

Reminder:

We fix a field  $K$  with a complete nonarchimedean absolute value  $|\cdot|$  with valuation ring  $\mathcal{O}_{\mathfrak{p}}$ , with maximal ideal  $\mathfrak{p}$ , and with residue field  $k := \mathcal{O}_{\mathfrak{p}}/\mathfrak{p}$ .

**Theorem 9.1.3:** Any norms on a finite dimensional  $K$ -vector space are equivalent and complete.

**Proposition 9.2.3:** (Hensel's Lemma) Consider a primitive  $f \in \mathcal{O}_{\mathfrak{p}}[X]$  and a decomposition  $(f \bmod \mathfrak{p}) = \bar{g} \cdot \bar{h}$  with coprime polynomials  $\bar{g}, \bar{h} \in k[X]$ . Then there exist  $g, h \in \mathcal{O}_{\mathfrak{p}}[X]$  with  $(g \bmod \mathfrak{p}) = \bar{g}$  and  $(h \bmod \mathfrak{p}) = \bar{h}$  and  $\deg(g) = \deg(\bar{g})$  and  $f = g \cdot h$ .

**Corollary 9.2.5:** Consider any irreducible  $f(X) = \sum_{i=0}^n a_i X^i \in K[X]$  with  $a_n \neq 0$ .

Then  $|f| = \max\{|a_0|, |a_n|\}$ .

**Theorem 9.2.6:** Consider any finite field extension  $L/K$  of degree  $n$ .

- (a) There exists a unique absolute value on  $L$  which extends  $|\cdot|$ .
- (b) This is given by the formula  $|y| = |\text{Nm}_{L/K}(y)|^{1/n}$ .
- (c) This extension is again nonarchimedean and complete.

Proof: let  $\tilde{\mathcal{O}}$  be the integral closure of  $\mathcal{O}_{\mathfrak{p}}$  in  $L$ .

Claim:  $\forall y \in L: y \in \tilde{\mathcal{O}} \Leftrightarrow \text{Nm}_{L/K}(y) \in \mathcal{O}_{\mathfrak{p}}$ .

Any extension is a norm on the  $K$ -vector space  $L$ .

$\Rightarrow$  complete and unique up to equivalence.

$\Rightarrow$  unique up to a power.

$\Downarrow$   
exponent = 1.

$\Rightarrow$  uniqueness.

qed.

Proof: " $\Rightarrow$ ": See earlier chapter.

" $\Leftarrow$ ":  $f \in K[X]$  min. pol. of  $\gamma \Rightarrow f$  monic & irred.  $\Rightarrow |f| = \max\{|a_0|, 1\}$ .  
 $f = \sum_{i=0}^n a_i X^i$  (9.2.5)

$$L/K(\gamma)/K, \quad \underbrace{N_{L/K}(\gamma)}_{\substack{\uparrow \\ \mathcal{O}_\gamma}} = N_{K(\gamma)/K} \left( \underbrace{N_{L/K(\gamma)}(\gamma)}_{\substack{\uparrow \\ \gamma [L/K(\gamma)]}} \right) = N_{K(\gamma)/K}(\gamma) \underbrace{=}_{\substack{\parallel \\ \pm a_0}}$$

$$\Rightarrow a_0 \in \mathcal{O}_\gamma \Rightarrow |a_0| \leq 1 \Rightarrow |f| = 1 \Rightarrow f \in \mathcal{O}_\gamma[X].$$

$$\Rightarrow \gamma \in \tilde{\mathcal{O}}.$$

qed.

For any  $\gamma \in L$  set  $|\gamma| := |N_{L/K}(\gamma)|^{1/[L:K]}$ .

This is a map  $L \rightarrow \mathbb{R}^{\geq 0}$   
 which extends l.l.

$$\gamma \in K \Rightarrow N_{L/K}(\gamma) = \gamma^{[L:K]}$$

(a)  $|\gamma| = 0 \Leftrightarrow N_{L/K}(\gamma) = 0 \Leftrightarrow \gamma = 0.$

(b)  $|xy| = |x| \cdot |y|$  because  $N_{L/K}(xy) = N_{L/K}(x) \cdot N_{L/K}(y).$

(c)  $|x+y| = \dots$  |wlog  $|x| \geq |y|$ .  
 $\Rightarrow |x+y| \leq |x| + |y|$   $\Rightarrow |y/x| \leq 1$   
 $|x| \cdot |1 + y/x| \leq |x|$   $\Rightarrow N_{L/K}(y/x) \in \mathcal{O}_\gamma \Rightarrow y/x \in \tilde{\mathcal{O}}$   $\Rightarrow 1 + y/x \in \tilde{\mathcal{O}} \Rightarrow N_{L/K}(1 + y/x) \in \mathcal{O}_\gamma$   
 $= \max\{|x|, |y|\}.$   $\Rightarrow |1 + y/x| \leq 1.$

### 9.3 Newton Polygons

$$v(\alpha) = \frac{1}{n} \cdot v(a_0)$$

Assume that  $| \cdot |$  is complete and nonarchimedean and associated to a valuation  $v$ .

