

## Supplementary exercises

### 1. Another topology exercise

- (a) Prove that if  $X, Y$  are both compact then so is  $X \times Y$ .
- (b) A closed interval  $[a, b]$  is compact.
- (c) A subset of  $\mathbb{R}^n$  is compact if and only if it is closed and bounded.

### 2. Curvatures of parametrized surfaces

Compute  $H$  and  $K$  for a parametrized surface.

### 3. Curvatures of graphs

Compute  $H$  and  $K$  for a graph.

### 4. Another equivalence of curvatures

Prove directly

$$A(X, Y) = -\langle D_X N, Y \rangle$$

where  $A$  is defined by the Hessian of a function  $f$ , without going through the expression  $\langle D_X Y, N \rangle$ .

Hint: Use

$$N = \frac{X_1 \times X_2}{|X_1 \times X_2|},$$

where  $X_1, X_2$  are a basis of  $T_p M$  at each point  $p \in M$ .

### 5. Curvature and eyeglasses

- (a) Interpret your eyeglass prescription as the 2nd fundamental form of a surface.
- (b) Research why the true optical situation is more complicated than that.

### 6. The pseudosphere and the hyperbolic space

- (a) Prove that the pseudosphere is locally isometric to hyperbolic space.

- (b) Determine via internet the largest known portion of the hyperbolic space that can isometrically embed in  $\mathbb{R}^3$ .

### 7. Computing curvature commutes with applying isometries

Let  $L : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be an isometry.

- (a) Let  $\gamma$  be a curve in  $\mathbb{R}^n$ . Prove

$$k_{L \circ \gamma}(L(\gamma(t))) = L(k_\gamma(\gamma(t))).$$

- (b) Let  $M$  be a surface in  $\mathbb{R}^3$ . Prove that

$$A_{L(M)}^{L(N)}(L(p))(L(X), L(Y)) = A_M^N(p)(X, Y)$$

for all  $p \in M$  and  $X, Y \in T_p M$ . The superscripts  $N$  and  $L(N)$  tell us which normals to use.

### 8. Locally Euclidean spaces are automatically $T_1$

Prove that a locally Euclidean space is  $T_1$  (Recall that  $T_2 = \text{Hausdorff}$ ).

### 9. Existence of admissible pairs

Let  $M, N$  be smooth manifolds and  $f : M \rightarrow N$  a smooth map. Prove: If  $f$  is continuous then for all  $p \in M$  there is an admissible pair of charts  $(U, \psi), (V, \chi)$  with  $p \in U$ .

### 10. Is compatibility an equivalence relation?

- (a) Is compatibility of charts an equivalence relation? Give an example.  
(b) Is compatibility of atlases an equivalence relation?

### 11. Topological sheaves

A *topological sheaf* is a triple  $(f, X, Y)$  such that

- i)  $X, Y$  are topological space and  $f : X \rightarrow Y$  is continuous,
- ii)  $f$  is surjective,
- iii)  $f$  is a local homeomorphism, i.e. for any  $x \in X$  there exists an open neighborhood  $U$  of  $x$  such that  $f(U)$  is open in  $Y$  and  $f|_U : U \rightarrow f(U)$  is a homeomorphism with respect to the induced topologies.

Consider the following example. Let  $\mathbb{R}_0 := \mathbb{R} \setminus \{0\}$ . Set  $Y_1 := \mathbb{R}$ ,  $X_1 := \mathbb{R}_0 \cup \{0^+, 0^-\}$ . Let  $f_1 : X_1 \rightarrow Y_1$  be defined by

$$f_1(x) = \begin{cases} x, & \text{if } x \in \mathbb{R}_0, \\ 0 & \text{if } x \in \{0^+, 0^-\}. \end{cases}$$

