Solutions to exercise Sheet 7

Exercise 1 (Killing form of $\mathfrak{sl}(2,\mathbb{R})$). Choose a basis of $\mathfrak{sl}(2,\mathbb{R})$ to compute the Killing form $K_{\mathfrak{sl}(2,\mathbb{R})}(X,Y) = 4\mathrm{tr}(XY)$.

Solution. Recall that $\mathfrak{g} := \mathfrak{sl}(2, \mathbb{R}) = \{X \in \mathbb{R}^{2 \times 2} : \operatorname{tr} X = 0\}$. We choose a basis

$$X_1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad X_2 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad X_1 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

which allows us to identify $\mathfrak{gl}(\mathfrak{g}) \cong \mathfrak{gl}(3, \mathbb{R})$. We want to compute the adjoint representation ad: $\mathfrak{g} \to \mathfrak{gl}(\mathfrak{g})$. We compute

$$\begin{bmatrix} \begin{pmatrix} b & a \\ c & -b \end{pmatrix}, X_1 \end{bmatrix} = \begin{pmatrix} -c & 2b \\ 0 & c \end{pmatrix}, \\ \begin{bmatrix} \begin{pmatrix} b & a \\ c & -b \end{pmatrix}, X_2 \end{bmatrix} = \begin{pmatrix} 0 & -2a \\ 2c & 0 \end{pmatrix}, \\ \begin{bmatrix} \begin{pmatrix} b & a \\ c & -b \end{pmatrix}, X_3 \end{bmatrix} = \begin{pmatrix} a & 0 \\ -2b & -a \end{pmatrix},$$

 \mathbf{SO}

ad
$$\begin{pmatrix} b & a \\ c & -b \end{pmatrix} = \begin{pmatrix} 2b & -2a & 0 \\ -c & 0 & a \\ 0 & 2c & -2b \end{pmatrix} \in \mathfrak{gl}(\mathfrak{g}).$$

The Killing form is defined as $K_{\mathfrak{g}}(X,Y) = \operatorname{tr}(\operatorname{ad}(X) \circ \operatorname{ad}(Y))$ and on the basis we calculate

$$K_{\mathfrak{g}}\left(\begin{pmatrix}b&a\\c&-b\end{pmatrix},X_{1}\right) = \operatorname{tr}\begin{pmatrix}2b&-2a&0\\-c&0&a\\0&2c&-2b\end{pmatrix}\begin{pmatrix}0&-2&0\\0&0&1\\0&0&0\end{pmatrix} = \operatorname{tr}\begin{pmatrix}0&-4b&-2a\\0&2c&0\\0&0&2c\end{pmatrix} = 4c$$
$$K_{\mathfrak{g}}\left(\begin{pmatrix}b&a\\c&-b\end{pmatrix},X_{2}\right) = \operatorname{tr}\begin{pmatrix}2b&-2a&0\\-c&0&a\\0&2c&-2b\end{pmatrix}\begin{pmatrix}2&0&0\\0&0&-2\end{pmatrix} = \operatorname{tr}\begin{pmatrix}4b&0&0\\-2c&0&-2a\\0&0&4b\end{pmatrix} = 8b$$
$$K_{\mathfrak{g}}\left(\begin{pmatrix}b&a\\c&-b\end{pmatrix},X_{3}\right) = \operatorname{tr}\begin{pmatrix}2b&-2a&0\\-c&0&a\\0&2c&-2b\end{pmatrix}\begin{pmatrix}0&0&0\\-1&0&0\\0&2&0\end{pmatrix} = \operatorname{tr}\begin{pmatrix}2a&0&0\\0&2a&0\\-2c&-4b&0\end{pmatrix} = 4a,$$

so writing the Killing form as a bilinear form in the basis we obtain

$$K_{\mathfrak{g}}(X,Y) = X^{\top} \begin{pmatrix} 0 & 0 & 4 \\ 0 & 8 & 0 \\ 4 & 0 & 0 \end{pmatrix} Y$$

for $X, Y \in \mathfrak{g} \cong \mathbb{R}^3$. Actually a calculation then shows that also $K_{\mathfrak{g}}(X, Y) = 4 \operatorname{tr}(XY)$.

Exercise 2 (The Killing form is invariant under the adjoint action). Let G be a connected Lie group and \mathfrak{g} its Lie algebra. Prove that for all $X, Y \in \mathfrak{g}$ and $g \in G$

$$K_{\mathfrak{g}}(\mathrm{Ad}(g)X, \mathrm{Ad}(g)Y) = K_{\mathfrak{g}}(X, Y)$$

<u>Hint:</u> Prove that $\operatorname{ad}(\operatorname{Ad}(g)X) = \operatorname{Ad}(g)\operatorname{ad}(X)\operatorname{Ad}(g)^{-1}$.

