

Reminder: Let G be a finite cyclic group of order n with a generator g . For a commutative ring R we are interested in the ideal $I_G := (g - 1)$ of the group ring $R[G]$ and the element

$$N_G := \sum_{g' \in G} g' \in R[G].$$

Definition 12.1.1: For any $R[G]$ -module M we define the Tate cohomology groups:

$$\begin{aligned} \hat{H}^0(G, M) &:= M^G / N_G M, \\ \hat{H}^{-1}(G, M) &:= \ker(N_G|_M) / I_G M. \end{aligned}$$

Definition 12.1.2: If these are both finite, the Herbrand quotient of M is defined as

$$h(G, M) := \frac{|\hat{H}^0(G, M)|}{|\hat{H}^{-1}(G, M)|}.$$

Consider a finite cyclic field extension L/K with Galois group Γ . For any representation M of Γ we write

$$\hat{H}^i(L/K, M) := \hat{H}^i(\Gamma, M).$$

Proposition 12.2.2: We have $\hat{H}^i(L/K, L) = 0$ for each i .

Proposition 12.2.3: We have $\hat{H}^{-1}(L/K, L^\times) = 1$. (Hilbert theorem 90)

Proof: Let σ be a generator of $\Gamma = \text{Gal}(L/K)$, $|\Gamma| = n$

Take $x \in L^\times$ with $N_\Gamma(x) = \prod_{i=0}^{n-1} \sigma^i x = 1$.

To show: $\exists y \in L^\times: \frac{y}{\sigma y} = x \Leftrightarrow y = x \cdot \sigma y$

Take $\sum_{i=0}^{n-1} \left(\prod_{0 \leq j < i} \sigma^j x \right) \cdot \sigma^i \in \text{End}_{K\text{-vector space}}(L)$

This is $\neq 0$, because the σ^i are K -linearly independent.

$\hookrightarrow \exists z \in L \setminus \{0\}: y := \sum_{i=0}^{n-1} \left(\prod_{0 \leq j < i} \sigma^j x \right) \cdot \sigma^i(z) \in L^\times$

$$\begin{aligned} \boxed{x \cdot \frac{y}{\sigma y}} &= x \cdot \sum_{i=0}^{n-1} \left(\prod_{0 \leq j < i} \sigma^j x \right) \cdot \sigma^{i+1}(z) = \sum_{i=0}^{n-1} \left(\prod_{0 \leq j \leq i} \sigma^j x \right) \cdot \sigma^{i+1}(z) \\ &= \sum_{i=1}^n \left(\prod_{0 \leq j < i} \sigma^j x \right) \cdot \sigma^i(z) = \boxed{y} \end{aligned}$$

$$\prod_{0 \leq j < n} \sigma^j x \cdot \sigma^n z = N_\Gamma(x) \cdot z = z$$

$$y = \sum a_i \sigma^i z$$

qed.

Proposition 12.2.4: We have $\hat{H}^i(L/K, L^\times) = 1$ for each i if K is finite.

Proof: $\hat{H}^{-1} = 1$ by 12.2.3
 $|\hat{H}^0| = |\hat{H}^{-1}|$ by 12.1.4

$\hookrightarrow L^\times$ finite.

qed.

For the rest of this chapter we assume that K is a nonarchimedean local field with normalized valuation v_K and valuation ring \mathcal{O}_K and residue field $k = \mathcal{O}_K/\mathfrak{m}_K$ of characteristic p . We let v_L denote the normalized valuation on L and $\ell = \mathcal{O}_L/\mathfrak{m}_L$ its residue field.

Proposition 12.2.5: If L/K is unramified then:

- (a) The norm map $N_{L/K}: \mathcal{O}_L^\times \rightarrow \mathcal{O}_K^\times$ is surjective.
- (b) We have $\hat{H}^i(L/K, \mathcal{O}_L^\times) = 1$ for each i .
- (c) We have $\hat{H}^0(L/K, L^\times) \cong \mathbb{Z}/[L/K]\mathbb{Z}$.