Let  $\mathcal{T}_{X_1}$  be the topology given by

$$\mathcal{T}_{X_1} = \{U \subset X_1 \mid f_1(U) \text{ is open in } Y_1\}$$

Observe that

- (a)  $f_1$  is a topological sheaf and the maps  $\phi_{\pm} := f_1|_{\mathbb{R}_0 \cup \{0^{\pm}\}}$  define a smooth atlas on  $X_1$ , but the induced topology is not Hausdorff.
- (b) More generally, any topological sheaf  $f : X \rightarrow \mathbb{R}^n$  automatically acquires a smooth atlas consisting of its local homeomorphisms onto open subsets of  $\mathbb{R}^n$ .
- (c\*) The sheaf of germs of holomorphic functions over  $\mathbb{C}$  is Hausdorff and is a smooth manifold. The sheaf of germs of smooth real-valued functions over  $\mathbb{R}$  is an extreme example of *non-Hausdorff manifold*.

## 12. Curves that agree up to order $n$ on manifolds

- (a) Let  $\gamma$  be a curve on a manifold  $M$  with  $\gamma(0) = p$ . Write  $\gamma(t) = \gamma(0) + O_{\psi}(|t|^k)$  if for a chart  $(U, \psi)$  with  $p \in U$  we have

$$\tilde{\gamma}(t) = \tilde{\gamma}(0) + O(|t|^k)$$

where  $\tilde{\gamma} = \psi \circ \gamma$ .

Prove: We get the same  $k$  for any chart, i.e. for all charts  $\psi_1, \psi_2$  we have

$$\gamma(t) = \gamma(0) + O_{\psi_1}(|t|^k) \quad \text{iff} \quad \gamma(t) = \gamma(0) + O_{\psi_2}(|t|^k)$$

- (b) Let  $\alpha, \beta$  be smooth curves in  $\mathbb{R}^n$ . We say that  $\alpha, \beta$  agree up to order  $n$  at  $t = 0$  if the first  $n + 1$  terms of the Taylor expansion agree at  $t = 0$ , i.e.

$$\left(\frac{d}{dt}\right)^k \alpha(0) = \left(\frac{d}{dt}\right)^k \beta(0)$$

for all  $k = 0, \dots, n$ . Prove that this condition can be meaningfully translated to smooth manifolds.

## 13. Complex structures and the space of orientable hyperplanes

Let  $\tilde{G}(n, k)$  be the space of oriented  $k$ -planes through 0 in  $\mathbb{R}^n$ . Show that  $\tilde{G}(4, 2) \cong S^2 \times S^2$  as follows:

We call  $J : \mathbb{R}^{2N} \rightarrow \mathbb{R}^{2N}$  a *complex structure* compatible with the Euclidean metric if  $J^2 = -id$  and  $J$  is an isometry.  $(\mathbb{R}^{2N}, J)$  becomes a complex vector space isomorphic to  $\mathbb{C}^N$ . Note that  $J$  induces an orientation on  $\mathbb{R}^{2N}$  via the real basis  $e_1, Je_1, \dots, e_N, Je_N$ , where  $e_1, \dots, e_N$  is any complex basis of  $(\mathbb{R}^{2N}, J)$ . Let  $\mathcal{J}_0(\mathbb{R}^{2N})$  be the complex structures that induce the standard orientation and  $\mathcal{J}_1(\mathbb{R}^{2N})$  be those that induce the opposite orientation.

- (a) Show  $\mathcal{J}_0(\mathbb{R}^4)$  and  $\mathcal{J}_1(\mathbb{R}^4)$  are both diffeomorphic to  $S^2$ .
- (b) Show  $\tilde{G}(4, 2)$  is diffeomorphic to  $\mathcal{J}_0(\mathbb{R}^4) \times \mathcal{J}_1(\mathbb{R}^4)$ .

#### 14. The rotation vector field

Express the vector field on  $S^2$  that rotates along the circles of latitude in polar coordinates on  $S^2 \setminus \{N, S\}$ .