Solution. Let $X, Y, Z \in \mathfrak{g}$ and $g \in G$. Since $\operatorname{Ad}(g)$ is the derivative of the Lie group automorphism c_g , Ad is a Lie algebra automorphism, so $\operatorname{Ad}(g)[X, Y] = [\operatorname{Ad}(g)X, \operatorname{Ad}(g)Y]$. Then $\operatorname{Ad}(\operatorname{Ad}(g)X)(Z) = [\operatorname{Ad}(g)X, Z] = \operatorname{Ad}(g)[X, \operatorname{Ad}(g)^{-1}Z] = \operatorname{Ad}(g) \operatorname{ad}(Y) \operatorname{Ad}(g)^{-1}$ proving the hint. Then

$$\begin{aligned} K_{\mathfrak{g}}(\mathrm{ad}(\mathrm{Ad}(g)X) \circ \mathrm{ad}(\mathrm{Ad}(g)Y)) &= \mathrm{tr}\left(\mathrm{Ad}(g)\,\mathrm{ad}(X)\,\mathrm{Ad}(g)^{-1}\,\mathrm{Ad}(g\,\mathrm{ad}(Y)\,\mathrm{Ad}(g)^{-1})\right) \\ &= \mathrm{tr}(\mathrm{ad}(X) \circ \mathrm{ad}(Y)) = K_{\mathfrak{g}}(X,Y) \end{aligned}$$

since the trace is invariant under conjugation.

Exercise 3 (Solvable Lie group without injective finite-dimensional representation). Consider the three-dimensional Heisenberg group

$$H = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}.$$

Note that the center of H is

$$\mathbf{Z}(H) = \left\{ \begin{pmatrix} 1 & 0 & z \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : z \in \mathbb{R} \right\}.$$

Let D < Z(H) be the following discrete subgroup

$$D := \mathrm{SL}_{3}(\mathbb{Z}) \cap \mathrm{Z}(H) = \left\{ \begin{pmatrix} 1 & 0 & n \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : n \in \mathbb{Z} \right\}.$$

Check that G := H/D is a connected, solvable Lie group and show that G does not admit a smooth, injective homomorphism into GL(V) for any finite-dimensional \mathbb{C} -vector space V.

<u>Hint</u>: Observe that $Z(H)/D \cong S^1$ and consider its image under a potential representation and show that its image can be conjugated into any small neighborhood of $Id \in GL(n, \mathbb{C})$. Then use the no-small-subgroups property.

Solution. Since *H* is connected, so is *G*, and since both *H* and *D* are solvable, so is *G*. Assume $\pi: G \to \operatorname{GL}(V)$ is a smooth homomorphism for a finite-dimensional \mathbb{C} -vector space *V*. We will show that $\pi(Z(H)/D) = \operatorname{Id}$, that is $Z(H)/D < \ker \pi$, so that π cannot be injective.

Let us observe first of all that, since D is discrete, then

$$\operatorname{Lie}(H/D) = \operatorname{Lie}(H) = \mathfrak{h} = \left\{ \begin{pmatrix} 0 & x & z \\ 0 & 0 & y \\ 0 & 0 & 0 \end{pmatrix} : x, y, z \in \mathbb{R} \right\} \subset \mathfrak{gl}(3, \mathbb{R})$$

and it is moreover solvable. Furthermore

$$\operatorname{Lie}(Z(H)/D) = \operatorname{Lie}(Z(H)) = \left\{ \begin{pmatrix} 0 & 0 & z \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right\} = [\mathfrak{h}, \mathfrak{h}].$$

By Lie's theorem, if $\rho := d_e \pi$, the image $\rho(\mathfrak{h})$ is upper triangular, so that $[\rho(\mathfrak{h}), \rho(\mathfrak{h})]$ is strictly upper triangular. Thus

$$\rho(\operatorname{Lie}(Z(H)/D)) = \rho([\mathfrak{h},\mathfrak{h}]) = [\rho(\mathfrak{h}),\rho(\mathfrak{h})] \subset \left\{ \begin{pmatrix} 0 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 0 \end{pmatrix} \right\},\$$

from which it follows that

$$\pi(Z(H)/D) < \left\{ \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix} \right\} =: L.$$

Observe that since $Z(H)/D \simeq S^1$, then $\pi(Z(H)/D) =: K$ is a compact subgroup of L. We will show now that L cannot have non-trivial compact subgroups, which forces K = Id. In order to show this, we will show that any compact subgroup can be conjugated into any small neighborhood of $\text{Id} \in \text{GL}(n, \mathbb{C})$, thus contradicting that L is a Lie group.

