

## Exercise Sheet 7 - Solutions

1. Let  $\mathcal{H}$  be a Hilbert space and  $T \in \mathcal{B}(\mathcal{H})$  a normal operator. Show that

$$\|T\| = \sup\{|\langle Tx, x \rangle| : \|x\| \leq 1\}$$

*Hint:* Use

$$\|T\| = \max\{|\lambda| : \lambda \in \text{Sp}(T)\}$$

and the spectral theorem for normal operators.

*Solution:* Because  $T$  is normal, we have

$$\|T\| = \|T\|_{\text{sp}}$$

where the right-hand side refers to the spectral norm of  $T$  in  $\mathcal{L}(\mathcal{H})$ . This says

$$\|T\| = \max\{|\lambda| : \lambda \in \text{Sp}(T)\}.$$

Let  $\lambda \in \text{Sp}_{\mathcal{L}(\mathcal{H})}(T)$ . I claim that there is a sequence  $x_n \in \mathcal{H}$  such that  $\|x_n\| = 1$  and  $\lim_{n \rightarrow \infty} (Tx_n - \lambda x_n) = 0$ . Indeed, define  $D_n := \{z \in \text{Sp}(T) : |z - \lambda| < 1/n\}$  for each  $n \geq 1$ . Let  $E$  be the resolution of the identity on  $\text{Sp}(T)$  defined by the spectral theorem. Then  $E(D_n) \neq 0$  by Theorem 5.20 (iv) in the lecture, so there exists  $x_n \in \text{im}(E(D_n))$  with  $\|x_n\| = 1$ . We have

$$\begin{aligned} \|Tx_n - \lambda x_n\|^2 &= \langle (T - \lambda)(T - \lambda)^* x_n, x_n \rangle \\ &= \int_{D_n} |T - \lambda|^2 dE_{x_n, x_n} \\ &\leq n^{-2} \int_{D_n} dE_{x_n, x_n} \leq n^{-2}. \end{aligned}$$

Now this implies

$$\|\lambda\| = \lim_{n \rightarrow \infty} |\langle Tx_n, x_n \rangle|$$

and hence

$$\|\lambda\| \leq \sup\{|\langle Tx, x \rangle| : \|x\| \leq 1\}.$$

Taking the supremum over all eigenvalues yields

$$\|T\| \leq \sup\{|\langle Tx, x \rangle| : \|x\| \leq 1\}.$$

Note that we have

$$|\langle Tx, x \rangle| \leq \|Tx\| \|x\| \leq \|T\| \|x\|^2$$

for all  $x \in \mathcal{H}$  by the Cauchy-Schwarz inequality. Hence

$$\|T\| = \sup\{|\langle Tx, x \rangle| : \|x\| \leq 1\}.$$

2. Let  $\mathcal{H}$  be a Hilbert space and  $T \in \mathcal{B}(\mathcal{H})$  a normal operator. Suppose there is an operator  $S \in \mathcal{B}(\mathcal{H})$  such that

$$S = \int_{\text{Sp}(T)} f dE_T$$

for some  $f \in \mathcal{B}^\infty(\text{Sp}(T))$ . This implies that  $S$  is a normal operator. Prove that the resolutions of the identity  $E_T$  and  $E_S$  associated with  $T$  and  $S$  by the spectral theorem are related by

$$E_S(\omega) = E_T(f^{-1}(\omega))$$

for each Borel set  $\omega \subset \text{Sp}(S)$ .

*Solution:* For each Borel set  $\omega \subset \text{Sp}(S)$  define  $E(\omega) := E_T(f^{-1}(\omega))$ . This is a resolution of the identity for  $\text{Sp}(S)$ . For each  $g \in \mathcal{B}^\infty(\text{Sp}(S))$ , an approximation argument with step-functions proves

$$\int_{\text{Sp}(S)} g dE = \int_{\text{Sp}(T)} g \circ f dE_T.$$

Define

$$B := \left\{ g \in C(\text{Sp}(S)) : \int_{\text{Sp}(S)} g dE_S = \int_{\text{Sp}(S)} g dE \right\}.$$

This is a  $C^*$ -algebra because the multiplication of two functions integrates to the composition of the operators, the complex conjugate of a function integrates to the adjoint operator, and the uniform limit of functions integrates to a uniform limit of operators. Note that  $1 \in B$  because

$$\int_{\text{Sp}(S)} dE_S = E_S(\text{Sp}(S)) = \text{id}_{\mathcal{H}} = E(\text{Sp}(S)) = \int_{\text{Sp}(S)} dE.$$

We also have

$$\int_{\text{Sp}(S)} S dE = \int_{\text{Sp}(T)} f dE_T = S = \int_{\text{Sp}(S)} S dE_S.$$

Thus we get  $S \in B$ . Note that for each distinct  $\lambda, \nu \in \text{Sp}(S)$ , we have  $S(\lambda) \neq S(\nu)$  (because  $S(\lambda) = \lambda$ ) and  $1(\lambda) = 1$ . Thus the assumptions of the Stone-Weierstrass theorem are satisfied and  $B$  is dense in  $C(\text{Sp}(S))$ . Note that  $B$  is a complete subspace of  $C(\text{Sp}(S))$ , so it is closed and hence  $B = C(\text{Sp}(S))$ . In other words, each  $T \in A$  satisfies

$$\int_{\text{Sp}(S)} \widehat{T} dE = \int_{\text{Sp}(S)} \widehat{T} dE_S.$$

The spectral theorem says that  $E_S$  is the unique resolution of the identity with this property. Thus  $E = E_S$  and hence

$$E_S(\omega) = E(\omega) = E_T(f^{-1}(\omega))$$

for each Borel set  $\omega \subset \text{Sp}(S)$ .

