

Up to now we've seen that

if  $F$  is a field then

$F[x]$  is a ED, hence a PID (Thm 12.8)  
Hilbert

In fact the converse is also true.

Thm 1.11 Let  $R$  be a comm ring s.t  $R[x]$  is a PID. Then  $R$  is a field.

Proof

$R[x]$  is a PID.  $R$  is a subring of  $R[x]$  which is an I.D. Hence  $R$  is an I.D. The map

$\varphi: R[x] \rightarrow R$   
 $f(x) \rightarrow f(0)$  is a surjective hom with kernel  $(x)$

Hence  $R[x]/(x) \cong R$  is an I.D. which implies

$(x)$  is a prime ideal

Since  $R[x]$  is a PID,  $(x)$  is also maximal. Hence  $R[x]/(x) \cong R$  is a field.

Remark: Note if  $R[x]$  is a ED (since it is also PID) then  $R$  is field

We have also seen that any PID is a UFD hence

Thm 1.12 If  $F$  is a field then  $F[X]$  is a UFD

But it is not the case that

$R[X]$  is a UFD  $\Rightarrow R$  a field

$\mathbb{Z}[X]$  is a UFD but  $\mathbb{Z}$  is not a field. But

Lemma 1.13:  $R[X]$  is a UFD  $\Rightarrow R$  is a UFD

Proof Since  $R[X]$  a UFD imply in particular that the constant polynomials have to be factored uniquely.

If  $r \in R \subset R[X]$  has a factorization in  $R[X]$  because of degree considerations ( $\deg fg = \deg f + \deg g$ ) the factorization of  $r$  in  $R[X]$  is a factorization of  $r$  in  $R$ .

The converse statement of Lemma 1.13 that  $R$  a UFD  $\Rightarrow R[X]$  a UFD is also true and

uses the following idea: Since  $R$  is a PID we can form its field of fractions  $F$ . Then  $F[X]$  is a UFD. Now we want to recover a factorization for  $f \in R[X]$  from its factorization in  $F[X]$ .

For this we need to compare irreducibles in  $F[x]$  to those of  $R[x]$ .

(This intuitive idea goes back to Gauss)  
First we need some definitions.

Defn ① Let  $R$  be a UFD,  $a_1, \dots, a_n \in R$  non-zero elements of  $R$ . An element  $d \in R$  is a greatest common divisor of all  $a_i$ ,  $i=1, \dots, n$  if

- ①  $d \mid a_i \quad \forall i=1, \dots, n$
- ② If  $\tilde{d} \in R$  divides all  $a_i$  then it also divides  $d$ .

② Let  $R$  be a UFD. A nonconstant polynomial  $f(x) = a_0 + a_1x + \dots + a_nx^n$ . Then  $c = \gcd(a_0, \dots, a_n)$  is called the content of  $f$

If the content of  $f$  is 1 then  $f$  is called primitive.

Rmk. In a ring we defined irreducible elements as non-zero, non-units which cannot be represented as a product of nonunits. For an ID  $R$ , we call irreducible elements of  $R[x]$  irreducible polynomials.

If  $F$  is a field, since  $(F[X])^\times = F^\times$   
 in this case an irreducible element  
 of  $F[X]$  has degree  $\geq 1$  (constants are units)  
 and cannot be factored into polynomials  
 of lower degree.

A poly which is not irreducible is called  
 reducible.

• reducibility  $f(x) \in R[X]$  depends  
 on  $R$   
 $x^2+1$  is irred. in  $\mathbb{R}[X]$  but  
 reducible in  $\mathbb{C}[X]$ ,  $x^2+1 = (x+i)(x-i)$

Now if  $R$  is not a field but a UFD

eg  $R = \mathbb{Z}$ . Then the polynomial  
 $f = 2x+4 = 2(x+2)$  is not irreducible  
 in  $\mathbb{Z}[X]$ .

In this case it is convenient to  
 factor  $f = \underbrace{(\text{content}(f))}_2 g$  where  $g(x) = x+2$   
 is a primitive polynomial.

Note that every non-constant irreducible  
 polynomial in  $\mathbb{Z}[X]$  must be primitive

Note  $2x+4 = 2(x+2) \in \mathbb{R}[X]$  is irreducible  
 since 2 is a unit in this case.