To this purpose, let $g = \text{diag}(\lambda_1, \ldots, \lambda_n) \in \text{GL}(n, \mathbb{C})$ a diagonal matrix with entries $0 < \lambda_1 < \lambda_2 < \cdots < \lambda_n$. Then, if i < j,

$$\begin{pmatrix} c_g \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix} \end{pmatrix}_{ij} = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix} \begin{pmatrix} \lambda_1^{-1} & & \\ & \ddots & \\ & & \lambda_n^{-1} \end{pmatrix} \end{pmatrix}$$
$$= \frac{\lambda_i}{\lambda_j} \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix}_{ij} ,$$

so that

$$\begin{pmatrix} c_g^n \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix} \end{pmatrix}_{ij} = \begin{pmatrix} \lambda_i \\ \lambda_j \end{pmatrix}^n \begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix}_{ij}$$
(1)

If $\begin{pmatrix} 1 & * & \dots & * \\ 0 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & * \\ 0 & \dots & 0 & 1 \end{pmatrix} \in K$ its entries are bounded and, since $\lambda_i / \lambda_j < 1$, the right hand side of (1)

converges to Id and is hence eventually contained in any neighborhood of Id, no matter how small.

Exercise 4 (Complex Lie algebras). Let \mathfrak{g} be a real Lie algebra and $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$ the complexification of \mathfrak{g} as a vector space.

(1) Show that the bracket $[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ extends uniquely to a \mathbb{C} -bilinear map $[\cdot, \cdot]_{\mathbb{C}} : \mathfrak{g}_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}} \to \mathfrak{g}_{\mathbb{C}}$ turning $\mathfrak{g}_{\mathbb{C}}$ into a complex Lie algebra.

Solution. It is clear that $\mathfrak{g}_{\mathbb{C}}$ is a \mathbb{C} -vector space. The universal property of the tensor product states that for every bilinear map $h: \mathfrak{g} \times \mathbb{C} \to V$ for \mathbb{R} -vectorspace V, there exists a unique linear map $\bar{h}: \mathfrak{g}_{\mathbb{C}} \to Z$ such that the following diagram commutes.



Solution. For any $X \in \mathfrak{g}$ and $z \in \mathbb{C}$ we have a bilinear map $h: \mathfrak{g} \times \mathbb{C} \to \mathfrak{g}_{\mathbb{C}}$ defined by $h_{X,z}(Y,w) := [X,Y] \otimes zw$. By the universal property there is a unique map $\bar{h}_{X,z}: \mathfrak{g}_{\mathbb{C}} \to \mathfrak{g}_{\mathbb{C}}$. Now consider the map $h': \mathfrak{g} \times \mathbb{C} \to \operatorname{End}(\mathfrak{g}_{\mathbb{C}})$ defined by $h'(X,z) := \bar{h}_{X,z}$ and notice that it is also bilinear and thus by the universal property has a unique extension $\bar{h}': \mathfrak{g}_{\mathbb{C}} \to \operatorname{End}(\mathfrak{g}_{\mathbb{C}})$. We define the bracket on generators as

$$[\cdot, \cdot]_{\mathbb{C}} \colon \mathfrak{g}_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}} \to \mathfrak{g}_{\mathbb{C}} (X \otimes z, Y \otimes w) \mapsto \bar{h}'(X \otimes z)(Y \otimes w) = [X, Y] \otimes zw$$

It is clear that the brackets are bilinear. Next, $[X \otimes z, X \otimes z]_{\mathbb{C}} = [X, X] \otimes z^2 = 0 \otimes z^2 = 0$ since [X, X] = 0. And finally, the Jacobi equality

$$\begin{split} & [X \otimes z, [Y \otimes w, Z \otimes v]_{\mathbb{C}}]_{\mathbb{C}} + [Y \otimes w, [Z \otimes v, X \otimes z]_{\mathbb{C}}]_{\mathbb{C}} + [Z \otimes v, [X \otimes z, Y \otimes w]_{\mathbb{C}}]_{\mathbb{C}} \\ = & [X, [Y, Z]] \otimes zwv + [Y, [Z, X]] \otimes wvz + [Z, [X, Y]] \otimes vzw \\ = & ([X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]]) \otimes zwv = 0 \otimes zvw = 0 \end{split}$$

holds on generators, so it holds always. This shows that $\mathfrak{g}_{\mathbb{C}}$ is a complex Lie algebra.

