

Rank

If M is a free module with basis $\{a_1, \dots, a_n\}$

then we can look at the submodule $M_i = Ra_i$ (the submodule generated by a_i)

If R is regarded as a module over itself, then $r \mapsto ra_i$ is an R -module isomorphism of R and M_i because $\{a_i\}$ is a linearly independent set

Since $\{a_i\}$ span M it follows that M is the sum of submodules M_i and by lin. independence of a_i 's the sum is direct. Thus a free module of rank n is a direct sum of copies of underlying ring R .

Conversely, a direct sum of copies of R , R^n , is a free R -module

If $e_i = (0, \dots, 1, \dots, 0)$ then $\{e_i\}$ form a basis of R^n .
 \uparrow i th position

This is why in some references (eg Pink's note) one has as defn of free module of rank n Any module M which is isom. as R -module to R^n .

If R is comm and $R^n \cong R^m$ then $n=m$

Prop 9.5 For any set A , there is a free R -module $M(A)$ on the set A and $M(A)$ satisfies the following universal property: if N is any R -module and $\varphi: A \rightarrow N$ is any map of sets then \exists a unique R -module hom $\Phi: M(A) \rightarrow N$ s.t. $\Phi(a) = \varphi(a) \forall a \in A$ is

the following diagram commutes

$$\begin{array}{ccc} A & \xrightarrow{\text{inclusion}} & M(A) \\ & \searrow \varphi & \downarrow \Phi \\ & & N \end{array}$$

When A is the finite set $\{a_1, \dots, a_n\}$

$$M(A) = R a_1 \oplus \dots \oplus R a_n \cong R^n.$$

Proof If $A = \emptyset$ let $M(A) = \{0\}$.

If $A \neq \emptyset$ let $M(A)$ be the collection of all set functions $f: A \rightarrow R$ s.t. $f(a) = 0$ for all but finitely many $a \in A$.
Make $M(A)$ into an R -module by pointwise addition of functions and p.w multiplication of a ring element times a function.

$$\begin{aligned} (f+g)(a) &= f(a) + g(a) \\ (rf)(a) &= r f(a) \end{aligned} \quad \forall a \in A, r \in R, f, g \in M(A)$$

Check that all R -module axioms hold.

We can identify A as a subset of $M(A)$ by
 $a \mapsto f_a$

where $f_a = A \rightarrow R$ with $f_a(a) = 1$, $f_a(b) = 0$
 $\forall b \neq a$

then $M(A)$ can be thought as all
finite R -linear combinations of elements of A
by identifying each function f with the
sum $\sum r_i a_i$ where

$f(a_i) = r_i$ and zero for other els of A .

Each elt of $M(A)$ has a unique expression as
a formal sum

If $\varphi: A \rightarrow N$ is a map of the set A into the
 R -module N , define

$\Phi: M(A) \rightarrow N$ by

$$\sum_{i=1}^n r_i a_i \mapsto \sum_{i=1}^n r_i \varphi(a_i)$$

By uniqueness of the expression for elts of $M(A)$
as linear combinations Φ is a well-defined R -mod hom.
Clearly $\Phi|_A = \varphi$. Once we know the values of an
 R -mod hom on A its values on every elt of $M(A)$
are uniquely determined, hence Φ is the unique ext of φ

Remark If M is a free module with basis $\{x_i\}$ and N any module, we can construct a module homomorphism from M to N by specifying $f(x_i) = y_i \in N$ arbitrarily on basis elements and extend linearly, just as we would do in the case of vector spaces.

We can turn this process around to see that

If N is an arbitrary module, then N is a homomorphic image of a free module

For this we need a set of generators

$\{y_i, i \in I\}$ for N . (We can take y_i to be all elts of N) We then construct

a free module with basis $\{x_i, i \in I\}$

To do this take the direct sum of copies of R , as many copies as there are elements of I , and map x_i to y_i for each i .