#### 15. Equivalence of tangent vectors and derivations

Consider the following alternative version definition of a tangent vector: a tangent vector to  $M$  at  $p$  is a pair  $(p, Y)$  where  $Y$  is a *derivation at  $p$* , meaning that  $Y$  is a linear map

$$Y : C^\infty(M) \rightarrow \mathbb{R}, \quad u \mapsto Y \cdot u,$$

that satisfies the Leibniz rule at  $p$ :

$$Y \cdot (uv) = (Y \cdot u)v(p) + u(p)(Y \cdot v), \quad u, v \in C^\infty(M).$$

1. Prove that a tangent vector at  $p$  (as defined in class) is a derivation at  $p$ .
2. Prove that a derivation at  $p$  is a tangent vector at  $p$  (as defined in class).

Hint: Let  $Y$  be a derivation at  $p$ . We will show that  $Y$  may be expressed as a linear combination of  $(\partial/\partial x^1)_{p,\psi}, \dots, (\partial/\partial x^n)_{p,\psi}$ .

- i) Let  $\psi = (\psi^1, \dots, \psi^n) : U \rightarrow \mathbb{R}^n$  be a chart with  $p \in U$ . Let  $\chi$  be a cutoff function for  $p$  in  $U$  that is constant in a neighborhood of  $p$ . For each  $i = 1, \dots, n$ , define a special cut-off coordinate function on  $M$  by  $\phi^i(x) := \chi(x)\psi^i(x)$  for  $x \in U$ , and extend  $\phi^i$  by zero on the rest of  $M$ . Check that  $\phi^i \in C^\infty(M)$ .
- ii) Define  $X$  in  $T_pM$  by

$$X := \sum_{i=1}^n X^i \left( \frac{\partial}{\partial x^i} \right)_{p,\psi}$$

where  $X^i := Y \cdot \phi^i$ . Prove:  $Y \cdot \phi^i = X \cdot \phi^i$  for  $i = 1, \dots, n$ .

- iii) Prove that  $Y \cdot u = X \cdot u$  for any  $u$  in  $C^\infty(M)$ , so  $Y$  belongs to  $T_pM$ . Hint: use a special version of the Taylor expansion with remainder to show that  $u$  may be written as  $u(q) = u(p) + \sum_i a_i \phi^i(q) + \sum_i g_i(q) \phi^i(q)$ , where  $a_i$  are constants and each  $g_i$  vanishes at  $p$ . Then use the fact that  $Y$  is a derivation at  $p$ . (See Lee: *Introduction to Smooth Manifolds*.)

**16. Local diffeomorphisms**

- (a) Let  $f : \mathbb{R}^1 \rightarrow \mathbb{R}^1$  be a local diffeomorphism. Prove that its image is an open interval and that  $f : \mathbb{R}^1 \rightarrow f(\mathbb{R}^1)$  is a diffeomorphism.
- (b) Find a function  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  that is a local diffeomorphism, but is not a diffeomorphism onto its image.
- (c) Show that a local diffeomorphism from a compact manifold to another manifold of the same dimension is a covering map.

**17. On the degree of covering maps**

Let  $f : M \rightarrow N$  be a covering map and  $N$  connected.

- (a) Show that the number  $k$  of elements in  $f^{-1}(q)$  is constant on  $N$ . (We call  $f$  a *k-sheeted* covering).
- (b) How many "different" 3-sheeted coverings can you find over  $S^1$ ?

**18. Some immersions and submersions**

- (a) Prove that the map  $\mathbb{R} \rightarrow \mathbb{R}^2$  defined by  $t \mapsto (t^2, t^3 - t)$  is an immersion.
- (b) Prove that the map  $\mathbb{R}^{n+1} \setminus \{0\} \rightarrow S^n$  defined by  $x \mapsto \frac{x}{|x|}$  is a submersion.

