{n_{m+1}} + W|| < 2^{-m}$  for all m. We use recursion to pick representatives  $v_{n_m}$ with  $||v_{n_m} - v_{n_{m+1}}|| < 2^{-m+1}$ . Then  $(v_{n_m})_n$  is Cauchy with some limit  $v \in V$ . Note that  $||v + W - v_{n_m} + W|| \leq ||v - v_{n_m}||$ , so v + W is the limit of  $(v_{n_m} + W)_n$ . Thus v + W is the limit of  $(v_n + W)_n$  because this sequence is Cauchy. By exercise 2 (a), the space V/W is Banach.
- (c) We have  $||v + W|| \leq ||v||$  for all  $v \in V$ , so  $||\pi|| \leq 1$ . By exercise 1, for all  $\epsilon > 0$  there exists  $v \in V$  with ||v|| = 1 and  $||v + W|| > 1 \epsilon$ . Thus  $||\pi|| > 1 \epsilon$  for all  $\epsilon > 0$ . By letting  $\epsilon \to 0$ , we arrive at  $||\pi|| = 1$ .
- 3. Construct an isometry  $T: \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  such that the image  $R(T) \subset \ell^2(\mathbb{Z})$  is a closed, proper subspace of  $\ell^2(\mathbb{Z})$ .

## D-MATH Prof. Marc Burger

Functional Analysis I

Solution: Consider the linear map  $T: \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  defined by

$$(T((a_n)))_n := \begin{cases} a_{n-1} & \text{if } n > 0\\ 0 & \text{if } n = 0\\ a_n & \text{if } n < 0. \end{cases}$$

It is an isometry because

$$||T((a_n)_n)||_{\ell^2}^2 = \sum_n |T((a_n))_n||^2 = \sum_{n>0} |a_{n-1}|^2 + \sum_{n<0} |a_n|^2 = ||(a_n)||_{\ell^2}^2.$$

The image is  $R(T) = \{(a_n) \in \ell^2(\mathbb{Z}) : a_0 = 0\}$ , which is a closed subspace.

4. Let  $S := \{v \in V : ||v|| = 1\}$ . Show that the following are equivalent:

- (a)  $\dim(V) < +\infty$
- (b) S is compact.

*Hint:* Use exercise 1 to prove (b) implies (a).

Solution: If  $\dim(V) < +\infty$ , the equivalence of all norms on finite-dimensional spaces implies that it is sufficient to prove that the sphere is compact. This follows from the Heine-Borel theorem.

Suppose V is not finite-dimensional. By recursion and exercise 1, we can pick vectors  $v_n$  with  $|v_n - v_m| > 1/2$  for all m < n and  $||v_n|| = 1$ . No subsequence of this sequence can be Cauchy, so S is not compact.