

Reminder:

We fix a nonarchimedean local field  $K$  with normalized valuation  $v$  and valuation ring  $\mathcal{O}$  and finite residue field  $k = \mathcal{O}/\mathfrak{m}$  of characteristic  $p$ . Take a finite galois extension  $L/K$  with galois group  $\Gamma$  and residue field  $\ell = \mathcal{O}_L/\mathfrak{m}_L$ . Let  $v_L$  denote the normalized valuation on  $L$ . Consider an intermediate field  $L'$  of  $L/K$  which is galois over  $K$ . Let  $\pi$  denote the canonical projection  $\Gamma \rightarrow \Gamma' := \text{Gal}(L'/K)$  with kernel  $\Delta := \text{Gal}(L/L')$ .

**Definition 11.4.1:** For every real number  $s \geq -1$  the  $s$ -th ramification group of  $L/K$  in the lower numbering is

$$\Gamma_s := \{ \gamma \in \Gamma \mid \forall a \in \mathcal{O}_L: v_L(\gamma a - a) \geq s + 1 \}.$$

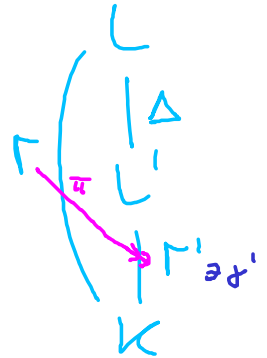
**Lemma 11.5.1:** There exists an element  $b \in L$  such that  $\mathcal{O}_L = \mathcal{O}[b]$ .

**Definition 11.5.2:** For any  $\gamma \in \Gamma$  we set  $i_{L/K}(\gamma) := v_L(\gamma b - b)$ .

**Lemma 11.5.3:** For any  $\gamma \in \Gamma$  and any  $s$  we have  $\gamma \in \Gamma_s$  if and only if  $i_{L/K}(\gamma) \geq s + 1$ .

**Proposition 11.5.4:** For any  $\gamma' \in \Gamma'$  we have

$$i_{L'/K}(\gamma') = \frac{1}{e_{L/L'}} \cdot \sum_{\gamma \in \pi^{-1}(\gamma')} i_{L/K}(\gamma).$$



$$[\Gamma_0 : \Gamma_x] = \frac{|\Gamma_0|}{|\Gamma_x|}$$

**Construction 11.5.5:** We are interested in the function

$$\eta_{L/K} : [-1, \infty[ \rightarrow [-1, \infty[, \quad s \mapsto \int_0^s \frac{dx}{[\Gamma_0 : \Gamma_x]}.$$

$$-1 < x < 0; \quad \Gamma_x = \Gamma_0$$

Here for  $s < 0$  we interpret  $\int_0^s$  as  $-\int_s^0$ , and  $[\Gamma_0 : \Gamma_x]$  as  $[\Gamma_x : \Gamma_0]^{-1}$  for  $x < 0$ .

**Proposition 11.5.6:** The function  $\eta_{L/K}$  is strictly monotone increasing and bijjective.

*continuity.*

$$\eta_{L/K}(-1) = \int_0^{-1} \frac{dx}{[\Gamma_0 : \Gamma_x]} = - \int_{-1}^0 \frac{dx}{1} = -1 \quad \text{and} \quad \eta_{L/K}' \geq \frac{1}{|\Gamma|}$$

$$\Rightarrow \lim_{s \rightarrow \infty} \eta_{L/K}(s) = \infty.$$

**Proposition 11.5.7:** For any  $s \in [-1, \infty[$  we have

$$\eta_{L/K}(s) = \left[ \frac{1}{|\Gamma_0|} \cdot \sum_{\gamma \in \Gamma} \min\{i_{L/K}(\gamma), s+1\} \right] - 1 =: \Theta(s) \quad \text{continuity}$$

Proof:  $\Theta(0) = \frac{1}{|\Gamma_0|} \cdot \sum_{\gamma \in \Gamma} \min\{i_{L/K}(\gamma), 1\} - 1 = \frac{1}{|\Gamma_0|} \cdot \#\{\gamma \in \Gamma \mid i_{L/K}(\gamma) \geq 1\} - 1$

$\forall s \notin \mathbb{Z}$ :

$$\Theta'(s) = \frac{1}{|\Gamma_0|} \cdot \sum_{\gamma \in \Gamma} \begin{cases} 1 & \text{if } i_{L/K}(\gamma) \geq s+1 \\ 0 & \text{else} \end{cases} = \frac{|\Gamma_0|}{|\Gamma_0|} - 1 = 0 = \eta_{L/K}'(s)$$

$$= \frac{|\Gamma_s|}{|\Gamma_0|} = \frac{1}{[\Gamma_0 : \Gamma_s]} = \eta_{L/K}'(s) \quad \Rightarrow \quad \eta_{L/K} = \Theta. \quad \text{qed.}$$

**Theorem 11.5.8:** (Herbrand) For any  $s \in [-1, \infty[$  we have  $\pi(\Gamma_s) = \Gamma'_{\eta_{L/L'}(s)}$ .

Proof: Take  $\delta' \in \Gamma'$  and choose  $\delta \in \Gamma$  with  $\delta \rightarrow \delta'$  and  $i_{L/L'}(\delta)$  is maximal.

Claim:  $i_{L/L'}(\delta') - 1 = \eta_{L/L'}(i_{L/L'}(\delta) - 1)$ .