By the isomorphism theorem every module N is a quotient of a free module

let N be a free R -module of rank n with basis $\{w_1, \dots, w_n\}$ and M a free module of rank m with basis $\{v_1, \dots, v_m\}$

let $\varphi: M \rightarrow N$ be a module hom.
We can then represent φ by a $n \times m$ matrix as in the case of lin transformations on a finite dim'l vector space

For each j , $\varphi(v_j)$ is a lin combination of w_i 's so that
$$\varphi(v_j) = \sum_{i=1}^n a_{ij} w_i \quad j=1, \dots, m.$$

with $a_{ij} \in R$ gives a matrix

$$A \in \text{Mat}_{n \times m}(R)$$

Conversely any matrix $A \in \text{Mat}_{n \times m}(R)$ gives a hom $L_A: M \rightarrow N, m \mapsto A \cdot m$, where we write M (resp N) as the set of all m (resp n) tuples in R .

Rmk. $\text{Hom}_R(M, N)$ is not necessarily an R -module! if R is not commutative

If $\varphi \in \text{Hom}_R(M, N)$, $s \in R$, we can define $s\varphi$ in a natural way as $s\varphi(x) = s\varphi(x)$
But if we try to check that $s\varphi \in \text{Hom}_R(M, N)$ we see that we need $\tilde{\varphi}(rx) = r\tilde{\varphi}(x)$ for $\tilde{\varphi} = s\varphi$

but

$$(s\varphi)(rx) = s\varphi(rx) = sr\varphi(x) \quad \text{and}$$

$$r(s\varphi)(x) = rs\varphi(x) \quad \text{and if } R \text{ is not comm.}$$

then they don't have to be equal!

Examples ① $R = \mathbb{Z}$, the free module on a set A is the free abelian group on A .

if $|A| = n$ then $M(A)$ is the free abelian group of rank n and is isomorphic to $\mathbb{Z} \oplus \dots \oplus \mathbb{Z} = \mathbb{Z}^n$.

② $R[T]$ - polynomials in T , is an R -module

$\{1, T, T^2, \dots\}$ span $R[T]$ since every poly is a finite lin. comb. of powers of T .

$R[T]$ does not have a finite spanning set as an R -module

Note $\{1, T, T^2, \dots\}$ is also a basis

As an $R[T]$ module (rather than an R -module) $R[T]$ has a finite spanning set, namely $\{1\}$

③ $M = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ is not free as a \mathbb{Z} -module

an elt: $m \in \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ can be written uniquely as $m_1 + m_2$ with $m_1, m_2 \in \mathbb{Z}/2\mathbb{Z}$ but it does not have a unique representation as $r_1 a_1 + r_2 a_2$ with $r_1, r_2 \in \mathbb{Z}$, $a_1, a_2 \in \mathbb{Z}/2\mathbb{Z}$

For example $(1, 1) \in \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$

has a rep. $(1, 1) = 1(1, 0) + 1(0, 1)$

but also the rep

$$(1, 1) = 3(1, 0) + 5(0, 1)$$

Note the difference between the uniqueness property of direct sums and the uniqueness property of free modules.

In the direct sum of 2 modules, say $M_1 \oplus M_2$ each elt of $M = M_1 \oplus M_2$ is uniquely written as $m_1 + m_2$, $m_1 \in M_1$, $m_2 \in M_2$. Here uniqueness refers to the module elts m_1, m_2 .

In the case of free modules, the uniqueness is on the ring elements as well as module elts.

Every non-zero finitely generated vector space has a basis but

(24)

④ Some finitely generated modules do not have a basis!

eg let $R = \mathbb{Z}[\sqrt{-5}]$,

$I = (2, 1 + \sqrt{-5})$ the ideal in R generated by 2 and $1 + \sqrt{-5}$

Then $\{2, 1 + \sqrt{-5}\}$ span I as an R -module (by definition) but this subset is linearly dependent

$$2a + b(1 + \sqrt{-5}) = 0 \quad \text{with } a = 1 + \sqrt{-5} \\ b = -2$$

More generally all pairs $x, y \in I$ are lin. dependent over R

$$ax + by = 0 \quad \text{with } a = y, b = -x.$$

with a, b non zero if x, y non-zero

if one of x or $y = 0$ then one of a, b is non-zero.

if $x = y = 0$ then can take $a = b = 1$.