The next lemma shows that for a UFD  $R$  we can always write a poly  $f(x) \in R[x]$  the product of its content and a primitive polynomial.

Lemma 1.14. If  $R$  is a UFD, then every non-constant polynomial  $f(x) \in R[x]$  can be written as  $f(x) = cg(x)$  where  $g(x) \in R[x]$  is primitive and  $c$  is unique up to a unit in  $R$  and is the content of  $f$ .  $g$  is also unique up to a unit factor; and  $\deg g = \deg f$ .

Proof. Let  $f(x) = a_0 + a_1x + \dots + a_nx^n$

Let  $c := \gcd(a_0, \dots, a_n)$ . Then

$$a_i = cb_i \text{ for some } b_i \in R$$

and

$$f(x) = c(b_0 + b_1x + \dots + b_nx^n) = cg(x)$$

and  $g(x) \in R[x]$

Note no irreducible  $r \in R$  divides all of  $b_i$ 's since otherwise  $cr$  would divide all  $a_i$ 's but  $c$  is the  $\gcd(a_0, \dots, a_n)$  hence  $g(x)$  is primitive.

To see uniqueness, suppose  $f(x) = dh(x)$  for  $d \in R$ ,  $h(x) \in R[x]$  primitive.

then  $cg(x) = dh(x)$ . Any irreducible factor of  $c$  must divide  $d$  and vice versa we get that  $c = du$  for some unit  $u \in R^\times$

and  $u g(x) = h(x)$  as claimed ~~is~~.

The next Lemma is called Gauss's Lemma

Lemma 1.15 (Gauss Lemma). If  $R$  is a UFD then the product of 2 primitive polynomials is primitive. Hence (by induction) any finite product of primitive polys in  $R[x]$  is primitive.

Proof let  $f(x) = a_0 + a_1x + \dots + a_nx^n$   $a_n \neq 0$   
 $g(x) = b_0 + b_1x + \dots + b_mx^m$   $b_m \neq 0$

be 2 primitive polys in  $R[x]$ .

let  $h(x) = f(x)g(x) = c_0x + \dots + c_{m+n}x^{n+m}$

let  $p \in R$  be an irreducible. Then  $p$  does not divide all of  $a_i$  and  $p$  does not divide all of  $b_j$ , since  $f, g$  are primitive.

let  $a_r$  be the first coef of  $f$  not divisible by  $p$   
ie  $p \nmid a_i$  for  $i < r$ . Similarly  
let  $b_s$  " " " "  $g$  " " " "  
ie  $p \nmid b_j$  for  $j < s$ .

Now the coefs of  $x^{r+s}$  is given by

$$\begin{aligned}
 c_{r+s} &= (a_0 b_{r+s} + a_1 b_{r+s-1} + \dots + a_{r-1} b_{s+1}) \\
 &\quad + a_r b_s \\
 &\quad + (a_{r+1} b_{s-1} + a_{r+2} b_{s-2} + \dots + a_{r+s} b_0)
 \end{aligned}$$

Now since  $p \mid a_i$  for  $i < r$ ,  $p \mid (a_0 b_{r+s} + \dots + a_{r-1} b_{s+1})$

and since  $p \mid b_j$  for  $j < s$ ,  $p \mid (a_{r+1} b_{s-1} + \dots + a_{r+s} b_0)$

but  $p \nmid a_r a_s$  since  $p \nmid a_r$ ,  $p \nmid a_s$

Hence  $p \nmid c_{r+s}$ . Hence there is no irreducible

$p \in R$  s.t.  $p$  divides all  $c_k$ 's. Hence  $h(x) = f(x)g(x)$  is primitive

Rmk. Note it follows similarly that for 2 polynomials  $f, g \in R[X]$ ,  
 $(\text{content}(f))(\text{content}(g)) = \text{content}(fg)$

Now let  $R$  be a UFD,  $F = \text{Quot}(R)$  field of quotients of  $R$ . The next proposition relates non-constant irred. polys in  $R[X]$  to those of  $F[X]$ .