Proof of (b): For any $n \geq 0$ set

$$U_L^n := \{x \in \mathcal{O}_L^\times \mid x \equiv 1 \pmod{\mathfrak{m}_L^n}\}$$

$$\Rightarrow U_L^n / U_L^1 \cong \ell^\times \text{ via } \Gamma \xrightarrow{\sim} \text{Gal}(\ell/k)$$

$$\stackrel{12.2.4}{\Rightarrow} \hat{H}^i(U_L^n / U_L^1) = 1 \text{ for all } i.$$

$$\forall n \geq 1: U_L^n / U_L^{n+1} \xrightarrow{\sim} \ell = \mathcal{O}_L / \mathfrak{m}_L$$

$$[1 + \mathfrak{m}_L^n \cdot \gamma] \longleftarrow \gamma$$

for $\bar{u} \in \mathfrak{m}_K$ uniformizer.
 $\Rightarrow \bar{u}$ uniformizer of L .

$$\Rightarrow \hat{H}^i(U_L^n / U_L^{n+1}) \cong \hat{H}^i(\ell) = 0 \text{ for all } i.$$

$$\text{2.2.4: } \Rightarrow \forall n \geq 0: \forall i: \hat{H}^i(\mathcal{O}_L^\times / U_L^n) = 1$$

$$\hat{H}^0 = (\mathcal{O}_L^\times)^\Gamma / N_\Gamma(\mathcal{O}_L^\times) = \mathcal{O}_K^\times / N_{L/K}(\mathcal{O}_L^\times)$$

$$\hookrightarrow (a) \Leftrightarrow \hat{H}^0 = 1. \leftarrow (b)$$

finite field!

$$1 \rightarrow \mathcal{O}_L^\times \rightarrow L^\times \xrightarrow{v_L} \mathbb{Z} \rightarrow 0$$

exact \Rightarrow exact

$$(b) \quad \hat{H}^0(\mathcal{O}_L^\times) \rightarrow \hat{H}^0(L^\times) \xrightarrow{\sim} \hat{H}^0(\mathbb{Z})$$

order n

$$\hat{H}^{-1}(\mathbb{Z}) \xleftarrow{\sim} \hat{H}^{-1}(L^\times) \xleftarrow{\sim} \hat{H}^{-1}(\mathcal{O}_L^\times)$$

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$$\Rightarrow \hat{H}^{-1}(\mathbb{Z}) = 0 \text{ and}$$

$$\hat{H}^0(L^\times) \cong \hat{H}^0(\mathbb{Z}) = \mathbb{Z} / N_\Gamma \mathbb{Z} = \mathbb{Z} / n\mathbb{Z}$$

$n = [L/K]$.

$$\hookrightarrow (b) \Rightarrow (c).$$

$$\mathcal{O}_L^\times = \varprojlim_n (\mathcal{O}_L^\times / U_L^n)$$

Take $x \in \mathcal{O}_L^x = (\mathcal{O}_L^x)^\Gamma$

Then $\forall \epsilon > 0 : [x] = 1$ in $\hat{H}^0(\mathcal{O}_L^x / \mathcal{U}_L^\epsilon)$

i.e. $\exists \gamma_n \in \mathcal{O}_L^x : x \equiv \sum_{n \in \mathbb{N}} \gamma_n$ mod \mathcal{U}_L^ϵ .

..... $\Rightarrow \exists \gamma \in \mathcal{O}_L^x : x = \sum_{n \in \mathbb{N}} \gamma_n$ i.e. $[x] = 1$ in $\hat{H}^0(\mathcal{O}_L^x)$

$\square \hat{H}^0(\mathcal{O}_L^x) = 1.$

By (*) we have $1 \rightarrow \hat{H}^0(L^x) \rightarrow \mathbb{Z}/u\mathbb{Z} \rightarrow \hat{H}^{-1}(\mathcal{O}_L^x) \rightarrow 1$

Also $L^x = \pi^{\mathbb{Z}} \times \mathcal{O}_L^x \cong \mathbb{Z} \times \mathcal{O}_L^x$

trivial action

$\Rightarrow \hat{H}^0(L^x) \cong \hat{H}^0(\mathbb{Z}) \times \hat{H}^0(\mathcal{O}_L^x)$

$\mathbb{Z}/u\mathbb{Z}$

$\Rightarrow \hat{H}^{-1}(\mathcal{O}_L^x) = 1.$

qed.