So any lin. indep. set of I must have only 1 member which means if I has a basis it has 1 elt.

But if $\{a\}$ is a basis of I as an R -module it means $I = Ra$

ie I is principal but one can show that I is not principal

$(2, 1 + \sqrt{-5}) \in \mathbb{Z}[\sqrt{-5}]$ is not principal.

Suppose $(2, 1 + \sqrt{-5}) = (\alpha)$ for some $\alpha \in \mathbb{Z}[\sqrt{-5}]$

Then since $2 \in (\alpha)$, $\alpha \mid 2$ in $\mathbb{Z}[\sqrt{-5}]$.
 Taking norms in $2 = \alpha\beta$ we get
 $N(\alpha) \mid 4$ in \mathbb{Z} .

Similarly $N(\alpha) \mid N(1 + \sqrt{-5}) = 6$

Hence $N(\alpha) \mid 2 \Rightarrow N(\alpha) = 2$ or 1

but $a^2 + 5b^2 = 2$ has no soln in integers
 Hence $N(\alpha) = 1 \Rightarrow \alpha$ is a unit

and $(2, 1 + \sqrt{-5}) = \mathbb{Z}[\sqrt{-5}]$

$\Rightarrow \exists x, y \in \mathbb{Z}[\sqrt{-5}]$ s.t. $2x + (1 + \sqrt{-5})y = 1$

Multiplying both sides w $1 - \sqrt{-5}$ gives

$$2(1 - \sqrt{-5})x + 6y = 1 - \sqrt{-5}$$

$2 \mid$ LHS hence $2 \mid (1 - \sqrt{-5})$ in $\mathbb{Z}[\sqrt{-5}]$

$\Rightarrow 2(a + b\sqrt{-5}) = 1 - \sqrt{-5}$ for some $a, b \in \mathbb{Z}$

$$\Rightarrow 2a = 1 \quad \uparrow$$

Examples for vector spaces versus Modules.

① In a vector space every non-zero elt $\{v\}$ is a linearly indep. set
In a module this does not hold.

let $M = \mathbb{Z}/n\mathbb{Z}$ as a \mathbb{Z} -module
 $x \in M, x \neq 0$
we then have $n \cdot x = 0$
so $\{x\}$ is lin. dependent

Hence every non-empty subset of M is also lin. dependent.

Note this also shows that M has no basis since linear span of empty set is defined to be $\{0\}$.
(by convention a lin comb. of no vectors sums to 0)

② In a fin. gen. vector space a maximal linearly independent subset is a spanning set

Not true for modules

eg. \mathbb{Z} as a \mathbb{Z} -module has $\{2\}$ a maximal lin. indep. set

Since for any 2 elements $x, y \in \mathbb{Z}$ $ax + by = 0$ with $a=y, b=-x$, they are lin. dependent

but $\{2\}$ is not a spanning set since $2\mathbb{Z} \neq \mathbb{Z}$.

(3) In a (fn-gen) vector space a minimal spanning set is lin. indep.

In a module this is false

eg \mathbb{Z} as a \mathbb{Z} -module

$\{2, 3\}$ span \mathbb{Z} since for any $n \in \mathbb{Z}$

$$1 = 3n - 2n$$

and is minimal since neither $\{2\}$ nor $\{3\}$

span \mathbb{Z} , but $\{2, 3\}$ is lin. dep. as

$$2 \cdot 3 - 3 \cdot 2 = 0.$$

(4) If V, W are finite dim'l vector spaces over a field F with same dimension

$\varphi: V \rightarrow W$ is linear map. Then we have that

if φ is injective then φ is surjective.

For modules this is

False. View \mathbb{Z} as \mathbb{Z} -module with

basis $\{1\}$ let $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}$

$$n \mapsto 2n$$

It is injective but not surjective.

5) In a vector space every lin indep sset can be enlarged to a basis and every spanning set contains a basis.
Not true in modules

\mathbb{Z} as a \mathbb{Z} -module has basis $\{1\}$.

$\{2\}$ is a lin indep sset of \mathbb{Z} which can't be enlarged to a basis

$\{2, 3\}$ is a spanning set of \mathbb{Z} that doesn't contain a basis.

