

The most important exercises are marked with an asterisk *.

***2.1.** Let (M, ω) be a closed symplectic manifold of positive dimension. Show that ω is not exact.

Solution. Assume M is of dimension $2n$. We saw that $\Omega := \omega^{\wedge n}$ is a volume form on M and hence does not vanish anywhere. We equip M with the orientation induced by Ω . It follows that the integral $\int_M \omega^{\wedge n}$ is positive.

Assume for contradiction that ω is exact and let α be a 1-form such that $\omega = d\alpha$. It follows from Leibniz rule that

$$d(\alpha \wedge \omega^{\wedge(n-1)}) = \omega^{\wedge n}.$$

Stokes theorem now implies that

$$\int_M \omega^{\wedge n} = \int_{\partial M} \alpha \wedge \omega^{\wedge(n-1)},$$

where ∂M denotes the boundary of M . Since the left-hand side is positive, it follows that the boundary ∂M is non-empty. This contradicts the assumption that M is a closed (i.e. compact without boundary) manifold.

***2.2.** Let (M, ω) be a symplectic manifold, $H, K: [0, 1] \times M \rightarrow \mathbb{R}$ be two smooth Hamiltonian functions and $\chi \in \text{Symp}(M, \omega)$.

(a) Show that $\psi_t^H \circ \psi_t^K$ is generated by

$$(H \# K)_t = H_t + K_t \circ (\psi_t^H)^{-1}.$$

Solution. We compute

$$\begin{aligned} d(H \# K)_t &= dH_t + dK_t \circ d(\psi_t^H)^{-1} \\ &= -\omega(X_t^H, -) - \omega\left(X_t^K \circ (\psi_t^H)^{-1}, d(\psi_t^H)^{-1}(-)\right) \\ &= -\omega(X_t^H, -) - \omega\left(d\psi_t^H\left(X_t^K \circ (\psi_t^H)^{-1}\right), -\right) \\ &= -\omega\left(X_t^H + (\psi_t^H)_*(X_t^K), -\right), \end{aligned}$$

hence $X_t^{H\#K} = X_t^H + (\psi_t^H)_* (X_t^K)$. In the third inequality we used that ψ_t^H is symplectic. Moreover,

$$\begin{aligned} \frac{d}{dt} (\psi_t^H \circ \psi_t^K) &= \left(\frac{d}{dt} \psi_t^H \right) \circ \psi_t^K + d\psi_t^H \left(\frac{d}{dt} \psi_t^K \right) \\ &= X_t^H \circ \psi_t^H \circ \psi_t^K + d\psi_t^H (X_t^K \circ \psi_t^K) \\ &= X_t^{H\#K} \circ (\psi_t^H \circ \psi_t^K) \end{aligned}$$

which proves the claim.

(b) Show that $(\psi_t^H)^{-1}$ is generated by

$$\bar{H}_t = -H_t \circ \psi_t^H.$$

Solution. We proceed as before:

$$\begin{aligned} d\bar{H}_t &= -dH_t \circ d\psi_t^H \\ &= \omega (X_t^H \circ \psi_t^H, d\psi_t^H(-)) \\ &= \omega (d(\psi_t^H)^{-1} (X_t^H \circ \psi_t^H), -) \\ &= \omega ((\psi_t^H)^* (X_t^H), -), \end{aligned}$$

hence $X_t^{\bar{H}} = -(\psi_t^H)^* (X_t^H)$. On the other hand

$$\begin{aligned} 0 &= \frac{d}{dt} (\psi_t^H \circ (\psi_t^H)^{-1}) \\ &= \left(\frac{d}{dt} \psi_t^H \right) \circ (\psi_t^H)^{-1} + d\psi_t^H \left(\frac{d}{dt} (\psi_t^H)^{-1} \right) \\ &= X_t^H + d\psi_t^H \left(\frac{d}{dt} (\psi_t^H)^{-1} \right), \end{aligned}$$

hence

$$\frac{d}{dt} (\psi_t^H)^{-1} = -d(\psi_t^H)^{-1} (X_t^H) = X_t^{\bar{H}} \circ (\psi_t^H)^{-1}.$$

(c) Show that $\chi^{-1}\psi_t^H\chi$ is generated by $H_t \circ \chi$.

