Functional Analysis II

## Exercise Sheet 2 - Solutions

1. Let X be a locally compact Hausdorff space. Compute the spectrum  $\text{Sp}_{C_0(X)}(f)$  for each  $f \in C_0(X)$ .

Solution: Suppose X is compact and let  $f \in C(X)(=C_0(X))$ . We claim that f is invertible if and only if  $f(x) \neq 0$  for all  $x \in X$ . Suppose f is invertible. Then there exists  $g \in C(X)$  with fg = e. The unit in C(X) is the constant function with value 1, so this implies f(x)g(x) = 1for all  $x \in X$ . This equality implies  $f(x) \neq 0$  for all  $x \in X$ .

Suppose  $f(x) \neq 0$  for all  $x \in X$ . Then f defines a continuous map

$$f: X \to \mathbb{C}^{*}$$

where we consider  $\mathbb{C}^* \subset \mathbb{C}$  with the subspace topology. The inversion map

inv: 
$$\mathbb{C}^* \to \mathbb{C}^*, \ z \mapsto z^{-1}$$

is holomorphic, so it is continuous. Define the continuous function  $g := inv \circ f$ . Then

$$f(x)g(x) = f(x)\operatorname{inv}(f(x)) = 1.$$

Thus fg = e, so f is invertible.

We can apply the claim to obtain

$$\operatorname{Sp}_{C(X)}(f) = \{\lambda \in \mathbb{C} \mid f - \lambda e \text{ not invertible}\} = \{\lambda \in \mathbb{C} \mid \exists x \in X : f(x) - \lambda = 0\} = \operatorname{im}(f).$$

Suppose X is not compact. The algebra  $C_0(X)$  is not unital, so for each  $f \in C_0(X)$  we have defined

$$\operatorname{Sp}_{C_0(X)}(f) := \operatorname{Sp}_{(C_0(X))_I}(f).$$

We use the isomorphism  $\phi : (C_0(X))_I \cong C(\alpha X)$  where  $\alpha X$  denotes the one-point compactification of X to write

$$\operatorname{Sp}_{(C_0(X))_I}(f) = \operatorname{Sp}_{C(\alpha X)}(\phi(f)) = \operatorname{im}(\phi(f)).$$

I claim

$$\operatorname{im}(\phi(f)) = \overline{\operatorname{im}(f)}$$

for all  $f \in C_0(X)$ . Indeed, note that  $\operatorname{im}(\phi(f))$  is compact because  $\alpha X$  is compact. This implies that  $\operatorname{im}(\phi(f))$  is closed in  $\mathbb{R}$  and hence  $\operatorname{im}(f) \subset \operatorname{im}(\phi(f))$ . For the other direction, let  $(x_n)_n \in X$  be a sequence converging to  $\infty$  in  $\alpha X$ . Then

$$\phi(f)(\infty) = \lim_{n \to \infty} \phi(f)(x_n) = \lim_{n \to \infty} f(x_n).$$

This gives  $\phi(f)(\infty) \in \overline{\operatorname{im}(f)}$ . Thus we get

$$\operatorname{im}(\phi(f)) = \operatorname{im}(f) \cup \{\phi(f)(\infty)\} \subset \operatorname{im}(f).$$

We arrive at

 $\operatorname{Sp}_{C_0(X)}(f) = \overline{\operatorname{im}(f)}$ 

for all  $f \in C_0(X)$ .

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2. Let  $\Gamma$  be an abelian group and  $A = \ell^1(\Gamma)$  with convolution product. Compute the Guelfand spectrum  $\widehat{A}$  of A as a set.

Solution: Let  $\Gamma^{\wedge}$  be the set of group morphism  $\Gamma \to \mathbb{T}$ , where  $\mathbb{T} := \{z \in \mathbb{C}^* : |z| = 1\}$ . We construct a bijection

$$\begin{split} \Phi &: \widehat{A} \to \Gamma^{\wedge}, \, \varphi \mapsto (\gamma \mapsto \varphi(\delta_{\gamma})) \\ \Psi &: \Gamma^{\wedge} \to \widehat{A}, \, \chi \mapsto \bigg( f \mapsto \sum_{\gamma \in \Gamma} f(\gamma) \chi(\gamma) \bigg). \end{split}$$

Let  $\varphi \in \widehat{A}$ . For all  $\gamma, \mu \in \Gamma$ , we have

$$\Phi(\varphi)(\gamma\mu) = \varphi(\delta_{\gamma\mu}) = \varphi(\delta_{\gamma})\varphi(\delta_{\mu}) = \Phi(\varphi)(\gamma)\Phi(\varphi)(\mu).$$

So the function  $\Phi(\varphi)$  is multiplicative.