There are many examples of modules that do not have basis.

eg

\mathbb{Q} as a \mathbb{Z} -module

A subset with 1 elt doesn't span \mathbb{Q} since if $r = \frac{p}{q} \in \mathbb{Q}$

then for $m \in \mathbb{Z}$, $|mr| > \left| \frac{1}{2q} \right|$

so $\frac{1}{2q} \notin \text{span}\{r\}$ so $\{r\}$ cannot be a basis

A subset with 2 elts or more is lin depen.

let $S \in \mathbb{Q}$ with $|S| \geq 2$, let $r_1 = \frac{p_1}{q_1}$, $r_2 = \frac{p_2}{q_2} \in S$

then $(q_1 p_2) r_1 - (q_2 p_1) r_2 = 0$, so S is a dependent set.

Even for free modules,

for modules with basis, we have differences to vector spaces.

(6) In a vector space with a finite basis, a subspace also has a finite basis.

Not true for modules.

Let $M = \mathbb{Z}[\sqrt{-5}]$ as a $\mathbb{Z}[\sqrt{-5}]$ -module

$I = (2, 1 + \sqrt{-5})$ a submodule

M has basis $\{1\}$, I is finitely generated but has no basis.

(7) A subspace of a vector space with the same finite dimension need not be the entire space.

We can have a free module of rank n which contains a free module of some rank.

$$\text{eg let } R = \mathbb{Z}, \quad M = \mathbb{Z}^d \\ N = (\mathbb{Z})^d \quad d \geq 1 \quad N \subsetneq M$$

but has same rank

More generally R any I-domain, which is not a field, $a \in R \setminus R^\times$, $a \neq 0$ $M = R^d$
 $N = (Ra)^d$ has same rank as R -modules.

Finally

The following example is also fundamental and is the basis for the study of canonical forms of matrices.

Example let F be a field, V a vector space over F , $T: V \rightarrow V$ a linear transformation.

We can make V into a $F[x]$ module using T as follows:

We define an action of ring element $p(x) \in F[x]$ on the module element $v \in V$ by

$$p(x)v := (a_n T^n + a_{n-1} T^{n-1} + \dots + a_1 T + a_0)v$$

$$= a_n T^n(v) + a_{n-1} T^{n-1}(v) + \dots + a_0 v.$$

$(p(x) = a_n x^n + \dots + a_0)$ i.e. $p(x)$ acts by substituting the linear transformation T for x in $p(x)$ and applying the resulting linear transformation to v .

Here $T^n = T \circ T \circ \dots \circ T$ (n times)
 $T^0 = I$

It is easy to check that this multiplication of $F[x]$ on V satisfy the module axioms.

The way $F[x]$ act on V depends on the choice of T . So there are many different $F[x]$ -module structures on the same vector space V .

The construction of an $F[x]$ -module from a vector space V over F and a lin transformation T describes all $F[x]$ -modules.

An $F[x]$ -module is a vector space together with a lin transformation which specifies the action of x .

This is because if V is an $F[x]$ -module then it is an F -module, hence a vector space.

And the action of the ring element x on V is a linear transformation T from V to V .

The axioms for a module ensure that the actions of F and x on V uniquely determine the action of any element of $F[x]$ on V .

Thus there is a bijection between

$$\left\{ V \text{ an } F[x]\text{-module} \right\} \longleftrightarrow \left\{ \begin{array}{l} V \text{ a v.s. over } F \\ \text{and} \\ T: V \rightarrow V \text{ a lin} \\ \text{transformation} \end{array} \right\}$$

given by the element x acts on V as the lin transformation T .

let V be an F -vector space viewed as a $F[x]$ module using 2 different linear transformations represented as matrices

$$A, B \in \text{Mat}_{n \times n}(F)$$

let V_A be V with multiplication by $F[x]$ given as $x \cdot v := Av$

and V_B be V " " " " " "
 $x \cdot v := Bv$

Then we have

Thm 9.5 As $F[x]$ modules $V_A \cong V_B$ if and only if $B = UAU^{-1}$ for some $U \in GL_n(F)$

Proof Exercise