(2) Show that the canonical injection $\mathfrak{g} \to \mathfrak{g}_{\mathbb{C}}$, $X \mapsto X \otimes 1$ is a homomorphism of real Lie algebras and, if we identify \mathfrak{g} with its image in $\mathfrak{g}_{\mathbb{C}}$, we have that

 $\mathfrak{g}_{\mathbb{C}} = \mathfrak{g} + i\mathfrak{g}.$

Express the bracket of $\mathfrak{g}_{\mathbb{C}}$ in this decomposition.

Solution. Every complex Lie algebra can be viewed as a real Lie algebra by considering the \mathbb{C} vectorspace as a \mathbb{R} -vectorspace and taking the same brackets. The map $f: \mathfrak{g} \to \mathfrak{g}_{\mathbb{C}}, X \mapsto X \otimes 1$ is linear

$$f(\lambda X + Y) = (\lambda X + Y) \otimes 1 = \lambda(X \otimes 1) + Y \otimes 1 = \lambda f(X) + f(Y)$$

and preserves the brackets

$$f([X,Y]) = [X,Y] \otimes 1 = [X \otimes 1, Y \otimes 1]_{\mathbb{C}} = [f(X), f(Y)]_{\mathbb{C}}$$

and is hence a homomorphism of real Lie algebras. The map f is clearly an injection. Let

$$h:\mathfrak{g}+i\mathfrak{g}\to\mathfrak{g}_{\mathbb{C}}$$

defined on generators as $h(X + iY) = X \otimes 1 + i(Y \otimes 1) = X \otimes 1 + Y \otimes i$. Clearly h is linear and $h^{-1}: \mathfrak{g}_{\mathbb{C}} \to \mathfrak{g} + i\mathfrak{g}$ defined on generators as $h^{-1}(X \otimes z) := X \otimes x + i(X \otimes y)$ for z = x + iyis a linear map and they are inverses of each other. If now $X + iY, X' + iY' \in \mathfrak{g} + i\mathfrak{g}$, then

$$\begin{split} [X+iY,X'+iY']_{\mathbb{C}} &= [X\otimes 1+Y\otimes i,X'\otimes 1+Y'\otimes i]_{\mathbb{C}} \\ &= [X\otimes 1,X'\otimes 1]_{\mathbb{C}} + [X\otimes 1,Y'\otimes i]_{\mathbb{C}} + [Y\otimes 1,X'\otimes 1]_{\mathbb{C}} + [Y\otimes 1,Y'\otimes i]_{\mathbb{C}} \\ &= [X,X']\otimes 1 + [X,Y']\otimes i + [Y,X']\otimes i + [Y,Y']\otimes i^2 \\ &= ([X,X']-[Y,Y'])\otimes 1 + ([X,Y']+[Y,X'])\otimes i \\ &= ([X,X']-[Y,Y']) + i([X,Y']+[Y,X']). \end{split}$$

(3) Show that \mathfrak{g} is solvable if and only if $\mathfrak{g}_{\mathbb{C}}$ is solvable,

Solution. If $\mathfrak{h} \triangleleft \mathfrak{g}$ is an ideal, then $\mathfrak{h}_{\mathbb{C}} =: \mathfrak{h} \otimes_{\mathbb{R}} \mathbb{C} \triangleleft \mathfrak{g}_{\mathbb{C}}$ is an ideal. So any series of ideals

$$\mathfrak{g} \triangleright \mathfrak{g}_1 \triangleright \mathfrak{g}_2 \triangleright \ldots \triangleright \{0\}$$

corresponds to a series of ideals

$$\mathfrak{g}_{\mathbb{C}} \triangleright (\mathfrak{g}_1)_{\mathbb{C}} \triangleright (\mathfrak{g}_2)_{\mathbb{C}} \triangleright \ldots \triangleright \{0\}.$$

If $\mathfrak{g}_i/\mathfrak{g}_{i+1}$ is abelian, then $[X + \mathfrak{g}_{i+1}, Y + \mathfrak{g}_{i+1}] = [X, Y] + \mathfrak{g}_{i+1} = 0 \in \mathfrak{g}_i/\mathfrak{g}_{i+1}$ for all $X, Y \in \mathfrak{g}_i$. For $X + iY + (\mathfrak{g}_{i+1})_{\mathbb{C}}, X' + iY' + (\mathfrak{g}_{i+1})_{\mathbb{C}} \in (\mathfrak{g}_i)_{\mathbb{C}}/(\mathfrak{g}_{i+1})_{\mathbb{C}}$ we then have