Proposition 12.2.6: For any cyclic L/K we have

$$|\hat{H}^0(L/K, L^\times)| = [L/K].$$

Proof: Claim: $h(G_L^\times) = 1$.

if this holds:

$$1 \rightarrow G_L^\times \rightarrow L^\times \xrightarrow{\varphi} \mathbb{Z} \rightarrow 0$$

$$\Rightarrow h(L^\times) = h(G_L^\times) \cdot h(\mathbb{Z}) = [L/K]$$

$$\hat{H}^{-1}(L^\times) = 1$$

$$\Rightarrow |\hat{H}^0(L^\times)| = [L/K].$$

By 12.1.4 and 12.1.6 it suffices to show:

$\exists \Gamma$ -invariant open subgroup $V < G_L^\times$
with $h(V) = 1$.

If $\text{char}(K) = 0$, let $\exists n$:

$$U_L^n \xrightleftharpoons[\text{exp}]{\log} (U_L^n, +)$$

Let $\{b_i \mid i \in \Gamma\}$ be a normal basis of L over K .

Replace b by ab for $a \in G_K$ with $\nu(a) \geq 0$

$$\Rightarrow b \in m_L^n \text{ and } W := \bigoplus_{i=0}^{n-1} G_K^{+i} b \text{ is a}$$

open submodule of m_L^n .

Then W is free over $G_K[\Gamma]$. $\Rightarrow h(W) = 1$.

$\Rightarrow \text{exp}(W) = :V$ does the job.

Q.E.D.

12.3 The reciprocity isomorphism

Now we fix a maximal unramified extension \tilde{K}/K . We take a finite Galois extension L/K and set $\tilde{L} := L\tilde{K}$. Then \tilde{L}/K is Galois and we have a natural surjection

$$d_K: \text{Gal}(\tilde{L}/K) \twoheadrightarrow \text{Gal}(\tilde{K}/K) \cong \text{Gal}(\bar{k}/k) \cong \hat{\mathbb{Z}}.$$

We are interested in the set of *generalized Frobenius elements*

$$\text{Frob}_{\tilde{L}/K} := \{ \sigma \in \text{Gal}(\tilde{L}/K) \mid d_K(\sigma) \in \mathbb{Z}^{\geq 1} \}.$$

Clearly this subset is closed under multiplication. Take $\sigma \in \text{Frob}_{\tilde{L}/K}$.

Proposition 12.3.1: The subfield $L_\sigma := \tilde{L}^{(\sigma)}$ is finite over K and \tilde{L}/L_σ is unramified. *with Frobenius element σ .*

Proof: $|\text{Gal}(\tilde{L}/K)| < |\text{Gal}(\tilde{L}/K)| \times |\text{Gal}(\tilde{L}/K)|$
 $|\text{Gal}(\tilde{L}/L_\sigma)| = \overline{|\text{Gal}(\tilde{L}/K)|} \xrightarrow{\sim} d_K(\sigma) \cdot \bar{\mathbb{Z}}$ *qed.*

Proposition 12.3.2: The residue class

$$r_{\tilde{L}/K}(\sigma) := [\text{Nm}_{L_\sigma/K}(\pi_{L_\sigma})] \in K^\times / \text{Nm}_{L/K} \mathcal{O}_L^\times$$

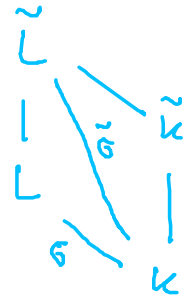
is independent of the choice of a uniformizer π_{L_σ} of L_σ .

Proof: Equivalently, $\text{Nm}_{L_\sigma/K}(\mathcal{O}_{L_\sigma}^\times) \subset \text{Nm}_{L/K}(\mathcal{O}_L^\times)$. $\Rightarrow \text{Nm}_{L_\sigma/K} \mathcal{O}_{L_\sigma}^\times = \text{Nm}_{L/K} \text{Nm}_{L_\sigma/L} \mathcal{O}_{L_\sigma}^\times = \text{Nm}_{L/K} \mathcal{O}_L^\times$. *qed.*

Proposition 12.3.3: This defines a multiplicative map

$$r_{\tilde{L}/K}: \text{Frob}_{\tilde{L}/K} \longrightarrow K^\times / \text{Nm}_{L/K} \mathcal{O}_{\tilde{L}}^\times.$$

See Number Th. IV §5.



Theorem 12.3.4: There exists a unique homomorphism

$$r_{L/K}: \text{Gal}(L/K) \longrightarrow K^\times / \text{Nm}_{L/K} L^\times$$

with

$$r_{L/K}(\sigma) = [\text{Nm}_{L_{\tilde{\sigma}}/K}(\pi_{L_{\tilde{\sigma}}})]$$

for any $\tilde{\sigma} \in \text{Frob}_{\tilde{L}/K}$ with $\tilde{\sigma}|L = \sigma$ and any uniformizer $\pi_{L_{\tilde{\sigma}}}$ of $L_{\tilde{\sigma}}$.

