

§ Conformal Mappings (Chapter 8 in the book)

Motivating question ① Given 2 open sets  $u, v \subset \mathbb{C}$ , does there exist a holomorphic bijection between them?

i.e.  $f: U \rightarrow V$   $f \in \mathcal{H}(U)$   
and bijective

We'll see that inverse map

$f^{-1}: V \rightarrow U$  is automatically also holomorphic.

(Compare open sets  $\mathbb{C}$  and  $\mathbb{R}^2$ )

② Given an open set  $\Omega \subset \mathbb{C}$  what conditions guarantee that there is a holomorphic bijection from  $\Omega$  to  $\mathbb{D}$ ?

where

$\mathbb{D} = \text{unit disc}$

Why  $\mathbb{D}$ ?  $\mathbb{D}$  has a very nice geometric structure and most properties of holom functions we developed for  $\mathbb{D}$  first. If there is a holom bijection between  $\Omega$  and  $\mathbb{D}$ .

we can hope to transfer questions about holom functions on  $\Omega$  to holom functions on  $\mathbb{D}$ .

Plan:

- ① We'll start by examples of such maps and show for example that there is a holom bijection between  $\mathbb{D}$  and the upper half plane  $\mathbb{H}$ .

We can then compose simple maps to get more examples of holomorphic bijections.

- ② We'll then prove Schwarz lemma which says any  $f: \mathbb{D} \rightarrow \mathbb{D}$  s.t.  $f(0) = 0$  must satisfy
- ①  $|f(z)| \leq |z| \quad \forall z \in \mathbb{D}$  ( $f$  is contracting)

- ② If for some  $z_0 \neq 0$  we have  $|f(z_0)| = |z_0|$  then  $f$  is a rotation

- ③  $|f'(0)| \leq 1$  and if equality holds then  $f$  is a rotation

- ③ Schwarz lemma will then give us all holom bijections of  $\mathbb{D}$  to itself.

- ④ Then we'll get to Riemann-mapping thm which says if  $\Omega \neq \mathbb{C}$  or  $\emptyset$ , and simply

connected then there is a holom  
bijection between  $\Omega$  and  $\mathbb{D}$ .

More precisely, for any  $z_0 \in \Omega$   
 $\exists$  a unique  $f: \Omega \rightarrow \mathbb{D}$  s.t

$$f(z_0) = 0 \quad \text{and} \quad f'(z_0) > 0$$

Rk. Riemann mapping thm says  
there are only 3 kinds of  
simply connected domains in  $\mathbb{C}$   
(up to holom bijections)  $\emptyset$ ,  $\mathbb{C}$ ,  $\mathbb{D}$ .

Note there can be no holom bijection  
between  $f: \mathbb{C} \rightarrow \mathbb{D}$  since then  
 $f$  will be bounded and entire hence  
by Liouville's  $f$  is constant

Note  $\Omega$  connected is also necessary since  
 $\mathbb{D}$  is connected, same is true for  
simply connected since if  $f: U \rightarrow V$   
holom biject,  $U$  simply connected then  
so is  $V$ .

Defn Let  $U, V$  be 2 open sets in  $\mathbb{C}$

An injective holomorphic map  $f: U \rightarrow V$  is called a conformal map from  $U$  to  $V$

If  $f$  is bijective then we say that

$f$  is a conformal equivalence or

a biholomorphism or a holomorphic isomorphism

and  $U$  and  $V$  are conformally equivalent

If  $U=V$  a conformal equivalence is an

automorphism. (Note there is a small difference in the defn of conformal compared to the book. In the book  $f$  is taken to be bijective).

Proposition 1.1 (8.1.1) If  $f: U \rightarrow V$  conformal (i.e. holomorphic and injective) then  $\forall z \in U$   $f'(z) \neq 0$ . The inverse of  $f$ , which is defined on the image of  $f$  is holomorphic i.e.  $f: U \rightarrow \text{Image}(f) \subset V$  is a conformal equivalence and  $f^{-1}: \text{Image}(f) \rightarrow U$  is also a conformal equivalence.

Pf. Suppose  $f$  is injective and holom. but on the contrary  $\exists z_0 \in D$  s.t.

$f'(z_0) = 0$ . We w.t. show that  $f$  cannot be injective. Let  $h(z) = f(z) - f(z_0)$ .

