

## §2.1 Definitions and basic theorems.

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Theory of field extensions show  
none is possible

We start with some definitions.

Defn ① If  $F \subseteq K$  is a subfield of a field  $K$ , then  $K$  is called an extension of  $F$  and we write  $K = F$   
or  $K/F$

② Let  $K = F$  be a field extension and  $A \subseteq K$  a subset of  $K$ .  
Then we write

$F[A] =$  intersection of all subrings of  $K$   
which contains  $A$  and  $F$

$F[A] =$  smallest subring of  $K$  which  
contains  $F$  and  $A$

$F(A) =$  intersection of all subfields of  $K$   
containing  $A$  and  $F$

$F(A) =$  smallest subfield of  $K$  containing  
 $F$  and  $A$

③ An extension  $K = F$  is called simple  
if  $\exists a \in K$  such that  $K = F(a)$   
 $a$  is called a primitive element

④ If we have 2 extensions  $[K = F]$  and  
 $[L = K]$  then  $K$  is called an intermediate  
field of the extension  $[L = F]$

Ex  $\mathbb{R}:\mathbb{Q}$ ,  $\mathbb{C}:\mathbb{R}$  are field extensions  
 $\mathbb{C}:\mathbb{Q}$

We have the following simple lemma

Lemma 2.1 let  $K:F$  be a field extension  
Then  $K$  is a  $F$ -vector space.

Pf  $(K, +, 0)$  is an abelian group  
and the restriction of multiplication in  $K$   
to  $F$  defines a scalar multiplication

$$F \times K \rightarrow K$$

$$\lambda, x \mapsto \lambda x \quad \text{and the distributive}$$

laws  $(\lambda + \mu)x = \lambda x + \mu x$

$$\lambda(x + y) = \lambda x + \lambda y \quad \text{as well as}$$

associative law  $(\lambda\mu)x = \lambda(\mu x)$ ,  $1 \cdot x = x$

hold, hence  $K$  is a  $F$ -vector space.

Defn Let  $K:F$  be a field extension

The degree of the field extension is  
defined as the dimension of  $K$  as a  $F$ -vector  
space and is denoted by  $[K:F]$

$$= \dim_F K$$

We write  $[K:F] = \infty$  if it is  
not a finite dim'l vector space.

We say the field extension is finite  
if  $\dim_F K = [K:F] < \infty$ .

The degree of field extensions behave multiplicatively

Thm 2.2 if  $K$  is an intermediate field of a field extension  $[L:F]$  then

$$[L:F] = [L:K][K:F]$$

with the convention that  $n \cdot \infty = \infty \quad \forall n \in \mathbb{Z}_{>0}$

In particular  $L:F$  is finite iff  $L:K$  is finite and  $K:F$  is finite

Proof If  $L/K$  or  $K/F$  is not finite then  $L/F$  is not finite

for example if  $K/F$  is infinite then there are  $\infty$  many elements of  $K$  hence of  $L$  which are lin. independent over  $F$  so  $[L:F]$  is infinite.

Similarly if  $L/K$  is infinite then there are  $\infty$  many elts of  $L$  lin indep. over  $K$  so certainly lin. indep over  $F$ . Hence  $[L:F]$  is infinite.

So we can assume  $L/K$  and  $K/F$  are both finite.

Let  $\{x_1, \dots, x_n\}$  be a basis of  $K/F$  and  $\{y_1, \dots, y_m\}$  " " "  $L/K$ .

Then Claim:  $\{x_i y_j \mid i=1, \dots, n, j=1, \dots, m\}$  is a basis of  $L/F$ .

Ppf of claim (a) They generate  $L$  over  $F$ :  
Since  $\forall y \in L$  then

$$y = \sum_{j=1}^m b_j y_j \quad \text{with } b_j \in K$$

For all  $j$ ,  $b_j \in K$  we have

$$b_j = \sum_{i=1}^n a_{ij} x_i, \quad a_{ij} \in F$$

Thus we get 
$$y = \sum_{j=1}^m \sum_{i=1}^n a_{ij} x_i y_j$$

Hence  $\{x_i y_j\}_{i,j}$  generate  $L$  over  $F$ .

(b) They are lin. indep over  $F$

Since  $\forall \sum_{i,j} a_{ij} x_i y_j = 0$  w/  $a_{ij} \in F$

Then since  $\{y_j\}$ 's are lin indep over  $K$

$$\sum_{i=1}^n a_{ij} x_i = 0 \quad \forall j$$

Since  $\{x_i\}$ 's are lin indep /  $F$

$$a_{ij} = 0 \quad \forall i,j$$

Question: How can we construct extensions of fields?