Solution. We compute

$$\begin{aligned} d(H_t \circ \chi) &= dH_t \circ d\chi \\ &= -\omega (X_t^H \circ \chi, d\chi(-)) \\ &= -\omega (d\chi^{-1} (X_t^H \circ \chi), -), \end{aligned}$$

hence $X_t^{H \circ \chi} = \chi^*(X_t^H)$. Therefore

$$\begin{aligned} \frac{d}{dt} (\chi^{-1} \psi_t^H \chi) &= d\chi^{-1} \left(\frac{d}{dt} \psi_t^H \circ \chi \right) \\ &= \chi^* \left(\frac{d}{dt} \psi_t^H \right) = \chi^*(X_t^H \circ \psi_t^H) = X_t^{H \circ \chi} \circ (\chi^{-1} \psi_t^H \chi). \end{aligned}$$

(d) Deduce from parts (a), (b) and (c) that $\text{Ham}(M, \omega)$ is a normal subgroup of $\text{Symp}(M, \omega)$.

Solution. (a) and (b) show that $\text{Ham}(M, \omega) \subset \text{Symp}(M)$ is closed under composition and inverse. It is therefore a subgroup. (c) shows that $\text{Ham}(M, \omega)$ is closed under conjugation by an element in $\text{Symp}(M, \omega)$. $\text{Ham}(M, \omega)$ is therefore normal in $\text{Symp}(M, \omega)$.

2.3. Find an example of a symplectic manifold (M, ω) (without boundary) and a smooth function $H: [0, +\infty) \times M \rightarrow \mathbb{R}$ such that the domain \mathcal{D}_H of the Hamiltonian flow ψ^H is not equal to $[0, +\infty) \times M$. This flow is by definition the flow of the time-dependent Hamiltonian vector field X^{H_t} , which is defined by $-dH^t = \omega(X^{H_t}, \cdot)$.

Find an example in which ψ_t^H is not surjective for some t .

Solution. Consider

$$M := (0, +\infty) \times \mathbb{R}, \quad \omega := \omega_0, \quad H: [0, +\infty) \times M \rightarrow \mathbb{R}, \quad H(t, q, p) = -p.$$

We show that the domain of the Hamiltonian flow φ_H is

$$\mathcal{D}_H = \{(t, q, p) \mid t < q\}.$$

Indeed, Hamilton's equations for H are

$$\dot{q} = \frac{\partial H}{\partial p} = -1, \quad \dot{p} = -\frac{\partial H}{\partial q} = 0.$$

The unique maximal solution of these equations starting at $(q, p)(0) = (q_0, p_0)$ is

$$(q, p): [0, q_0) \rightarrow M, \quad (q, p)(t) = (q_0 - t, p_0).$$

Since M is given by $M = (0, \infty) \times \mathbb{R}$ we cannot extend this solution beyond $t = q_0$.

Consider now

$$M := (0, +\infty) \times \mathbb{R}, \quad \omega := \omega_0, \quad H: [0, +\infty) \times M \rightarrow \mathbb{R}, \quad H(t, q, p) = p.$$

Then we have

$$\psi_t^H: \mathcal{M} \rightarrow M, \quad \psi_t^H(q_0, p_0) = (q_0 + t, p_0).$$

The map φ_t^H is not surjective for $t > 0$.

***2.4.** This problem is intended for students who are not yet familiar with the Lie derivative and Cartan's formula. Let $I \subset \mathbb{R}$ be an open interval, M a closed smooth manifold and $f: I \times M \rightarrow M$ a smooth function such that $f_s \in \text{Diff}(M)$ for all $s \in I$. Let $k \geq 1$ and $\omega \in \Omega^k(M)$ a differential k -form on M .

The goal of this exercise is to prove Cartan's formula:

$$\frac{d}{ds} f_s^* \omega = f_s^* (\iota_{X_s} d\omega + d\iota_{X_s} \omega),$$

where X_s is the time-dependent vector field on M defined by

$$\frac{d}{ds} f_s = X_s \circ f_s.$$

For $s \in I$ consider the linear map

$$T_s: \Omega^k(I \times M) \rightarrow \Omega^{k-1}(M),$$

defined by

$$(T_s \sigma)_x(w_1, \dots, w_{k-1}) = \sigma_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_{k-1}))$$

for $x \in M$ and $w_1, \dots, w_{k-1} \in T_x M$. Here we use the decomposition

$$T_{(s,x)}(I \times M) \cong T_s \mathbb{R} \oplus T_x M \cong \mathbb{R} \oplus T_x M.$$

(a) Let $f \in C^\infty(I \times M)$ and $\alpha \in \Omega^{k-1}(M)$. Prove that for $\sigma = f ds \wedge \pi^* \alpha$ we have

$$\frac{d}{ds} i_s^* \sigma = (T_s d + dT_s) \sigma,$$

where $i_s: M \rightarrow I \times M$, $i_s(x) = (s, x)$ and $\pi: I \times M \rightarrow M$, $\pi(s, x) = x$.