Then  $h(z_0) = 0$  and  $h'(z_0) = 0$ .

If  $k := \text{ord}_{z_0} \overbrace{(f(z) - f(z_0))}^{h(z)}$  then by our assumption  $k \geq 2$  ( $h(z_0) = 0, h'(z_0) = 0$ )

If  $k = \infty$  then  $f(z) - f(z_0) \equiv 0$  hence  $f$  is constant and cannot be injective.

So we can assume  $k < \infty$ . Then  $\exists r > 0$  s.t.  $\forall z \in D_r(z_0)$ , we have

$$f(z) - f(z_0) = \frac{f^{(k)}(z_0)}{k!} (z - z_0)^k$$

$$+ G(z) (z - z_0)^{k+1}$$

so that

$$f(z) - f(z_0) = a (z - z_0)^k + G(z) (z - z_0)^{k+1}$$

where  $\frac{f^{(k)}(z_0)}{k!} = a \neq 0$ , and  $z \in D_r(z_0)$

Note that since zeros of  $f'$  are isolated we can also choose  $D_r(z_0)$  s.t.  $f'(z) \neq 0$  for  $z \in D_r^*(z_0)$ .

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We will use Rouché's thm to show that

$$\text{for } a \in \mathbb{C}, \quad g(z) = f(z) - f(z_0) - w$$

has the same number of zeroes as

$$a(z - z_0)^k - w \quad \text{in some disc around } z_0$$

If  $a(z - z_0)^k - w$  has  $k$  zeros in that disc,

we'll have  $g(z) = f(z) - f(z_0) - w$  has  $k$  zeroes

for  $z$  sufficiently close to  $z_0$ .

So let  $z_1, \dots, z_k$  be the zeroes of  $g$ .

If  $w \neq 0$  then these zeroes are not equal

to  $z_0$ . (Since if  $z_k = z_0$ , then

$$0 = g(z_k) = g(z_0) = f(z_0) - f(z_0) - w = -w \neq 0.)$$

Since  $f'(z) \neq 0$  for  $z \in D_r^*(z_0)$

we have that  $g'(z) = f'(z) \neq 0$  for  $z \in D_r^*(z_0)$

Hence each zero has order 1 and they are distinct. But that means  $\exists k$  distinct

$$z_1, z_2, \dots, z_k \quad \text{s.t.} \quad f(z_i) = f(z_0) + w$$

i.e.  $f$  is not injective.

To show that in some nbhd of  $z_0$ ,  $g(z) = f(z) - f(z_0) - w$  has  $k$  zeroes we write for  $z \in D(z_0)$

$$f(z) - f(z_0) - w = a(z-z_0)^k + G(z)(z-z_0)^{k+1} - w$$
$$= (a(z-z_0)^k - w) + (G(z)(z-z_0)^{k+1})$$

We apply Rouché's thm as follows

Let  $C = \sup_{|z-z_0| = \frac{r}{2}} |G(z)|$ ,  $C$  exists since  $G$  is continuous

Pick  $0 < s < r/2$  and assume  $|w| < |a| \left(\frac{s}{2}\right)^k$ . Then on the circle  $|z-z_0| = s$ ,

we have  $|a(z-z_0)^k - w| \geq |a|s^k - |w| \geq |a| \left(\frac{s}{2}\right)^k$

We also have that

$$|G(z)(z-z_0)^{k+1}| \leq C s^{k+1}$$

So if  $|a| \left(\frac{s}{2}\right)^k > C s^{k+1}$  i.e.  $s < \frac{|a|}{2^k C}$

then we can apply Rouché and get that  $(f(z) - f(z_0) - w)$  has the

same number of zeroes in  $|z-z_0| < s$

as the equation

$$a(z-z_0)^k = w \quad \text{for } |w| < |a| \left(\frac{s}{2}\right)^k$$

(and  $s < \min\left(\frac{|a|}{c2^k}, \frac{r}{2}\right)$ ) as wanted.

Note if  $w = |w|e^{i\theta}$  then the zeroes of  $a(z-z_0)^k = w$  are at  $z_n, n=0, \dots, k-1$

where

$$z_n - z_0 = \left|\frac{w}{a}\right|^{1/k} e^{i\left(\frac{\theta + 2\pi n}{k}\right)}, \quad n=0, \dots, k-1$$

But then  $|z_n - z_0| = \left|\frac{w}{a}\right|^{1/k} < \frac{s}{2} < s$ . Hence all

$k$  roots of  $a(z-z_0)^k = w$  are indeed inside  $D_s(z_0)$ .