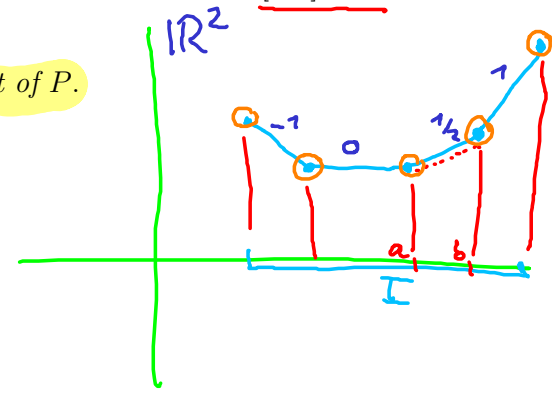
**Proposition 9.3.1:** For any irreducible monic polynomial  $f(X) = X^n + \sum_{i=0}^{n-1} a_i X^i \in K[X]$  and any zero  $\alpha$  in an algebraic closure of  $K$  we have  $|\alpha| = |a_0|^{1/n}$ .

Proof: Let  $L := K(\alpha) \Rightarrow \uparrow$  *min. pol. of  $\alpha$  over  $K$*   $\Rightarrow [L/K] = n$ .  
 $\Rightarrow |\alpha| = |N_{L/K}(\alpha)|^{\frac{1}{n}} = |\pm a_0|^{\frac{1}{n}} = |a_0|^{\frac{1}{n}}$ . qed

**Definition 9.3.2:** (a) A *convex polygon*  $P \subset \mathbb{R}^2$  is the graph of a piecewise linear convex function  $I \rightarrow \mathbb{R}$  for some closed interval  $I$ .

(b) If such  $P$  contains a straight line segment of slope  $\xi$  over the maximal interval  $[a, b] \subset I$  with  $a, b$ , then  $\xi$  is called a *slope of  $P$  of multiplicity  $b - a$* .

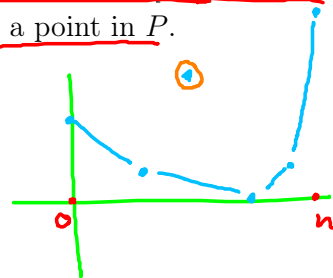
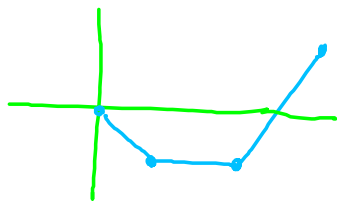
(c) A point on  $P$  where the slope changes is called a *break point of  $P$* .



Fix a polynomial  $f(X) = \sum_{i=0}^n a_i X^i \in K[X]$  with  $a_0, a_n \neq 0$  and consider the finite set

$$S := \{(i, v(a_i)) \mid 0 \leq i \leq n \text{ with } a_i \neq 0\}. \subset \mathbb{R}^2$$

**Definition 9.3.3:** The *Newton polygon* of  $f$  is the unique convex polygon over the interval  $[0, n]$  with all end points and break points in  $S$ , such that each point of  $S$  lies vertically above a point in  $P$ .



**Proposition 9.3.4:** Write  $f(X) = a_n \cdot \prod_{i=1}^n (X - \alpha_i)$  with  $\alpha_i \in \bar{K}$ . Then for every real number  $\xi$ , the multiplicity of  $\xi$  as a slope of the Newton polygon of  $f$  is the number of  $i$  with  $v(\alpha_i) = -\xi$ .

Proof: Let  $w_i := v(\alpha_i)$ ; wlog:  $w_1 \leq w_2 \leq \dots \leq w_n$ .

$$a_{n-i} = \pm a_n \cdot \sum_{1 \leq v_1 < v_2 < \dots < v_i \leq n} \alpha_{v_1} \dots \alpha_{v_i}$$

Since  $i=0$  or  $n$  or  $w_i < w_{i+1}$ . Then  $v(\alpha_{v_1} \dots \alpha_{v_i}) = w_1 + \dots + w_i < v(\alpha_{v_1} \dots \alpha_{v_i})$   
for all other terms

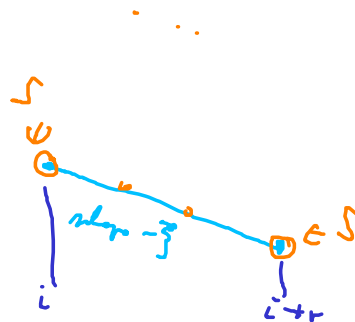
$$\Rightarrow \underline{v(a_{n-i}) = v(a_n) + w_1 + \dots + w_i.}$$

For arbitrary  $i$  we have  $v(a_{v_1} \dots a_{v_i}) \geq w_1 + \dots + w_i$

$$\Rightarrow \underline{v(a_{n-r}) \geq v(a_n) + w_1 + \dots + w_i}$$

So if  $\underline{w_i < w_{i+1} = \dots = w_{i+r} < w_{i+r+1}}$

$r$  nodes of value  $w_i$ .



See Th. 6.3. Parikh.

ged.