**19. The Veronese embedding is an embedding**

- (a) Express the image of the Veronese embedding  $f : \mathbb{R}\mathbb{P}^2 \rightarrow \mathbb{R}^4$  as the zero set of polynomials.
- (b) Conclude that  $f(\mathbb{R}\mathbb{P}^2)$  is a submanifold.

**20. Orientation-preserving/reversing maps**

- (a) Prove that a local diffeomorphism  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is orientation-preserving iff  $\det(df(p)) > 0$  for all  $p \in M$ .
- (b) Prove that the composition of two orientation-preserving maps is orientation-preserving.
- (c) Prove that the composition of two orientation-reversing maps is orientation-preserving.

- (d) Prove that the composition of one orientation-reversing map and an orientation-preserving map is orientation-reversing.
- (e) Let  $f : M \rightarrow N$  be a local diffeomorphism. Prove: if  $M$  is connected then  $f$  is either orientation-preserving or orientation-reversing.
- (f) Give an example of a map that is neither orientation-preserving nor orientation-reversing.

## 21. Orientation and products

Prove:

- (a) The product of two orientable manifolds is orientable.
- (b) The product of any manifold with a nonorientable manifold is nonorientable.

## 22. Orientation and disjoint unions

Let  $M = \bigcup_{j=1}^m M_j$  be the disjoint union of connected components  $M_j$ . How many orientations does  $M$  have?

## 23. Orientable iff orientation cover is trivial

Prove: A manifold  $M$  is orientable iff the orientation cover  $OM$  is diffeomorphic to the product manifold  $M \times \{\pm 1\}$  where  $O_p M$  goes to  $\{p\} \times \{\pm 1\}$ .

## 24. Orientation and subatlases

- (a) Show that an orientation of a smooth manifold  $(M, \tau, \overline{\mathcal{A}})$  can be given by specifying a subatlas of  $\mathcal{A}$  whose overlap maps are orientation-preserving, and vice versa.
- (b) Show that there is a 1-1 correspondence between
  - (i) orientations on  $M$ ,
  - (ii) maximal subatlases  $\overline{\mathcal{A}}$  subject to the condition that the overlapping maps are orientation-preserving.

## 25. The orientation double cover

- (a) Prove that  $OM$  has the structure of a smooth manifold in a natural way.

(b) Prove that  $OM$  is orientable.

**26. Lemma 0**

Let  $X$  be a topological space. Prove that if  $C \subset X$  is closed and  $K \subset X$  is compact then  $C \cap K$  is compact.

**27. Lemma 1**

A manifold is locally compact.

**28. Lemma 2**

Let  $Y$  be a locally compact Hausdorff space and  $D \subset Y$ . Then  $D$  is closed iff for all  $K \subset Y$  compact also  $K \cap D$  is compact.

**29. Lemma 3**

Let  $X$  be a topological space,  $Y$  a locally compact Hausdorff space and  $f : X \rightarrow Y$  proper. Then  $f(X) \subset Y$  is closed.

**30. Theorem 1**

Prove that an embedding is proper iff its image is closed.

**31. Proper is necessary in Theorem 1**

Give an example of an embedding that is not proper. Observe that the image is not closed.

**32. Theorem 2**

Prove that a proper injective immersion is closed.

**33. The embedding criterion: Theorem H**

If an immersion is a homeomorphism onto its image then it is an embedding.

**34. Exercise Z**

Prove: If  $M$  is compact and  $f : M \rightarrow N$  an injective immersion, then  $f$  is an embedding.

**35. Hausdorff is necessary in Exercise Z**

Find a counterexample to Exercise Z when  $N$  is not Hausdorff.

**36. \* Embeddings of  $\mathbb{RP}^3$**

Find an embedding of  $\mathbb{RP}^3$  into  $\mathbb{R}^6$ . Does there exist an embedding into  $\mathbb{R}^5$  or even into  $\mathbb{R}^4$ ?