The rest is straightforward -  $f = u \rightarrow f(u)$  is clearly bijective. wlog: assume  $f(u) = v$

$f^{-1} = v \rightarrow u$  is continuous since  $f = u \rightarrow v$  is an open map.

Let  $w_0 \in V, w \in V$  close to  $w_0$ . Write  $w = f(z)$

$w_0 = f(z_0)$ . If  $w \neq w_0$  then

$$\frac{f^{-1}(w) - f^{-1}(w_0)}{w - w_0} = \frac{z - z_0}{f(z) - f(z_0)} = \frac{1}{\frac{f(z) - f(z_0)}{z - z_0}}$$

Since  $f'(z_0) \neq 0$ , and  $f'$  continuous, we have

$$\lim_{w \rightarrow w_0} \frac{f^{-1}(w) - f^{-1}(w_0)}{w - w_0} = \lim_{z \rightarrow z_0} \frac{1}{\frac{f(z) - f(z_0)}{z - z_0}} = \frac{1}{f'(z_0)}$$

Hence  $f^{-1} \in \mathcal{J}_e(V)$



Remark (1) Prop 1.1 says that if  $f: U \rightarrow V$  is a conformal equivalence then  $f^{-1}: V \rightarrow U$  is automatically holomorphic

(2) The conformal equivalence is an equivalence relation  
 $U \sim_c U$  since  $f: U \rightarrow U$  identity  
 $u \mapsto u$   
 map is bijective and holomorphic

if  $U \sim_c V$  with  $f: U \rightarrow V$  then

$V \sim_c U$  with  $f^{-1}: V \rightarrow U$

and if  $U \sim_c V$ ,  $V \sim_c W$  w/  $f: U \rightarrow V$   
 $g: V \rightarrow W$   
 then  $g \circ f: U \rightarrow W$  gives a conformal  
 equivalence between  $U$  and  $W$ .

(3) Conformal equivalence allows the transfer of the holom. functions on one set to the holomorphic functions on the other set.

Corollary If  $f: U \rightarrow V$  is a conformal equivalence then the map

$$T: \mathcal{L}(V) \rightarrow \mathcal{L}(U) \\ \phi \rightarrow \phi \circ f$$

(where  $\phi: V \rightarrow \mathbb{C}$  is a holom. func. on  $V$ )

is a linear isomorphism with inverse

$$T^{-1}: \mathcal{L}(U) \rightarrow \mathcal{L}(V)$$

( $\varphi: U \rightarrow \mathbb{C}$  holom. func. on  $U$ )  $\varphi \mapsto \varphi \circ f^{-1}$

ie  $T$  is an isom. of vector spaces ie

$$T(a\phi_1 + b\phi_2) = aT(\phi_1) + bT(\phi_2)$$

Important

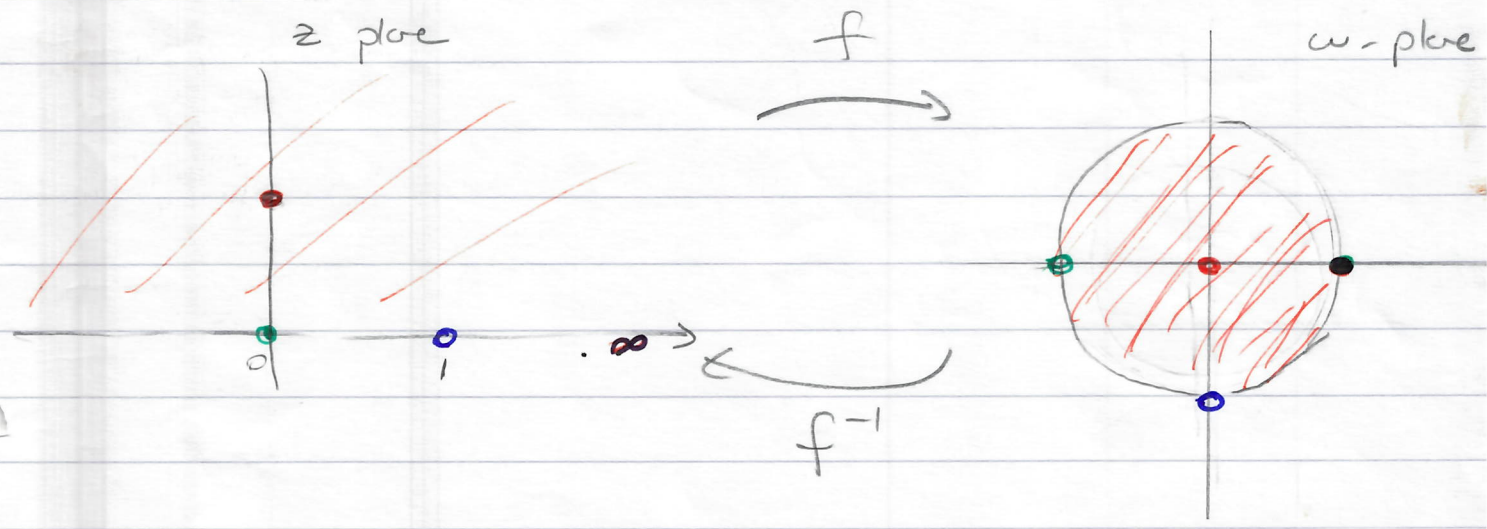
Example (8.1-1) The disc and the UHP.