Prop 1-16. Let  $R$  be a UFD,  $F = \text{Quot}(R)$   
 quotient field of  $R$ . Let  $f(x) \in R[x]$   
 $\deg f > 0$ .

If  $f$  is irreducible in  $R[x]$  then  
 $f$  is irreducible in  $F[x]$

Moreover if  $f$  is primitive in  $R[x]$   
 and irreducible in  $F[x]$ , then  $f$  is  
 irreducible in  $R[x]$ .

i.e. for primitive polynomials  $f(x) \in R[x]$   
 $f$  is irred in  $R[x] \iff f$  is irred in  $F[x]$

Proof - We'll prove the contrapositive.

Suppose  $f(x) \in R[x] \subset F[x]$  is  
 a product of lower degree polynomials  
 in  $F[x]$ , i.e.

$$f(x) = r(x)s(x) \quad r(x), s(x) \in F[x]$$

and  $\deg r < \deg f$ ,  $\deg s < \deg f$ .

Since  $F$  is the quotient field of  $R$   
 each coef of  $r(x)$  and  $s(x)$  is of  
 the form  $\frac{a}{b}$  with  $a, b \in R$

By clearing the denominators we can write  
 $f(x) = \frac{r_1(x)s_1(x)}{d}$  with  $d \in R$ .

so that  $d f(x) = r_1(x)s_1(x)$  with  $r_1, s_1 \in R[x]$   
 and  $\deg r_1 = \deg r$ ,  $\deg s_1 = \deg s$ .

By lemma 1.14 we can also factor out the contents of  $r_1(x), s_1(x)$  to write

$$r_1(x) = c_1 r_2(x), \quad s_1(x) = c_2 s_2(x)$$

with  $c_1, c_2 \in R$ ,  $r_2(x), s_2(x) \in R[x]$  and primitive

Similarly for  $f$  we write  $f = cg(x)$  with  $c \in R$ ,  $g \in R[x]$ ,  $g$  primitive

Then we have  $dcf(x) = dcg(x) = (c_1 c_2) r_2(x) s_2(x)$

By Gauss lemma  $r_2 s_2$  is also primitive.

Hence looking at contents on both sides we get  $c_1 c_2 = dc u$  for some unit  $u$ .

and  $dcg(x) = dc u r_2(x) s_2(x)$

which gives

$$f(x) = cg(x) = c u r_2(x) s_2(x)$$

We've shown that if  $f$  factors non-trivially in  $F[x]$  into polys of smaller degree, then  $f$  factors into polynomials of the same degrees in  $R[x]$  and possibly an elt of  $R$ , thus  $f$  is reducible in  $R[x]$ .

Thus  $f(x)$  irred in  $R[x] \Rightarrow f$  is irred in  $F[x]$ .

A non constant poly which is primitive in  $R[x]$

and irreducible in  $F[x]$  is irreducible in  $R[x]$ . Because if  $f(x)$  were reducible

then  $f(x) = r(x)s(x) \in R[x] \subset F[x]$ .  
with  $\deg r < \deg f$  since  $f$  is primitive  
 $\deg s < \deg f$

and this would be a factorization of  $f$  in  $F[x]$  which we assumed is irred in  $f$ .

Rmk. For non primitive  $f \in R[x]$   
 $f(x)$  can be reducible in  $R[x]$  and irreducible in  $F[x]$   
eg  $5x = (5)(x) \in \mathbb{Z}[x]$  neither factor is a unit, hence reducible  
since 5 is a unit in  $\mathbb{Q}$ , it is irreducible in  $\mathbb{Q}[x]$

The Prop 1-16 says this is the only difference between the irreducible elts in  $R[x]$  and those in  $F[x]$ .

Finally an application of Prop 1-16 gives

Thm 1-17. If  $R$  is a UFD, then so is  $R[x]$

Proof. let  $f \in R[x]$ ,  $f \neq 0$ , and non-unit  
if  $\deg f = 0$  then we are done since  $R$  is a UFD. Suppose  $\deg f > 0$   
Viewing  $f \in F[x]$ , which is a UFD  
 $f(x) = p_1(x) \dots p_r(x)$ , with  $p_i(x) \in F[x]$