Solution. We show that both sides of the equality vanish.

Note that for $s_0 \in I$, we have $i_{s_0}^*(ds) = d(s \circ i_{s_0}) = ds_0 = 0$. Therefore

$$i_{s_0}^* \sigma = (f \circ i_{s_0}) d(s \circ i_{s_0}) \wedge i_{s_0}^* \pi^* \alpha = 0$$

and thus $i_s^* \sigma = 0$. It follows that

$$\frac{d}{ds} i_s^* \sigma = 0.$$

To show the right-hand side vanishes, we note that the form ds doesn't vanish only if we feed it vectors spanned by $(1, 0)$, whereas $\pi^* \alpha$ doesn't vanish at a

point $(s, x) \in I \times M$ only on vectors of the form (v, w) for $w \in T_x M$, $w \neq 0$ (here, v can be any vector in $T_s I$). Therefore:

$$\begin{aligned}
 (T_s d\sigma)_x(w_1, \dots, w_k) &= d\sigma_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_k)) \\
 &= (df \wedge ds \wedge \pi^* \alpha - f ds \wedge \pi^* d\alpha)_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_k)) \\
 &\stackrel{(\diamond)}{=} -(df \wedge \pi^* \alpha)_{(s,x)}((0, w_1), \dots, (0, w_k)) - (f \pi^* d\alpha)_{(s,x)}((0, w_1), \dots, (0, w_k)) \\
 &= -(df \wedge \pi^* \alpha)_{(s,x)}(di_s)_x(w_1, \dots, w_k) - (f \pi^* d\alpha)_{(s,x)}(di_s)_x(w_1, \dots, w_k) \\
 &= -\left(d(f \circ i_s) \wedge \alpha + (f \circ i_s) d\alpha\right)_x(w_1, \dots, w_k)
 \end{aligned}$$

We obtained equality (\diamond) by feeding $(1, 0)$ to ds (this also introduced a minus sign in the first term, because of the 1-form df in front of ds). In the last equality, we used $\pi \circ i_s = \text{id}$ to get rid of π^* .

Before calculating $dT_s \sigma$, note that:

$$\begin{aligned}
 (T_s \sigma)_x(w_1, \dots, w_{k-1}) &= \sigma_{s,x}((w_1, \dots, w_{k-1})) \\
 &= (f ds \wedge \pi^* \alpha)_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_{k-1})) \\
 &\stackrel{(\spadesuit)}{=} (f \pi^* \alpha)_{(s,x)}((0, w_1), \dots, (0, w_{k-1})) \\
 &= (f \pi^* \alpha)_{(s,x)}(di_s)_x(w_1, \dots, w_{k-1}) \\
 &\stackrel{(\clubsuit)}{=} ((f \circ i_s) \alpha)_x(w_1, \dots, w_{k-1}),
 \end{aligned}$$

where in (\spadesuit) we fed $(1, 0)$ to ds as above, and in (\clubsuit) we used $\pi \circ i_s = \text{id}$. In the last equality, f became $f \circ i_s$ because in the end we switched from calculating the form at the point $(s, x) \in I \times M$ to the point $x \in M$ (and recall that f is a function on $I \times M$). Taking the exterior derivative of $T_s \sigma$ and using the above calculation, we get:

$$dT_s \sigma = d((f \circ i_s) \alpha) = d(f \circ i_s) \wedge \alpha + (f \circ i_s) d\alpha = -T_s d\sigma.$$

In particular, both sides of the claimed equality are 0.

(b) Let $f \in C^\infty(I \times M)$ and $\beta \in \Omega^k(M)$. Prove that for $\sigma = f \pi^* \beta$, we have

$$\frac{d}{ds} i_s^* \sigma = (T_s d + dT_s) \sigma.$$

Solution. Firstly, note that since $\pi \circ i_s = \text{id}$, we have $i_s^* \sigma = (i_s^* f)(\pi \circ i_s)^* \beta = (f \circ i_s) \beta$. Therefore, if we denote $f_s := f \circ i_s$, we get

$$\frac{d}{ds} i_s^* \sigma = \left(\frac{d}{ds} f_s \right) \beta.$$

On the one hand, β is a form on M and thus $T_s \sigma = T_s(f \pi^* \beta)$ vanishes if we feed it the vector $(1, 0)$. Thus we have $T_s \sigma = 0$, and consequently $dT_s \sigma = 0$.