let  $H := \{z \in \mathbb{C} \mid \text{Im } z > 0\}$  be the upper half plane.

$D = D_1(0) := \{z \in \mathbb{C} \mid |z| < 1\}$  the unit disc

Then the map  $f: H \rightarrow D$   
 $z \rightarrow \frac{z-i}{z+i}$  is

a conformal equivalence,  $f^{-1}(w) = i \frac{1+w}{1-w}$



Note this example shows that the property that a set is bounded is not preserved under conformal equivalence

Proof. First note that for any  $z \in \mathbb{H}$ ,  $|f(z)| = \left| \frac{z-i}{z+i} \right| < 1$

Since the distance from  $z$  to  $i$  is always larger than the distance from  $z$  to  $-i$ , which is in the lower half-plane.

$f$  is clearly holomorphic since  $z+i \neq 0 \forall z \in \mathbb{H}$

Similarly the map  $g(w) = i \frac{1+w}{1-w}$

is holomorphic for  $w \in D_1(0)$

to see that  $g(w) \in \mathbb{H}$ , we look at

$$\text{Im } g(w) = \frac{i \left( \frac{1+w}{1-w} \right) - \overline{\left( i \left( \frac{1+w}{1-w} \right) \right)}}{2i}$$

$$= \frac{1}{2} \left( \frac{1+w}{1-w} + \frac{1+\bar{w}}{1-\bar{w}} \right) = \frac{1}{2} \left( \frac{(1-\bar{w})(1+w) + (1-w)(1+\bar{w})}{|1-w|^2} \right)$$

$$= \frac{1-|w|^2}{|1-w|^2} > 0 \quad \text{since } |w| < 1$$

Hence  $g$  indeed goes from  $D_1(0)$  to  $\mathbb{H}$ .

Finally direct calculation verifies that  $f(g(w)) = w$ ,  $(g \circ f)(z) = z$ .

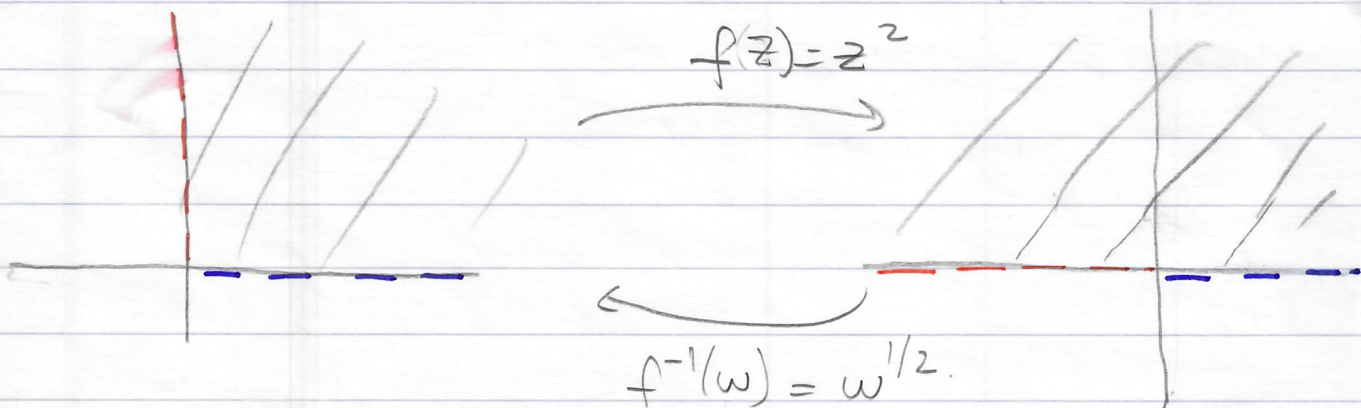
(Note the map takes the real line to the boundary of the disc.