3. Let  $G$  be a finite group and  $H < G$  a proper subgroup. Recall that  $G$  acts on a set  $X$  doubly transitively if for all  $x_1, x_2, y_1, y_2 \in X$  with  $x_1 \neq x_2$  and  $y_1 \neq y_2$  there is  $g \in G$  with  $x_1 = gx_2$  and  $y_1 = gy_2$ . Define the Hilbert space

$$\mathcal{H} := \left\{ f \in \ell^2(G/H) : \sum_{g \in G/H} f(gH) = 0 \right\}$$

and put  $(\pi(g)f)(xH) := f(g^{-1}xH)$  for all  $f \in \mathcal{H}$  and  $g, x \in G$ . This  $G$ -action defines a unitary representation of  $G$  on  $\mathcal{H}$ . Show that  $\pi$  is irreducible if and only if the  $G$ -action on  $G/H$  is doubly transitive.

*Solution:* Consider a  $G$ -equivariant map  $T: \ell^2(G/H) \rightarrow \ell^2(G/H)$ . There exists a kernel  $K \in \ell^2(G/H \times G/H)$  such that

$$(Tf)(x) = \sum_{g \in G/H} f(g)K(g, x)$$

for all  $f \in \ell^2(G/H)$  and  $x \in G$ . Each  $g \in G$  satisfies

$$\pi(g)Tf(x) = \sum_{g' \in G/H} f(g')K(g', g^{-1}x)$$

and

$$T(\pi(g)f)(x) = \sum_{g' \in G/H} f(g^{-1}g')K(g', x) = \sum_{g' \in G/H} f(g')K(gg', x).$$

The  $G$ -equivariance of  $T$  implies

$$K(gg', x) = K(g', g^{-1}x).$$

Define the function  $\phi: H \backslash G/H \rightarrow \mathbb{C}$  by  $\phi(g) := K(1, g)/|H|$ . We have

$$Tf(x) = \sum_{g \in G/H} f(g)K(g, x) = \sum_{g \in G/H} f(g)K(1, g^{-1}x) = \sum_{gg'=x} f(g)\phi(g') = f * \phi(x)$$

where  $*$  denotes the convolution.

Conversely, any function  $\phi: H \backslash G/H \rightarrow \mathbb{C}$  defines a  $G$ -equivariant map  $T_\phi(f) := f * \phi$ . This constructs a linear map

$$\Phi: \ell^2(H \backslash G/H) \rightarrow \text{End}_G(\ell^2(G/H)), \quad \phi \mapsto T_\phi.$$

where  $\text{End}_G(\ell^2(G/H))$  is the space of  $G$ -equivariant endomorphisms. Note that this map is injective because  $T_\phi(\delta_1) = \phi$ , where  $\delta_1$  is the function supported at the point  $1 \in G/H$ . Moreover, it is surjective by the above argument.

We have a map of sets

$$\varphi: H \backslash G/H \rightarrow G \backslash (G/H \times G/H), \quad g \mapsto (1, g).$$

Suppose  $\varphi(g) = \varphi(g')$ . Then there is  $g'' \in G$  such that

$$(1, g) = (g'', g''g').$$

The equation in the first coordinate says  $g'' \in H$ . The equation in the second coordinate implies  $g \in Hg'H$ . Hence the map is injective. Let  $(g, g') \in G \setminus (G/H \times G/H)$ . We have

$$(g, g') = (1, g^{-1}g') = \varphi(g^{-1}g'),$$

so  $\varphi$  is bijective.

Let  $1 \in \ell^2(G/H)$  be the constant function. The trivial representation  $G$ -equivariantly injects into  $\ell^2(G/H)$  via the map

$$\lambda \mapsto \lambda|G/H|.$$

This is a section to the  $G$ -equivariant summation map

$$\ell^2(G/H) \rightarrow \mathbb{C}, f \mapsto \sum_{g \in G/H} f(g)$$

whose kernel is  $\pi$ . Thus we get a  $G$ -equivariant splitting

$$\ell^2(G/H) \cong \pi \oplus \mathbb{C}.$$

Suppose  $G$  acts doubly transitively on  $G/H$ . Then

$$G \setminus (G/H \times G/H) \cong \{0, 1\}$$

consists of precisely two points, namely the orbit of the diagonal and the off-diagonal. This implies

$$\dim_{\mathbb{C}}(\text{End}_G(\ell^2(G/H))) = 2$$

because  $\Phi$  is an isomorphism. This implies

$$\dim_{\mathbb{C}}(\text{End}_G(\pi)) = 1.$$

because  $H < G$  is a proper subgroup. Thus  $\mathcal{H}$  is irreducible.

Suppose  $\pi$  is irreducible. We consider two cases. Suppose  $|G/H| > 2$ . We have  $\dim(\mathcal{H}) = |G/H| - 1 > 1$ . Because  $\mathcal{H}$  is irreducible, this implies that  $\mathcal{H}$  is not the trivial representation. Schur's Lemma implies

$$\dim_{\mathbb{C}}(\text{End}_G(\ell^2(G/H))) = 2.$$

Thus  $|G \setminus (G/H \times G/H)| = 2$ . This means there is only one off-diagonal orbit in  $G/H \times G/H$  but this is precisely what it means for  $G$  to act doubly transitively. Suppose  $|G/H| = 2$ . Let  $g \in G - H$ . The double transitivity says that  $(1, g)$  can be mapped to  $(1, g)$  or  $(g, 1)$  and this follows from  $g(1, g) = (g, 1)$ .