Proof: Set  $m := i_{L/L'}(\delta)$ . Then  $\forall \delta' \in \Delta$ :

either  $i_{L/L'}(\delta) \geq m \Rightarrow i_{L/L'}(\delta') \geq m \Rightarrow i_{L/L'}(\delta') = m$  by the choice of  $\delta$ .

or  $i_{L/L'}(\delta) < m \Rightarrow i_{L/L'}(\delta') = i_{L/L'}(\delta) < m$

$\Rightarrow$  In both cases  $i_{L/L'}(\delta') = \min\{i_{L/L'}(\delta), m\}$ .

$$\begin{aligned} \Rightarrow i_{L/L'}(\delta') &= \frac{1}{e_{L/L}} \sum_{\delta \in \Delta} i_{L/L'}(\delta) = \frac{1}{e_{L/L}} \sum_{\delta \in \Delta} \min\{i_{L/L'}(\delta), m\} \\ &= \frac{1}{|\Delta|} \sum_{\delta \in \Delta} \min\{i_{L/L'}(\delta), m\} \\ &\stackrel{\text{u.f. 7}}{=} \eta_{L/L'}(m-1) + 1 \end{aligned}$$

L  
Δ |  
L'  
|  
K

So  $\forall s \geq -1$ :

$\delta' \in \pi(\Gamma_s) \iff \delta \in \Gamma_s$   
 $\uparrow$   $\uparrow$   
 max. of  $\delta$   $\uparrow$   $\uparrow$  u.f. 3

$$i_{L/L'}(\delta) - 1 \geq s \iff \eta_{L/L'}(i_{L/L'}(\delta) - 1) \geq \eta_{L/L'}(s)$$

strict monotone?

$$\iff i_{L/L'}(\delta') - 1 \geq \eta_{L/L'}(s) \stackrel{\text{u.f. 3}}{\iff} \delta' \in \Gamma'_{\eta_{L/L'}(s)}$$

Claim

qed.

qed

**Proposition 11.5.9:** We have  $\eta_{L/K} = \eta_{L'/K} \circ \eta_{L/L'}$ .

Proof:  $\eta_{L/K}(a) = 0 = \eta_{L'/K}(a) = \eta_{L'/K}(\eta_{L/L'}(a))$

For  $s \notin \mathcal{R}$ :  $\eta_{L'/K}'(s) = \frac{1}{[\Gamma_s : \Gamma_s']} = \frac{|\Gamma_s|}{|\Gamma_s'|} = \frac{|\Gamma_s|}{e_{L'/K}} (*)$  With  $t := \eta_{L/L'}(s)$  we have

$1 \rightarrow \Delta \cap \Gamma_s \rightarrow \Gamma_s \rightarrow \Gamma_t' \rightarrow 1$  exact

$\Rightarrow \eta_{L'/K}'(s) \stackrel{(*)}{=} \frac{|\Delta \cap \Gamma_s|}{e_{L'/K}} = \frac{|\Gamma_t'|}{e_{L'/K}} \cdot \frac{|\Delta_s|}{e_{L/L'}} = \eta_{L'/K}'(t) \cdot \eta_{L/L'}'(s)$   
 $= \eta_{L'/K}'(\eta_{L/L'}(s)) \cdot \eta_{L/L'}'(s)$   
 $= (\eta_{L'/K} \circ \eta_{L/L'})'(s) \Rightarrow$  qed.

**Definition 11.5.10:** For any real number  $t \geq -1$  we define the  $t$ -th ramification group of  $L/K$  in the upper numbering as  $\Gamma^t := \Gamma_{\eta_{L/K}^{-1}(t)}$ .

**Proposition 11.5.11:** For any  $t \in [-1, \infty[$  we have  $\pi(\Gamma^t) = (\Gamma')^t$ .

Proof: With  $t = \eta_{L/L'}(s)$  and  $\pi(\Gamma^t) = \pi(\Gamma_s) = \Gamma_s' = (\Gamma')^t$

$\Leftrightarrow \eta_{L/L'}'(s) = t$

we get:

$t = \eta_{L/L'}'(s')$  for  $s' := \eta_{L/L'}(s)$

qed.

Now consider an arbitrary galois extension  $L/K$  which is not necessarily finite.

**Definition 11.5.12:** For any real number  $t \geq -1$  we define the  $t$ -th ramification group of  $L/K$  as

$$\text{Gal}(L/K)^t := \varprojlim_{L'} \text{Gal}(L'/K)^t,$$

where the limit extends over all intermediate fields  $L'$  that are finite and galois over  $K$ .

**Proposition 11.5.13:** For any intermediate field  $L'$  of  $L/K$  that is galois over  $K$  and any real number  $t \geq -1$  the restriction induces a surjection  $\text{Gal}(L/K)^t \twoheadrightarrow \text{Gal}(L'/K)^t$ .

show:  $\text{Gal}(L/K) \twoheadrightarrow \text{Gal}(L'/K)$

For all  $L''/L'/K$  Galois extension:  
 $\text{Gal}(L''/K)^t \twoheadrightarrow \text{Gal}(L'/K)^t$

The system is filtered.

Then  $\Rightarrow \varprojlim_{L''} \text{Gal}(L''/K)^t \twoheadrightarrow \text{Gal}(L'/K)^t$

Now take  $\varprojlim_{L'} \text{Gal}(L'/K)^t$

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