Suppose  $\varphi(\delta_1) = 0$ . Then  $\varphi(\delta_a) = \varphi(\delta_a * \delta_1) = \varphi(\delta_a)\varphi(\delta_1) = 0$ . This implies that  $\varphi$  vanishes at all functions with finite support. These are dense in A, so this implies  $\varphi = 0$  by continuity of  $\varphi$ . This is a contradiction to  $\varphi$  lying in the Guelfand spectrum, so we must have  $\varphi(\delta_1) \neq 0$ . The multiplicativity property implies

$$\Phi(\varphi)(1) = \Phi(\varphi)(1 \cdot 1) = \Phi(\varphi)(1)^2.$$

Thus  $\Phi(\varphi)(1) = 1$ . In particular,

$$1 = \Phi(\varphi)(1) = \Phi(\varphi)(\gamma\gamma^{-1}) = \Phi(\varphi)(\gamma)\Phi(\varphi)(\gamma^{-1})$$

for each  $\gamma \in \Gamma$ . Thus we get  $\Phi(\varphi)(\gamma) \neq 0$  and  $\Phi(\varphi)(\gamma^{-1}) = \Phi(\varphi)(\gamma)^{-1}$  for each  $\gamma \in \Gamma$ . Suppose there is  $\gamma \in \Gamma$  with  $|\Phi(\varphi)(\gamma)| \neq 1$ . We either have  $|\Phi(\varphi)(\gamma)| > 1$  or  $|\Phi(\varphi)(\gamma^{-1})| > 1$ , so we can assume  $|\Phi(\varphi)(\gamma)| > 1$  without loss of generality. Pick a real number  $c \in \mathbb{R}$  with

$$|\Phi(\varphi)(\gamma)| > c > 1.$$

The functions

$$f_n := c^{-n} \delta_n$$

converge to 0 in  $\ell^1(\Gamma)$  as  $n \to \infty$  because  $0 < c^{-1} < 1$ . Thus

$$0 = \lim_{n \to \infty} \varphi(f_n) = \lim_{n \to \infty} c^{-n} \Phi(\varphi)(\gamma)^n.$$

This is a contradiction because  $|c^{-n}\Phi(\varphi)(\gamma)^n| > 1$ . Thus we get  $|\Phi(\varphi)(\gamma)| = 1$  for all  $\gamma \in \Gamma$ . This implies that  $\Phi$  is well-defined.

Let  $\chi \in \Gamma^{\wedge}$ . Note that  $\Psi(\chi)$  is a continuous functional (because  $|\Psi(\chi)(f)| \leq ||f||$ ). Consider  $\gamma, \mu \in \Gamma$  then we have

$$\Psi(\chi)(\delta_{\gamma} * \delta_{\mu}) = \Psi(\chi)(\delta_{\gamma\mu}) = \chi(\gamma\mu) = \chi(\gamma)\chi(\mu) = \Phi(\chi)(\gamma)\Phi(\chi)(\mu).$$

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This implies

$$\Psi(\chi)(f * g) = \Psi(\chi)(f)\Psi(\chi)(g)$$

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for all  $f, g \in \ell^1(\Gamma)$  with finite support by linearity of  $\Psi$ . The functions with finite support are dense in  $\ell^1(\Gamma)$ , so the continuity of  $\Phi$  and the continuity of convolution imply that  $\Psi(\chi)$ is an algebra morphism. Therefore the map  $\Psi$  is well-defined.

We have

$$\Phi(\Psi(\chi))(\gamma) = \Psi(\chi)(\delta_{\gamma}) = \chi(\gamma)$$

for each  $\chi \in \Gamma^{\wedge}$  and  $\gamma \in \Gamma$ . For each  $\varphi \in \ell^{1}(\Gamma)$ , we have

$$\Psi(\Phi(\varphi))(\delta_{\gamma}) = \Phi(\varphi)(\gamma) = \varphi(\delta_{\gamma}).$$

By linearity and continuity, this implies  $\Psi(\Phi(\varphi)) = \varphi$ . Thus  $\Phi$  and  $\Psi$  are inverse to one another.

3. Let  $A = L^1([0,1])$  be the Volterra algebra (see Example 1.6 (iv) in the notes) and  $f_0 \equiv 1$ . Identify the subalgebra of A generated by  $f_0$ .

Solution: Let  $g \in L^1([0,1])$  be a function. Put

$$F(x) := f_0 * g(x) = \int_0^x g(t) dt.$$

The Lebesgue differentiation theorem implies F'(x) = f(x) for almost all  $x \in [0, 1]$ . Moreover, we have F(0) = 0. This determines the function f uniquely.

We define recursively

$$f_{n+1} := f_0 * f_n$$

for each  $n \ge 0$ . We claim  $f_n(x) = x^n/n!$  for each  $n \ge 0$ . We prove it by induction on n. The case n = 0 follows from the definition of  $f_0$ . If the induction hypothesis is satisfied for some  $n \in \mathbb{N}$ , note that

$$\frac{d}{dx}(x^{n+1}/(n+1)!) = x^n/n!.$$

So the uniqueness of the antiderivative implies the claimed equality.

Because A is commutative the subalgebra generated by  $f_0$  must be the algebra of polynomial functions  $\mathbb{C}[X]$ .