with  $f(0) = -1$ ,  $f(1) = -i$ ,  $f(\infty) = 1$

### Example

The map  $z \rightarrow z^2$   
 $f = U := \{z \in \mathbb{C} \mid 0 < \arg z < \pi/2\} \rightarrow \mathbb{H}$   
 $z \rightarrow z^2$

maps the first quadrant to  $\mathbb{H}$



$$g: \mathbb{H} \rightarrow U$$

$$z \rightarrow z^{1/2} = \exp\left(\frac{1}{2} \operatorname{Log} z\right)$$

Note  $f$  is injective since if  $z_1^2 = z_2^2$  then  $z_1 = \pm z_2$  and only one of  $z_1, -z_2$  can be in  $U$ . Since  $z_1, z_2 \in U$  we have that  $z_1 = z_2$ .

To show surjectivity let  $w = re^{i\theta}$  2.49  
 $0 < \theta < \pi$

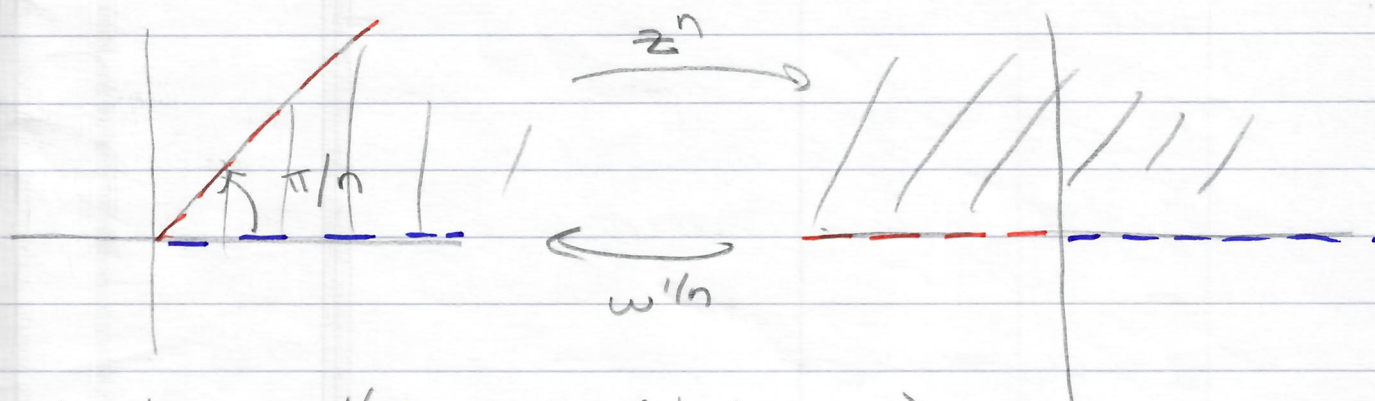
with  $\theta$ , then  $z^2 = w$  has 2 solutions

$$z_{1,2} = \pm w^{1/2} = \pm r^{1/2} e^{i\theta/2}$$

and  $z = r^{1/2} e^{i\theta/2}$  is in  $\mathcal{U}$ .