Answer: We obtain field extensions  $K, F$  as try to solve polynomial equations over  $\mathbb{Q}$

eg  $p(x) = x^2 - 2x - 1 \in \mathbb{Q}[x]$   
can we solve  $p(x) = 0$  in  $\mathbb{Q}$

Completing squares gives

$$0 = x^2 - 2x - 1 = (x-1)^2 - 2$$

$\Rightarrow (x-1)^2 = 2$ . Since 2 is not a square in  $\mathbb{Q}$ ,  $p(x) = 0$  has no soln in  $\mathbb{Q}$

It can be solved in  $\mathbb{R}$  but

can we do this more economically?

Indeed  $\mathbb{Q}(\sqrt{2}) = \{ a + b\sqrt{2} \mid a, b \in \mathbb{Q} \}$

is a field which is much smaller than  $\mathbb{R}$

and  $p(x) = (x - 1 + \sqrt{2})(x - 1 - \sqrt{2})$  factors in  $\mathbb{Q}(\sqrt{2})[x]$

$x^2 - 2x - 1$  is irred in  $\mathbb{Q}[x]$  but in  $\mathbb{Q}(\sqrt{2})[x]$  or  $\mathbb{R}[x]$  factors into linear factors.

This suggests the next question

Question: Given a poly  $p(x) \in F[x]$

is there a larger field  $K$  such that  $p$  has a zero in  $K$

or going further is there a large field  $L$  s.t.  $p(x)$  can be written as a product of linear factors, if yes can we

do this economically, i.e.  $L$  is the smallest such field. (Such a field  $L$  will be called the splitting field of  $p(x)$ .)

The first theorem in this direction is

Theorem 2.3. (Kronecker). Let  $F$  be a field, let  $p(x) \in F[x]$  be an irreducible polynomial. Then  $\exists$  a field  $K$  containing an isomorphic copy of  $F$  in which  $p(x)$  has a root

Proof: Since  $p(x)$  is irreducible the ideal  $I = (p(x))$  is maximal in the PID  $F[x]$ . Let  $K := F[x]/I = F[x]/(p(x))$ . Then  $K$  is a field

Consider the canonical map  $\pi: F[x] \rightarrow F[x]/I = K$

$\pi|_F$  gives a hom  $\pi|_F: F \rightarrow K$

Since  $\pi|_F$  is a hom of fields, it is injective

Recall Lemma:  $\varphi: F \rightarrow K$  a hom of fields  
Then  $\varphi$  is injective  
Proof:  $\ker \varphi$  is an ideal of  $F$ , a field  
Only ideals of a field is  $0, F$   
Since  $\varphi(1) = 1 \neq 0$ ,  $\ker \varphi \neq F$   
and  $\ker \varphi = 0$ ,  $\varphi$  injective

Hence  $F \cong \pi(F) \subset K$  and  $K$  contains an isomorphic copy of  $F$ .  
 It remains to show that  $K$  has a root of  $p(x)$ .

Let  $\alpha := \pi(x) = \bar{x} \in K = F[x]/I$

ie  $\alpha = x + (p(x)) = x + I$

If  $p(x) = a_0 + a_1x + \dots + a_nx^n$

then 
$$\begin{aligned}
 p(\alpha) &= p(\bar{x}) = a_0 + I + a_1(x + I) \\
 &\quad + \dots + a_n(x + I)^n \\
 &= (a_0 + a_1x + \dots + a_nx^n) + I \\
 &= p(x) + I = p(x) + (p(x)) = 0_K.
 \end{aligned}$$

Ex let  $p(x) = x^2 + 1 \in \mathbb{R}[x]$ . Has no zeroes in  $\mathbb{R}$  hence irred.  
 $K = \mathbb{R}[x]/(x^2 + 1)$

Identify  $r \in \mathbb{R}$  w/  $r + (x^2 + 1) \in \mathbb{R}[x]/(x^2 + 1)$   
 to view  $\mathbb{R}$  as a subfield of  $K$

Let  $\alpha = x + (x^2 + 1) \in K$ . Then

$$\alpha^2 + 1_K = (x^2 + (x^2 + 1)) + (1 + (x^2 + 1))$$

$$= x^2 + 1 + (x^2 + 1) = 0_K$$

ie  $\alpha$  is a zero of  $x^2 + 1$