Proof: Well-defined: Let $\tilde{\sigma}, \tilde{\sigma}'$ be two lifts of σ

\Rightarrow They differ by an element of $\text{Gal}(\tilde{L}/L) = \text{unramified}$

So if $d_K(\tilde{\sigma}) = d_K(\tilde{\sigma}')$ then $\tilde{\sigma} = \tilde{\sigma}' \cdot \tau$ for $\tau \in \text{Frob}_{\tilde{L}/K}$ with $\tau|L = \text{id}$.
 Then v.l.o.g.: $d_K(\tilde{\sigma}) < d_K(\tilde{\sigma}')$. Then $\tilde{\sigma}' = \tilde{\sigma} \cdot \tilde{\tau}$ for $\tilde{\tau} \in \text{Frob}_{\tilde{L}/K}$ with $\tilde{\tau}|L = \text{id}$.

$$\hookrightarrow L \subset L_{\tilde{\sigma}} \Rightarrow r_{\tilde{L}/K}(\tilde{\tau}) = \left[\text{Nm}_{L_{\tilde{\tau}}/K} \pi_{L_{\tilde{\tau}}} \right] = \left[\text{Nm}_{L/K} \text{Nm}_{L_{\tilde{\tau}}/L} \pi_{L_{\tilde{\tau}}} \right] = [1]$$

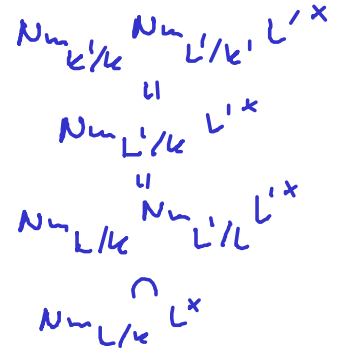
$\Rightarrow r_{\tilde{L}/K}(\tilde{\sigma}') = r_{\tilde{L}/K}(\tilde{\sigma} \cdot \tilde{\tau}) = r_{\tilde{L}/K}(\tilde{\sigma}) \cdot r_{\tilde{L}/K}(\tilde{\tau}) \equiv r_{\tilde{L}/K}(\tilde{\sigma})$ mod $\text{Nm}_{L/K} L^\times$. 12.3.3.

Proposition 12.3.5: The map $r_{L/K}$ is an isomorphism if L/K is unramified.

$$\begin{aligned}
 \text{Then } N_{L/K}(\mathcal{O}_L^\times) &= \mathcal{O}_K^\times \\
 \Rightarrow N_{L/K}(L^\times) &= \mathcal{O}_K^\times \cdot \pi^{n\mathbb{Z}} \quad n = [L/K] \\
 &\quad \pi \in K \text{ uniform} \\
 \text{Gal}(L/K) &\xrightarrow{\sim} K^\times / N_{L/K} L^\times \xrightarrow{\sim} \mathbb{Z}/n\mathbb{Z} \\
 \downarrow \psi &\quad \downarrow \psi \\
 \text{Frob}_K &\longmapsto [\pi] \longmapsto [1]
 \end{aligned}$$

Proposition 12.3.6: Consider finite extensions $L'/L/K$ and $L'/K'/K$ such that both L/K and L'/K' are Galois. Then we have the following commutative diagram:

$$\begin{array}{ccc}
 \text{Gal}(L'/K') & \xrightarrow{r_{L'/K'}} & K'^{\times} / \text{Nm}_{L'/K'} L'^{\times} \\
 \downarrow \text{res} & & \downarrow [\text{Nm}_{K'/K}] \\
 \text{Gal}(L/K) & \xrightarrow{r_{L/K}} & K^{\times} / \text{Nm}_{L/K} L^{\times}
 \end{array}$$



Theorem 12.3.7: The map $r_{L/K}$ induces an isomorphism

$$\underline{r_{L/K}: \text{Gal}(L/K)_{\text{ab}} \xrightarrow{\sim} K^\times / \text{Nm}_{L/K} L^\times} = \hat{H}^0(L/K, L^\times)$$

for the maximal abelian quotient $\text{Gal}(L/K)_{\text{ab}}$ of $\text{Gal}(L/K)$.