□

In general the map  $z \rightarrow z^n$   
 maps a sector  $S = \{z \in \mathbb{C} \mid 0 < \arg(z) < \pi/n\}$



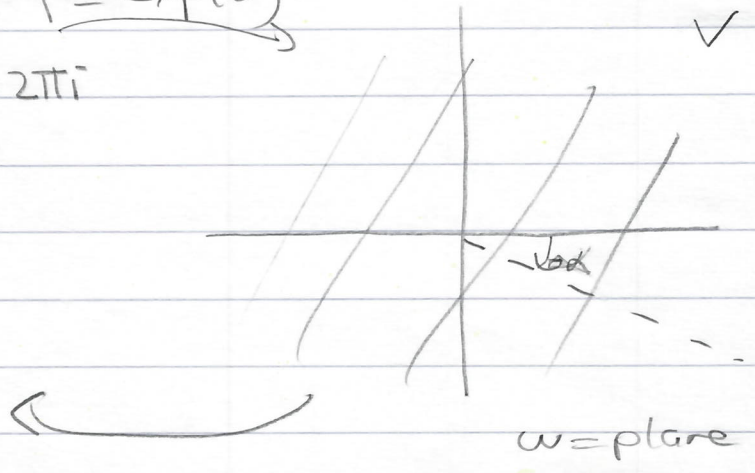
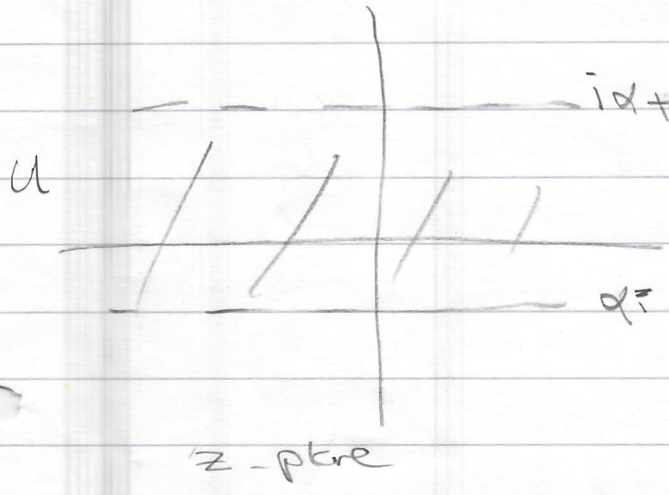
And the  $w^{1/n} = \exp\left(\frac{1}{n} \operatorname{Log} w\right)$

Example The map  $f: \mathbb{H} \rightarrow \mathbb{C}^-$   
 $z \rightarrow -z^2$   
 maps  $\mathbb{H}$  to  $\mathbb{C}^- = \mathbb{C} \setminus (-\infty, 0]$

and the map  $\tilde{f}: \mathbb{H} \rightarrow \mathbb{C} \setminus [0, \infty)$   
 $z \rightarrow z^2$   
 maps  $\mathbb{H}$  to slit plane cut at the  
 positive reals

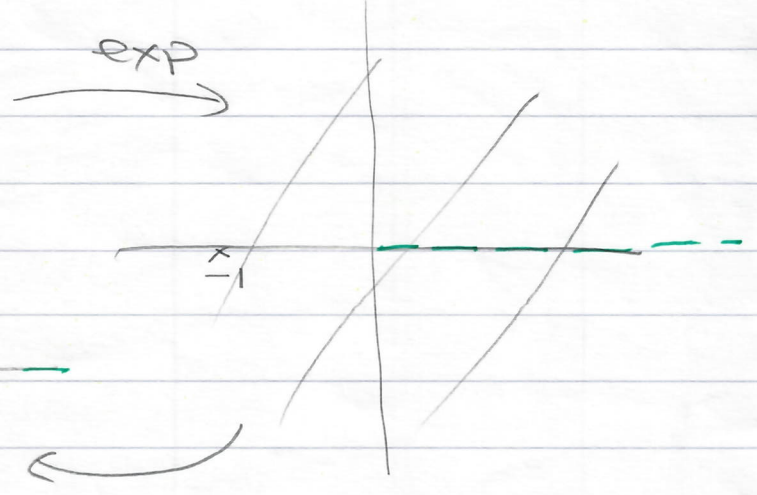
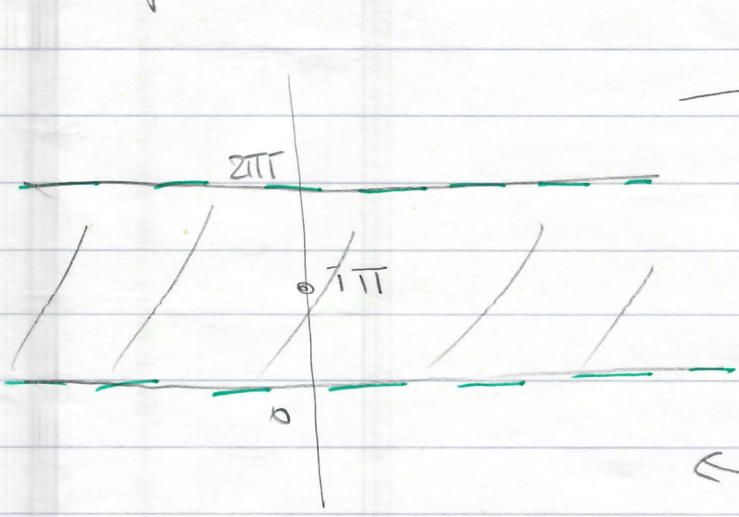
Any horizontal strip of length  $2\pi$  is conformally equivalent to a slit plane