**37. Second countable manifold are paracompact**

Prove that a second countable manifold is paracompact.

**38. Connected paracompact manifolds are second countable**

Prove that a paracompact connected manifold is second countable.

**39. Connected paracompact manifolds are second countable**

Prove that a second countable space is separable.

**40. Connectedness for manifolds**

Prove that a manifold is connected iff it is path-connected.

**41. Second countability is preserved for submanifolds**

Let  $M$  be a second countable manifold. Prove that every submanifold of  $M$  is also second countable.

**42. Subsets and  $\sigma$ -compactness**

(a) Prove that every open or closed subset of  $\mathbb{R}^n$  is  $\sigma$ -compact.

(b) Find an subset of  $\mathbb{R}$  that is not  $\sigma$ -compact.

**43. Transverse intersections are submanifolds**

Let  $P^p, Q^q$  be submanifolds of a manifold  $M^m$ . We call  $P$  and  $Q$  *transverse* (written  $P \pitchfork Q$ ) if for all  $p \in P \cap Q$  we have

$$T_p P + T_p Q = T_p M.$$

Prove: If  $P \pitchfork Q$  then  $P \cap Q$  is a submanifold of  $M$  of dimension

$$2m - p - q$$

or  $P \cap Q$  is empty.

**44. Intersecting transversely is a generic condition**



Let  $P, Q$  be submanifolds of a manifold  $M$ . Prove that there is a small perturbation  $\tilde{P}$  of  $P$  such that  $\tilde{P} \cap Q$  is a submanifold of  $M$ .

Hint: Prove it first for  $P, Q$  compact submanifolds of  $\mathbb{R}^n$ .

#### 45. Another version of a bump function for open sets

Let  $U \subset \mathbb{R}^n$  be open. Construct a function  $\mathbb{R}^n : U \rightarrow [0, \infty)$  which is smooth and  $f^{-1}(0, \infty) = U$ .

#### 46. Main lemma to get existence of partition of unity

Suppose

1.  $M$  is a paracompact manifold,
2.  $\mathcal{O}$  a cover and
3.  $\mathcal{B}$  a subbase.

Then there is a cover  $\mathcal{P}$  such that

- (i)  $\mathcal{P} \ll \mathcal{O}$ ,
- (ii)  $\mathcal{P}$  locally finite,
- (iii)  $\mathcal{P} \subset \mathcal{B}$ .

#### 47. Functions with a lot of critical points and values

- (a) Give an example of a smooth function  $\mathbb{R} \rightarrow \mathbb{R}$  where the critical values are both dense in  $[0, 1]$ .
- (b) Is there a such an example of a function with compact domain?

#### 48. Local vector operations

Let  $X, Y$  be smooth vector field on a manifold  $M$  and  $u$  a smooth function. Prove that the following operations are local:

- (i)  $(X, u) \mapsto X \cdot u$ ,
- (ii)  $(X, Y) \mapsto [X, Y]$ .

By this we mean that for all open sets  $U \subset M$  and vector fields  $X_1, X_2, Y_1, Y_2$  and functions  $u_1, u_2$  on  $M$ :

- (i) If  $X_1|_U = X_2|_U$  and  $u_1|_U = u_2|_U$  then  $(X_1 \cdot u_1)|_U = (X_2 \cdot u_2)|_U$ ,
- (ii) If  $X_1|_U = X_2|_U$  and  $Y_1|_U = Y_2|_U$  then  $([X_1, Y_1])|_U = ([X_2, Y_2])|_U$ .

**49. Vector fields on the long line**

Let  $L$  be the long line. Prove: there is no vector field  $X$  on  $L$  such that  $X(p) \neq 0$  for all  $p$  in  $L$ .

**50. Compact sets can always be flowed for positive time**

Let  $X$  be a smooth vector field on a manifold  $M$  and  $K \subset M$  a compact set. Prove that there is an open set  $U \supset K$  and a  $\delta > 0$  such that the flow

$$\phi_X : U \times (-\delta, \delta) \rightarrow M$$

is well-defined.