.

$$\begin{split} [X+iY+(\mathfrak{g}_{i+1})_{\mathbb{C}}, X'+iY'+(\mathfrak{g}_{i+1})_{\mathbb{C}}]_{\mathbb{C}} &= [X+iY, X'+iY']_{\mathbb{C}}+(\mathfrak{g}_{i+1})_{\mathbb{C}} \\ &= ([X,X']-[Y,Y'])+i([X,Y']+[Y,X'])+(\mathfrak{g}_{i+1})_{\mathbb{C}} \\ &= 0 \in (\mathfrak{g}_i)_{\mathbb{C}}, \end{split}$$

so if \mathfrak{g} is solvable, then $\mathfrak{g}_{\mathbb{C}}$ is solvable.

If we start with an ideal $\mathfrak{h}_{\mathbb{C}} \subseteq \mathfrak{g}_{\mathbb{C}}$ on the other hand, then $\mathfrak{h} := \mathfrak{h}_{\mathbb{C}} \cap \mathfrak{g}$ is an ideal in \mathfrak{g} . In fact, since $\mathfrak{h}_{\mathbb{C}}$ is invariant under multiplication of \mathbb{C} , we have that $\mathfrak{h}_{\mathbb{C}} = \mathfrak{h} + i\mathfrak{h}$ as before. Then starting from a series of ideals

$$\mathfrak{g}_{\mathbb{C}} \triangleright (\mathfrak{g}_1)_{\mathbb{C}} \triangleright (\mathfrak{g}_2)_{\mathbb{C}} \triangleright \ldots \triangleright \{0\}$$

we obtain a series of ideals

$$\mathfrak{g} \triangleright \mathfrak{g}_1 \triangleright \mathfrak{g}_2 \triangleright \ldots \triangleright \{0\}$$

If $(\mathfrak{g}_i)_{\mathbb{C}}/(\mathfrak{g}_{i+1})_{\mathbb{C}}$ is abelian, it means that for every $X+iY, X'+iY' \in (\mathfrak{g}_i)_{\mathbb{C}}$ we have $[X+iY, X'+iY']_{\mathbb{C}} + \mathfrak{g}_{i+1} = 0$. For $X, Y \in \mathfrak{g}_i$ we then have $[X + \mathfrak{g}_{i+1}, Y + \mathfrak{g}_{i+1}] \in (\mathfrak{g}_{i+1})_{\mathbb{C}} = \mathfrak{g}_{i+1} + i\mathfrak{g}_{i+1}$. But since $[\mathfrak{g}, \mathfrak{g}] \cap i\mathfrak{g}_{i+1} = 0$, we have that $[X + \mathfrak{g}_{i+1}, Y + \mathfrak{g}_{i+1}] = 0 \in \mathfrak{g}_i/\mathfrak{g}_{i+1}$. So if $\mathfrak{g}_{\mathbb{C}}$ is solvable, then so is \mathfrak{g} .

(4) Show that \mathfrak{g} is nilpotent if and only if $\mathfrak{g}_{\mathbb{C}}$ is nilpotent.

Solution. If \mathfrak{g} is nilpotent, then in the notation of (3), $[\mathfrak{g}, \mathfrak{g}_{i+1}] \subseteq \mathfrak{g}_i$. So if $X + iY \in \mathfrak{g}_{\mathbb{C}}$ and $X' + iY' \in (\mathfrak{g}_{i+1})_{\mathbb{C}}$, then

$$[X+iY,X'+iY']_{\mathbb{C}} = ([X,X']-[Y,Y']) + i([X,Y']+[Y,X']) \in \mathfrak{g}_i + i\mathfrak{g}_i = (\mathfrak{g}_i)_{\mathbb{C}}$$

as required.

If however $\mathfrak{g}_{\mathbb{C}}$ is nilpotent, then $[\mathfrak{g}_{\mathbb{C}}, (\mathfrak{g}_{i+1})_{\mathbb{C}}] \subseteq (\mathfrak{g}_i)_{\mathbb{C}}$. Since $\mathfrak{g} \subseteq \mathfrak{g}_{\mathbb{C}}$ and $\mathfrak{g}_{i+1} \subseteq (\mathfrak{g}_{i+1})_{\mathbb{C}}$, we have that $[\mathfrak{g}, \mathfrak{g}_{i+1}] \subseteq (\mathfrak{g}_i)_{\mathbb{C}} \cap \mathfrak{g} = \mathfrak{g}_i$, so \mathfrak{g} is nilpotent.