$$f = \exp(z) = w$$



$\log_v(w)$

In particular



$\log_v$

$$\log_v(-1) = i\pi$$

Important non-example  $U = \mathbb{C}$ ,  $V = D(0)$

Then there is NO biholomorphic map

between  $U$  and  $V$ , since if there were a map

$$f: \mathbb{C} \rightarrow D(0) \text{ which is}$$

holomorphic. Then  $f$  is bounded

since  $|f(z)| < 1$  - hence by Liouville's

thm it is constant, hence is not injective.

Hence  $\mathbb{C} \not\cong D$ .

Riemann's thm says that any simply connected domain  $U$  which is a proper subset of  $\mathbb{C}$ , i.e.  $U \neq \mathbb{C}$ , and  $U \neq \emptyset$  is conformally equivalent to  $D$ .

In fact we will prove

Thm (Riemann) (8.3.1) Suppose  $\Omega$  is proper and simply connected. If  $z_0 \in \Omega$  then there is a unique conformal map  $F: \Omega \rightarrow D$  st  $F(z_0) = 0$  and  $F'(z_0) > 0$ .



Cor Any 2 proper simply connected open subset of  $\mathbb{C}$  are conformally equivalent

Remark Riemann's mapping thm is remarkable it classifies all simply connected open subsets  $\Omega \subseteq \mathbb{C}$ , up to conformal equivalence, there are 3 of them  $\mathbb{D}$ ,  $\mathbb{C}$ ,  $\mathbb{D}$ . But the proof is not constructive as we'll see. In general it is not easy to find an explicit map.

The rest of the course we'll prove this thm.

The strategy of the proof is as follows.

① Step 1 - Uniqueness  $\therefore$  This is going to be easy. It boils down to finding all automorphisms of the unit disc. Since if we have 2 conformal equivalences  $f_1 = \Omega \rightarrow \mathbb{D}$ ,  $f_2 = \Omega \rightarrow \mathbb{D}$

then  $f_2 \circ f_1^{-1} = \mathbb{D} \rightarrow \mathbb{D}$  is an autom of  $\mathbb{D}$

Step 2 If  $\Omega \neq \mathbb{C}$ , we'll show there

is a conformal map  $f: \Omega \rightarrow \mathbb{D}$  with  $f(z_0) = 0$ .

Hence  $\Omega$  is conformally equivalent to an

open subset of  $\mathbb{D}_1(0)$ . Hence  $\Omega \sim_c f(\Omega) \subset \mathbb{D}_1(0)$

Step 3. Step 2 shows that

The set  $\mathcal{F} = \{f: \Omega \rightarrow \mathbb{D} \mid f \text{ conformal}, f(z_0) = 0\}$

is not empty. We'll show  $S := \sup_{f \in \mathcal{F}} |f'(z_0)|$  exists

We'll show that  $\exists f \in \mathcal{F}$  s.t.

$|f'(z_0)|$  is maximal, i.e. the supremum

$S$  is taken. This  $f$  has "maximal expansion speed".

Step 4. The  $f$  we found in step 3 is  
surjective.

If this is the case writing  $f'(z_0) = s e^{i\theta}$

and  $g(z) = e^{-i\theta} f(z)$  gives the map we are looking for.

$g: \Omega \rightarrow \mathbb{D}$  with  $g(z_0) = e^{-i\theta} f(z_0) = 0$   
 $g'(z_0) = s > 0$ .