On the other hand, we have

$$d\sigma = df \wedge \pi^* \beta + f \pi^* d\beta$$

and applying T_s to $d\sigma$, we get:

$$\begin{aligned} (T_s d\sigma)_x(w_1, \dots, w_k) &= (df \wedge \pi^* \beta + f \pi^* d\beta)_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_k)) \\ &\stackrel{(\heartsuit)}{=} df_{(s,x)}((1, 0)) (\pi^* \beta)_{(s,x)}((0, w_1), \dots, (0, w_k)) \\ &\quad + (f \pi^* d\beta)_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_k)) \\ &= df_{(s,x)}((1, 0)) (\pi^* \beta)_{(s,x)}((0, w_1), \dots, (0, w_k)) \\ &= df_{(s,x)}((1, 0)) \beta_x(w_1, \dots, w_k) \\ &\stackrel{(\blacktriangle)}{=} df_{(s,x)} \left(\frac{\partial}{\partial s} \right) \beta_x(w_1, \dots, w_k) \\ &= \frac{d}{ds} f(s, x) \beta_x(w_1, \dots, w_k) \\ &= \frac{d}{ds} (f \circ i_s)(x) \beta_x(w_1, \dots, w_k) \\ &= \frac{d}{ds} f_s(x) \beta_x(w_1, \dots, w_k) \\ &= \left(\left(\frac{d}{ds} f_s \right) \beta \right)_x(w_1, \dots, w_k) \end{aligned}$$

where, in (\heartsuit) we fed the vector $(1, 0)$ to df because, after writing out the definition of the wedge product and applying it to the given vectors, in all the other terms we would be feeding $(1, 0)$ to $\pi^* \beta$ and in that case:

$$\pi^* \beta((1, 0), \dots) = \beta(d\pi(1, 0), \dots) = \beta(0, \dots) = 0.$$

The second term in equality (♥) vanishes for the same reason, i.e. since $d\pi((1,0)) = 0$. In equality (▲), we rewrote the vector $(1,0)$ as $\frac{\partial}{\partial s}$ and then used the definition of the differential:

$$df_{(s,x)} \left(\frac{\partial}{\partial s} \right) = \frac{d}{ds} f(s,x).$$

The result follows.

(c) Deduce from parts (a) and (b) that

$$\frac{d}{ds} i_s^* \sigma = (T_s d + dT_s) \sigma$$

for every $\sigma \in \Omega^k(I \times M)$.

Solution. Every k -form σ on $I \times M$ is a linear combination of forms of the type $f ds \wedge \pi^* \alpha$ as in (a) and forms of the type $f \pi^* \beta$ as in (b). The formula follows from the previous steps and linearity.

(d) Prove Cartan's formula.

Solution. Note that now $f: I \times M \rightarrow M$ denotes a smooth function such that $f_s = f \circ i_s \in \text{Diff}(M)$ for all $s \in I$. We still denote by $i_s: M \rightarrow I \times M$ the map given by $i_s(x) = (s, x)$.

Using the previous part of the exercise, we get:

$$\begin{aligned} & \left(\frac{d}{ds} f_s^* \omega \right)_x (w_1, \dots, w_k) \\ &= \left(\frac{d}{ds} (f \circ i_s)^* \omega \right)_x (w_1, \dots, w_k) \\ &= \left(\frac{d}{ds} i_s^* f^* \omega \right)_x (w_1, \dots, w_k) \\ &= \left((T_s d + dT_s) f^* \omega \right)_x (w_1, \dots, w_k) \end{aligned} \tag{1}$$