**51. Extending the flow on open sets**

Let  $M$  be a manifold and  $X$  a smooth vector field on  $M$ .

- (a) Fix  $x \in M$ . Suppose the flow  $\phi_X^s(x)$  exists for  $0 \leq s \leq t$ . Prove that there exists an open set  $U$  containing  $x$  and a  $\delta > 0$  such that

$$\phi_X : U \times [0, t + \delta] \rightarrow M$$

exists.

- (b) Conclude that the set  $\mathcal{U}$  (the maximal set in  $M \times \mathbb{R}$  where the flow exists) is open.
- (c) Suppose  $\phi_X : U \times [0, t] \rightarrow M$  exists where  $U \subset\subset M$  is open. Is it true that there is an open set  $V$  and a  $\delta > 0$  such that  $U \subset\subset V \subset\subset M$  and

$$\phi_X : V \times [0, t + \delta] \rightarrow M$$

exists?

**52. Properties of the Lie derivative**

Consider the following statements:

- (i)  $L_X Y$  is linear in  $X$  and  $Y$ .
- (ii)  $L_X Y = -L_Y X$  and  $L_X X = 0$ .
- (iii)  $L_X(gY) = gL_X Y + (X \cdot g)Y$  and  $L_{fX} Y = fL_X Y - (Y \cdot f)X$ .

All are consequences of  $L_X Y = [X, Y]$  and the properties of  $[X, Y]$ .

- (a) Which of these statements can be proven directly from the definition of  $L_X Y$  without using  $L_X Y = [X, Y]$ ?

(b) Which of these statements can be proven from each other?

**53. Pulling back by the flow for all times**

Let  $\phi_X^t$  be the flow of a vector field  $X$ , and  $Y$  another vector field.

(a) Prove

$$\frac{d}{ds}(\phi_X^s)^*(Y) = (\phi_X^s)^*(L_X Y)$$

(b) Recalling  $L_X Y = [X, Y]$ , use this to give another prove that

$$\phi_X^t(X) = X.$$

**54. Explicit computations of Lie derivatives**

(a) Compute from the definition

$$\begin{aligned} L_{x \frac{\partial}{\partial x}} \left( \frac{\partial}{\partial y} \right), & \quad L_{\frac{\partial}{\partial y}} \left( x \frac{\partial}{\partial x} \right), \\ L_{y \frac{\partial}{\partial x}} \left( \frac{\partial}{\partial y} \right), & \quad L_{\frac{\partial}{\partial y}} \left( y \frac{\partial}{\partial x} \right). \end{aligned}$$

(b) Attempt to make drawings of the above effects. Which flows are shears? Anisotropic expansions? Isometries?

**55. Standard vector fields of charts commute**

Verify that

$$\left[ \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right] = 0.$$

**56. Partially coordinate vector fields.**

(a) Find an example of a 2-manifold  $M$  and vector fields  $X, Y$  such that  $X, Y$  are coordinate vector fields on part but not all of  $M$ .

(b) Find such examples with linear vector fields.

**57. Commuting flows of left-invariant vector fields**

Let  $G = GL(n, \mathbb{R})$  and  $A \in \mathbb{R}^{n \times n}$ . Let  $Y_A \in C^\infty(TG)$  be the left-invariant vector field

$$Y_A(C) = CA, \quad \text{for } C \in G.$$

- (a) Compute its flow  $\phi_{Y_A}^t$ .
- (b) Prove that  $\phi_{Y_A}^t \circ \phi_{Y_B}^t = \phi_{Y_B}^t \circ \phi_{Y_A}^t$  iff  $[Y_A, Y_B] = 0$  directly using matrix methods.

Hint: Use that  $[Y_A, Y_B] = Y_{[A, B]}$ .