For the first term in line (1), using the definition of T_s , rewriting $(1,0)$ as $\frac{\partial}{\partial s}$

and using the definition of a differential as in the previous part, we get:

$$\begin{aligned}
 (T_s df^* \omega)_x(w_1, \dots, w_k) &= (f^* d\omega)_{(s,x)}((1, 0), (0, w_1), \dots, (0, w_k)) \\
 &= (d\omega)_{f(s,x)}(df((1, 0)), df((0, w_1)), \dots, df((0, w_k))) \\
 &= (d\omega)_{f(s,x)}\left(\frac{d}{ds}f, df((0, w_1)), \dots, df((0, w_k))\right) \\
 &= (d\omega)_{f_s(x)}\left(\frac{d}{ds}f_s, df_s(w_1), \dots, df_s(w_k)\right) \\
 &= (d\omega)_{f_s(x)}(X_s \circ f_s, df(w_1), \dots, df(w_k)) \\
 &= (\iota_{X_s} d\omega)_{f_s(x)}(df(w_1), \dots, df(w_k)) \\
 &= (f_s^*(\iota_{X_s} d\omega))_x(w_1, \dots, w_k).
 \end{aligned}$$

In the following calculation of second term in line (1), by the notation \hat{w}_i , we mean that the vector field w_i is omitted. Using the definition of the exterior

derivative and same steps in the above calculations, we obtain:

$$\begin{aligned}
& (dT_s f^* \omega)_x(w_1, \dots, w_k) \\
&= \sum_{i=1}^k (-1)^i w_i \left((T_s f^* \omega)_x(w_1, \dots, \hat{w}_i, \dots, w_k) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} (T_s f^* \omega)_x([w_i, w_j], w_1, \dots, \hat{w}_i, \dots, w_k) \\
&= \sum_{i=1}^k (-1)^i w_i \left((f^* \omega)_{(s,x)} \left((1, 0), (0, w_1), \dots, (0, \hat{w}_i), \dots, (0, w_k) \right) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} (f^* \omega)_{(s,x)} \left((1, 0), (0, [w_i, w_j]), (0, w_1), \dots, (0, \hat{w}_i), \dots, (0, w_k) \right) \\
&= \sum_{i=1}^k (-1)^i w_i \left(\omega_{f(s,x)} \left(df(1, 0), df(0, w_1), \dots, df(\hat{0}, w_i), \dots, df(0, w_k) \right) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} \omega_{f(s,x)} \left(df(1, 0), df(0, [w_i, w_j]), df(0, w_1), \dots, df(\hat{0}, w_i), \dots, df(0, w_k) \right) \\
&= \sum_{i=1}^k (-1)^i w_i \left(\omega_{f_s(x)} \left(\frac{d}{ds} f_s, df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} \omega_{f_s(x)} \left(\frac{d}{ds} f_s, df_s([w_i, w_j]), df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \\
&= \sum_{i=1}^k (-1)^i w_i \left(\omega_{f_s(x)} \left(X_s \circ f_s, df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} \omega_{f_s(x)} \left(X_s \circ f_s, df_s([w_i, w_j]), df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \\
&= \sum_{i=1}^k (-1)^i w_i \left(\iota_{X_s} \omega_{f_s(x)} \left(df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} \iota_{X_s} \omega_{f_s(x)} \left(df_s([w_i, w_j]), df_s(w_1), \dots, df_s(\hat{w}_i), \dots, df_s(w_k) \right) \\
&= \sum_{i=1}^k (-1)^i w_i \left((f_s^* \iota_{X_s} \omega)_x(w_1, \dots, \hat{w}_i, \dots, w_k) \right) \\
&\quad + \sum_{1 \leq i < j \leq k} (-1)^{i+j} (f_s^* \iota_{X_s} \omega)_x([w_i, w_j], w_1, \dots, \hat{w}_i, \dots, w_k) \\
&= (df_s^* \iota_{X_s} \omega)_x(w_1, \dots, w_k).
\end{aligned}$$

Finally, putting everything together and using commutativity of exterior deriva-

tive with pullbacks, we get:

$$\frac{d}{ds} f_s^* \omega = (T_s d + dT_s) f_s^* \omega = f_s^* (\iota_{X_s} d\omega) + d f_s^* \iota_{X_s} \omega = f_s^* (\iota_{X_s} d\omega + d \iota_{X_s} \omega).$$

This completes the proof.

- (e) Let $X \in \Gamma(TM)$ be a smooth vector field on M and let ψ_t be its flow. The Lie derivative $\mathcal{L}_X \omega \in \Omega^k(M)$ is defined by

$$\mathcal{L}_X \omega = \left. \frac{d}{dt} \right|_{t=0} \psi_t^* \omega.$$

Show that

$$\mathcal{L}_X \omega = \iota_X d\omega + d \iota_X \omega.$$

Solution. This follows directly from Cartan's formula for $f_s = \psi_s$, $X_s = X$ and $s = 0$.